

## **Fiscal Year 2019**

### **Planned Audits and Inspections**

#### **Eastern Region**

- Risk Assessment of the Department of Energy's GSA SmartPay2 Purchase Card
- Risk Assessment of the Department of Energy's Travel Card
- National Nuclear Security Administration Nuclear Weapons Systems Configuration Management at Selected Sites
- Transuranic Waste Processing Center
- Conversion Operations at the Depleted Uranium Hexafluoride Facilities
- Followup on the Canine Program
- Screening of Classified Foreign Visits from Sensitive Countries
- Depleted Uranium Operations at the Y-12 National Security Complex
- Capstone Report on Subcontract Management
- Savannah River National Laboratory's Management of Reimbursable Work
- Followup on Lithium Operations at the Y-12 National Security Complex
- Flow Down of Quality Assurance Requirements at the Uranium Processing Facility
- Followup on Management of Contractor Fines, Penalties, and Legal Costs
- Safety Practices at the Long Baseline Neutrino Facility
- Emergency Response Capabilities at Selected Office of Science Sites
- Contract Awards to Small Businesses Under the Mentor-Protégé Program
- Management of the Paducah C-400 Project
- Implementation of Updated Worker Safety and Health Standards
- Designated Unclassified Subject Areas Process at Selected Sites
- Workforce Restructuring at the Oak Ridge National Laboratory
- Protective Force Management at Selected Office of Environmental Management Sites
- General Plant Projects at Selected Office of Science Laboratories
- Followup on Conference Management
- Followup on Environmental Management Waste Management Facility at the Oak Ridge Reservation
- Followup on Management of Spare Parts
- Complaint Resolution Process for Federal Employees
- Followup on Government Vehicle Utilization at Lawrence Livermore National Laboratory

#### **Central Region**

- Fiscal Year 2018 Cost Allowability at Fermi National Accelerator Laboratory

- Assessment of the Department of Energy's Implementation of the Grants Oversight and New Efficiency (GONE) Act – Grant Close-out Process
- Department of Energy's Audit Resolution and Followup Process
- Department of Energy's Implementation of Whistleblower Protections for Contractor Employees Program
- Select Support Services Contracts at the Loan Programs Office
- Bonneville Power Administration's Workforce Concerns Program
- Complaints Process for the Federal Energy Regulatory Commission
- Western Area Power Administration's Transmission Asset Management Program
- Followup on the Department of Energy's Management of High Risk Excess Facilities
- Office of Enterprise Assessments' Resolution of Corrective Actions
- Department of Energy's Management of the Security Clearance Process
- Department of Energy's Management of the Working Capital Fund
- Department of Energy's Readiness to Lead the Emergency Support Function #12

## **Western Region**

- Follow-On of The Department's Management of Nuclear Materials Provided to Domestic Licensees
- National Nuclear Security Administration's Stockpile Surveillance Program
- Follow-On of the National Nuclear Security Administration's Management of Classified Weapons Parts
- Los Alamos National Laboratory Commercial Grade Dedication
- Los Alamos National Laboratory's Configuration Management of Nuclear Facilities
- Laser Perimeter Awareness System at Pantex
- Protective Force at Pantex and Y-12
- Physical Security Infrastructure Recapitalization at Los Alamos National Laboratory
- Issues Management Program at the Idaho National Laboratory Advanced Test Reactor
- Waste Isolation Pilot Plant Remote Handled Transuranic Waste Capacity Management
- Project Management at the Naval Reactors Facility
- Procurement Activities at the Idaho National Laboratory
- Waste Disposition at the Los Alamos National Laboratory
- Matter Radiation Interaction in Extremes Project at Los Alamos National Laboratory
- Followup on Issues Management at the Los Alamos National Laboratory
- W80-4 Life Extension Program
- Office of Science Contract Reform Efforts at the SLAC National Accelerator Laboratory and Lawrence Berkeley National Laboratory
- Management of Dosimetry Records at Lawrence Livermore National Laboratory
- National Nuclear Security Administration's Oversight of High-Risk Excess Facilities at Lawrence Livermore National Laboratory

- Implementation of Beryllium Controls at Lawrence Livermore National Laboratory
- Management of Non-Nuclear Explosive Components of Nuclear Weapons
- Management of Emergency Management Programs at a Selected Department of Energy Site
- Quality Assurance Program at Select National Nuclear Security Administration Laboratories
- Employee Concerns Programs at selected National Nuclear Security Administration Sites
- Small Business Procurements at the Hanford Site
- Timecard Verification of Hanford Site Subcontractors
- Corrective Action Program at Pacific Northwest National Laboratory
- Preservation and Maintenance of Department of Energy Assets
- National Nuclear Security Administration's Management of the Global Material Security Program
- Staff Augmentation Services for Hanford Site Contractors

## **Technology Audits**

- The Department of Energy's Unclassified Cybersecurity Program – 2019
- The Federal Energy Regulatory Commission's Unclassified Cybersecurity Program – 2019
- Information Technology Management Letter for Fiscal Year 2018
- The Department of Energy's Implementation of the Cybersecurity Information Sharing Act of 2015
- The Department of Energy's Implementation of the Digital Accountability and Transparency Act of 2014
- Management of the Cybersecurity Program at a Selected Department of Energy Location (multiple)
- Security over the Department of Energy's Peripheral Devices
- The Federal Energy Regulatory Commission's Efforts to Secure the Nation's Bulk Electric System
- Management and Oversight of the Department of Energy's Office of Science Information Technology Support Contracts
- Followup on the Department of Energy's Management and Oversight of Cloud Computing Activities
- The Department of Energy's Utilization of Information Technology Hardware and Software

## **Government Management Reform Act (GMRA)**

- The Department of Energy's Fiscal Year 2019 Consolidated Financial Statements
- The Department of Energy's Improper Payment Reporting in the Fiscal Year 2018 Agency Financial Report

- The Fiscal Year 2019 Financial Statements of the Federal Energy Regulatory Commission
- The Fiscal Year 2019 Financial Statements of the Nuclear Waste Fund
- The Fiscal Year 2019 Combined Financial Statements of the Southwestern Federal Power System
- The Fiscal Year 2019 Combined Financial Statements of the Western Area Power Administration
- Management Letter on the Audit of the Department of Energy's Consolidated Financial Statements for Fiscal Year 2018

### **Statement of Costs Incurred and Claimed (SCIC)**

- Consolidated Nuclear Security, LLC - Y-12 National Security Complex and Pantex Plant Fiscal Years 2016-2018
- UT-Battelle, LLC - Oak Ridge National Laboratory Fiscal Years 2016 and 2018
- Nuclear Waste Partnership LLC - Waste Isolation Pilot Plant Fiscal Years 2015-2017
- National Technology and Engineering Solutions of Sandia, LLC - Sandia National Laboratory Fiscal Years 2016-2018
- Los Alamos National Security, LLC - Los Alamos National Laboratory Fiscal Years 2017-2018
- University of California - Lawrence Berkeley National Laboratory Fiscal Years 2015-2018
- Lawrence Livermore National Security, LLC - Lawrence Livermore National Laboratory Fiscal Years 2014, 2016, 2017, and 2018
- National Security Technologies, LLC - Nevada National Security Site Fiscal Years 2015-11/30/17
- Honeywell Federal Manufacturing & Technologies - Kansas City National Security Campus Fiscal Years 2015-2018
- Bechtel Marine Propulsion Corporation - Naval Nuclear Laboratory Fiscal Years 2014-2018
- Jefferson Science Associates, LLC - Thomas Jefferson National Accelerator Facility Fiscal Years 2015-05/31/2019
- UChicago Argonne, LLC - Argonne National Laboratory Fiscal Years 2014-2017
- Princeton University - Princeton Plasma Physics Laboratory Fiscal Years 2016-03/31/2019
- Fermi Research Alliance, LLC - Fermi National Accelerator Laboratory Fiscal Years 2015-2017
- Alliance for Sustainable Energy, LLC - National Renewable Energy Laboratory Fiscal Years 2014-2018