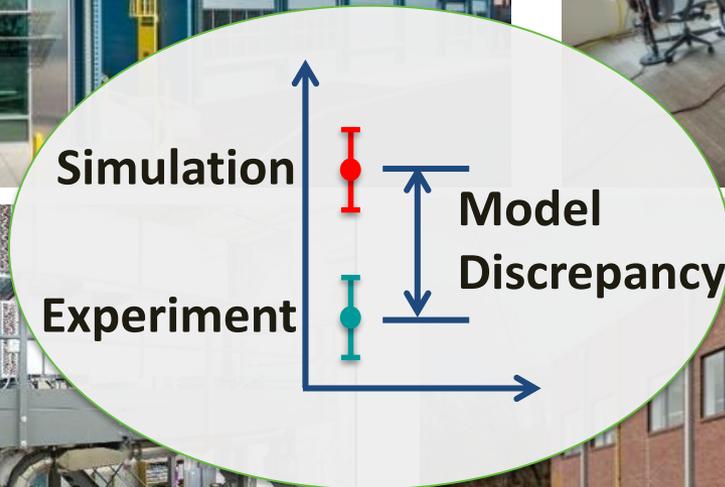


# Validation and Uncertainty Characterization for Energy Simulation

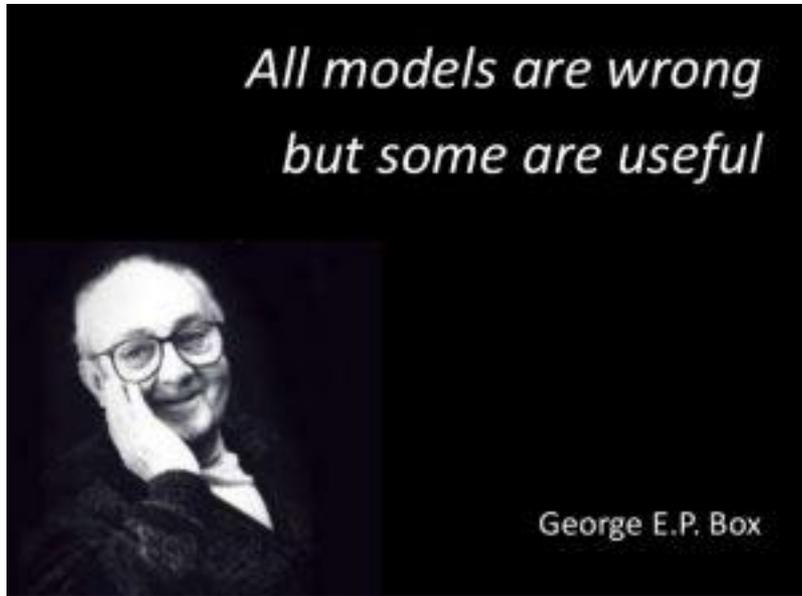


**Lawrence Berkeley National Lab,**

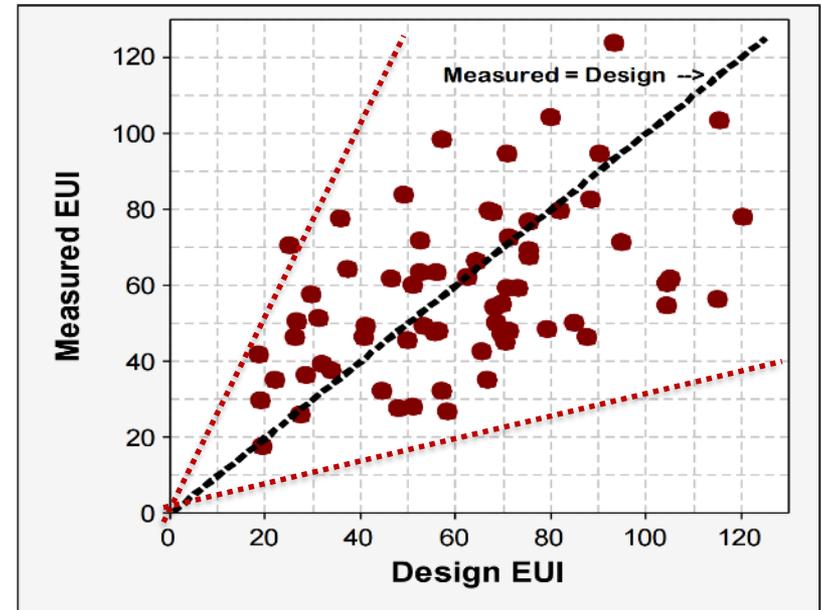
**Argonne National Lab, National Renewable Energy Lab, Oak Ridge National Lab**

# The Problem

- Building Energy Modeling (BEM) supports EE decision making but is underutilized
  - Reputation for “inaccuracy” or “poor predictive capability”
- Reality: EUI prediction is hard (weather, occupancy) & often besides the point
- Perception: if you can’t predict EUI, how can you do anything at all?



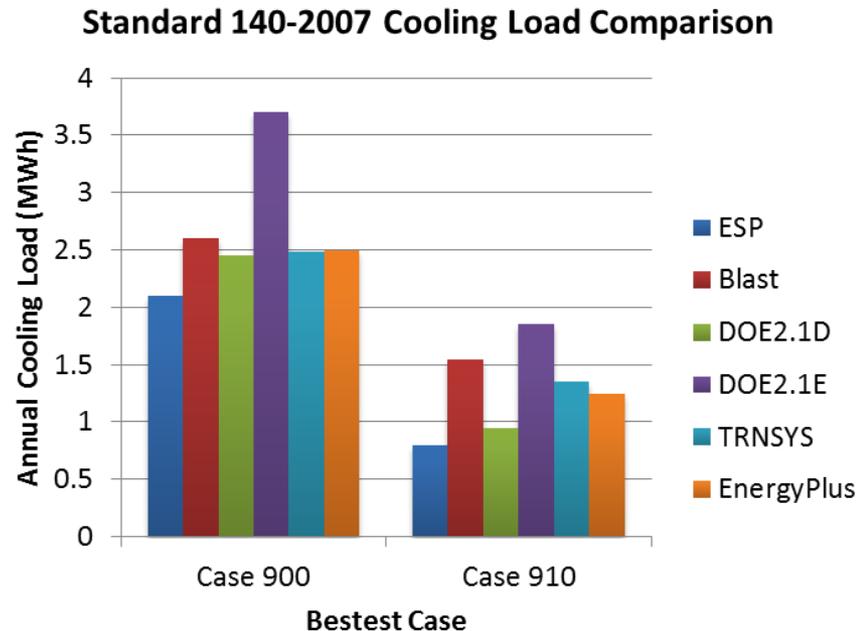
Reality



Perception

# The "Solution"

- ASHRAE Standard 140 “Standard Method of Test for the Evaluation of Building Energy Analysis Computer Programs”
  - Analytical and comparative tests for a number of configurations
  - + Improves consistency among BEM engines
  - + Identifies areas in need of further investigation
  - Lack of “ground truth” empirical data feeds perception of inaccuracy



# The Solution



LBNL FLEXLAB



ORNL FRP

- Use highly instrumented test facilities to develop empirical data sets for 140
- Expected impact
  - Make definitive quantitative statements about BEM engine accuracy
  - Improve BEM engine accuracy
  - Increase confidence in and use of BEM

# Project Summary

## Timeline:

Start date: 10/1/2015

Planned end date: 9/30/2019

## Key Milestones

First SSPC 140 V&U Subcommittee Meeting; 5/25/2018

Second SSPC 140 V&U Subcommittee Meeting; 1/13/2019

## Budget:

### **Total Project \$ to Date:**

- DOE: \$4,136,000
- Cost Share: \$260,000

### **Total Project \$:**

- DOE: \$4,136,000
- Cost Share: \$260,000

## Key Partners:

ASHRAE SSPC 140
Southern California Edison

## Project Outcome:

- Empirical data for ASHRAE Standard 140
- Methods/and procedures for identifying model discrepancies and reducing model uncertainty
- Improved understanding of experimental means for future empirical validation experiments

# Team and Tasks



- **LBL**: space cooling and heating load experiments



- **ORNL**: multi-zone VAV system experiments



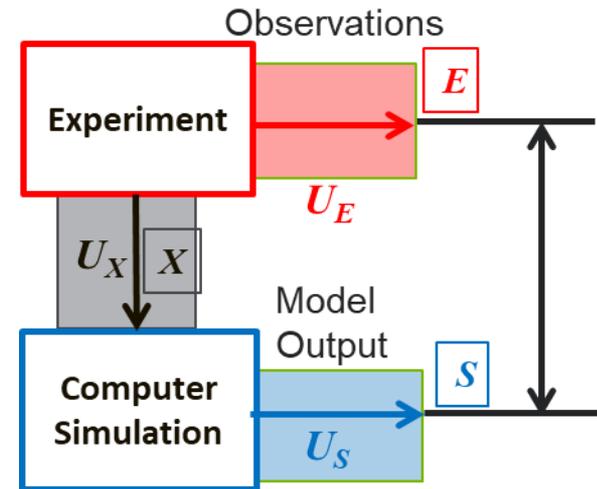
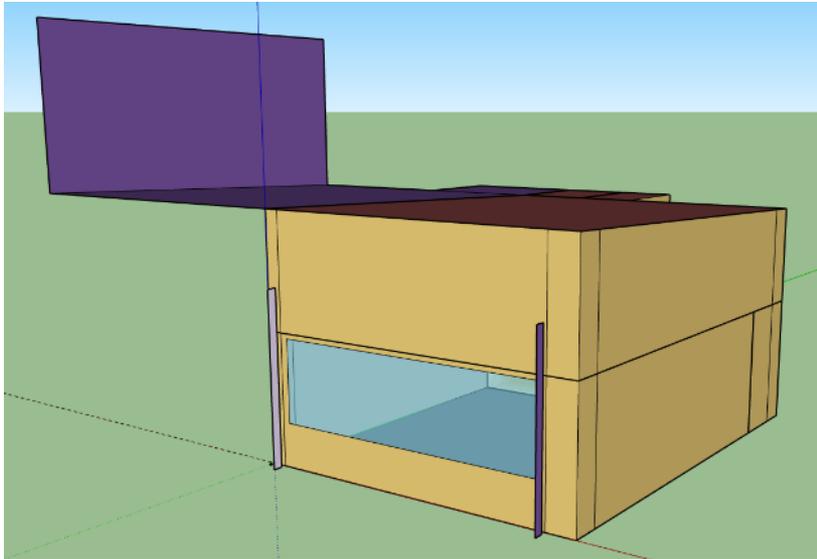
- **NREL**: indoor/outdoor apartment module experiments



- **ANL**: uncertainty characterization for experiments and models

- **TAG**: vendors, practitioners and researchers: review plans and results – one to two meetings per year
- **ASHRAE** Standing Standard Project Committee 140 -- review of submissions for inclusion in Standard 140

# Uncertainty Characterization and Evaluation Metrics

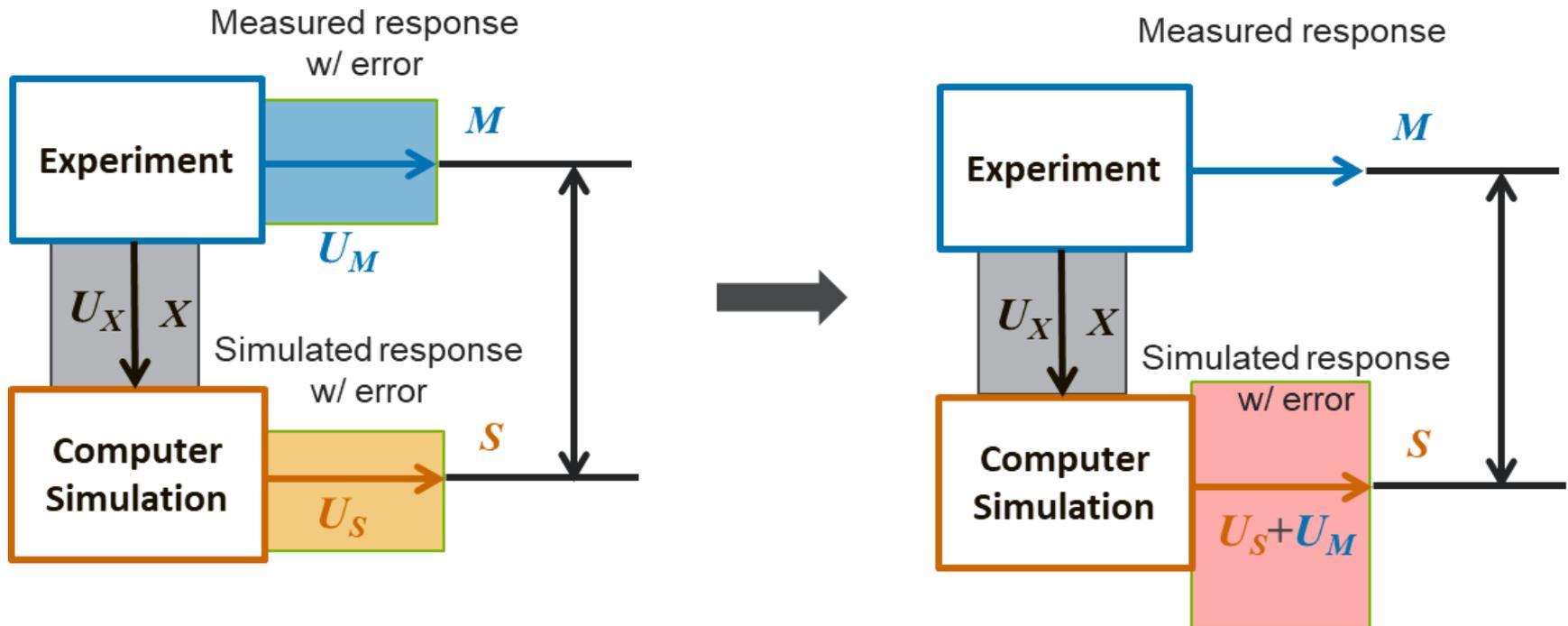


Argonne National Laboratory – Ralph Muehleisen – [rmuehleisen@anl.gov](mailto:rmuehleisen@anl.gov)

Qi Li

# Uncertainty Propagation

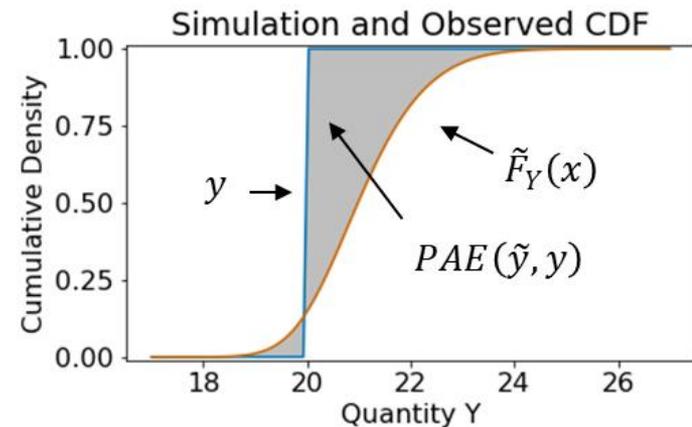
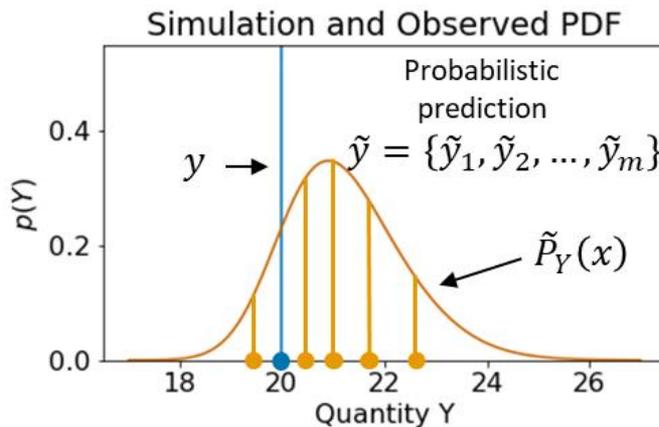
- Developed method for combining modeling and measurement uncertainties into one set of uncertainties in model
  - Key to creating a comparison metric that includes uncertainty ...
  - Is statistically valid ...
  - And simple to understand



# Metric Development

- Developed Probabilistic Absolute Error (PAE) Metric
  - Difference between Cumulative Distribution Functions (CDF) of simulation and measurement (both including uncertainty)
  - Collapses to normal Absolute Error when no uncertainty

$$PAE(\tilde{y}, y) = \int_{-\infty}^{\infty} \left( \tilde{F}_Y(x) - H(x - y) \right)^2 dx$$



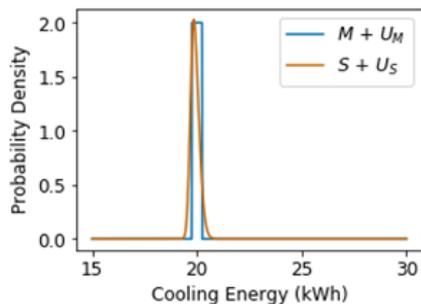
# Why a new metric?

- In previous work people used uncertainty ratio (UR)

$$UR = \frac{\delta_m}{\sigma_M + \sigma_S}$$

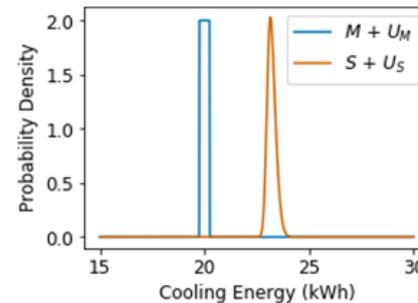
- UR doesn't work when uncertainty in either simulation or measurement is large

Small Bias, Small Uncertainty



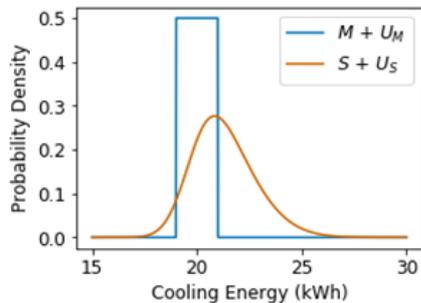
UR = 0.875  
NPAE = 0.005

Large Bias, Small Uncertainty



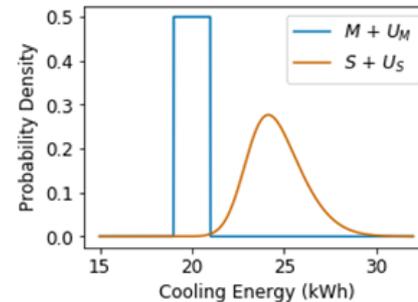
UR = 5.00  
NPAE = 0.167

Small Bias, Large Uncertainty



**UR = 0.167**  
NPAE = 0.048

Large Bias, Large Uncertainty



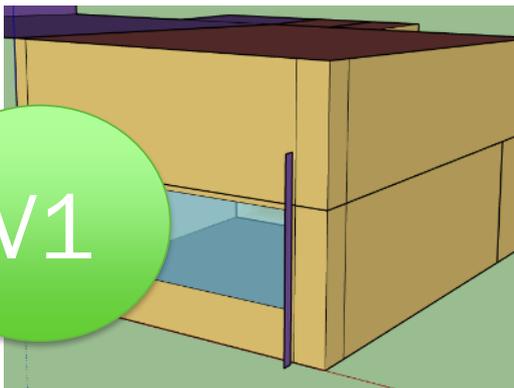
**UR = 0.198**  
NPAE = 0.952

# Feedback Process for Experiments

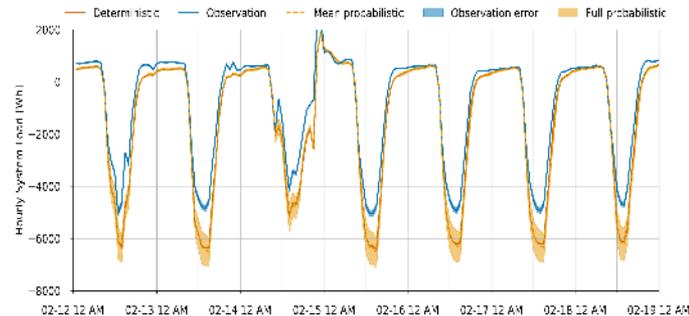
## Description

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V1



Create independent model from experiment description



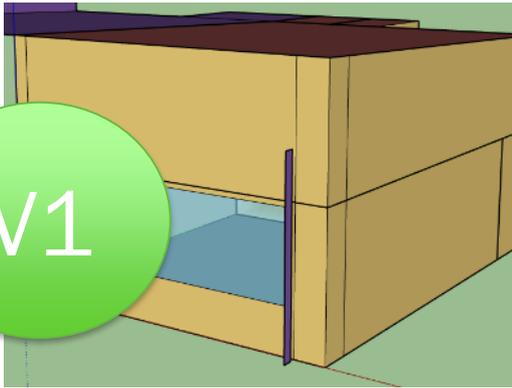
Find discrepancies and inputs causing high uncertainty

# Feedback Process for Experiments

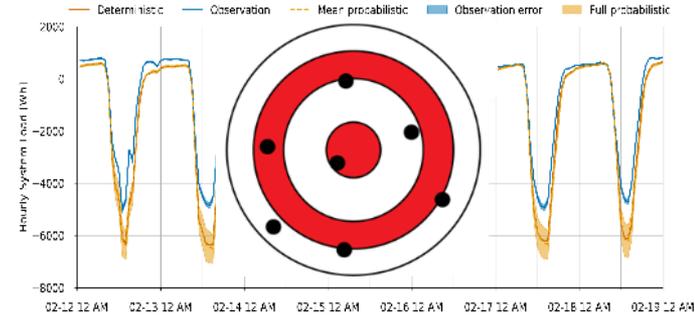
## Description

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V1



Create independent model from experiment description



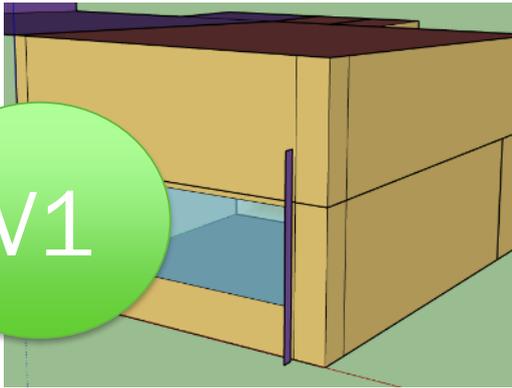
Find discrepancies and inputs causing high uncertainty

# Feedback Process for Experiments

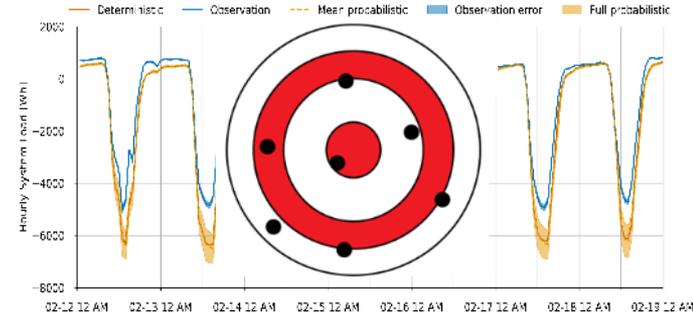
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V1



Create independent model from experiment description

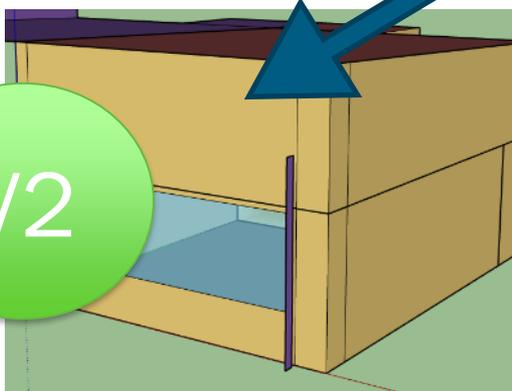


Find discrepancies and inputs causing high uncertainty

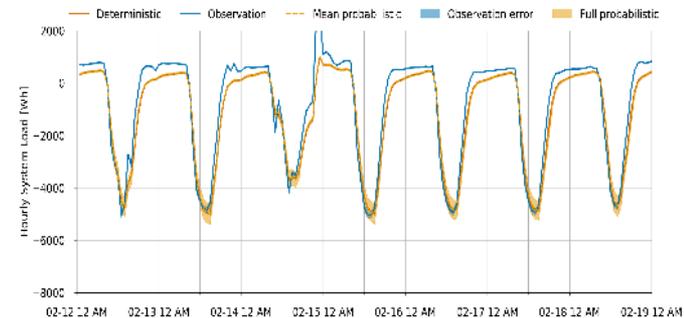
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- Fusce pharetra mi vel consectetur euismod.

V2



Update description and/or experiment



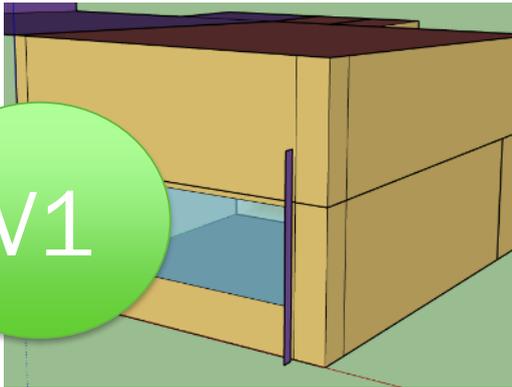
Reduced discrepancies and uncertainty

# Feedback Process for Experiments

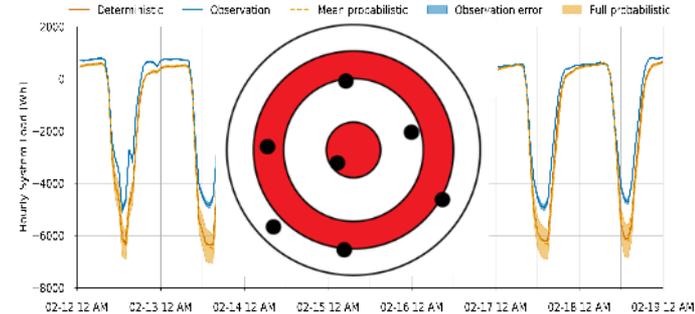
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- Fusce pharetra mi vel consectetur euismod.

V1



Create independent model from experiment description

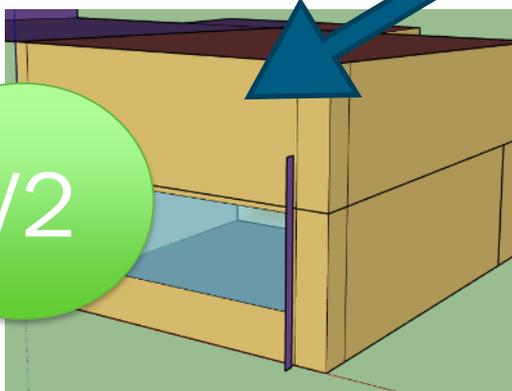


Find discrepancies and inputs causing high uncertainty

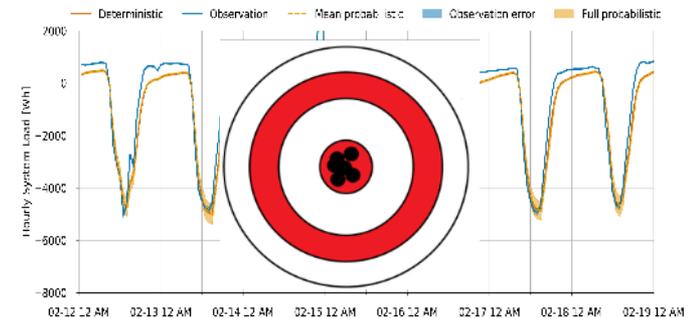
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V2

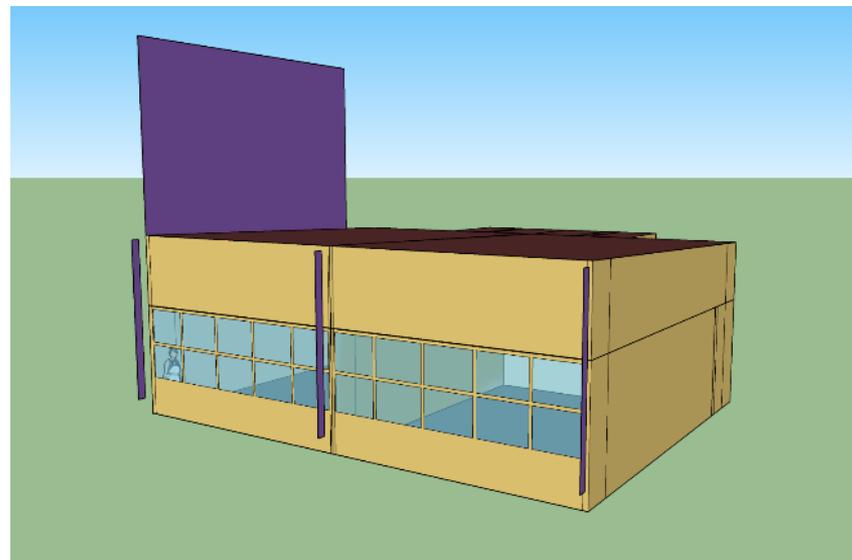


Update description and/or experiment



Reduced discrepancies and uncertainty

# Zone Heating and Cooling Loads



**Lawrence Berkeley National Lab – Christian Kohler– [cjkohler@lbl.gov](mailto:cjkohler@lbl.gov)**

**Philip Haves**

**Baptiste Ravache**

**Handi Chandra Putra**

**Ronnen Levinson**

**Darryl Dickeroff**

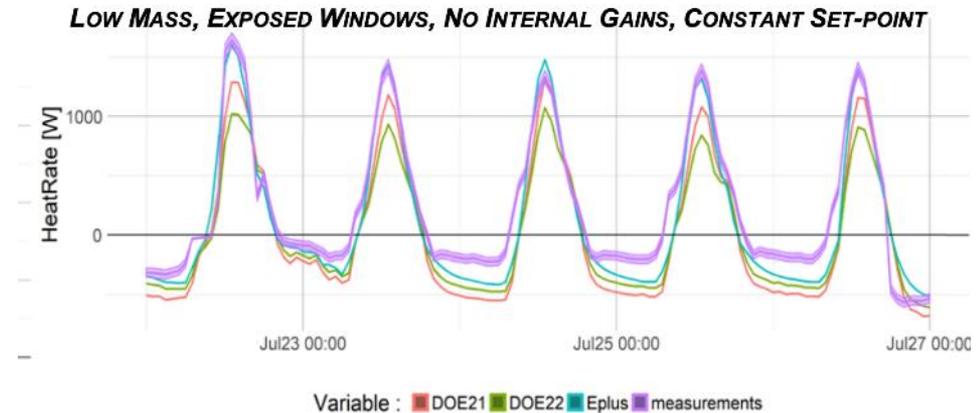
# Background

- What is unique about FLEXLAB?
  - Single zone, configurable as south facing exterior zone or as an interior zone
  - Control volume is the room (excluded air handler, ducts etc)
  - Well instrumented hydronic heating and cooling system
- What calculations are we trying to validate?
  - Sensible heating and cooling load

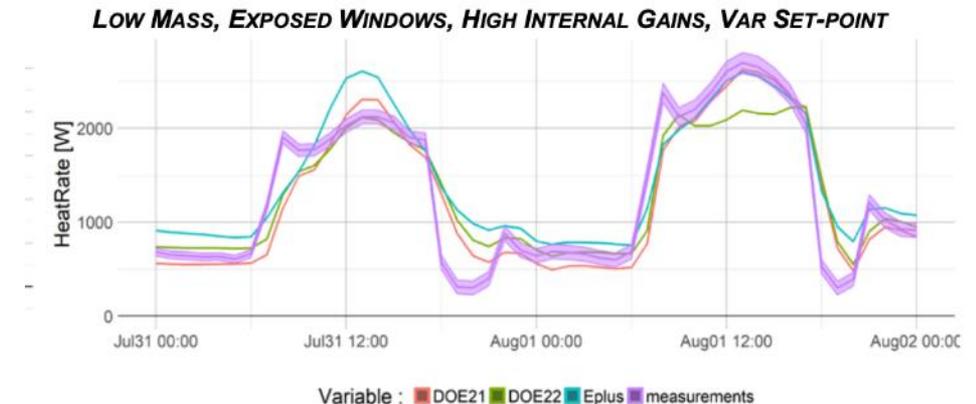


# Previous activities

- Previous experiments (FY16-18)
  - 15 different experiments
    - High and low thermal mass
    - Covered and exposed windows
    - Constant setpoint and setbacks
    - Variable internal gains
    - Floating co-heating experiment
- Southern California Edison Project
  - Compared measurements to DOE-2 and EnergyPlus
  - Some disagreement between measurements and simulations were noted



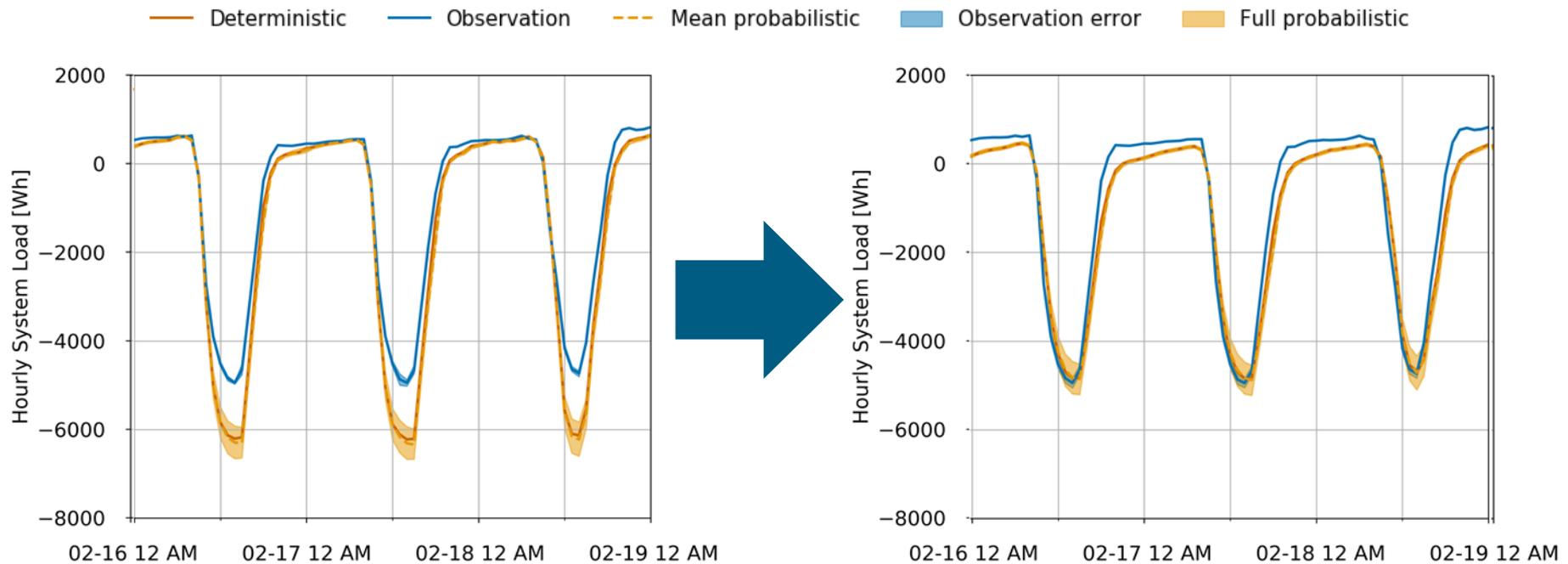
*FY18: nighttime mismatch*



*FY18: Setback mismatch*

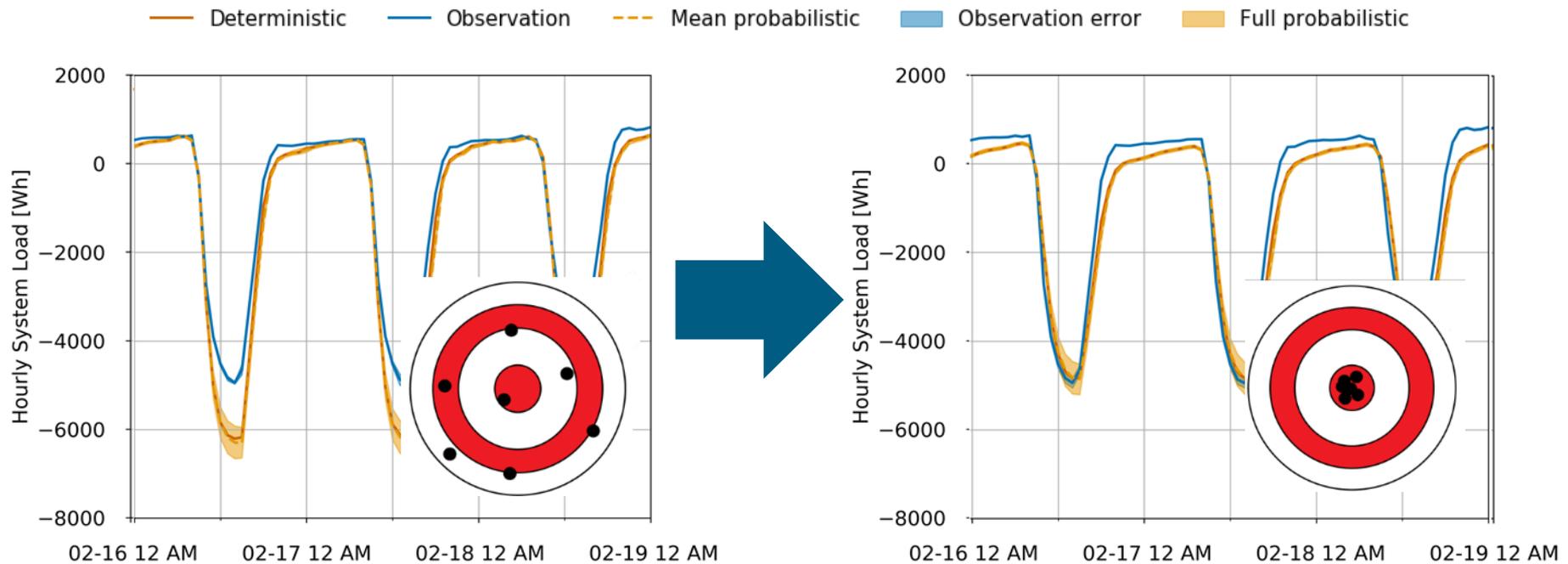
# Model/Uncertainty Framework Feedback

- Uncertainty added to 200+ model inputs
- Improved description of experiments
- Measured specific heat of drywall and slab
- Changed interior surface coefficients from “Ceiling Diffuser” to TARP
- Starting simplified heat transfer experiments



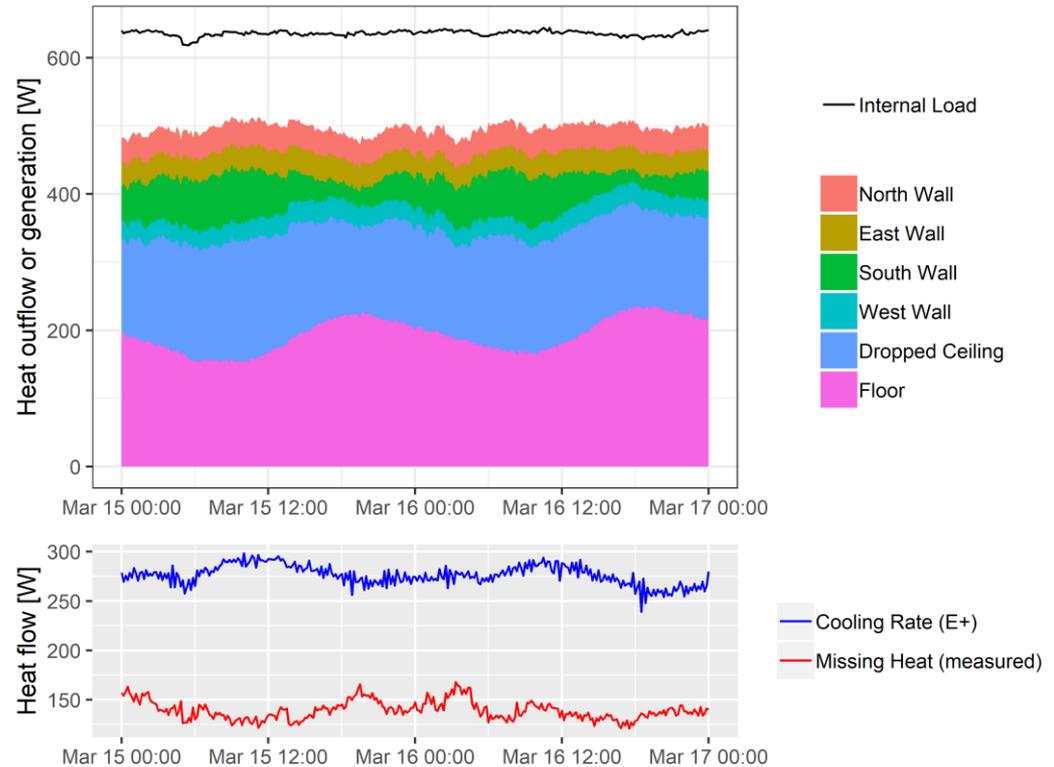
# Model/Uncertainty Framework Feedback

- Uncertainty added to 200+ model inputs
- Improved description of experiments
- Measured specific heat of drywall and slab
- Changed interior surface coefficients from “Ceiling Diffuser” to TARP
- Starting simplified heat transfer experiments



# FY19 activities to date

- Established metrics to quantify consistency of the measurements, starting with zone heat balance
- Installed additional heat flux sensors
- Ran 54 days of experiments
- Determined that slab edge effects prevented us from getting sufficient closure on zone heat balance

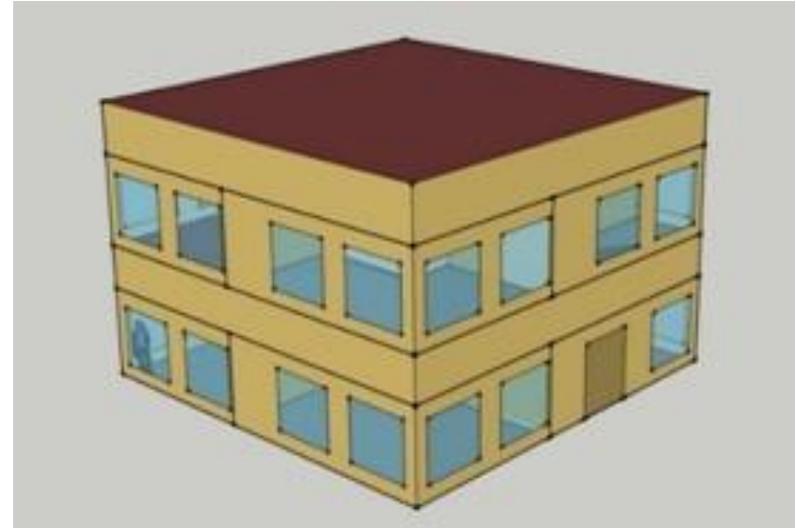




# Current and future activities

- Current experiments
  - Electrical co-heating experiment (constant, accurately determined heat load)
- Implementation of next experiments
  - Decouple from slab and ground, esp. perimeter
    - Low mass cases: add 4" of Polyiso on top
    - High-mass cases: add thermal mass above new insulation
  - Exposed and covered windows
  - Accurate cooling load determination: water side heat balance on "co-cooling" source in the space
- Demonstrate heat balance with blocked and exposed windows and high and low mass decoupled from slab

# Multizone HVAC System Validation using ORNL's Flexible Research Platform



Oak Ridge National Laboratory - Piljae Im [imp1@ornl.gov](mailto:imp1@ornl.gov)

Joshua New  
Yeonjin Bae

Jaewan Joe  
Anthony Gehl

# Validation of Multizone HVAC System Model

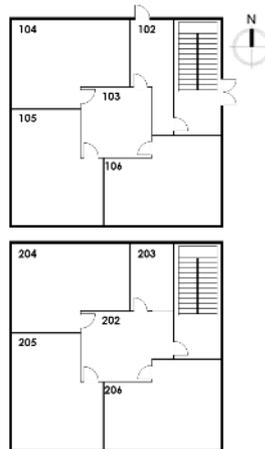
- **Purpose**

- Create an empirical validation datasets from a multizone small office building, which has limited input uncertainty compared to real buildings.

- **Test Facility: Flexible Research Platform (FRP)**

- Multi-zone building with VAV system setup resembling small/medium offices
- Limited uncertainty with
  - Occupancy emulation
  - Onsite weather station
  - More than 500 sensors for HVAC, envelope, and thermal zones.

Flexible Research Platform (FRP)



Multi-zone HVAC  
- RTU with VAV Reheating



# Previous Activities

- **FY16-FY18**

- Development of Multiyear Test Plan per SSPC140 feedback
- 2 Cooling season tests & 2 Heating season tests
- Model input specification & Detailed EnergyPlus models
- Data sets:
  - Test data sets: 1 min and 60 min resolution per test
  - Weather data: 1min resolution
- Draft SSPC140 Submission Package

- **Issues/Challenges**

- EPlus model uses a generic RTU model, which cannot characterize the real system performance
- There was no zone level air flow rate measurement, and only total RTU air flow has been measured.

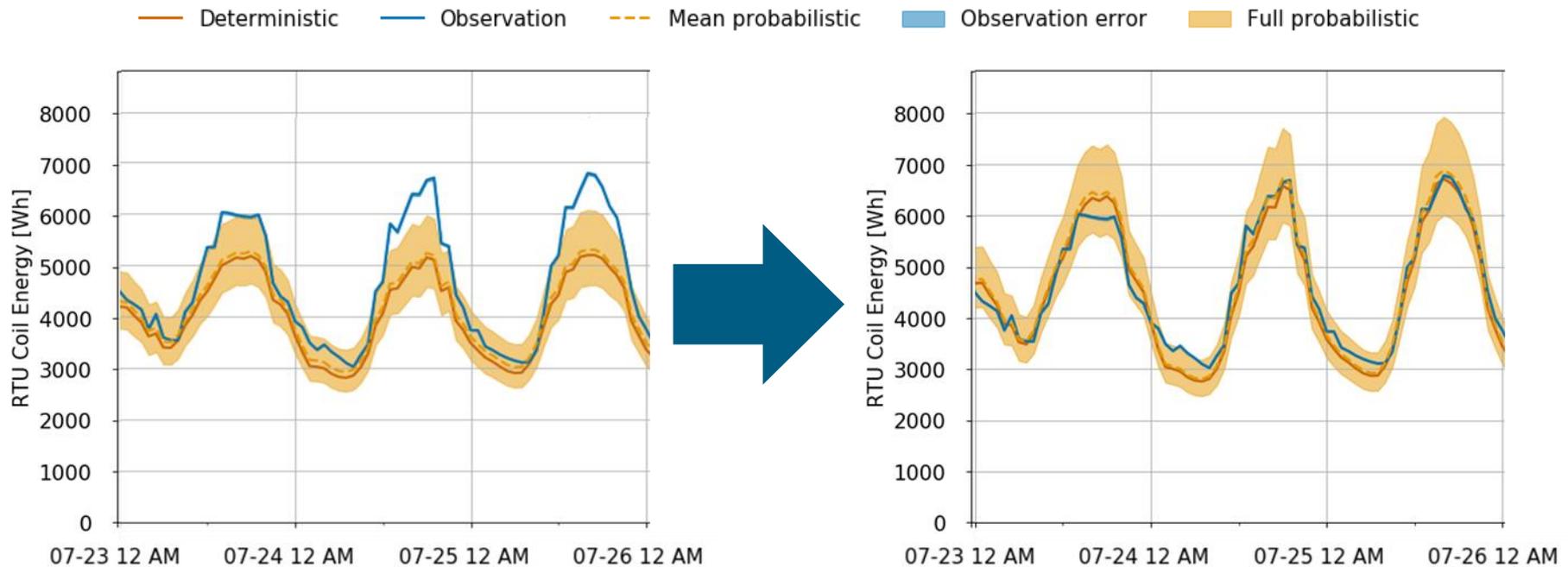


Blower door test results cannot verify zonal infiltration rates in different outdoor conditions.

**Needed to be addressed in FY19**

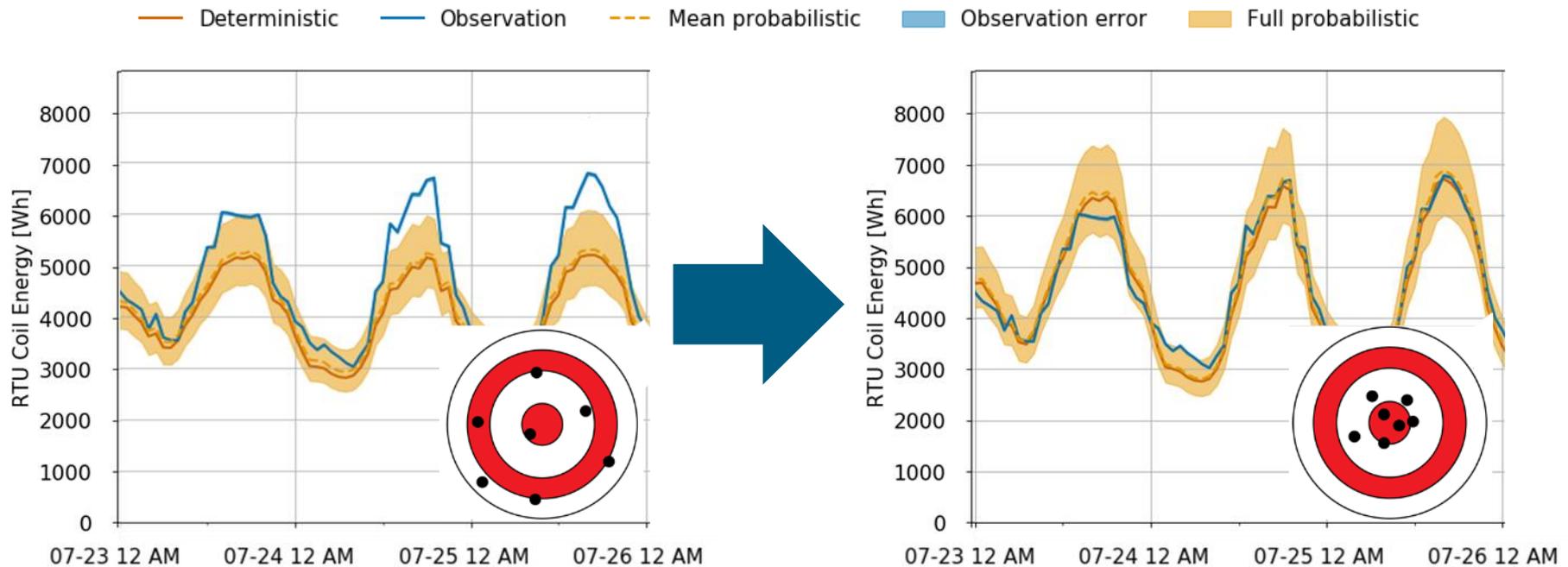
# Model/Uncertainty Feedback

- Uncertainty in 120+ model inputs
- Measured HVAC performance maps
- Measured duct leakage
- Measured infiltration (to be updated in Q3)



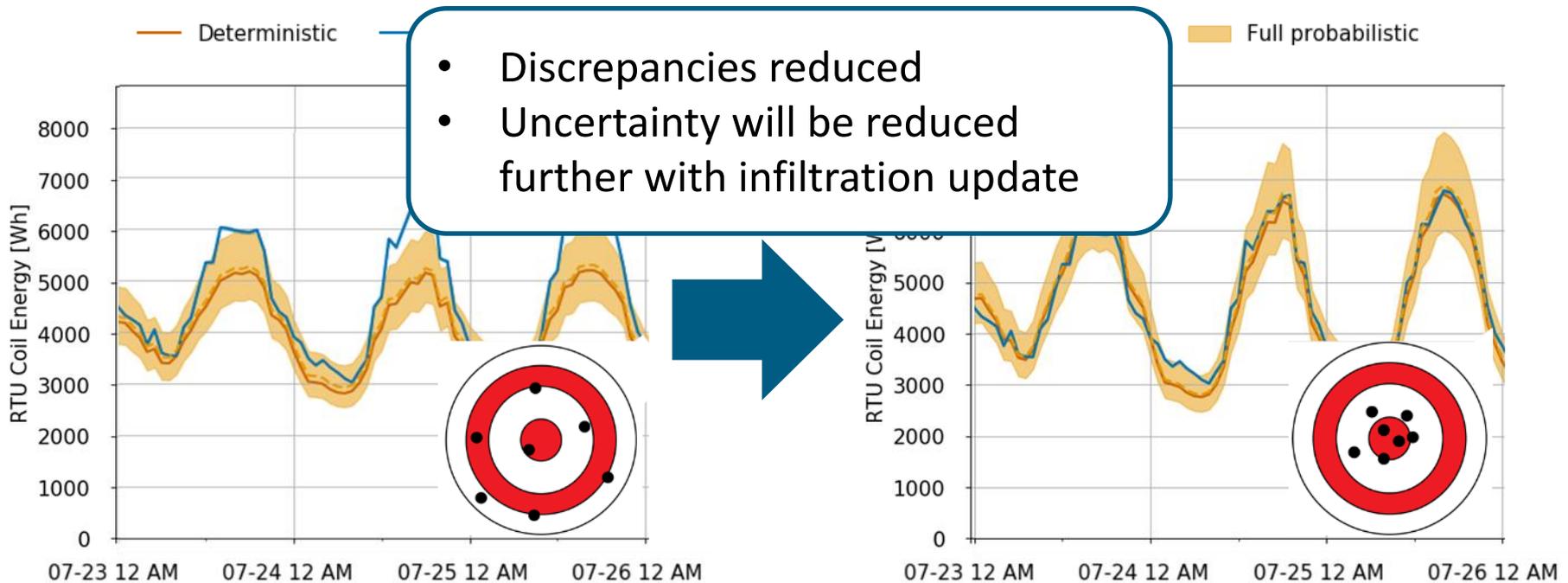
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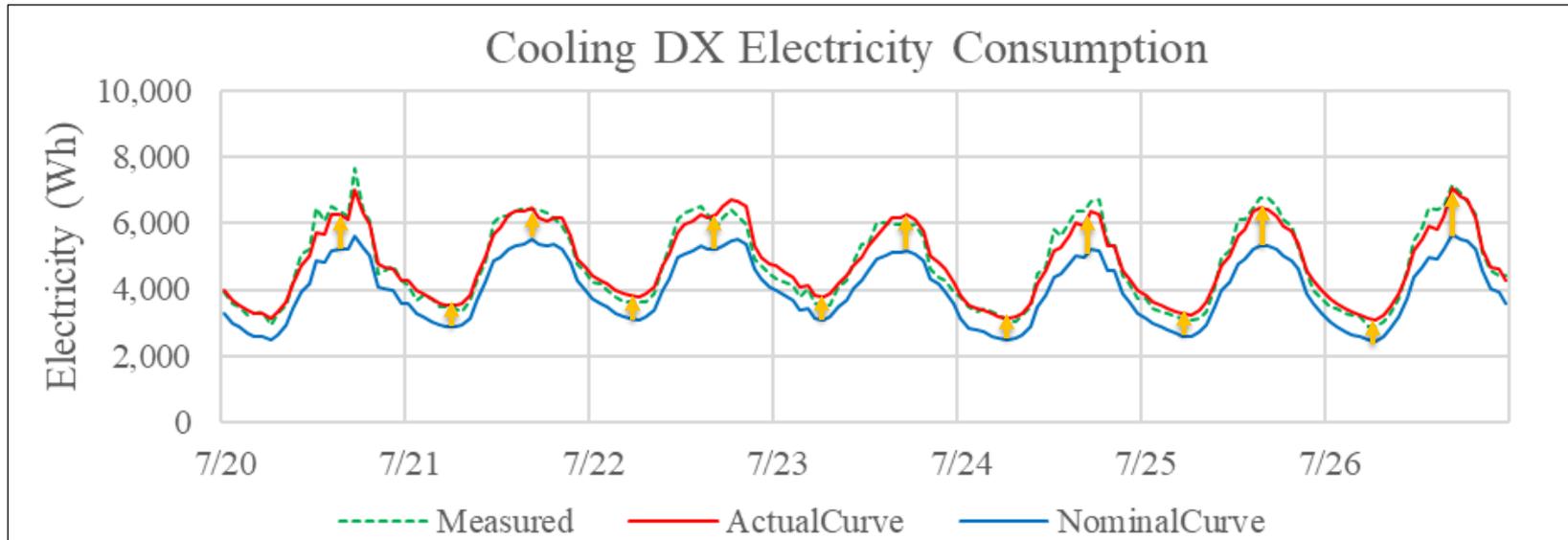
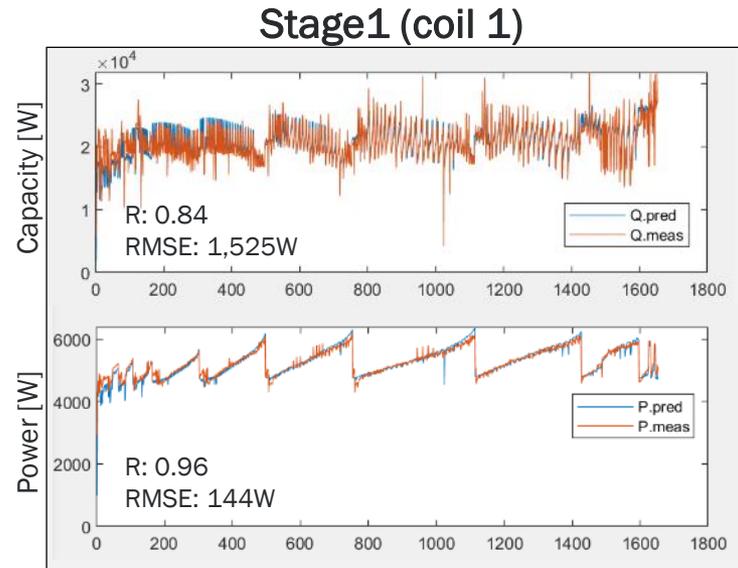
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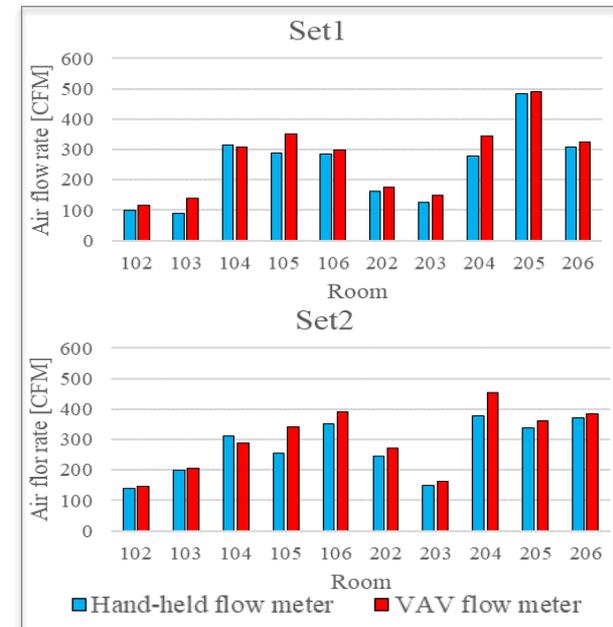
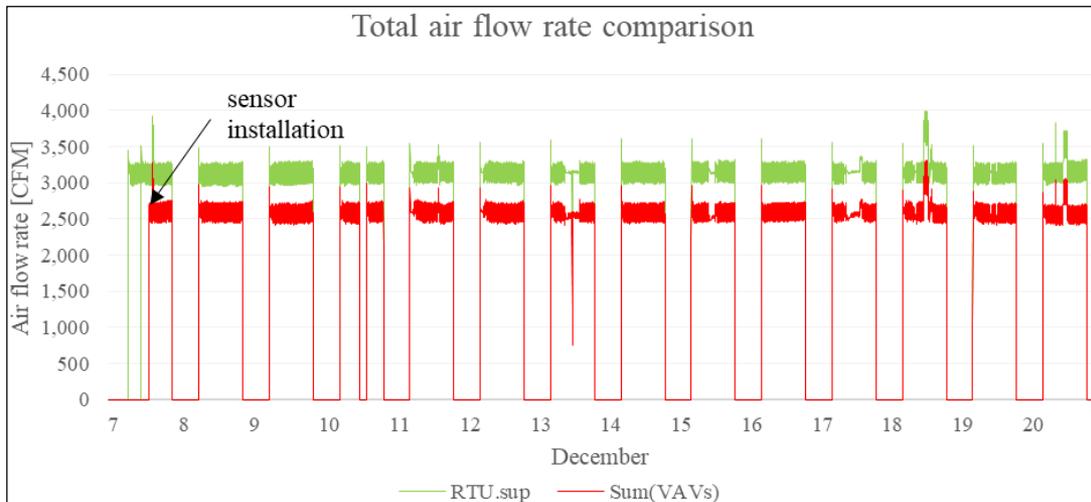
# FY19 Progress – RTU performance curve

- Issue – initial model used a generic RTU model, which cannot characterize the real system
- A real RTU performance curve was generated based on historical data.
- Demonstration in EnergyPlus
  - Improved NMBE & CV(RMSE)
    - 16.3% & 17.8% → -0.3% & 5.23%



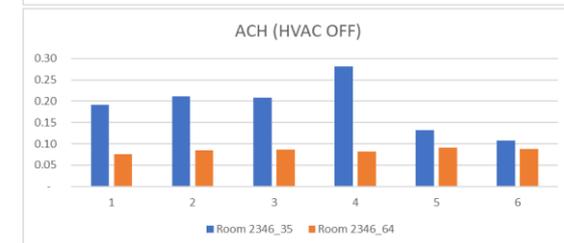
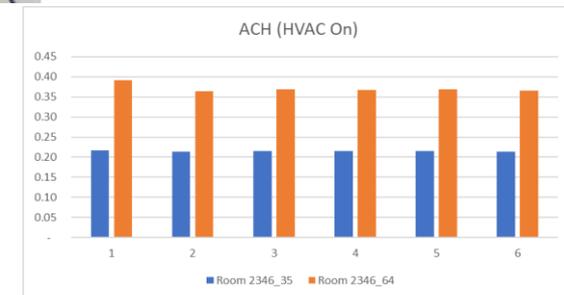
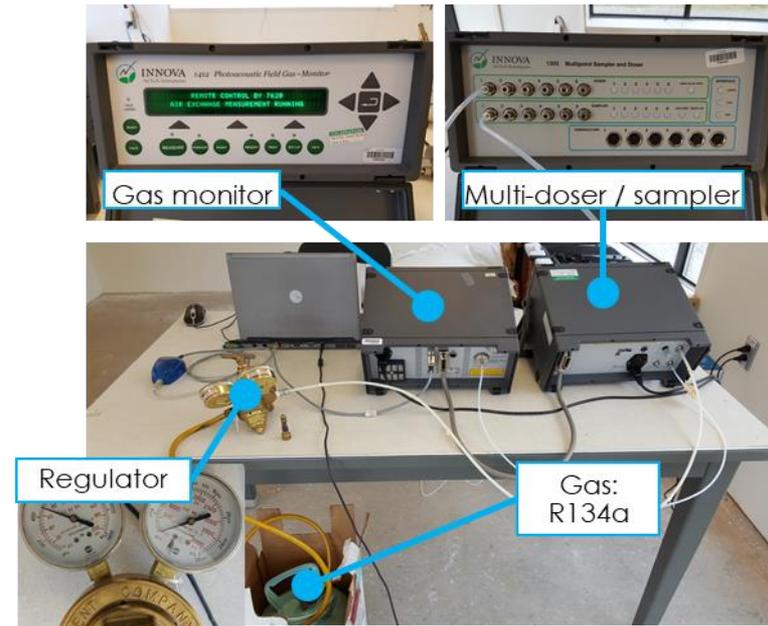
# FY19 Progress – VAV airflow measurement

- Issue -- no zone level air flow rate measurement, and only total RTU air flow has been measured.
- New VAV airflow meters were installed
  - Total RTU air flow measurement  $\geq$  Sum of VAVs air flow measurements
  - Upstream duct leakage identified
  - Duct leakage integrated into EnergyPlus model



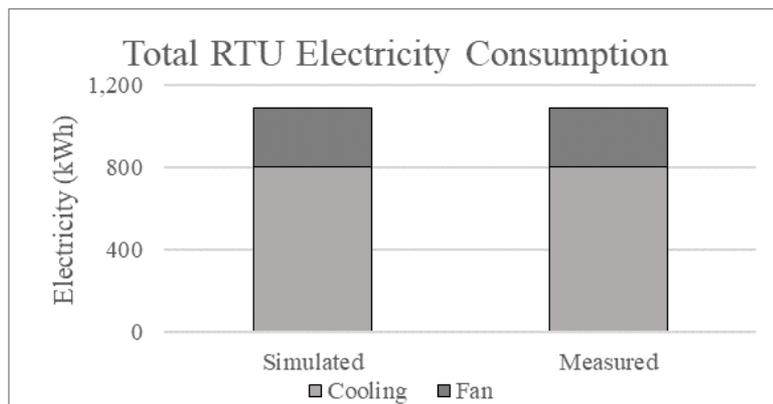
# FY19 Progress – Improving infiltration model

- Issue – blower door tests cannot verify zonal infiltration rates under different outdoor conditions
- Tracer gas tests performed using multi-point doser & sampler
  - Measured gas concentration in multi-zones
  - HVAC On and Off modes
  - Estimate the infiltration rate per ASTM standard (E741)
- Preliminary results
  - Different ACH (Air Change/Hour): On > Off
  - Homogeneous ACH at On for each room and time
  - In EnergyPlus model, higher deviation in larger temperature difference

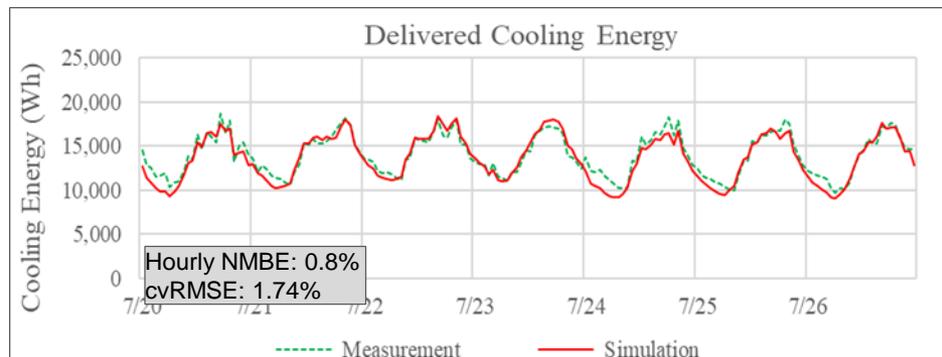
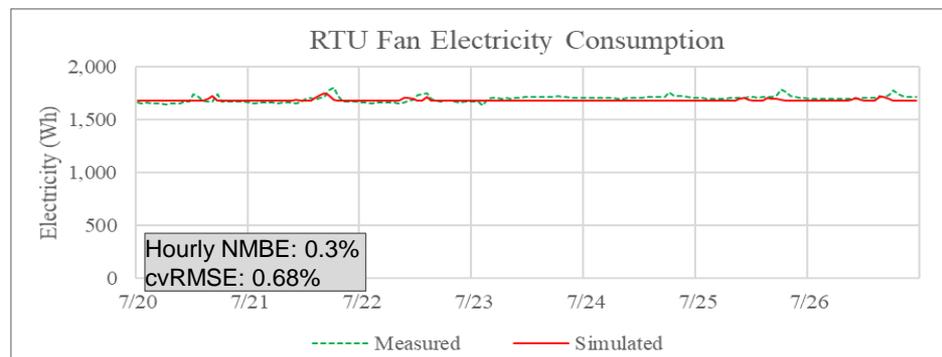
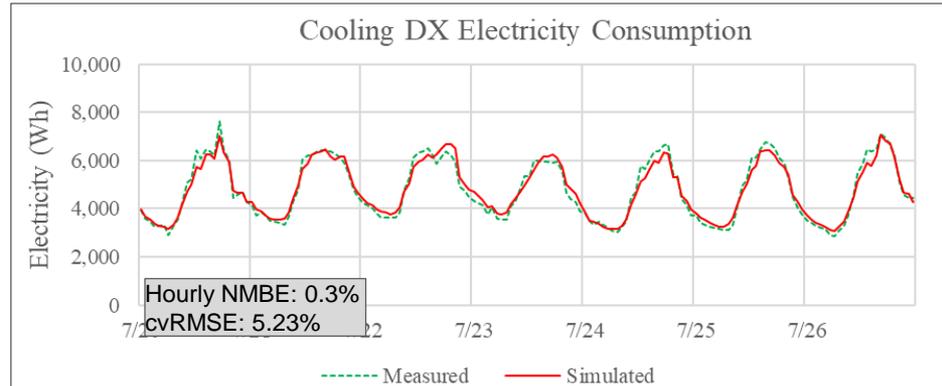


# FY19 Progress – Sample result

- Test 1: Cooling Baseline
  - No occupancy emulation
  - All internal lights are turned off; no internal loads
  - Fixed discharge temperature of 55°F and no Outdoor air ventilation or exhaust air
  - No humidity control and no heating (including no reheating)
  - Fixed zone set point temp of 72°F



*Less than 1% difference*



# Current & Future work in FY19

---

- Improving Infiltration model
  - Tracer gas tests for various outdoor conditions to generalize infiltration model
  - Blower door tests
- Additional cooling/heating season tests and model validation
  - Heating season tests were completed in Dec/Jan 2019
- Update duct leakage model
  - Up & down stream leakage
- Update model input specification document
- Work with ANL for uncertainty quantification
- Finalize the SSPC140 submission with data sets

# NREL Indoor-Outdoor Modular Test Building



**National Renewable Energy Lab - Ron Judkoff <[ron.judkoff@nrel.gov](mailto:ron.judkoff@nrel.gov)>**

# Indoor/Outdoor Modular Apartment

Indoor/outdoor 380 ft<sup>2</sup> modular apartment test building allows key thermal input parameters to be directly measured via calorimetry and other test methods not possible outdoors. After completion of the indoor tests the building was moved outdoors (3/9/19) to create data sets against which simulation programs can be tested.

## Input Determination Tests: (indoor)

- $UA_0$
- Tracer gas infiltration
- Blower door
- Duct blaster
- Heat capacitance
- In-situ HVAC COP map

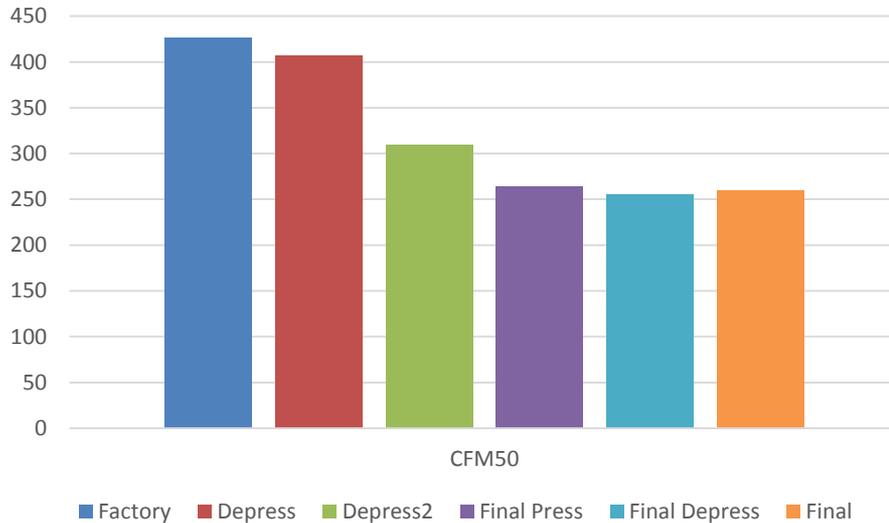


## Modeled vs Measured Tests: (outdoor)

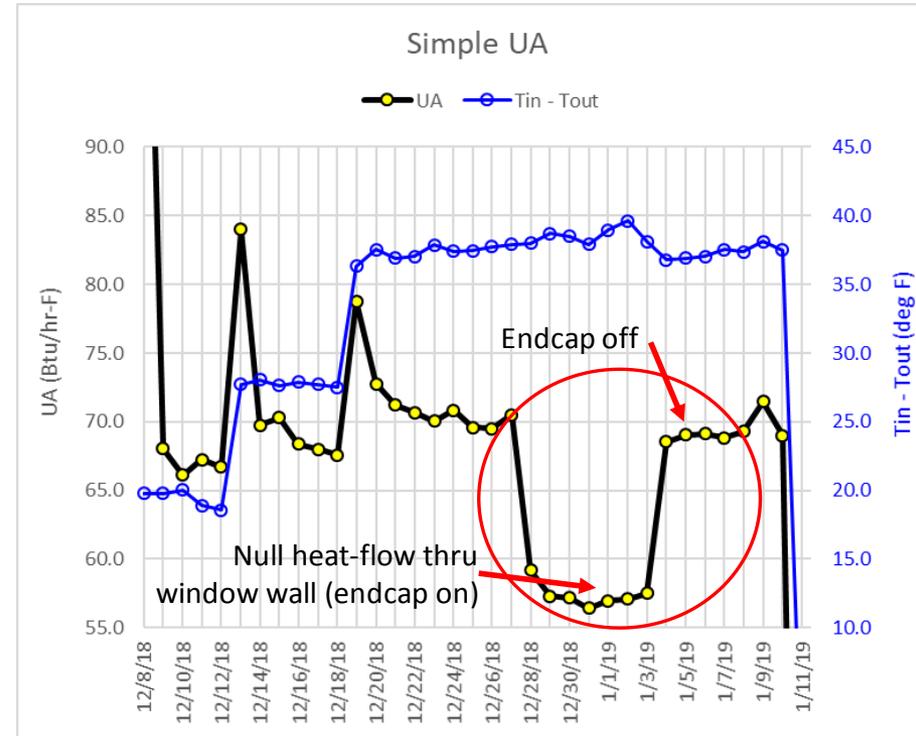
- Heating energy consumption at varying time intervals from sub hourly to > monthly
- Cooling energy consumption at varying time intervals from sub hourly to > monthly
- Temperatures
- Test the tests with TRNSYS and EnergyPlus

# FY19 Progress – Indoor Testing

NREL Indoor/Outdoor Test Building  
Blower Door Tests: Sequential Air Sealing



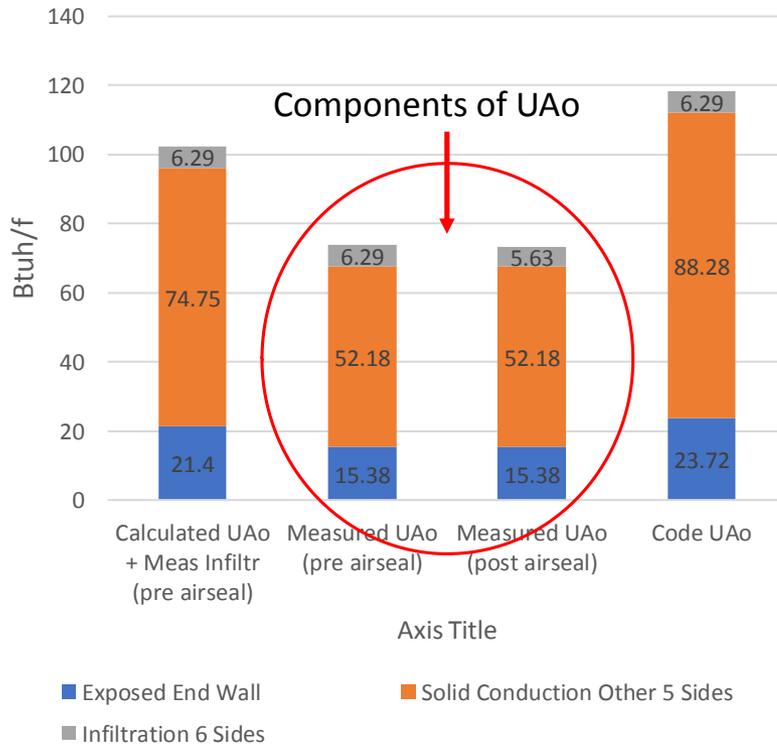
Series of blower door depressurization and pressurization tests to evaluate the air tightness of the building in CFM50



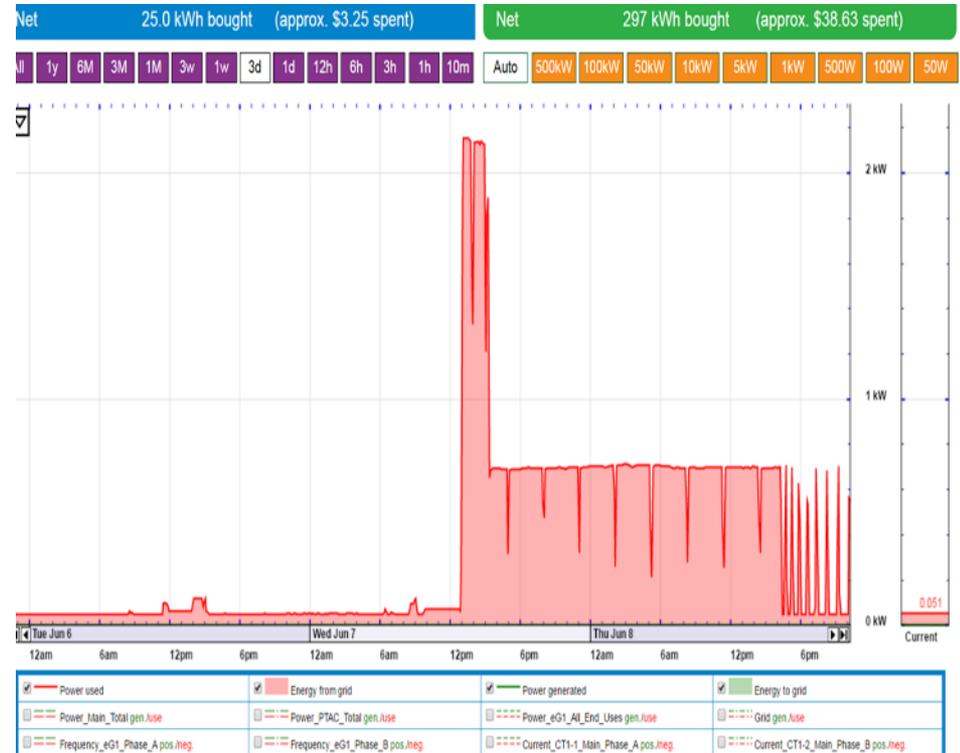
Overall heat transmission coefficient  $UA_0$  with and without endcap - isolates UA of window wall by attaching an insulated box

# FY19 Progress - Indoor Testing

## iUnit Calculated & Measured UAo



UA<sub>0</sub> – Conduction (window wall and other walls) and Infiltration



Heat Pump PTAC power use after correction of default on-board thermostat settings

# Stakeholder Engagement

## Technical Advisory Group

- Combination of scientists, engineers, developers from labs, academia, & industry
- Provided project guidance and feedback
- Met semi-annually 2016-2018

## ASHRAE SSPC 140

- Validation & Uncertainty (V&U) working group meets in person each ASHRAE meeting
  - Focus on steering V&U project and submissions to SSPC140 to help ensure project fits needs of ASHRAE 140
  - First meeting 1/14/19 in Atlanta
  - Second meeting planned for 6/24/19 in Kansas City
- Reports and discussions for 30 – 90 min at each SSPC140 meeting
- V&U Project and Submissions are planned to be topic of discussion in SSPC140 Stakeholder meetings planned for May and June 2019

# Remaining Project Work

## All

- Submit draft documentation of current tests to SSPC 140

## LBNL

- Further set of experiments designed to reduce uncertainties, as defined by metrics:
  - Insulate slab and add additional mass above new insulation
  - Install water-cooled device in space

## ORNL

- Improving infiltration model based on additional tracer gas tests
- Update model input specification document

## NREL

- Collect Outdoor Summer and Winter data sets from apartment module
- Assist ANL as liaison to SSPC-140

## ANL

- Iterate with LBNL and ORNL to understand and resolve model discrepancies
- Refine Validation Framework
- Take over role as formal liaison to SSPC-140

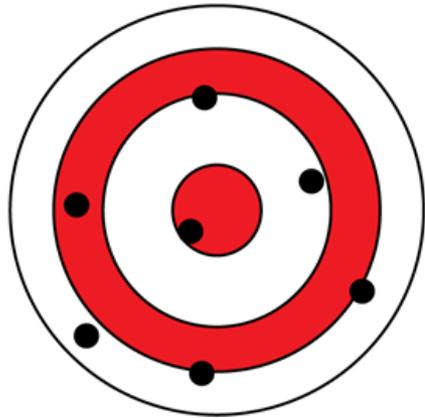
# Full Project Summary

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- Every year we have improved the quality of the experiments and characterization for modeling to the point we are confident that our most recent results will be approved by ASHRAE 140 as the basis of a new test suite by the January 2020 meeting.

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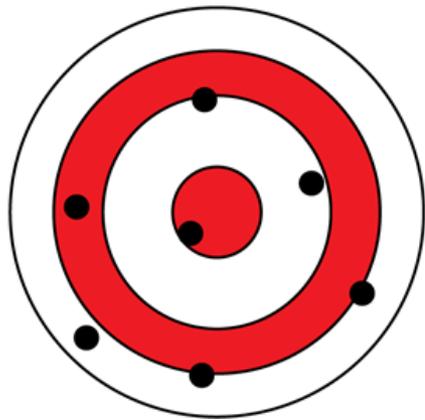


Year 2

*Will this  
work at all?*

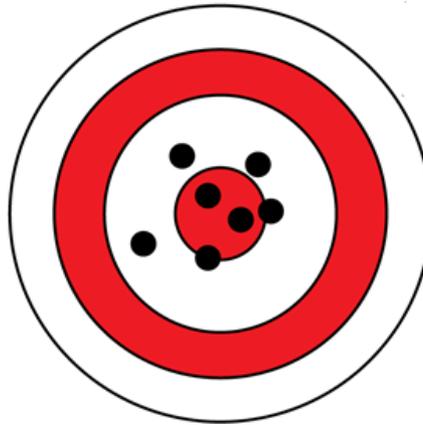
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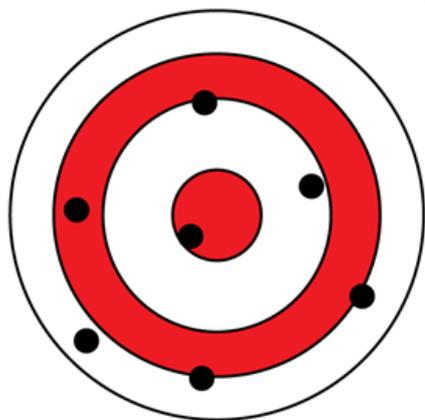


Year 3

*Is this good enough  
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# Full Project Summary

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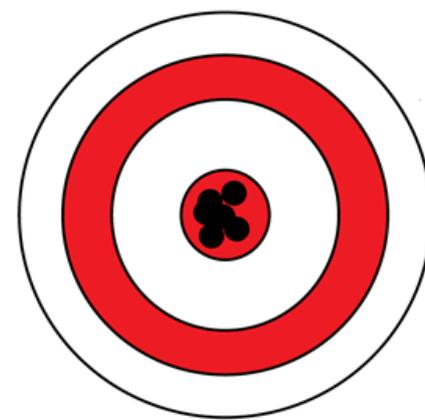
Year 2

*Will this  
work at all?*



Year 3

*Is this good enough  
for 140 yet?*



Year 4

*YES! It's 140  
Worthy!*

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# Thank You

Argonne, Lawrence Berkeley, National Renewable and Oak Ridge National Laboratories

Christian Kohler

[cjkohler@lbl.gov](mailto:cjkohler@lbl.gov)

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# REFERENCE SLIDES

# Project Budget

**Project Budget:** Three year multi-lab project, \$2,700k for first three years

**Variances:** Original three year project was extended with \$1,436k for FY19

**Cost to Date:** \$499k (ANL: \$40k, LBNL:\$254k, NREL: \$73K, ORNL:\$132K)

**Additional Funding:** Cost share in FY16-FY18 from Southern California Edison.

## Budget History

FY16– FY 2018 (past)		FY 2019 (current)		FY 2020 (planned)	
DOE	Cost-share	DOE	Cost-share	DOE	Cost-share
\$2,700k	\$260k	\$1,436k	—	—	—

# Project Plan and Schedule (FY16-FY18)

Project Schedule												
Project Start: Oct 1, 2016	Completed Work											
Projected End: Sep 30, 2018	Active Task (in progress work)											
	◆ Milestone/Deliverable (Originally Planned) use for missed											
	◆ Milestone/Deliverable (Actual) use when met on time											
	FY2016				FY2017				FY2018			
Task	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)
Draft project plan and experimental designs	Active	Milestone			Active	Milestone			Active	Milestone		
Completed Development of Validation Metric(s)					Milestone	Active	Active	Milestone				
First EnergyPlus validation with FRP data					Active	Active	Milestone					
First EnergyPlus validation with FLEXLAB data							Active	Active	Milestone			
Documented data for mixing ventilation (F/L)									Active	Active	Milestone	
Completed Heating/Cooling Tests & Analyses(FRP)					Active	Active	Milestone	Active	Active	Milestone	Active	Milestone
Complete Validation of FLEXLAB and FRP Experiments									Active	Active	Active	Milestone
SEER 17 & IEER 23 mapped, data in TPEX, paper					Active	Active	Milestone	Active	Active	Milestone	Active	Milestone

# Project Plan and Schedule (FY19 - LBNL)

Project Schedule											
Project Start: 10/1/2018	Completed Work										
Projected End: 9/30/2019	Active Task (in progress work)										
	◆ Milestone/Deliverable (Originally Planned) <b>use for</b>										
	◆ Milestone/Deliverable (Actual) <b>use when met on time</b>										
	FY2019										
Task	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)							
<b>Past Work</b>											
Q1 Milestone: Present plan to TAG/140	◆										
<b>Current/Future Work</b>											
Q2 Milestone: Report of complete validation process (LBNL)		◆									
Q3 Milestone: Documented Measured data for mixing ventilation (LBNL)			◆								

# Project Plan and Schedule (FY19 – ANL)

Project Schedule												
Project Start: 10/1/2018	Completed Work											
Projected End: 9/30/2019	Active Task (in progress work)											
	◆ Milestone/Deliverable (Originally Planned) use for missed											
	◆ Milestone/Deliverable (Actual) use when met on time											
	FY2019											
Task	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)								
<b>Past Work</b>												
Submit Flexlab Analysis report to SSPC140	◆											
Submit FRP Analysis report to SSPC 140		◆										
<b>Current/Future Work</b>												
Submit Paper to Building Sim on PAE			◆									
Submit Journal Paper on PAE				◆								

# Project Plan and Schedule (FY19 – NREL)

Project Schedule												
Project Start: 10/1/2018	Completed Work											
Projected End: 9/30/2019	Active Task (in progress work)											
	◆ Milestone/Deliverable (Originally Planned) use for missed											
	◆ Milestone/Deliverable (Actual) use when met on time											
	FY2019											
Task	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)								
<b>Past Work</b>												
Complete prep for final indoor tests	◆											
Complete indoor testing move unit outside		◆										
<b>Current/Future Work</b>												
Collect outdoor data for mixed & warm weather			◆									
Collect outdoor data for hot weather				◆								
Collect outdoor data for cold weather					◆							

# Project Plan and Schedule (FY19 – ORNL)

Project Schedule												
Project Start: 10/1/2018	Completed Work											
Projected End: 9/30/2019	Active Task (in progress work)											
	 Milestone/Deliverable (Originally Planned) <b>use for missed</b>											
	 Milestone/Deliverable (Actual) <b>use when met on time</b>											
	FY2019											
Task	Q1 (Oct-Dec)	Q2 (Jan-Mar)	Q3 (Apr-Jun)	Q4 (Jul-Sep)								
<b>Past Work</b>												
Installation of airflow stations for 10 VAV boxes and verify the zonal air flow												
Creation of RTU performance curve from field test data												
<b>Current/Future Work</b>												
Update model input specifications and cooling/heating test results												
Infiltration test - Tracer gas test and/or blower door test												
Final Package to SSPC140												