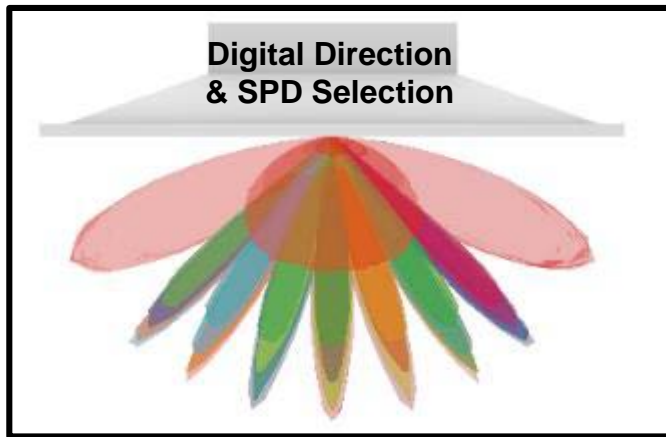
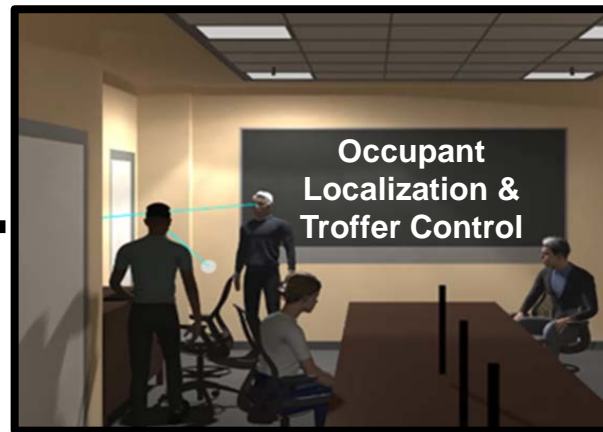


# Spatially Adaptive Tunable Lighting Control System with Expanded Wellness and Energy Saving Benefits



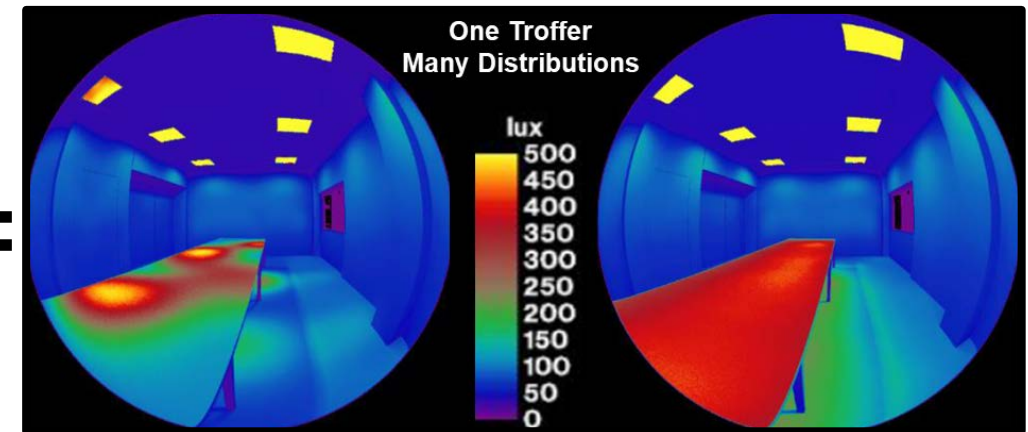
Digitally Steerable Fixtures

+



Occupancy Driven controls

=



Optimized Illumination, Autonomous Control

Performing Organization(s): Rensselaer Polytechnic Institute, Lumileds, HKS  
R. F. Karlicek, Jr. Professor, ECSE, Director, Center for Lighting Enabled Systems & Applications  
[karlir@rpi.edu](mailto:karlir@rpi.edu)

# Project Summary

## Timeline:

Start date: April 3, 2020

Planned end date: March 31, 2023

## Key Milestones

1. Digital Troffer Design & Simulation: 03/2021
2. Working digital troffer installed: 12/2021
3. Simulate “Sculpting” for 3 installations: 12/2021
4. Full Installation energy/SPD testing: 02/2023

## Budget:

### **Total Project \$ to Date:**

- DOE: \$837,833
- Cost Share: \$231,372

### **Total Project \$:**

- DOE: \$2,046,888
- Cost Share: \$715,706

## Key Partners:




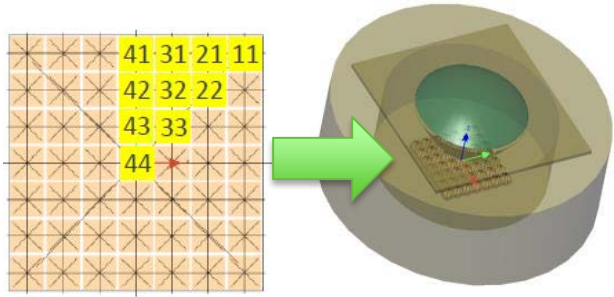
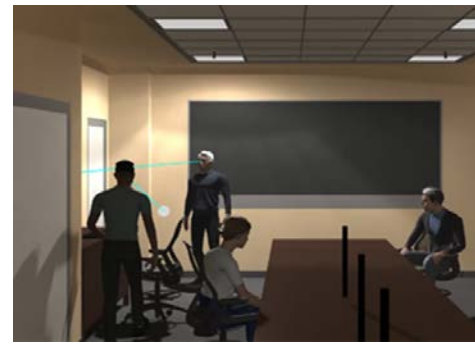
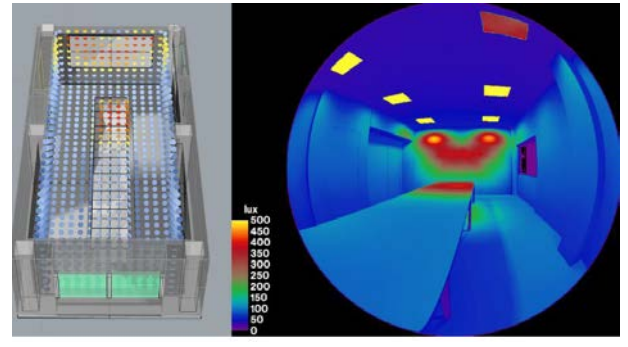
Rensselaer (LEAD)
Lumileds LLC
HKS, Inc.

## Project Outcomes:

- Demonstrate troffer with digitally controlled variable beam patterns and color tuning
- Demonstrate autonomous lighting control that is occupant pose and position aware
- Quantify light application efficiency improvements optimized for circadian performance

# Team



Who			
Why	<ul style="list-style-type: none"> <li>• Global Leader in LED die and module design</li> <li>• Experience in digital auto headlight beam control</li> </ul>	<ul style="list-style-type: none"> <li>• LESA – academic leadership in light field sensing and control</li> <li>• CASE – academic leadership in architectural design simulation</li> </ul>	<ul style="list-style-type: none"> <li>• Global Leader in architectural design and services</li> <li>• Extensive lighting design and light modeling capabilities</li> </ul>
What	<ul style="list-style-type: none"> <li>• Design and fabrication of multi-element, color tunable light module</li> <li>• Design and fabrication of light engine optical system</li> </ul> <div data-bbox="433 1006 1044 1299">  <p data-bbox="458 1306 687 1335">49 pixel LED die</p> <p data-bbox="777 1306 1031 1335">Module with optics</p> </div>	<ul style="list-style-type: none"> <li>• Develop and demonstrate autonomous, occupant aware, dynamic lighting control</li> <li>• Design, install, commission and evaluate energy savings and human factors considerations</li> </ul> <div data-bbox="1184 956 1656 1299">  <p data-bbox="1108 1306 1770 1335">Occupant pose/position and lighting control system</p> </div>	<ul style="list-style-type: none"> <li>• Lighting simulation and algorithm development for multi-directional troffers</li> <li>• Simulation of energy and human factors performance in 3 different lighting installations</li> </ul> <div data-bbox="1860 956 2484 1299">  <p data-bbox="1911 1306 2433 1335">Lighting design optimization methods</p> </div>

# Challenges

## **Problem (1): Modern fixtures are static, cannot control light placement**

- ➡ Light goes to places where it is not needed, energy wasted
- ➡ Multiple fixture types installed, adding cost and control challenges

## **Problem (2): Lighting control systems are barely “occupant aware”**

- ➡ Modern lighting controls are either primitive or too hard to use
- ➡ Energy savings from controls not realized because they too complex

## **Problem (3): Color tunable lighting for human wellbeing is complex**

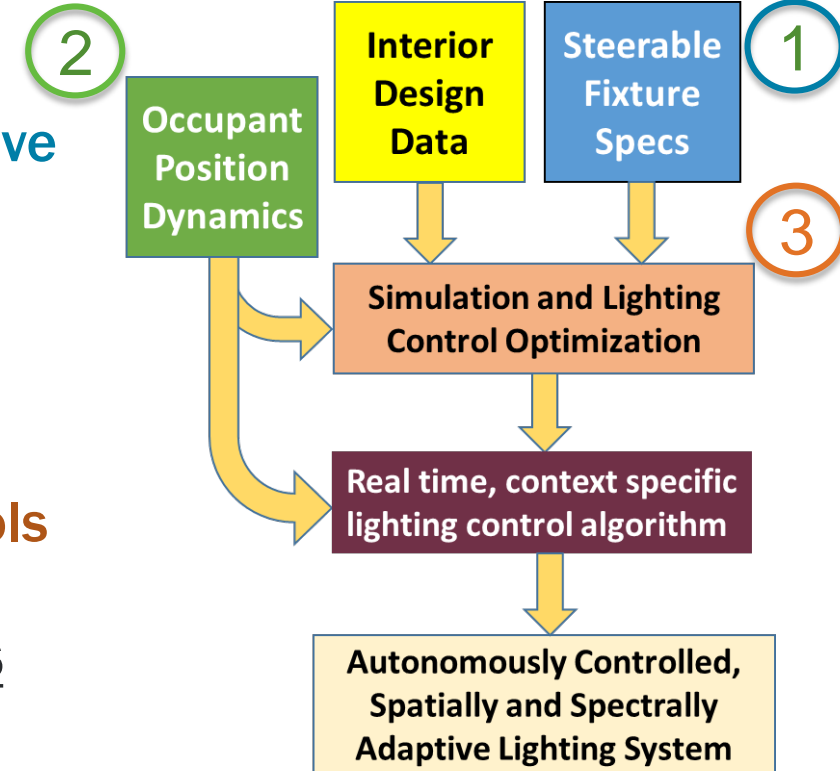
- ➡ Tunable lighting uses more energy, control becomes even harder
- ➡ Different spectra for vertical (eye) and horizontal (table) illuminance

# Approach

## Accelerate the integration of:

- 1 Multi-element, beam steerable LED technology from automotive headlight research
- 2 Advanced occupancy sensing developments from building “occupant centric controls” research
- 3 Modern simulation, modeling and control platforms from VR rendering engine development and new lighting simulation tools

To create a testbed for validating the full energy savings and human well being potential of autonomous lighting systems that deliver the right light where and when needed

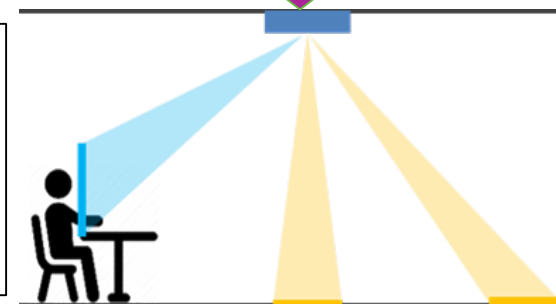


### Key Challenges:

- Control complexity (many lighting profiles)
- Activity estimation for optimized illumination
- Human perception of dynamic lighting

### Mitigation:

- Control algorithm optimization
- Match sensor data to fixed activity types
- Use digital twin (VR) to optimize design



# Impact

A state of the art testbed integrating digital lighting control (spectrum and illuminance profiles) with occupant aware controls for studying energy and human performance

Impact Area	Goals	Comments
Lighting Energy Use Reduction	Up to 75%	<ul style="list-style-type: none"><li>• Depends on occupant density (impacts local dimming potential)</li><li>• Depends on human factors research (light levels needed for circadian health)</li></ul>
Control Automation	Fully autonomous	<ul style="list-style-type: none"><li>• Overcomes issues of lighting control complexity</li><li>• Occupancy sensing continues to improve, costs coming down fast</li></ul>
Building Automation	Integrate to HVAC, safety and security	<ul style="list-style-type: none"><li>• Precision localization from lighting control for reducing HVAC costs</li><li>• Improved occupant “situational awareness” for a wide range of building systems like plug load control, security and emergency response</li><li>• A key step to autonomous, sentient smart buildings through occupant awareness</li></ul>

**Ultimate freedom in lighting design:** light any surface from any angle with any illuminance and spectrum

**Adaptive and digital lighting design:** developing control algorithms instead of specifying fixtures

**Lighting application efficiency:** ideal light for any application = no light wasted

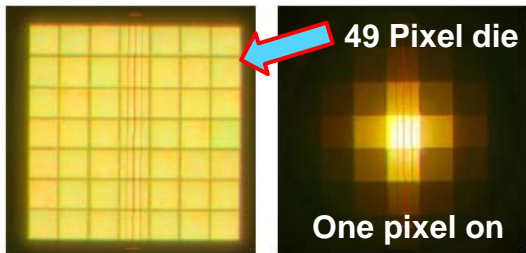
**Building integration:** streamlined supply chain, building construction/renovation, installation and commissioning

Marketing Pitch (Lumileds)

# Progress Project Stage: Early-Middle (Q5 of 12Q program)

## 1 Light Engine

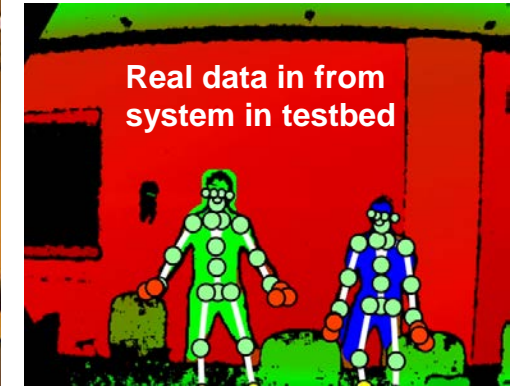
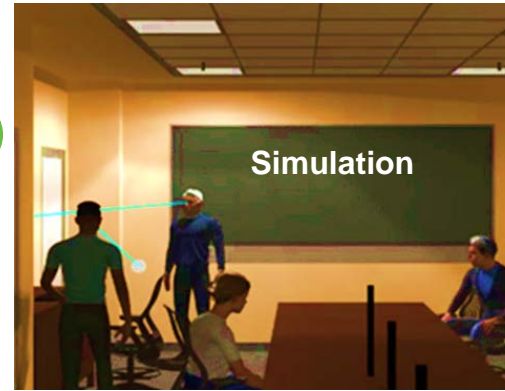
Projection section	
Beam angle FWHM	100°
Number of segments	49
Nominal flux per segment	500 lm
Light guide section	
Beam angle peak to peak	128°
Beam angle FWHM	152°
Number of segments	4
Nominal flux per segment	1400 lm
Spectrum (both sections)	
CCT range	2200-10000K
CRI (min 2700-6500K)	Ra>90, R9>50
Melanopic ratio (MDER)	0.35-1.12
Melanopic ratio (MEER)	0.38-1.24



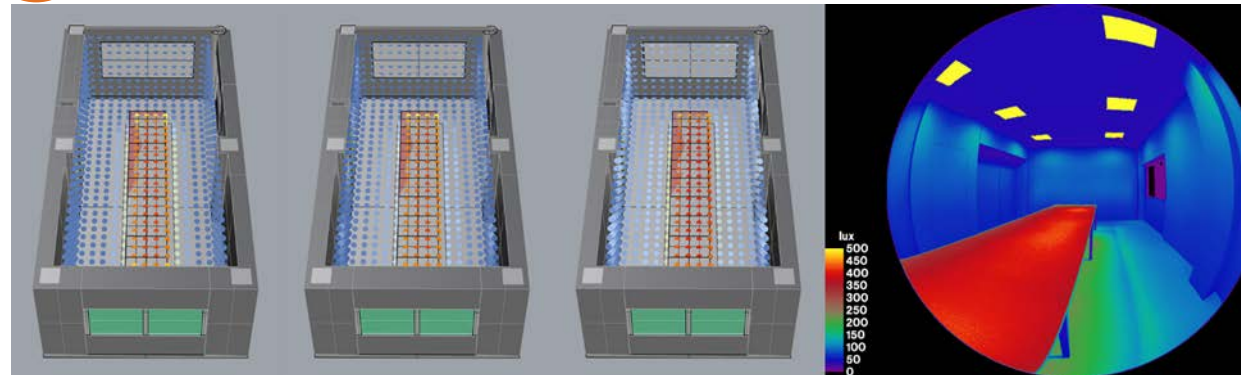
*Progress on track,  
die/optics/driver  
fabrication & test  
in progress*

## 2 Occupant position dynamics (On Track)

- Position/gaze integrated
- Light steering linked to occupant position (Unity)
- Closed loop position/lighting development in progress



## 3 Illuminance Distribution Modeling/Programming



- 8 steerable troffers in room
- 53 beam profiles/troffer
- 424 (8x53) settings for room
- Algorithm optimizes settings

**Good Progress  
On Track**

$$\begin{bmatrix} E_1 \\ \vdots \\ E_m \end{bmatrix} = \begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

↑ Illuminance values      ↑ Illuminance at sample point 1..m due to pixel 1..n      ↑ Pixel output values

### Additional Progress

- Luminaire housing
  - ~ 40% complete
  - Driver interface to light engine designed/tested
- Digital Twin Progress
  - ~ 80% complete
  - 1<sup>st</sup> person VR visualization in progress

(tests look/feel test of light sculpting control algorithms)

# Stakeholder Engagement Project Stage: Early-Middle (Q5 of 12Q program)

Key Stakeholders: Lighting, Building Controls, Architectural Design Firms

- Stakeholder engagement built into program (Lumileds, HKS are participants)
- Two presentations at 2021 Illumination Engineering Society (IES) Annual Meeting



Designing Adaptive Lighting Systems  
with Digital Light Sources

2021 IES Annual Conference

Wouter Soer  
August 9, 2021



**Digitally Controlled, Beam Steerable and Tunable  
Lighting System with Occupancy Activity Estimation**

R. Karlicek, A. Tsamis, R. Radke, C. Varsami (Rensselaer)  
W. Soer, F. Chiang (Lumileds)  
H. May, E. Broberg, T. Logan (HKS)



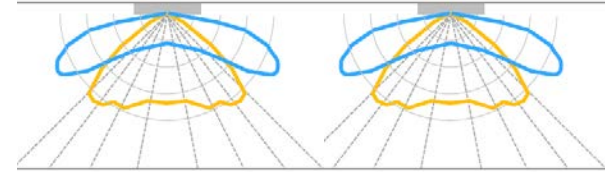
- Regular program updates with DOE SSL program at PNNL (broad stakeholder reach)
- Publications in technical and industry periodicals (planned)
- Broad LESA outreach to students, industry, conference presentations...



# Remaining Project Work

## Next Steps (remaining 25 months of program):

- Complete die fabrication and light engine design (Lumileds)
- Initiate luminaire design (housing, thermal management, power integration (RPI))
- Complete and test (simulation with VR) lighting algorithm operation
- Build/install 8 steerable luminaires in testbed (RPI)
- Complete testbed energy and human factors tests (RPI, HKS)



## Longer Term (focus on cost down/commercial adoption):

- Continue promoting testbed capabilities (new funding, publications, conferences)
- Publish control algorithms for beam steerable lighting (open source)
- Explore position/pose sensing cost reduction (ongoing LESA Research on sensors)

---

# Thank You

**Performing Organization(s): Rensselaer Polytechnic Institute, Lumileds, HKS**

**R. F. Karlicek, Jr., Professor, ECSE, Director, Center for Lighting Enabled Systems & Applications**

**[karlir@rpi.edu](mailto:karlir@rpi.edu)**

---

# REFERENCE SLIDES

# Project Budget

**Project Budget:** DOE share - \$2,046,888      Cost share – \$715,706      Total – \$2,762,594  
*Budget tracking below expected ramp, primarily due to pandemic related hiring and research issues at RPI. Lumileds and HKS budgets on track.*


**Variances:** *Budget is below expected track – but spend rate increasing to with increased staffing at RPI to make up for pandemic related delays, no budget modifications expected*

**Cost to Date:** DOE share - \$837,833      Cost share – \$231,372      Total – \$1,069,205

**Additional Funding:** *No other funding sources at present (other than mandatory cost share)*

Budget History					
FY 2020 (to 9/30/2020)		FY 2021 (10/1/2020 to 9/30/2021)		FY 2022 (10/1/2021 to 3/31/2023(End))	
DOE	Cost-share	DOE	Cost-share	DOE	Cost-share
98,286	27,543	1,101,456	308,500	1,625,578	379,665

# Project Plan and Schedule

- Program Start: April 2020
- Program on track, no missed milestones
- Two Go/NoGo Milestones: 
  - One met at end Q4
  - Other one on target for end Q7

- Program End: March 2023
- Going Forward:
  - Build and install luminaires in testbed
  - Complete/Test control with occupancy feedback
  - Complete energy savings and human factors tests

We are here

Task	Sub Task	Description	Budget Period 1 (Year 1)				Budget Period 2 (Year 2)				Budget Period 3 (Year 3)									
			Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12						
1.0 Steerable/Tunable Fixture Development	1.1	System Design	Select reference light engine design (Electrical/Optical)																	
	1.2	Build/Test Prototype Light Engine					Complete working prototype for initial light engine tests along with test results (efficiency, spectral and spatial tuning ranges)													
	1.3	Assemble light engines into luminaires, test/install									Operational steerable/tunable fixtures tested/installed in SCR testbed									
2.0 Digital Twin Development	2.1	Create integrated platform for digital twin viewing	Integrate TOF occupancy sensing visualization and training capability into VR platform with simulation testing for all three office designs																	
	2.2	Develop adaptive lighting control concepts (interfaces to steerable luminaires)									Simulated occupancy sensing and dynamic beam steering based on three simulated occupancy scenarios for generating simulated sculpted light profiles									
		Apply AR capabilities to SCR testbed														Optimize occupancy based light sculpting rules for SCT testbed using VR/AR tool with real occupant activity				
3.0 Light Utilization Efficiency Assessment	3.1	Testbed Photometry																Photometric validation of steering and spectral tuning capabilities		
	3.2	Evaluate Light Utilization Efficiency energy savings potential																	Compare energy use for lighting profiles with real occupant testing	
4.0 Final Report	4.0	Final Program Report																	Final Report	