

DOE Bioenergy Technologies Office (BETO) 2019 Project Peer Review

System-wide Throughput Analysis WBS 2.2.1.601-605

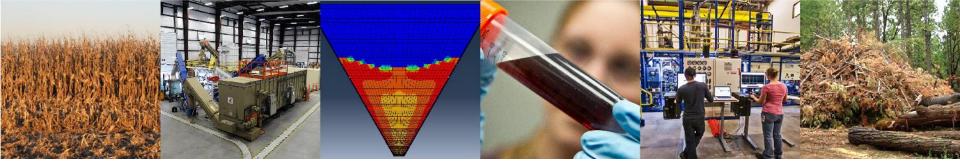
March 7, 2019

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This presentation does not contain any proprietary, confidential, or otherwise restricted information



Goal of the Consortium

Identify and address the impacts of feedstock variability – chemical, physical, and mechanical – on biomass preprocessing and conversion equipment and system performance, to move towards 90% operational reliability.



3

Goal Statement

PROJECT GOALS

- Develop an operational reliability metric that goes beyond classical time-driven reliability and utilization approaches to include feedstock property-driven impacts
- Integrate disparate modeling approaches to model operational reliability and utilize FCIC experimental data to provide modeled baseline benchmarks for two preprocessing-conversion systems

OUTCOMES

- Relevant reliability metrics that capture the impacts of feedstock quality on system productivity and yield
- Modeled baseline benchmarks to understand where we are starting and to track R&D progress toward BETO targets

RELEVANCE TO THE BIOENERGY INDUSTRY

 Recent evidence indicates that IBR development and operation have suffered from failing to account for the complexity and variability of lignocellulosic biomass and their impacts to reliably achieving design capacity and yield, and hence productivity





Quad Chart Overview



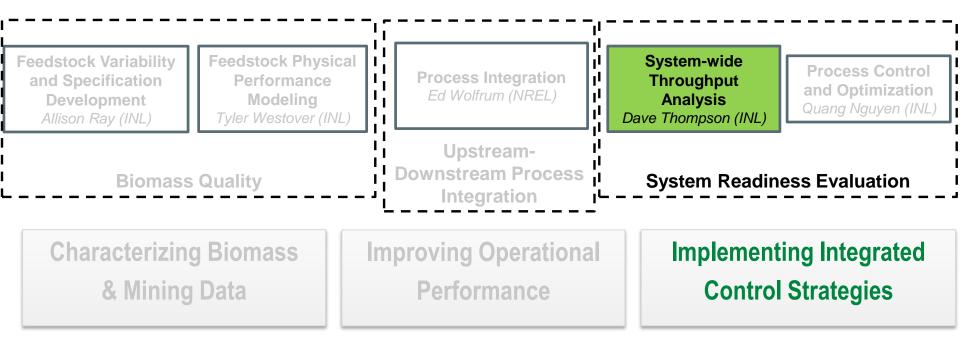
| Timeline Project Start Date: Nov. 2017 Project End Date : Dec. 2018 (anticipated) Percent Complete: 100% | | | | | Barriers addressed Ct-J. Process Integration Ft-I. Overall Integration and Scale-Up Ft-J. Operational Reliability It-C. Technical Risk of Scaling | |
|---|---------------------------------|----------------|-------------|--|---|--|
| | Total Costs Pre FY17** | FY 17 Costs | FY 18 Costs | Total Planned Funding (FY 19- Project End Date) | Objective Develop a unique, dynamic, integrated system- wide approach to model operational reliability, which is a new metric for which no current | |
| DOE Funded | N.A. | \$0 | \$658.2K | \$0 | modeling methodology exists FY18 Project Goals | |
| Project Cost Share* • Partner | | N.A. | N.A. | N.A. | Establish and coordinate the FCIC R&D activities so that the baseline activities and materials generated can be metricized and used as the benchmark to compare and establish future R&D performance objectives | |
| Partners on this project include INL (57.0%), ANL (6.8%), | | | | | Determine modeled baseline reliabilities for two | |

NREL (12.0%), ORNL (15.6%), and PNNL (8.5%)

Determine modeled baseline reliabilities for two process designs and their respective distributions of feedstock properties, over a year of simulated operation

1 – Project Overview







1 – Project Overview (continued)



HISTORY/CONTEXT

- Discrete event simulation (DES) for supply logistics based on IBSAL models
- DES for preprocessing & feeding based on FY17 Go/No-go (WBS 1.1.1.2)
 - 1.1.1.2 simulation results were consistent with the learnings of the DOE Tiger team
- Process simulations
 - Low-temperature conversion based on 2011 ethanol design report
 - High-temperature conversion based on 2013 uncatalyzed fast pyrolysis design report

OBJECTIVES

- Define operational reliability metric that captures system-wide feedstock variability impacts
- Model the operational reliability of two systems, one low-temperature (LT) and one high-temperature (HT) and develop operational reliability baseline benchmarks

CREATIVE ADVANTAGE

- Classical reliability and utilization are both based on operating time, and lack a connection to actual production achieved...which is tied to economic performance
- Current modeling methodologies cannot simultaneously capture feedstock
 variability impacts to dynamic throughput and yield
- Our models can be used to estimate the impact of feedstock property changes and system changes using What-if Analysis

2 – Approach (Management)



MANAGEMENT APPROACH

- Data collection and alignment through FCIC R&D projects, peer-reviewed literature & prior BETO-funded R&D results
- Engage FCIC Industry Advisory Board to clarify differences in large-scale impacts vs. National Lab process development unit (PDU) impacts (e.g., down times resulting from failures)
- Collaborate across the FCIC projects to include all data and results
- Bi-weekly conference calls with BETO
- Bi-weekly team coordination calls (INL, ANL, NREL, ORNL, PNNL)
- Three Quarterly Progress Milestones
- One Annual (SMART) milestone for high-impact deliverables and outcomes

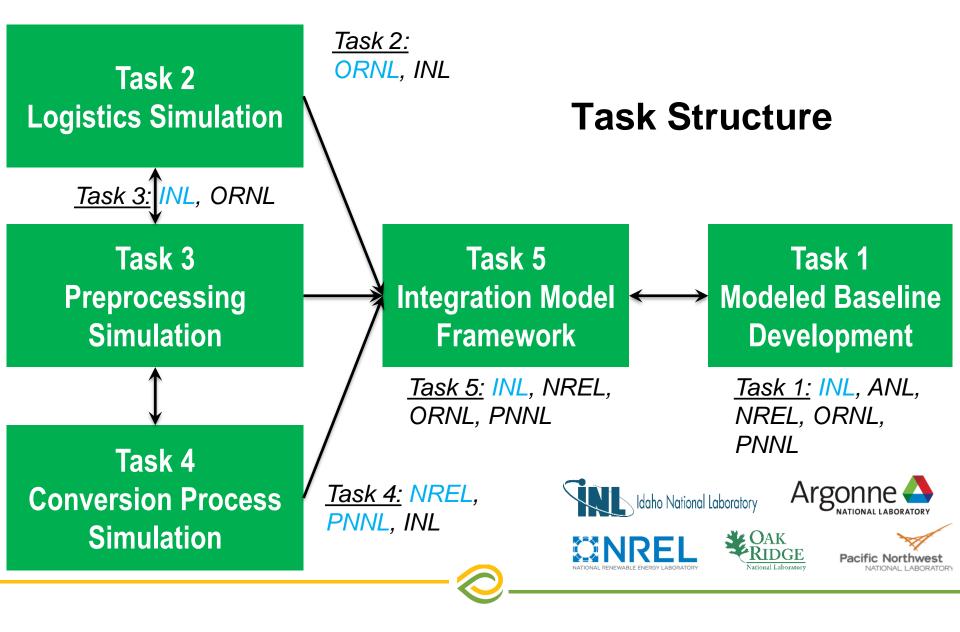
TEAM MEMBERS AND FOCUS

- Logistics DES: Erin Webb (ORNL), Damon Hartley (INL)
- <u>Preprocessing and Conversion DES:</u> Damon Hartley (INL)
- <u>LT Process Simulation:</u> Mary Biddy, Ryan Davis, Andrew Bartling (NREL)
- <u>HT Process Simulation</u>: Sue Jones, Steve Philips (PNNL), Abhijit Dutta (NREL)
- LCA/Sustainability: Michael Wang, Hao Cai, P. Thatiana Benevides (ANL)



2 – Approach (Management)



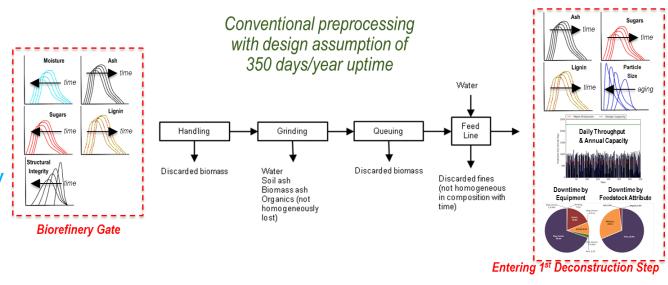


2 – Approach (Technical)



GENERAL APPROACH – DISCRETE EVENT SIMULATION (DES)

- Baselines developed from dynamic TEA models that utilized DES of complete PDU systems (not individual equipment)
- DES based on frequency and type of PDU operator interventions during experimental baselining



- The preprocessing and conversion PDUs were modeled individually due to lack of peer-reviewed scaling information for PDU to pioneer biorefinery scale (hence, did not estimate scaled biorefinery costs)
- Based on available data from experimental baselining in FY18, modeled integrated-PDU annual throughput, yield and operational reliability only for the LT PDU system (throughput only for HT PDU system)

2 – Approach (Technical) (continued)



- Daily and Annual Feedstock Throughput into Deconstruction
 - T_i = Daily throughput of biomass into the first deconstruction step on day *i* (dry tons)
 - T_N = Annual biomass feedstock capacity of the biorefinery (dry tons)
- Daily and Annual Conversion Performance
 - $Y_{i,90\%}$ = Daily yield of biofuel/ton of feedstock on day *i* (gal/ton) at design throughput
 - $Y_{N,90\%}$ = Annual biofuel yield/ton of feedstock of the biorefinery (gal/ton) at design throughput
- Daily and Annual Biofuel Yield
 - $Y_i =$ Daily yield of biofuel on day *i* (gallons)
 - Y_N = Annual biofuel capacity of the biorefinery operation (gallons)
- Daily and Annual Operational Cost
 - $C_{i,OPEX}$ = Daily operating cost of the biorefinery on day *i* (\$)
 - $C_{N,OPEX}$ = Annual operating cost of the biorefinery (\$)
- Profitability (i.e., net revenue)
 - P_N = Net annual profit from producing the biofuel over the year (dollars)
 - FSP_{ave} = Average biofuel selling price over the year (dollars/gallon)

$$T_{N} = \sum_{i=1}^{N} T_{i} \qquad Y_{N,90\%} = \sum_{i=1}^{N} Y_{i,90\%} \qquad Y_{N} = \sum_{i=1}^{N} Y_{i} \qquad C_{N,OPEX} = \sum_{i=1}^{N} C_{i,OPEX}$$
$$P_{N} = FSP_{ave} \times Y_{N} - C_{N,OPEX} - C_{D,CAPEX}$$



SYSTEM-WIDE TECHNICAL AND ECONOMIC METRICS

| METRIC | DEFINITION |
|--|--------------------------------|
| Annual Throughput Capacity Factor | $F_f = \frac{T_N}{T_D}$ |
| Annual Biofuel Performance Factor | $F_B = \frac{Y_{N,90\%}}{Y_D}$ |
| Operational Reliability | $R_{sys} = F_f \times F_B$ |
| Annual Biorefinery Profitability Factor | $F_P = \frac{P_N}{P_D}$ |

- <u>Throughput factor</u> captures the impacts of physical and mechanical feedstock attributes on feedstock throughput capacity utilization
- <u>Biofuel performance factor</u> captures the impacts of compositional and structural feedstock attributes on average conversion performance
- <u>Operational reliability</u> captures the net overall impact of feedstock attributes on biofuel productivity
- Profitability factor captures the composite impacts of feedstock attributes on net costs

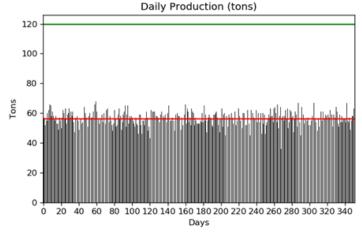
2 – Approach (Technical) (continued)



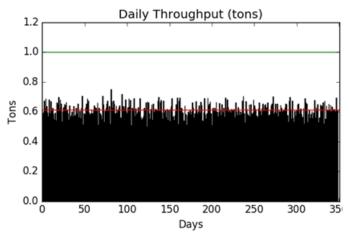
• DES Models

- Modeled individual PDUs at the system level
 - INL Preprocessing PDU (2 models)
 - NREL pretreatment PDU
 - NREL pyrolysis PDU
- Utilized frequency of operator interventions and operator-supplied time off-stream provided by PDU teams
- Model outputs
 - <u>Preprocessing</u> Dynamic and cumulative annual throughput, particle size, moisture, ash and convertible organics entering conversion
 - <u>Pretreatment</u> Dynamic and cumulative annual pretreatment throughput
 - <u>Pyrolysis</u> Dynamic and cumulative annual pyrolysis throughput





LT Conversion DES



2 – Approach (Technical) (continued)



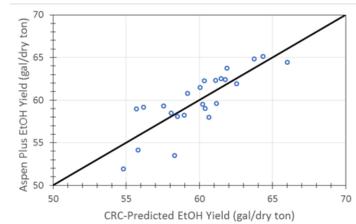
Conversion Response Correlations

- Existing process models
 - 2011 ethanol design report
 - 2013 uncatalyzed fast pyrolysis design report
- Modified to allow variable input compositions and yields
- Compositional and yield data from the experimental PDU baselining runs
- Model outputs
 - Predicted dynamic biofuel yields as functions of feedstock property inputs

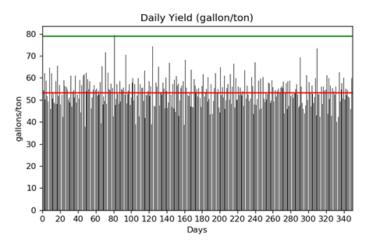
Integrated DES & CRC

- Model outputs
 - Dynamic and cumulative annual biofuel production

LT CRC

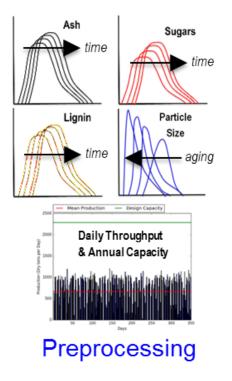


LT: Coupled DES/CRC



2 – Approach (Technical)







Conversion

TOP 3 TECHNICAL CHALLENGES

- Integrating disparate models and approaches for different parts of the system into credible dynamic system-wide reliability models
- Lack of prior R&D datasets purposely measured to determine operational impacts
- Lack of understanding of how to scale PDU data up to commercial-scale models

CRITICAL SUCCESS FACTORS

- <u>Technical</u>
 - Vetted datasets across representative ranges of feedstock attributes
 - Inclusion of biorefining experts' and operators' inputs regarding impacts, magnitudes and scaling
 - Fundamental understanding of mechanisms of impacts to equipment and/or subsystems
- <u>Business</u> Effective dissemination of results to industry

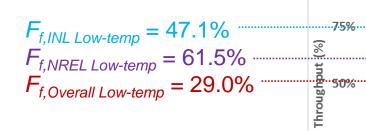




COMPARISON OF MODELED THROUGHPUT TO LOW-TEMP EXPERIMENTAL

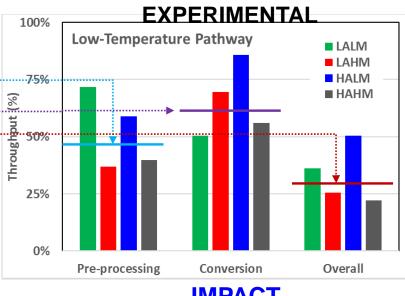
Modeled Throughput, 1 yr operation

Pre-processing: Conversion: Overall:



ACCOMPLISHMENT

- Integrated the available PDU and sampling data from the four lowtemperature experimental baselining runs into the two low-temperature DES models
- Simulated one year of operation for the distributions of feedstock moisture, ash and convertible organics used in the experimental baselining
- Successfully modeled operational reliability, evidenced by replicating the Low-temp experimental results



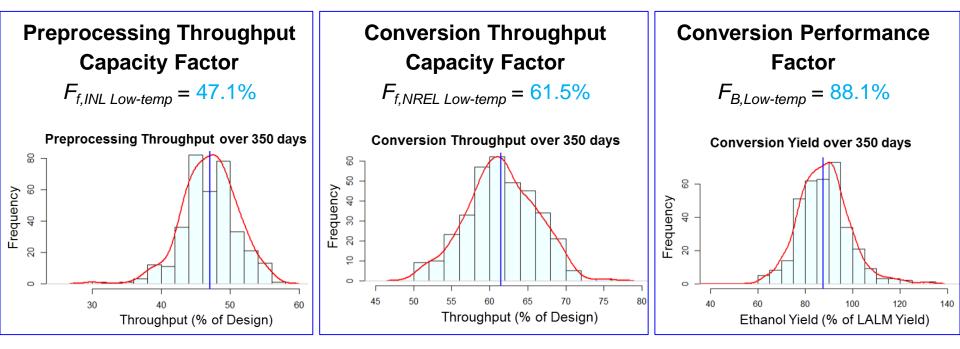
- IMPACT
- Modeled capacity utilization represents the expected performance over longterm operation given property distributions
- We now have a Low-temp modeling framework that can be updated and expanded to new technologies as needed to support the FCIC

3 – Technical Accomplishments/ Progress/Results



FY18 GOAL: LOW-TEMPERATURE MODELED BASELINE FOR FCIC

- Ties together Low-temp information/data from all other FCIC activities
- Provides a bridge to quantify the implications around economics/LCA metrics



- **Operational Reliability:** $R_{sys,Low-temp} = F_{f,INL \ Low-temp} \times F_{f,NREL \ Low-temp} \times F_{B,Low-temp} = 25.5\%$
 - **GHG Emissions:** Preprocessing = 15.462 kg CO₂e / dry ton feedstock

Scaled conversion = 1.935 kg CO₂e / gal ethanol



MODELED LOW-TEMPERATURE CONVERSION DOWNTIME

ACCOMPLISHMENT

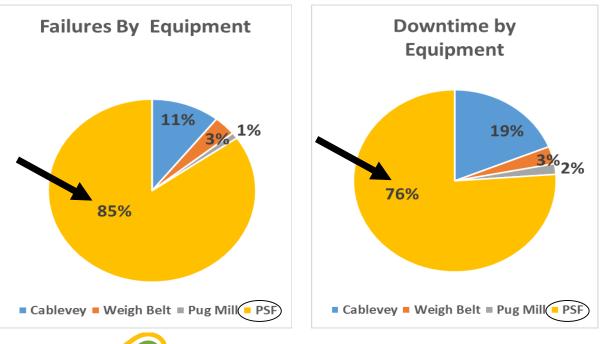
- Prediction of Low-temp equipment operational impacts over long-term
- Based on experimental baselining frequency of occurrence <u>and</u> on feedstock properties in the system

IMPACT

- Targets individual Lowtemp equipment as more or less impactful
- Provides sensitivity of operation to feedstock variation (informed by property distributions)

| | Failure Rate (occurrences/hr) | MTTF (min) | Down Time (min) |
|-------------------|-------------------------------|------------|-----------------|
| Cablevey | 0.14 | 422 | 20 |
| Weigh Belt | 0.05 | 1,277 | 10 |
| Pugmill | 0.01 | 4,286 | 40 |
| Plug Screw Feeder | 1.71 | 35 | 20 |

Modeled 350 days of operation





COMPARISON OF MODELED THROUGHPUT TO HIGH-TEMP EXPERIMENTAL

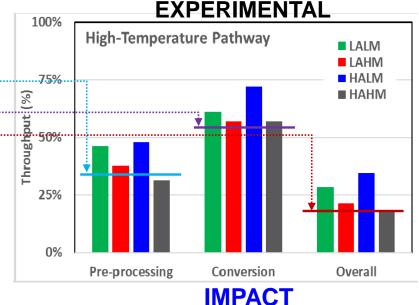
Modeled High-Temp Throughput

Pre-processing: Conversion: Overall:

$\frac{F_{f,INL High-temp}}{F_{f,NREL High-temp}} = 33.6\%$ $\frac{33.6\%}{5\%}$ $\frac{33.6\%}{5\%}$

ACCOMPLISHMENT

- Integrated the available PDU and sampling data from the four hightemperature experimental baselining runs into the two high-temperature DES models
- Simulated one year of operation for the distributions of feedstock moisture, ash and convertible organics used in the experimental baselining
- Successfully modeled operational reliability, evidenced by replicating the High-temp experimental results

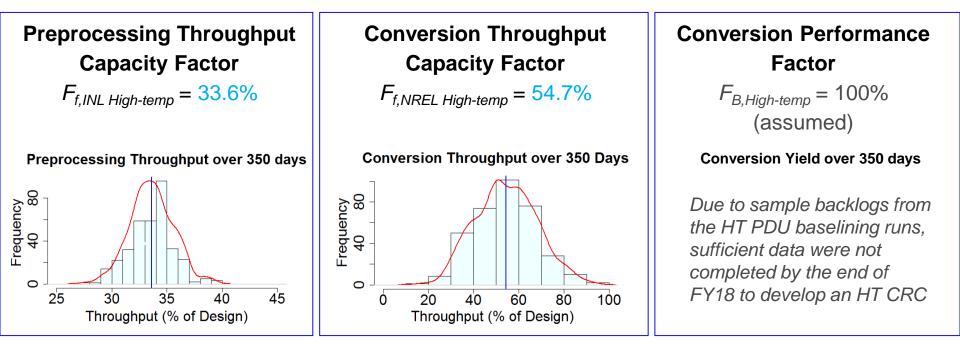


- Modeled capacity utilization represents the expected performance over longterm operation given property distributions
- We now have a High-temp modeling framework that can be updated and expanded to new technologies as needed to support the FCIC



FY18 GOAL: HIGH-TEMPERATURE MODELED BASELINE FOR FCIC

- Ties together High-temp information/data from all other FCIC activities
- Provides a bridge to quantify the implications around economics/LCA metrics



- **Operational Reliability:** $R_{sys,High-temp} = F_{f,INL High-temp} \times F_{f,NREL High-temp} \times F_{B,High-temp} = 18.4\%$
- **GHG Emissions:** Preprocessing = 182.92 kg CO₂e / dry ton feedstock

Scaled conversion = Not determined



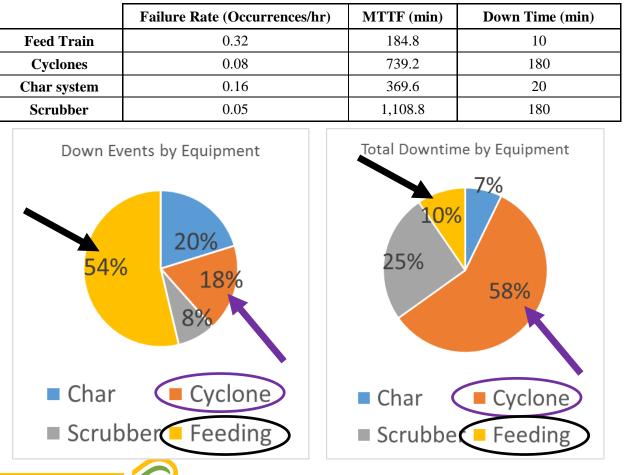
• MODELED HIGH-TEMPERATURE CONVERSION DOWNTIME

ACCOMPLISHMENT

- Prediction of High-temp equipment operational impacts over long-term
- Based on experimental baselining frequency of occurrence <u>and</u> on feedstock properties in the system

IMPACT

- Targets individual Hightemp equipment as more or less impactful
- Provides sensitivity of operation to feedstock variation (informed by property distributions)



Modeled 350 days of operation

4 – Relevance

Seture

PRODUCTS AND OUTPUTS

- Integrated models for modeling reliability
- Low-temperature Integrated PDU baseline
- High-temperature Integrated PDU baseline

CUSTOMERS

- FCIC R&D projects and the FCIC as a whole
- Future customers will include technology and system developers

INTENDED USE BY CUSTOMERS

 Assessment of system impacts of potential technology solutions for feedstock supply and quality barriers to expanding the bioenergy industry

INDUSTRY ENGAGEMENT

- Data collection on operational impacts at pioneer biorefinery scales
- Potential for trade-off analyses to understand costeffective solutions to pioneer biorefinery operational issues









KEY TAKEAWAYS

OVERVIEW

 This project provides system-level technoeconomic assessment, integrating experimental results from both preprocessing and conversion to estimate the relative magnitudes of feedstock property impacts to throughput, yield, productivity, cost and sustainability

APPROACH

 Discrete event simulation and process models were developed and integrated to examine the full impact of feedstock properties on dynamic throughput, yield and annual performance

ACCOMPLISHMENTS

- Demonstrated the validity of DES modeling approach to reliability
- Modeled baselines, based on a year of simulated operation, were developed based on experimental baselining runs

RELEVANCE

 Provides a objective method of assessment for proposed process improvements in the context of a biofuel production system













Thank You

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Response to Reviewers' Comments 2017

 This project was not reviewed in the 2017 Peer Review. The initial DES analysis that led to this analysis (WBS 1.1.1.2 Go/No-go Decision Point) was FY17 work scope (future work) for that project at the time of the 2017 Peer Review.

Publications



• None yet for this project.



Presentations



• None yet for this project.





SUPPORTING SLIDES FOR PRESENTATION



Approach – Management (continued)



| TASK | LEAD |
|--|--|
| Task 1: Baseline development | D. Thompson (INL) |
| Task 2: Feedstock supply logistics discrete event simulation development <u>Subtask 2.1:</u> Corn Stover Logistics DES <u>Subtask 2.2:</u> Corn Stover Storage DES <u>Subtask 2.3:</u> Pine Residue Logistics DES | E. Webb (ORNL) E. Webb (ORNL) D. Hartley (INL) E. Webb (ORNL) |
| Task 3: Feedstock preprocessing discrete event simulation development <u>Subtask 3.1:</u> Preprocessing DES <u>Subtask 3.2:</u> Code migration to ExtendSim® | D. Hartley (INL) D. Hartley (INL) D. Hartley (INL) |
| Task 4: Conversion modeling and developmentSubtask 4.1:Low Temperature (Biochemical)Subtask 4.2:High Temperature (Thermochemical) | M. Biddy (NREL) <i>M. Biddy (NREL)</i> S. Phillips (PNNL) |
| Task 5: Integration of models, framework development and system-wide analysis | D. Thompson (INL) |



Classical Approach to Improving Productivity



- Reliability is the ability of a piece of equipment to consistently perform its intended or required function or mission, on demand and without degradation or failure
 - Classical reliability is defined as

$$R = e^{-} \left(\frac{Scheduled Time}{Mean Time Between Failures} \right)$$

- It is a measure of the probability that the equipment will fail and not be available
- Utilization is the ratio of time spent by a piece of equipment on productive efforts to the total time consumed

 $U = \left(\frac{Working Time}{Scheduled Time}\right)$

- Both measures are based on time, and lack a connection to actual production achieved, which is tied to economic performance
- We focused on **Throughput Analysis** because it can be used to directly estimate the **Capacity Utilization** and **Economics** of the overall system



Focused on Discrete Event Simulation to Estimate Annual System Capacity Utilization



- Primary Purpose of Modeled Baselines
 - Estimate annual integrated PDU system capacity utilization as a function of frequency of observed operator interventions and expected time off stream
 - Estimate annual conversion performance as a function of feedstock properties
 - Estimate "operational reliability", which is a function of both throughput and conversion performance
 - Estimate annual biofuel production and profitability
- Definitions
 - Modeled Annual Throughput Capacity
 - F_f = Modeled throughput capacity utilization factor (%)
 - $T_D = Pro forma$ design annual throughput capacity of the biorefinery (tons)
 - Modeled Annual Biofuel Performance
 - F_B = Modeled biofuel production performance factor (%)
 - $Y_D = Pro forma$ design annual biofuel capacity of the biorefinery (gal/ton)
 - Modeled Annual Biorefinery Profitability
 - F_P = Modeled biorefinery profitability factor (%)
 - $P_D = Pro forma$ design annual net revenue of the biorefinery (dollars)

 $F_f = \frac{T_N}{T_D}$

 $F_B = \frac{Y_{N,90\%}}{Y_D}$

 $F_P = \frac{P_N}{P_P}$

The Mathematical Definitions of Operational Reliability and the Modeled Baselines



- Operational reliability is a measure of overall system performance
 - R_{sys} = Modeled operational reliability of the biorefinery gate to fuel biorefining system (%)

 $R_{sys} = F_f \times F_B$

Provides a composite metric with which to gauge FCIC progress toward the integration of preprocessing and conversion into a system that can operate at or more closely to the <u>pro forma</u> design scenarios' assumed times on-stream

Definition of Baselines

 Comprised of the modeled values of the capacity utilization factors, profitability factor and the modeled operational reliability, individually for the baseline lowtemperature and high-temperature systems

$$F_{f,baseline} = \frac{T_{N,baseline}}{T_D} \qquad F_{B,baseline} = \frac{Y_{N,baseline}}{Y_D} \qquad F_{P,baseline} = \frac{P_{N,baseline}}{P_D}$$

$$R_{sys,baseline} = F_{f,baseline} \times F_{B,baseline}$$

3 – Technical Accomplishments/ Progress/Results



GAP ANALYSIS OF BIOREFINING OPERATIONAL KNOWLEDGE

 Focused on soliciting Subject Matter Expert (SME) inputs on feedstock property impacts on individual equipment/unit operations across the field-to-biofuel system

ACCOMPLISHMENT

- System-wide SME knowledge database of impact of high-level feedstock attributes on individual equipment performance and yield
- SMEs hypothesized a large number of potential failure modes and impacts
 - Low-temp system: 347 entries
 - High-temp system: 211 entries
- Working with the R&D projects, prioritized attributes & impacts based on available funding and ability to measure

IMPACT

- First-of-a-kind system-wide repository of biorefining SME operational knowledge
- Identifies what is <u>currently known</u> and where <u>gaps exist</u> in the knowledge base

QUESTIONNAIRE

| COLUMN HEADING | WHAT IS BEING REQUESTED |
|---|--|
| Value-Chain Element | Range within the field-to-biofuel value chain, e.g., Harvest through to entry into field-side storage. |
| Operation | Individual operation within the value chain element, e.g., harvest, field drying, collection, etc. For conversion it breaks down by plant area. |
| Factor | Environmental factors, feedstock attributes, etc. that cause impacts to throughput, composition or conversion yield within the operation. |
| Trigger Value of Factor | What range, value or level of the Factor triggers a process upset, stoppage, or change in throughput, composition or conversion yield? |
| Trigger Value Units | Units for the Trigger value |
| Throughput, composition or conversion yield mpacted? | Is the process impact that ultimately occurs on the throughput, composition or intermediate yield of the operation? |
| Impacted equipment | What specific equipment within the operation is/are impacted? |
| Impacted attribute or processing operation | Feedstock attribute or process parameter that is impacted by the factor, e.g., moisture content, particle size, grinding energy, etc. |
| Impact Occurrence relative to Trigger | Does the impact occur when the factor is above, equal to, or below the trigger value of the Factor? |
| Impact | Increase/decrease/fail throughput, increase/decrease specific feedstock attribute or property, or increase/decrease intermediate yield? This is the dependent variable that is needed for the modeling. At this point it is listed as increase/decrease/fail, but for the baseline continuous functions (for increases/decreases) or discrete values (for failures) will be needed to be collected during Q2 and the first 2 months of Q3. |
| Impact units | Units for the Impact |
| Discrete Impact or Continuous Function | Is the impact a discrete value or a continuous function of one or more parameters? |
| Impact is Function of | If continuous function, what does the function depend on? These are the independent variables necessary to vary during measurement. |
| Measurement Method (reference, or source if anecdotal) | Measurement method used for impact magnitude, or source and basis/scale of information if anecdotal industry operational information. |
| Standard Deviation of Impact Magnitude | Is there variance information available for purposes of sensitivity analysis? |
| Expected Probability of Occurrence (H/M/L) | What is the expected probability that the Factors leading to this Impact will occur, i.e. <u>H</u> igh (> 75%), <u>M</u> edium (25% -75%), or <u>L</u> ow (< 25%) |
| Expected Severity to of Impact to Throughput, Composition or Yield (S/I/U) | What is the expected severity of the Impact to throughput, composition or yield within the operation, i.e. Significant, Insignificant, or Unknown |
| Notes | Descriptive notes on known or predicted impact(s) of the factor. |

EXAMPLE RESULT (LOW-TEMP)

| "Particle Size/Range - DMA Properties impacts Throughput of the pretrea | ater by causing a/an |
|---|----------------------|
| decrease in the throughput at values | The impact is a |
| function of History, pretreatment, UV, age, moisture. Data ar | e available from: |
| , and the impact range is" NOTES: Decreased flo | owability due to |
| viscoelastic properties. | |