



# U.S. Department of Energy Categorical Exclusion Determination Form

Submit by E-mail

Proposed Action Title: AutoGrid Systems, Inc. -- Highly Scalable Virtual Power Plant (VPP) Platform for Mass Storage and EV Deployments

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Redwood City, CA; San Francisco, CA; Waltham, MA; Herndon, VA

Proposed Action Description:

Funding will support the project team's research and development activities to optimize its energy flexibility management and virtual power plant platform (VPP) ("Flex") that enables utilities and energy-as-a-service providers to aggregate and optimize distributed energy resources ("DERs"). Specifically, the project team will (1) scale the number of storage assets that can be co-optimized in real time to 100,000; (2) leverage new software technologies and develop new algorithms that exploit the mathematical structure of the optimization problem; and (3) expand its features and functionality for EV (Electric Vehicle) Fleet Management. If successful, the project team will expand the number of controllable assets and unlock new use cases, accelerating the deployment of DERs and realizing their full value for end-users and the electric grid. Managing flexible capacity from DERs allows stakeholders to integrate, optimize, and monetize more intermittent sources of energy, such as wind and solar, into the grid.

Project activities will be conducted at existing facilities listed in Attachment A designed for the applicable activities. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements. No modifications will be made to existing facilities to accommodate the proposed work.

Categorical Exclusion(s) Applied:

A9 - Information gathering, analysis, and dissemination

B4.4 - Power marketing services and activities

B5.1 - Actions to conserve energy or water

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: **William Bierbower (Approved via Email)**      Date Determined: **July 19, 2021**

**Attachment A: Project Locations (AutoGrid Systems, Inc. -- Highly Scalable Virtual Power Plant (VPP) Platform for Mass Storage and EV Deployments)**

Project Team Member	Location
AutoGrid Systems, Inc.	Redwood City, CA
Sunrun, Inc.	San Francisco, CA
Zum Services, Inc.	Redwood City, CA
Shell New Energies, LLC	San Francisco, CA
NRTC	Herndon, VA
National Grid	Waltham, MA