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Scaling Acceleration Response Spectra From One Damping to Another Farhang Ostadan, Jim Marrone Presented by Lisa Anderson Bechtel Earthquake Engineering Center

DOE/NRC DOE/NRC Natural Phenomena Hazards Workshop October 23-24, 2018, Rockville, Maryland



Background

- Ground motion predication equations (GMPEs) are almost all formulated for 5% spectral damping, as a result the site-specific design motion are always developed at 5% spectral damping.
- For application to SSCs, depending on the SSC and state of stress, input motion at other spectral damping is often required ranging from 0.50% to over 30% damping.
- Similarly, when ISRS is developed, some equipment may need input at other damping levels for qualification.
- Even when time histories are developed matching the design response spectra, it is desirable to evaluate the time history adequacy against the spectra at other damping levels pertinent to the SSC model.
- The subject of spectral damping ratio has been the subject of study and contentious debate for many years.
- Many codes and standards provide approximate method for conversion of spectral values with unknown accuracy.
- The presentation provides the most up to date approach for spectral scaling from one damping to other.





This study focuses on the development of CEUS-like project-specific estimates of design ground motions at spectral dampings other than the conventional 5% critical damping. Given the wealth of recorded earthquake time histories in active tectonic regions, most published damping scaling factor [DSF] models used for estimating response spectra at other damping levels are readily applicable to such seismically active areas, such as WUS. Cameron and Green (2007) utilizes the sets of time histories useful for nuclear power plant design analyses in either general WUS or CEUS tectonic environments – NUREG/CR-6728 -- and finds differences worth considering. The approach of Cameron and Green is adapted here to estimate project site- and hazard-specific DSFs.



Response Spectra and Damping Values

TABLE 3. RECOMMENDED DAMPING VALUES

k(u)	Stress Level	Type and Condition of Structure	Critical Damping
	Working stress, no more than about ¹ / ₂ yield point	 Vital piping Welded steel, prestressed concrete, well reinforced concrete (only slight cracking) Reinforced concrete with 	1 to 2 2 to 3 3 to 5
y $u = x - y$		 Bolted and/or riveted steel, wood structures with nailed or bolted joints 	5 to 7
Figure 1. Simple Damped Mass-Spring Syster	At or just below yield point	 Vital piping Welded steel, prestressed concrete (without complete loss in prestress) 	2 to 3 5 to 7
		 Prestressed concrete with no prestress left 	7 to 10
Source: Newmark and Hall (1982)		 Reinforced concrete Bolted and/or riveted steel, wood structures, with bolted joints 	7 to 10 10 to 15
		 Wood structures with nailed joints 	15 to 20

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Reg. Guide 1.60 DRS and DSFs



Figure 1. Horizontal Design Response Spectra Scaled to 1 g Horizontal Ground Acceleration

DSF (f, β) = **PSA**_{$\beta\%$} (f) / **PSA**_{5%} (f)

Table 1. Horizontal Design Response Spectra

Relative Values of Spectrum Amplification Factors for Control Points

Percent of	Amplification Factors for Control Points				
Critical		Displacement ^{a,b}			
Damping	A (33 cps)	B (9 cps)	C (2.5 cps)	D (0.25 cps)	
0.5	1.0	4.96	5.95	3.20	
2.0	1.0	3.54	4.25	2.50	
5.0	1.0	2.61	3.13	2.05	
7.0	1.0	2.27	2.72	1.88	
10.0	1.0	1.90	2.28	1.70	

a. Maximum ground displacement is taken proportional to maximum ground acceleration, and is 36 in. for ground acceleration of 1.0 gravity.

Acceleration and displacement amplification factor are taken from recommendations given in Reference 9.

Reg. Guide 1.60 Damping Ratios Relative to 5%





There are several issues with the DSFs from Reg. Guide 1.60:

- In the 1970's there were few earthquake recordings to get response spectra
- The records used were mostly from California: Note: Typical western US earthquake [WUS] characteristic of peak ground acceleration [PGA] occurring at ~33 Hz and its impact on high frequency DSF.
- No explicit dependence on earthquakes source characteristics as are known to affect 5%-damped response spectra and may, in turn, affect DSF:
 - Magnitude
 - Distance
 - Duration
 - Intensity
 - Tectonic environment
 - WUS vs. CEUS vs. Large Subduction Region [e.g., Cascadia]
 - Fault type: strike-slip, reverse, normal
- No explicit dependence on site conditions: rock, soil, Vs30 © 2018 Bechtel



Numerous DSF studies have now been published considering the greater global availability of earthquake records:

- Particularly from active tectonic regions
- Just as ground motion prediction equations [GMPEs], DSFs show some variability regarding:
 - earthquake source characteristics
 - source-to-site path, and
 - site conditions

Rezaeian and others (2012) is a notable study from the PEER on damping scaling factors, taking advantage of the significant database of earthquake recordings from the PEER NGA-West2 study:

- Again, focused on shallow crustal active tectonic regions, like WUS.
- An appendix to this report is a tabulated summary of 26 DSF relationships, as well as 7 additional DSF relationships explicit or implicit within building codes. Only one was specific to CEUS



Sample of Published DSFs Listed in Rezaeian and others (2012) Appendix:

MODEL	DEPENDENCE ON:			
	Magnitude	Distance	Tectonic Setting	Site Conditions
Newmark and Hall (1982)	No	No	Active [WUS]	undifferentiated
Idriss (1993)	No	No	Active [WUS]	undifferentiated
Abrahamson and Silva (1996)	Yes	No	Active [WUS]	undifferentiated
Eurocode 8	No	No	? [Europe]	undifferentiated
Cameron and Green (2007)	Yes	Yes	CEUS, WUS	rock, soil
Rezaeian and others (2012)	Yes	Yes	Active [WUS]	undifferentiated









Figure 5.2 The proposed model is plotted for all 11 damping ratios from 0.5% to 30%. Idriss [1993] is plotted for $\beta = 1, 2, 3, 5, 7, 10, 15$ %. It is applicable to T = 0.03-5 sec, and is not a function of M or R_{rup} .

"Proposed": Rezaeian and others (2012)







Figure 5.3 The proposed model is plotted for all 11 damping ratios from 0.5% to 30%. Abrahamson and Silva [1996] is plotted for β = 0.5, 1, 2, 3, 7, 10, 15, 20% at select periods and interpolated in-between. It is applicable to T= 0.02–5 sec, and is a function of M but not R_{rup} .



"Proposed": Rezaeian and others (2012)



Cameron and Green (2007)



DSFs as a function of:

- Magnitude
- Distance (ξ or β < 2%)
- WUS vs. CEUS
- Rock vs. Soil

Uses database of magnitude- and distancebinned WUS and CEUS earthquake time histories from NUREG/CR-6728

Figure 9. Median DCFs for $\xi = 2, 7, 10, 15, 20, 30, 40$, and 50% for *M* 5–6, *M* 6–7, and *M* 7 + for the WUS and CEUS for rock and soil site conditions (i.e., a replot of the data presented in Fig. 8 to facilitate a comparison of DCFs for CEUS and WUS motions).



Cameron and Green (2007)

DSF(1%) M5-6, 0-50 km M5-6, 50-100 km M6-7, 0-50 km M6-7, 50-200 km M7+, 0-50 km M7+, 50-200 km DSF(2%) to DSF(50%) M5-6 only, no distance dependence

M6-7 only, no distance dependence

M7+ only, no distance dependence

Unfortunately, the Cameron and Green paper does not present DSF functions for:

- Spectral frequencies greater than 20 Hz
- Specific damping levels required for our project, or
- DSF functions for vertical response spectra.

However, for our CEUS-like rock site, we use CG approach to process site hazard-specific M-D bins of NUREG time histories, addressing the elements not covered by CG paper.



Project Requirements

- Magnitudes and distances should be consistent with the controlling earthquakes from the project PSHA and the design response spectrum [DRS]
- Project site is a rock site in a stable continental region, like CEUS
- Both horizontal and vertical DSF functions should be considered
- DSFs should consider spectral frequencies of 0.1 to 100 Hz
- Explicit DSFs required: 1%, 2%, 3%, 4%, 7%, and 10%



Choice of NUREG Time Histories





From deaggregation analysis of the project PSHA, controlling earthquakes were determined as associated with the DRS:

10-4, LF: Mag 6.2 at 130 km 10-4, HF: Mag 5.7 at 25 km 10-5, LF: Mag 6.3 at 36 km 10-5, HF: Mag 5.8 at 18 km

NUREG/CR-6728 M&D-binned time histories initially considered in this calculation are:

- Bin 1: M 5-6, R = 0-50 km
- Bin 2: M 6-7, R = 10-50 km
- Bin 3: M 6-7, R = 100-200 km



NUREG/CR-6728 Time Histories

NUREG/CR-6728 Time History Database: CEUS, M5-6, R0-50km, Rock [Bin 1]

Earthquake	Year MMDD	Mag	Recording Station	Dist (km)
Lytle Creek	1970 0912	5.4	Devil's Canyon	21.9
Lytle Creek	1970 0912	5.4	Wrightwood - 6074 Park Dr	15.4
Fruili, Italy	1976 0911	5.5	San Rocco	17.9
Santa Barbara	1978 0813	6.0	Cachuma Dam Toe	36.6
Livermore	1980 0127	5.4	APEEL 3E Hayward CSUH	31.0
Livermore	1980 0127	5.4	San Ramon - Eastman Kodak	(17.6
Mammoth Lakes	1980 0611	5.0	USC Convict Lakes	9.1
Coalinga	1983 0709	5.2	Anticline Ridge Free-Field	11.0
Coalinga	1983 0709	5.2	Anticline Ridge Pad	11.0
Coalinga	1983 0709	5.2	Oil City	10.0
Coalinga	1983 0709	5.2	Transmitter Hill	10.4
Coalinga	1983 0722	5.8	Oil City	8.2
Coalinga	1983 0722	5.8	Palmer Ave	12.2
N. Palm Springs	1986 0708	6.0	Hurkey Creek Park	34.9
Whittier Narrows	1987 1001	6.0	Garvey Res Control Bldg	12.1



NUREG/CR-6728 Time Histories

NUREG/CR-6728 Bin 1 Time Histories vs. Horizontal DRS



5% critical damping No scaling applied

Similar comparisons for other bins suggested only two Bin sets of time histories needed further consideration:







Bin 2: NUREG/CR-6728 Time Histories





Bin 1: Initial Smoothed DSFs





Bin 2: Initial Smoothed DSFs





Smoothed DSFs: Min/Max Envelope





Smoothed DSFs: Min/Max Envelope





Smoothed DSFs: Min/Max Envelope

Damping Scaling Factor





Damping Scaling Factor





Final DSFs

Damping Scaling Factor





Final DSFs

Damping Scaling Factor 2.5 **——** 1% **—** 2% 2 --- 5% Smoothed Damping Scaling Factor 1 55 **——** 10% 0.5 0 0.1 10 100 1 Frequency (Hz)





- Rezaeian and others (2012, 2014a, 2014b) provide a robust approach for DSFs as function of magnitude and distance for WUS type motion in active tectonic regions rich in low frequency. The approach is not applicable to CEUS which is rich in high frequency motion.
- DSFs presented for CEUS type motion can be used for scaling of the spectra rich in high frequency motion.