

DOE Bioenergy Technologies Office (BETO) 2019 Project Peer Review

Continuous Membrane Assisted IBE Fermentation from AVAP[®] Cellulosic Sugars

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Biochemical Conversion

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Thomaston Biorefinery



Project Goal Statement

Create an economically viable process for the production of butanol from the underutilized natural resources domestically available:

- economically sustainable biofuel at or below DOE target selling price
- suitable for roll-out in multiple regions containing agricultural residues and underutilized forest residuals
- able to compete in the butanol market without subsidy

Quad Chart Overview

Timeline

BP-1: Jul. 22, 2015

BP-2: Mar. 1, 2017

End: Mar 30, 2018

Barriers Addressed

Ct-G. Efficient Conditioning

Ct-J. Process Integration

Ct-L. Aqueous Phase Utilization

Costs (kUSD)

| | Pre FY17 | FY17 | FY18 | Total Planned Funding |
|-----------------------------------|-------------|-------|------|-----------------------------|
| DOE Funded | 1,723 | 1,027 | 339 | 3,089 |
| Project Cost Share | 662 | 592 | 728 | 1,949 |

Objective

Produce an economically sustainable biofuel at or below DOE target selling price

End of Project Goal

Demonstrate the technical performance of the API IBE pilot plant, and model a heat integrated plant to arrive at or below the DOE target selling price for biofuel.

- IBE alcohols yield 0.30-0.33 g/g biomass
- Average productivity of 10-12 g/l/h
- Fermentation solvent titer of 15 g/l
- Continuous pilot operation for 500 hr.

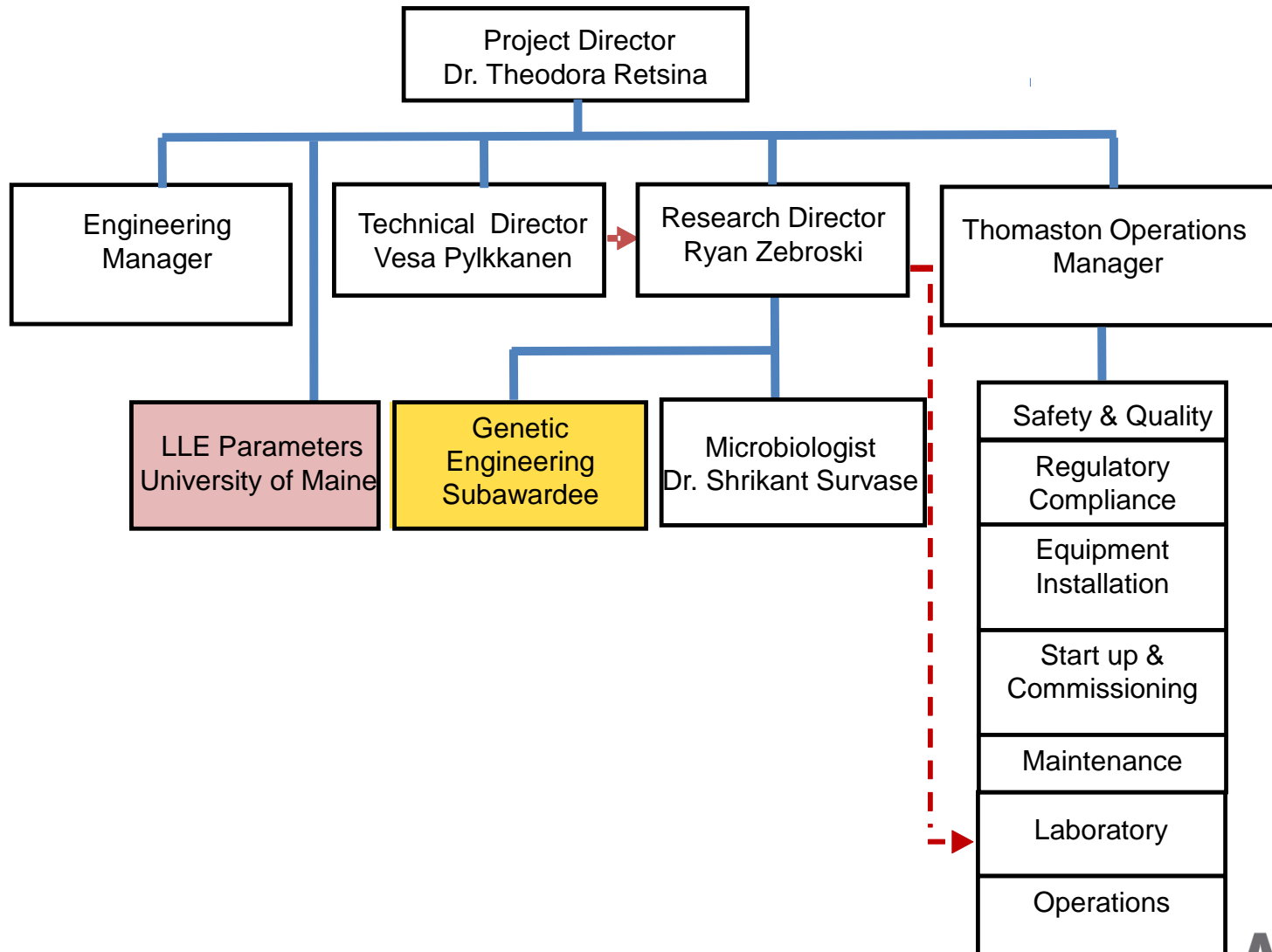
Project Overview

- This project utilizes pine wood, corn stover and cane straw derived sugars from the AVAP process pilot plant in Thomaston, Georgia.
- n-butanol, isopropanol and ethanol (IBE) are produced by fermentation utilizing the AVAPCloTM strain (genetically modified *Clostridia acetobutylicum*).
- IBE alcohols are approved blending components for gasoline and are upgradable to drop-in fuels.
- Fermentation productivity target increased 20-fold over traditional batch process by continuous membrane assisted fermentation to achieve a target fermentation capital cost reduction of 50%.
- Traditional steam stripping solvent recovery has been replaced with a novel non-toxic liquid/liquid extraction, targeting reduction of thermal energy use by 50%.
- Recycling water, unused sugars, nutrients and metabolic intermediates back to fermentation is demonstrated from the liquid/liquid extraction raffinate.

Project Overview

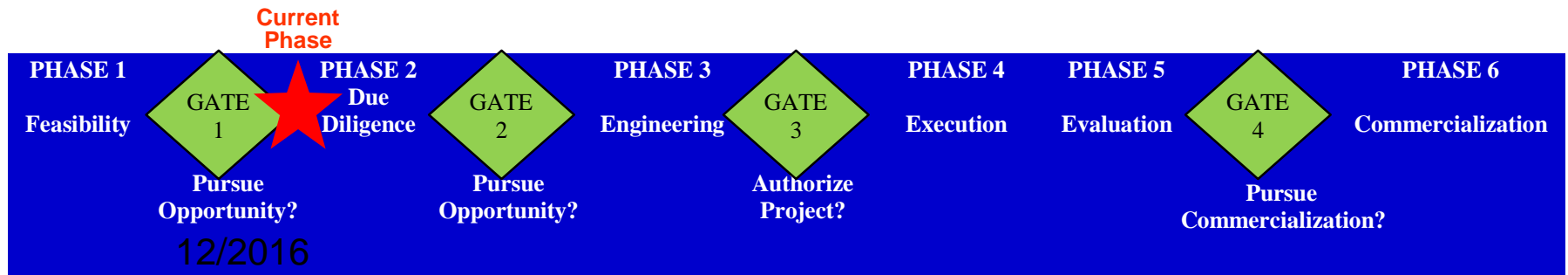
- Challenges to overcome (background):
 - Current *Clostridia* strains produce low value acetone product (30%).
 - Butanol inhibits cells growth and production at very low concentration of ~2%.
 - Pentoses are not readily co-consumed in the mixed cellulosic sugars.
 - Low product titers lead to a high energy demand and a large waste water volume.
- Solutions Developed
 - Use modified *Clostridium* to induce isopropanol production instead of acetone.
 - Recycle cells in continuous fermentation to accelerate solvent production.
 - Use novel liquid/liquid extraction to recover alcohols from broth and reuse water.

Project Organizational Chart - Key Personnel



Management Approach

- API's **project management plan incorporates:**
 - **Heat and material balance** review at establishing project feasibility
 - **Basis of design document** to set engineering parameters
 - Process **hazard analysis** to foresee process risks
 - Monthly **budget, schedule and resource** meeting
 - **Stage-Gate Process** each defined by specific activities with milestones to decrease technical and economic uncertainty and risk

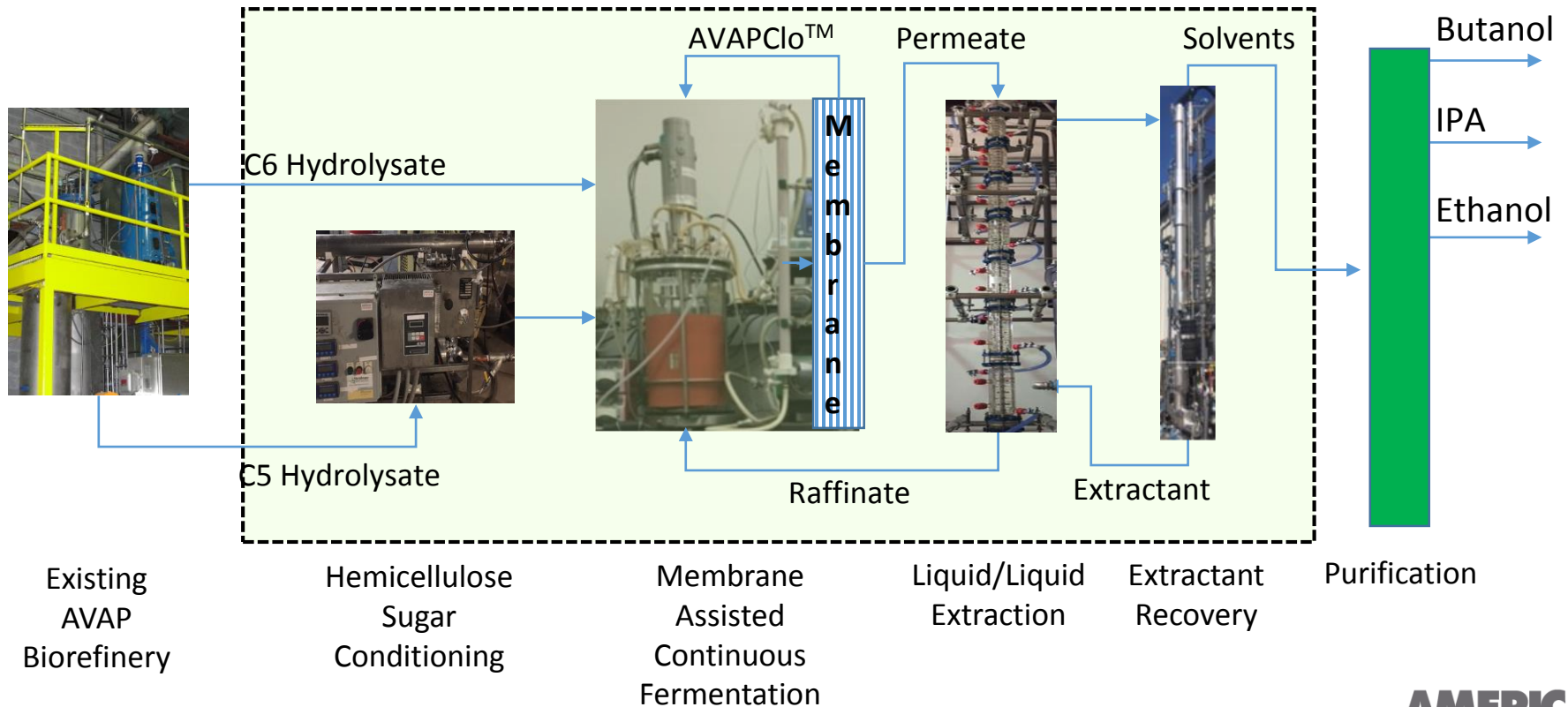


Technical Approach

- **API's technical R&D plan incorporates:**
 - **Comprehensive heat and material balance** using simulation models
 - **Analytical tests** to verify the data for baseline model
 - **Process optimization** at smallest practical scale
 - **Extended performance test** for robustness and recycle streams
 - **Process Scale-up** at factor of 10 with **integrated operation**
 - **Value engineering** to improve project cost against a baseline that integrates techno-economic evaluation
 - Use **Process Integration** to minimize energy demand

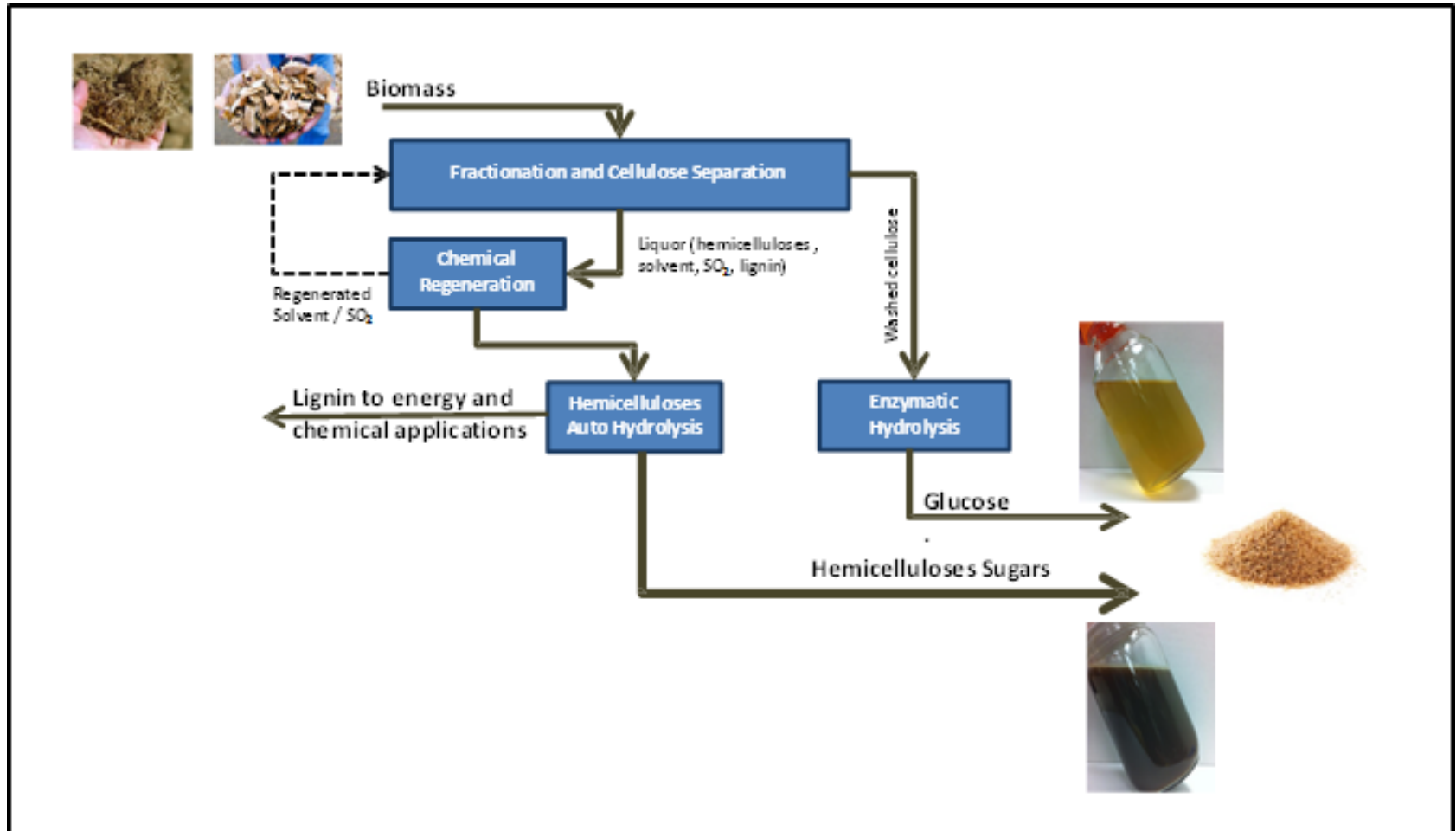
Project Scope

AVAP-IBE demonstrates integrated, pilot scale IBE production from lignocellulosic sugar using membrane assisted recycle of GMO Clostridia. The pilot plant includes product recovery from the dilute broth using novel non-toxic liquid/liquid extraction.



AVAP Biomass Fractionation

Cellulosic and hemicellulosic sugars are produced in the existing biorefinery



Critical Success Factors

- Technical Targets
 - Produce IBE alcohols at overall yield of 0.30-0.33 g/g from original biomass sugars (Benchmark 0.29 g/g from batch fermentation)
 - Reach average productivity of 10-12 g/l/h (Benchmark 0.5 g/l/h from batch ferm.)
 - Operate integrated pilot plant 500 hours continuously
 - Recover at least 90% of butanol and 99% of the extractant in one pass
- Financial Targets
 - Reduce IBE production cost to target \$2/gallon
 - Maintain facility capital cost at below \$10/annual gallon

Accomplishments

- Established conditioning scheme to three biomasses
 - No conditioning was necessary for any cellulosic (C6) hydrolysate
 - Established conditioning scheme for hemicellulosic (C5) hydrolysate
- Progressively optimized fermentation parameters
 - **Milestone 3.1:** Fermented 500 hours uninterrupted using corn stover C6 hydrolysate
 - Reached C5 productivity equivalent of pure xylose in the same system
 - Reached a pine C5&C6 average productivity of 10 g/l/h at 0.33 g/g yield in pilot
 - Reached a corn stover C5&C6 average productivity of 8.85 g/l/h at 0.36 yield in pilot
- Designed and Operated LLX and Reactive Distillation Columns
 - **Milestone 8.1:** Performed 100-hour integrated run to with 97.5% butanol recovery
 - **Milestone 9.3:** Non-toxic extractant proved good selectivity and low raffinate loss
 - Recycled half of the raffinate to fermenter dilution with no negative impact
 - Achieved 90% butanol recovery with < 0.04% extractant loss at 0.4:1 O:A
 - Produced make-up extractant in reaction section of distillation column.

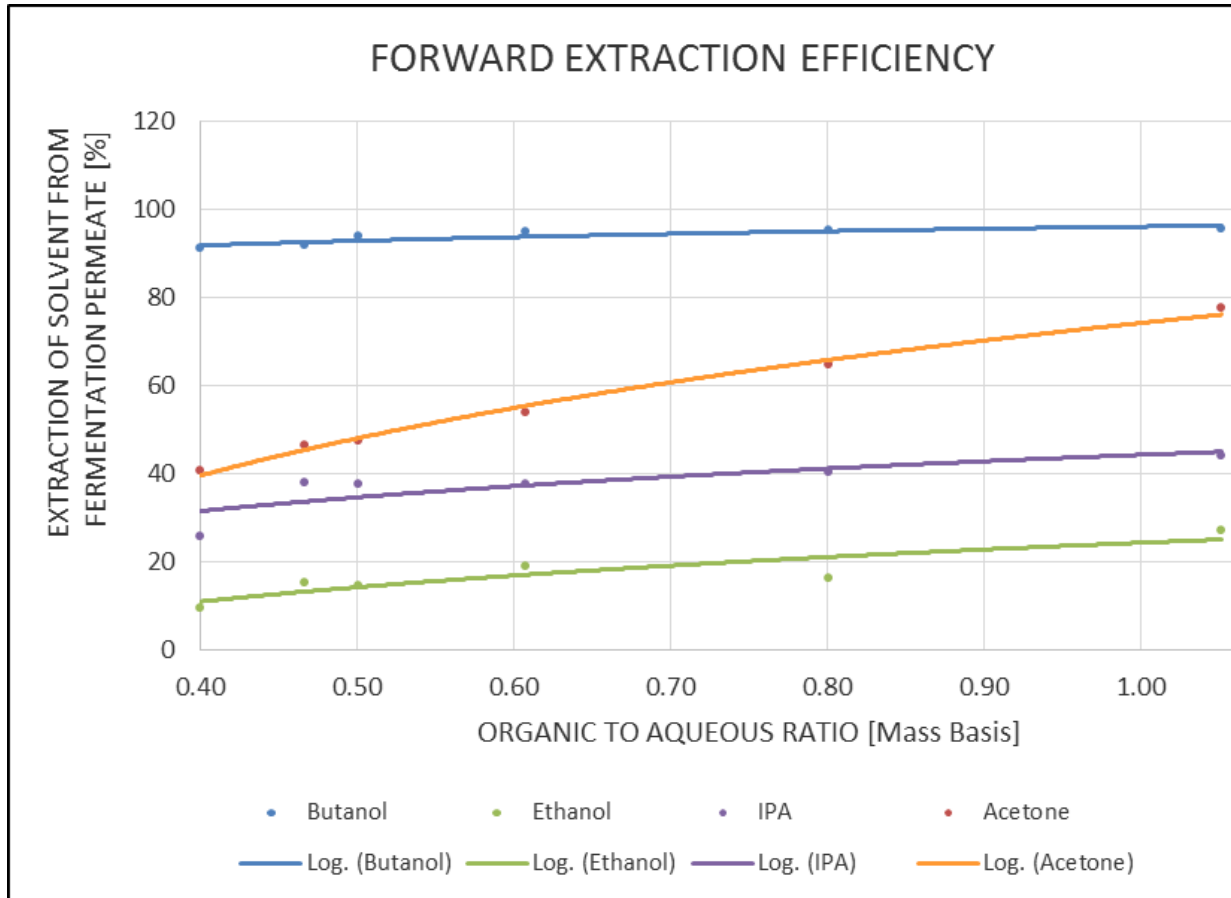
Technical Targets and Results Achieved

| Parameter/Performance | Unit | Target | BP1 Achieved | BP2 Achieved |
|---------------------------------------|---------|--------|-----------------|------------------------|
| Feed (hydrolyzate type) | | Pine | AVAP Pine C5&C6 | AVAP Corn Stover C5&C6 |
| Fermentation | | | | |
| Total sugars to total solvents (ABEI) | g/g | 0.33 | 0.33 | 0.36 |
| Fermentation titer, total solvents | (g/L) | 15 | 15.4 | 14.6 |
| Average volumetric productivity | (g/L-h) | 11 | 10.1 | 8.8 |
| Acetone-to-Isopropanol conversion | % | >50% | 14% | 19% |
| Maximum volumetric productivity | (g/L-h) | 12 | 13.1 | 11.9 |
| Liquid/Liquid Extraction | | | | |
| Recovery of Butanol | % | 90 | 97.5 | 90 |
| Extractant Loss | % | 1 | 0.1 | 0.04 |

Concurrent Membrane Assisted Fermenters



Liquid/Liquid Extraction



Major Challenges

- Conversion of C5 sugars in the presence of Glucose
 - Separate hemicellulose sugar to concurrent fermentation - successful
 - Grow cells in C6 fermentor and purge into C5 fermentor - successful
- Conversion of Acetone to Isopropanol reduced over time
 - Use gene alteration to induce more isopropanol – attempt made in BP2
- Pine wood hemicellulosic hydrolyzate proved more inhibitive
 - Add conditioning steps to remove lignin compounds - successful
 - Optimization of the scheme completed investigation in BP2
- Foaming and two phase flow through membranes
 - Scale down industrial foam control methods employed in BP2
 - Viable cell density equipment sourced for application.
- Liquid/Liquid Extraction and recycling of raffinate:
 - Source of infection due to location of sterile boundary filter – easy to remedy.
 - Beneficial effect in the recycle of nutrients and metabolic intermediates!



Economic Opportunity

- The base case analysis (1200 dry tpd at \$65/ton) shows that the selling price would have to be \$3.65/gallon IBE (\$1242/ton) to have $IRR > 0\%$ and \$4.88/gallon IBE (\$1490/ton) to reach positive NPV.
- Sensitivity analysis was used to determine process optimization steps that can be used to achieve profitability while using the targeted MSP of \$3/gge gasoline equivalent.
 - Convert remaining acetone to isopropanol (\$0.65/gal).
 - Increase xylose utilization to 75%, resulting in a 10% overall yield improvement (\$0.50/gal).
 - Recovery of SO_2 & lime, on site manufacture of enzyme (\$0.33/gal).
 - Reduce from start-up staffing to normal operational staffing (\$0.15/gal).
 - Reduce loose ash in feedstock from 10.9% to 5.5% or less through payment based on quality. (\$0.13/gal)

Relevance of AVAP-IBE Project

Supports BETO mission to create transformative technology and goal to develop commercially viable bioenergy and bioproducts by:

- Utilizing multiple feedstocks to enable nationwide implementation on existing underutilized or idle resources.
- Utilizing genetic engineering to eliminate lower value by-product
- Increasing productivity and reducing energy leading to target DOE MFSP \$3/gge
 - Develop a novel fermentation scheme with productivity 20X over batch fermentation
 - Utilize a non-toxic LLX process to reduce energy use and nutrient requirements by ~50%.

The R&D on specific biomass conversion technology supports objectives by:

- Demonstrating robust fermentation technology suitable for bacteria
- Developing novel separation technology for butanol separation
- Developed optimized conditioning for bacterial fermentation of C5 hydrolysate
- Intensifying of an integrated cellulosic biofuel process

Future Work

- Fermentation System Modifications
 - Relocate sterile boundary of C5 hydrolysate.
 - Install on-line viable cell density and cell density instruments
- Genetic Engineering
 - Increase AVAPClo™ propensity to produce isopropanol instead of acetone
 - The goal is to eliminate acetone production all together
- Test C5 Finishing techniques

Expected outcome

- Licensing of technology.

Summary

- **Relevance:**
 - The AVAP-IBE intensified process is suitable for higher alcohol production
 - Replication potential in to other fermentation/purification processes
- **Approach:** API uses proper R&D scale-up followed by TEA in a Stage-Gate Process to ensure that project is aligned with the critical success factors
- **Success factors:**
 - Integrated process with overall yield 0.30-0.33 g/g of yield lignocellulosic sugars
 - Continuous fermentation productivity of 10-12 g/l/h to halve Capital Expense
 - Low energy LLX with >90% butanol removal at <1% extractant loss
 - Commercial target OPEX of \$2/gal at CAPEX of <\$10/annual gallon alcohols
- **Accomplishments:**
 - Continuous fermentation at 10 g/l/h productivity and 0.33 g/g solvent yield
 - Integrated extractant recovery of >99.9% with 90% of butanol removed
- **Commercialization challenges:**
 - Profitability at low oil price without a co-product