TRANSCRIPT OF PROCEEDINGS

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In the Matter of:

APPLIANCE STANDARDS AND RULEMAKING FEDERAL ADVISORY COMMITTEE (ASRAC)

FULL PARENT COMMITTEE MEETING & WEBINAR

Pages: 1 through 191

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In the Matter of:	
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APPLIANCE STANDARDS AND)
RULEMAKING FEDERAL ADVISORY)
COMMITTEE (ASRAC))
)
FULL PARENT COMMITTEE)
MEETING & WEBINAR)

Room 8E-089 Forrestal Building 1000 Independence Avenue, S.W. Washington, D.C.

Wednesday, January 10, 2018

The parties met, pursuant to notice, at 10:05 a.m.

PARTICIPANTS:

JOHN CYMBALSKY, Facilitator Designated Federal Officer U.S. Department of Energy

Committee Members:

ANDREW deLASKI, Chair Appliance Standards Awareness Project

ASHLEY ARMSTRONG U.S. Department of Energy

JENNIFER CLEARY Association of Home Appliance Manufacturers

KRISTEN DRISKELL California Energy Commission

DAVE GATTO Westinghouse Lighting

CHARLES HON True Manufacturing

NOAH HOROWITZ Natural Resources Defense Council

<u>PARTICIPANTS</u>: (Cont'd.)

<u>Committee Members</u>: (Cont'd.)

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SOFIE MILLER The George Washington University Regulatory Studies Center

MICHELLE SIM SoCalGas Company

DAVE WINNINGHAM Lennox International

MICHAEL WOLF Greenheck Fan Corporation

Other Participants:

TIM BALLO Earthjustice

KARA BEIGAY Manufactured Housing Institute

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1	<u>proceeding</u>
2	(10:05 a.m.)
3	MR. CYMBALSKY: Okay. So welcome, everyone,
4	to our first Appliance Standards and Rulemaking
5	Advisory Committee of the New Year. Welcome to all
6	our members, and a new member, which we will go and
7	introduce each other later. But before we're very
8	lucky to get a few minutes of Daniel Simmons' time
9	here. He is the Principal Deputy Assistant Secretary
10	for Energy Efficiency & Renewable Energy here at DOE.
11	Daniel's been here since the beginning of
12	the administration on the transition team, the
13	Beachhead team, Acting Assistant Secretary, so he's
14	almost a full year here with this administration.
15	I could say that this program is near and
16	dear to his heart. He's also the regulatory reform
17	officer here at DOE, so he's heading up that effort as
18	well. So, without further ado, it is my pleasure to
19	introduce Principal Deputy Assistant Secretary Daniel
20	Simmons.
21	(Applause.)
22	MR. SIMMONS: Thank you, John. The so
23	when I as John mentioned, when I came in with the
24	transition team, you know, those of us that came to
25	the agency after the election, before inauguration

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1 to, you know, to understand what the administration 2 was doing and to facilitate the new administration, to facilitate the transition to a new administration, one 3 of the things that we did is was we got PowerPoints 4 5 from all of the -- you know, we had these discussions with, you know, all of the different offices in DOE. 6 That obviously included a discussion about what's 7 qoing on in EERE. So it was a -- I'd say it was about 8 9 a 20-, 25-page PowerPoint that we went through, and in that PowerPoint, my recollection is that there was 10 11 only one bullet about the appliance and equipment 12 standards. There could have been like a couple more. 13 So maybe there were like three bullets in like a 20-, 14 25-page PowerPoint that was this program, which really 15 kind of shocked me at the time.

Let me assure you that the amount of time that I spend on this is way more than, you know, even just 5 percent of my time. As John said, it is near and dear to my heart, something that I -- regulations generally is an issue that I care about, and I particularly care about appliance and equipment standards.

23 So thank you to ASRAC for the work that 24 you've done over the years. Thank you for that, as 25 well as my apologies that we have been -- one area

1 where we have been slow is on reconstituting or

holding hearing -- or holding meetings such as this
with ASRAC but also the other FACA committees in DOE.
But we have -- so my apologies for that.

5 Obviously, as you know, regulatory reform is б an important priority for this administration, and at DOE, we are committed to reducing regulatory burdens, 7 to promote economic growth and energy security for the 8 9 American people. Also, as I have said multiple times, DOE intends to meet its legal obligations. 10 This is 11 obviously important because of ongoing lawsuits as 12 well as legal issues surrounding the Appliance 13 Standards Program. It's one of the things that I get 14 asked about when I testify, and it came up in 15 yesterday's hearing at the House Energy and Commerce 16 meeting.

The deputy secretary as well as 17 undersecretaries were there. And I will read you what 18 19 Undersecretary Menezes said when asked about appliance 20 standards. He said that -- Menezes said there's been 21 discussion with general counsel on the issue of 22 appliance standards, and he offered a full-throated 23 support, to quote, that DOE would meet its statutory 24 deadlines on efficiency. "The Department is committed to following the law, to having these standards in 25

place according to the deadlines that are set in
 statute," Menezes said.

When Representative Peter Walsh asked if it meant that DOE would not stall or slow-walk efficiency rules, Menezes said, "You have my assurance on that." So I just wanted to share that with you, that it is our intention to meet our legal obligations.

So, in terms of regulatory reform, earlier 8 9 this year the President issued several executive orders that have guided our regulatory reform efforts. 10 11 On January 30, the President issued Executive Order 12 13771 reducing regulatory -- reducing regulation and 13 controlling regulatory costs. That order requires 14 that unless prohibited by law -- and that is obviously 15 important, very important -- whenever an agency 16 promulgates a new regulation, the agency must identify 17 at least two existing regulations to be repealed.

The order also requires that for fiscal year 18 2018 and thereafter there are specific regulatory 19 20 budgets. Additionally, on April 24, the President 21 issued Executive Order 13777 enforcing the regulatory 22 reform agenda. That order required the head of each agency to designate an agency official as its 23 24 regulatory reform officer and that each agency establish a regulatory reform task force. In DOE, the 25

regulatory reform officer is the chief of staff, and
 he has delegated those responsibilities to me.

Following these directions, DOE formed a 3 regulatory reform task force, and in my role as the 4 5 regulatory reform officer, at least acting officer, б I've overseen a number of steps to address regulatory burdens at DOE with respect to the Appliance Standards 7 This has included items such as the 8 Program. 9 December 18 request for information and yesterday's meeting on the process rule, to make sure that we are 10 11 reviewing the process that we use to promulgate 12 regulations.

13 As you know, DOE is evaluating processes 14 related to appliance and equipment standards. And we 15 heard many constructive comments yesterday. I had planned on going vesterday, but I do not always 16 control my schedule, and that was one example of me 17 not being able to do what I really wanted to do. 18 Such 19 is life.

20 DOE is currently thinking about whether to 21 address negotiated rulemakings in the process rule. 22 DOE generally supports negotiated rulemakings as it is 23 an open and transparent process that can encourage 24 public participation.

In thinking about whether to include

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negotiated rulemaking in the process rule, we will think about ASRAC's role in the process, and, you know, to be very clear and explicit that DOE values and appreciates ASRAC members valuing their time to participate in advising the Department on issues related to appliance and equipment standards and the work that you have done over the years.

8 With respect to work already done by ASRAC, 9 we will consider any term sheets that are currently 10 pending before the Department coming from earlier 11 negotiated rulemakings. The comment period on the 12 process rule RFI is open through February 16th of this 13 year, and we encourage interested parties to submit 14 comments, including ASRAC members.

I believe yesterday there was a request for that to be opened for an extension of that deadline, and we will also consider that as well. But, you know, we definitely want to get your comments about the process rule. That is very important to us.

20 So thanks again for your time and commitment 21 to energy efficiency and renewable energy. So thank 22 you very much for all of the work that you do. John. 23 MR. CYMBALSKY: Thank you, Daniel. 24 I'd point out also we do appreciate -- his 25 time is very valuable, and we do appreciate him

1 kicking off our meeting.

So we have a few minutes before the next -the ethics briefing, and so what I'll do is go back to sit in my usual chair, and we'll do some introductions until about 10:30, when our ethics officer should be around.

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(Pause.)

Okay. So we're going to go 8 MR. CYMBALSKY: 9 around and do introductions. And since this is the first time this entire group has met together, I would 10 11 ask everyone as they introduce themselves, the 12 committee members, that is, name and organization, but 13 how about a little meat on that bone since, we 14 probably want to know what we do in our current 15 employment, maybe in our past employment too, to kind 16 of give a fuller picture of who we are and what we do. 17 So why don't we just start to my left with 18 Kristen. 19 MS. DRISKELL: Okay. I'm Kristen Driskell. 20

I'm with the California Energy Commission. I manage the appliance standards office, the appliances and outreach and education office at the Energy Commission. In my previous life, I was a lawyer for the Energy Commission.

MR. HOROWITZ: Good morning, everyone. I'm

1 Noah Horowitz, and with the Natural Resources Defense 2 Council, NRDC, an environmental advocacy group. I head up our Center for Energy Efficiency standards, 3 and I work both at the state, federal, and 4 5 international levels. I tend to focus on consumer electronics and lighting, and also new construction. б And in a prior life, I headed up the environmental 7 programs for Quaker Oats. So if you want to talk 8 9 oatmeal, I can do that as well. Thank you.

MR. GATTO: Hi. 10 I'm Dave Gatto, Westinghouse Lighting. Westinghouse Lighting, 11 we're -- don't let the name fool you. As some of you 12 have heard before, we're a small business, family-run 13 14 since 1946. In my past experience, I have worked at 15 the same company for the last 28 years, in a variety 16 of product and regulatory roles. The last five or six years have been mainly focused on efficiency 17 regulations, state and local, or federal and state, as 18 19 well as environmental programs.

20 MR. WOLF: Good morning, Mike Wolf from 21 Greenheck. To steal a little bit of David's 22 background here, I'm with Greenheck Corporation out of 23 Wisconsin, headquartered in Wisconsin. We have 24 manufacturing facilities throughout the U.S. and a 25 couple internationally, again family -- a first

generation family operation. Greenheck is the family name, and our most notable products are the fan, commercial fan- and ventilator-type products. But we do offer a full line of other HVAC-type equipment as well.

6 I got my start with Greenheck a couple of years ago, I guess, plus 30. I started at Greenheck 7 right out of college, and held a number of roles 8 9 throughout my time with Greenheck. It has been a good 10 I never anticipated getting involved in the ride. 11 regulatory type assignments, but for the past three 12 years, I've been drinking through a fire hose, 13 learning the whole regulatory process. Thank you.

14 MS. JAKOBS: Hello. My name is Diane 15 I work for Rheem Manufacturing, and I'm an Jakobs. engineer. And I've been -- I've worked on -- in a 16 number of areas at Rheem, in advanced R&D. 17 I've been an engineering manager for gas-fired furnaces. 18 I've 19 worked in government affairs. And Rheem 20 Manufacturing, we build water heaters, HVAC, 21 commercial refrigeration, and boilers. And we like to 22 say we control 65 percent of the energy consumed in 23 people's homes. So thank you.

24 MR. HON: Hello. I'm Charlie Hon with True 25 Manufacturing. I've been in the industry for 30

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1 years, and with True Manufacturing for over 20. We 2 basically manufacture commercial refrigeration. We do some residential refrigeration, but our focus is on 3 4 commercial refrigeration. We're a large company based 5 out of St. Louis, Missouri, and the suburbs. We have б multiple manufacturing facilities within the state of Missouri, and we are very active in a lot of different 7 areas as far as these different negotiations for 8 9 Thank you. years.

MS. ARMSTRONG: My name is Ashley Armstrong. I am the representative for the Department of Energy on ASRAC. I'm one of the two supervisors in the Appliance Standards Program. I mainly focus on the test procedure development and the compliance testing program, and dab a little bit in Energy Star.

16 MR. CYMBALSKY: I'm John Cymbalsky. I am not an ASRAC member, but I am the designated federal 17 officer for this advisory committee. Along with 18 Ashley, I manage the Appliance Standards Program here 19 20 at DOE since February of 2010. Before that, 20 years 21 with Energy Information Administration, mostly doing modeling of future energy use in the residential 22 23 sector.

24 MR. deLASKI: I'm Andrew deLaski. I'm the 25 executive director of the Appliance Standards

1 Awareness Project. ASAP is a coalition project that 2 has a membership that consists of representatives from consumer groups, some of which were here yesterday, 3 4 environmental groups, state government 5 representatives, and the utility sector. We're a б coalition that works to advance cost-effective energy efficiency standards at the federal level and at the 7 state level. 8

9 I've been with ASAP since its inception and worked on standards, oh, starting in the Clinton 10 11 administration, during the Bush administration, over 12 the course of the past eight years, and then currently 13 serving on ASRAC and involved in pretty much every DOE 14 proceeding and most state proceedings over that span 15 of the past 20 years. So I've been pretty deeply 16 involved in a bunch of rulemakings, some negotiated 17 through -- privately, some negotiated through the 18 ASRAC process, which I think has been very successful, 19 and we've seen a higher level of consensus around new 20 standards over the past, you know -- during ASRAC's 21 period than we have prior to that. So it has been a 22 gratifying experience for me to serve on this 23 committee, and I look forward to continuing it over 24 the next period.

MS. CLEARY: Jennifer Cleary with the

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Association of Home Appliance Manufacturers. And we
 represent manufacturers of home appliances, but, you
 know, clothes washers, other major appliances, your
 portable appliances like blenders, things like that,
 and also floor care.

I lead on the regulatory affairs, with the
focus being on Department of Energy, FTC, Energy Star,
and product safety at the Consumer Product Safety
Commission. And before that, I was counsel to AHAM
and also working on antitrust issues.

11 MR. WINNINGHAM: I'm Dave Winningham. Ι 12 work for Lennox International. I've been an 13 engineering manager focused on regulatory affairs for about the last five to seven years, and prior to that 14 15 was heavily involved in product development. Lennox 16 International is a U.S.-based HVAC and refrigeration organization, and I've been actively involved in ASRAC 17 and a variety of regulatory standard development for 18 the last seven years. 19 Thanks.

20 MS. MILLER: Hello. I'm Sofie Miller. I'm 21 a senior policy analyst at the George Washington 22 University Regulatory Studies Center. In that 23 capacity, I focus on all federal regulatory issues 24 that affect consumers. And more and more, over the 25 past several years, that has included energy

1 efficiency standards. And so I'm very, very pleased 2 to be here.

I recognize so many of your names from so 3 4 many comments that I've read, so it's such a pleasure 5 to meet you all in person. And as some background, my background is in economics, and I take an economic б approach to analyzing some of these federal regulatory 7 issues, with a special focus on retrospective review, 8 9 and also on heterogeneity between consumers and 10 different impacts for different consumer groups.

MS. SIM: Hi. Michelle Sim with SoCalGas. 11 12 My current role at SoCalGas actually changed from the 13 time that I was originally appointed to the ASRAC 14 committee, so currently I am the clean transportation 15 manager at SoCalGas. Previously, I was with the COSEN (phonetic) standards team, leading efforts in an 16 energy efficiency and efforts with the statewide COSEN 17 standards team in California. 18

19 SoCalGas serves over 21 million customers 20 from central California, from Visalia all the way down 21 to the Mexican border. Our interest is still to 22 advance energy efficiency in gas appliances. And I'm 23 happy to represent California and SoCalGas, so thank 24 you for having us.

MR. CYMBALSKY: Okay. So let's start again

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to my left with Kevin, and we'll go with name and 1 affiliation for the audience members. 2 MR. WASHINGTON: Kevin Washington, Illinois 3 4 Tool Works, government affairs office. 5 MR. BORYCA: Chris Boryca, Illinois Tool б Works, Charleston. 7 MR. ROSENSTOCK: Steve Rosenstock, Edison Electric Institute. 8 9 MS. HOOTMAN: Jill Hootman, Ingersoll Rand. MR. BOESENBERG: Alex Boesenberg, National 10 11 Electrical Manufacturers Association. 12 MR. WEEMS: Mike Weems, American Lighting 13 Association. 14 MR. PERRY: Chris Perry (phonetic), American 15 Council for an Energy Efficient Economy. 16 MR. McCRUDDEN: Charlie McCrudden, Daiken 17 U.S. Corporation. 18 MS. SEGRESS: Flora Segress (phonetic), 19 Whirlpool Corporation. 20 MR. ANDERSON: Kirk Anderson, NEMA. 21 MR. BUTLER: Kitt Butler, Advanced Energy. 22 MS. ANDERSON: Mary Anderson, PG&E. 23 MR. KUNDU: Bijit Kundu, Energy Solutions, 24 supporting PG&E. 25 MR. GOLLAPUDI: Chandra Gollapudi, Regal

1 Beloit Corporation.

2 MR. O'DONNELL: Kevin O'Donnell, Bluffton 3 Motor Works, L.A.

4 MR. IRVING: Steve Irving with Lutron5 Electronics.

6 MR. CATANIA: Tom Catania, consultant to the 7 Air Movement and Control Association.

MR. NEMTZOW: Hi. 8 I'm David Nemtzow. I'm 9 director of the Building Technologies Office here at DOE. And I just want to add my voice to Daniel and 10 11 Ashley's and John's in thanking you all for your time 12 and attention to this issue, which is at the heart of 13 what building technologies does and at the heart of 14 what DOE does. Thanks.

MR. COHEN: I'm Dan Cohen, General Counsel'soffice here at DOE.

MS. GRACE-TARDY: Ami Grace-Tardy, also inGeneral Counsel's office at DOE.

19 MR. BALLO: Tim Ballo at Earthjustice.

20 MR. ECKMAN: Tom Eckman Northwest Public 21 Power Council, former ASRAC member.

MR. BRUMEISTER: George Brumeister,
 president, Colorado Energy Group, Boulder, Colorado.
 MR. WHITE: Bo White, NegaWatt Consulting,
 supporting SoCalGas.

1 MS. GARCIA: Daniela Garcia, SoCalGas. 2 MR. RABA: Jim Raba, Appliance Standards 3 Program, DOE. MS. GOOCH: Leslie Gooch, the Manufactured 4 5 Housing Institute. 6 MS. BEIGAY: Kara Beigay, the Manufactured 7 Housing Institute. 8 MR. TRUSKOSKI: Eric Truskoski, Bradford 9 White Corporation. MS. DAVIDSON-HOOD: Caroline Davidson-Hood, 10 Air Conditioning, Heating, and Refrigeration 11 12 Institute. 13 MR. BRUNDAGE: Don Brundage, Southern 14 Company. 15 MS. JOHNSON: Stephanie Johnson, appliance 16 standards, DOE. 17 MS. EVEST: Catherine Rivest, appliance standards, DOE. 18 19 MS. WILLIAMS: Alison Williams, Lawrence 20 Berkeley National Lab. 21 MR. TYREE: James Tyree, office of 22 information and regulatory affairs. MR. FIBEE: George Fibee, office of general 23 24 counsel. 25 MS. CHRISTENSON: Sue Christenson

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(phonetic), Oak Ridge Utility District.

2 MS. BENJAMIN: Sasha Benjamin, Oak Ridge3 Utility District.

4 MS. MENEES: Sydney Menees, office of 5 policy.

6 MR. CYMBALSKY: And last but not least --7 this is a good segue for our next segment of the 8 meeting.

9 MS. KESTENBAUM: Hi. I'm Rachel Kestenbaum. 10 I'm also in the general counsel's office. I'm going 11 to pass around some handouts.

MR. CYMBALSKY: We're not going totranscribe the ethics briefing at this time.

14 (Whereupon, a brief recess was taken.)

15 MR. CYMBALSKY: Okay. So one piece of ASRAC 16 business before we get into more of the subject matter content. As you know, the committee has always had 17 two cochairs. And since John Caskey rotated off the 18 committee about a year ago, we only have Andrew as a 19 20 chair by himself. But I'm happy to announce we have a 21 new cochair, and that's Dave Gatto of Westinghouse. 22 He has agreed graciously to take on a role as cochair.

23 So we look forward to Dave and Andrew 24 working very closely together in terms of managing the 25 committee just a bit in terms of its direction.

So with that, we'll go back to our agenda that I can't see on the screen at the moment. But basically, what we're going to talk about is what ASRAC has done in the past, a little bit of an overview, since we do have a couple of new members who haven't quite participated fully in the past.

So the committee over the past few years,
the focus has mainly been forming and executing
working groups to negotiate standards, test
procedures, compliance, things like that for a variety
of products.

I think by and large everyone would agree that this has been very successful. I think DOE has been very appreciative of the work of both the working groups that ASRAC forms as well as ASRAC writ large to pass along to DOE these recommendations for product efficiency standards or compliance requirements, et cetera.

Having said that, I know there has been a few -- I think so far we've done about a dozen of those, as I recollect. And there has been a few outstanding. I know that we finished some work back at the end of 2016, and there is some recommendations that ASRAC has forwarded to DOE. And I know Daniel in his opening remarks mentioned that DOE is still

contemplating these outstanding items, and we continue
 to do so and consider as we go through time.

We've also tackled some other issues as we 3 4 I think one big issue that ASRAC had went along. 5 contemplated was looking forward into the future, the idea of -- we have a bunch of product standards, but б 7 was there a way to do more of a systems approach to how we regulate different products. And so we formed 8 9 a little committee, and I know they did some work over the past couple of years. That could be something 10 that we're still interested in, and we could talk 11 12 about today some more.

13 Of course, we're definitely interested in 14 any new ideas that the committee wants to bring to 15 everybody's attention. As you also heard Daniel 16 mention in his opening remarks, that the process 17 reform meeting yesterday and all the comments that we will receive in the next couple of weeks into that 18 docket, I think we have great interest for ASRAC to 19 20 also comment, either individually or as a group, in 21 terms of that RFI that was issued last month.

22 So I think that's something that all of us 23 as ASRAC members should keep in mind and think very 24 hard about how any process improvements through that 25 RFI is something that ASRAC should comment on.

And let's see, what else? So other recent notices that DOE has put out, I think what you've seen a lot of recently are RFIs relating to test procedure amendments. And so we've issued, I don't know, about a half dozen or so of those over the past few months, and we continue to work towards proposals in that space.

8 We've also issued a final rule on lighting 9 products recently. I think some people may have seen 10 that. And we continue to work on other rules as we go 11 through our regularly scheduled rulemaking activity. 12 So that's sort of where we are at the moment. I think 13 maybe I'll turn to Andrew to see if he has anything, 14 or Dave as cochair, if you want to add to any of the 15 history of the ASRAC. You've been here since the 16 beginning, so --

MR. deLASKI: Sure. I'll just add my welcome to everybody, and especially to the two new members. Welcome to the committee, and to those who have been reappointed. I couple of people just were reappointed, I think, so Diane and others.

And I'm glad to have a cochair again. Thank you, Dave, for stepping up for that role. It's not a very significant additional burden in the sense that the Department -- well, he runs the committee, but to

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the extent that we help with the agenda, if there are things that people want to see on the agenda for the meetings, you know, please raise it with John, with me, or with Dave, and we'll be sure to, you know, help make sure that we have an agenda that reflects the interests of the members.

And I -- you know, according to the agenda, 7 I hoped to have some Q&A time with the deputy 8 9 assistant secretary, but apparently his schedule didn't allow for that, so we'll -- a number -- since 10 we haven't met for a year, there has been a lot of 11 12 water over the dam in that period of time. And I know 13 that a number of members had been asking for meetings. 14 But again, the Department controls that in the end, 15 so it's up to the Department, you know.

16 But I'm really happy to see that the 17 Department has decided that the committee will continue because that ultimately wasn't a clear thing 18 to I think all of us, you know, going into this year, 19 20 the change of administrations. There is a question 21 about whether the committee would continue. And T 22 think, you know, I'm very happy to see that the new 23 administration has decided to continue the committee. 24 And I think that wasn't necessarily a slam-dunk decision, right? I think it was there are people in 25

1 this room who asked for the committee's continuance, 2 people who are in the audience, people in industry who recognize that the negotiation process -- that we've 3 4 come a long way in terms of the process for developing 5 appliance standards, and that one of the major innovations, major success stories, I think, of the б past few years has been the normalization of using 7 negotiation in a structured, planned way that is 8 9 predictable, that has led to a process that is more transparent -- all the meetings are open to the 10 11 public.

12 Anyone in this room or outside of this room 13 can participate in all of the meeting. And it has 14 been a process that I think has been -- led to better 15 outcomes, and -- than we would have been able to 16 achieve. And if people simply sit back in their offices and throw comments in over the transom, the 17 Department processes it, and then something comes out 18 19 the other end, without having sat in a room like this 20 and looked each other in the eye and talk about 21 things -- you know, talk things through, and getting 22 to outcomes that benefit the public, that benefit the 23 manufacturing sector, and that ultimately deliver the energy conservation benefits that the statute was 24 designed to create in ways that, as Daniel said, 25

reduce regulatory burden, still achieve (phonetic)
 Congress' mandate to set standards that are
 technologically feasible and economically justified.

So I am very optimistic that we can continue that progress over the next several years. What would be a shame is if the program freezes up, right, because we've all experienced periods -- over the past dozen years, the program sort of moved forward with a very pretty predictable cadence, starting with Secretary Bodman's tenure here at the Department.

11 There was a commitment to a schedule, and 12 the Department did a good job of sticking with that 13 schedule. Really starting in about 2006, there was a 14 consent decree and a lawsuit. There was a schedule 15 given to Congress. There was a commitment to a 16 schedule. And that schedule was largely caught up on over the past dozen years, with most of the final 17 action happening during the past eight years. 18

And what would be a shame is if things freeze up because then what you get is you get a bunching up of overdue deadlines, and then at some point, a lurching forward again. So you end up with lulls in regulatory activity followed by speedup of regulatory activity. And my friends in industry don't like speedups of regulatory activity. I've heard that

1 time and time again.

2	So how do we keep going with a regular
3	cadence of predictable improvements achieved through
4	open public processes that benefit the public and
5	provide predictability to manufacturing sector is
6	really to me the charge for this committee, and one
7	that I hope that we can continue to work in a
8	collaborative way on over the next few years.
9	I got a couple of big questions that I hope
10	we can wrestle with today, which is no, one of
11	which is I think I appreciate Daniel Simmons' words
12	and statements in support of negotiation. What we
13	need to know, is the Department prepared to move
14	forward on new is the Department prepared to
15	support new negotiations. And we have one on the
16	agenda today, so we'll find out. And is the
17	Department willing to act on previously-negotiated
18	agreements, you know, because if we aren't you
19	know, that's sort of a threshold question that we have
20	to we didn't get an answer to, because, you know,
21	then, you know, for obvious reasons, if there is not a
22	commitment from the Department, then what are we doing
23	right here?
24	And then the second question I have is a
25	question about the schedule. I appreciate the

1 repeated commitments to meet statutory deadlines 2 iterated -- reiterated before Congress yesterday. Yet we saw in the unified agenda published last month a 3 schedule that took 20 standards and moved them --4 5 standards activity and moved them from active status to long-term status, 17 test methods moved from active б status to long-term status with a next step TBD, many 7 of which have legal deadlines that have passed. 8 9 Others have legal deadlines coming up.

10 So one of the ways that we succeed in 11 negotiation is because we know that if we don't 12 negotiate, the Department is going to act anyway. But 13 if the message from the Department is, well, we're not 14 sure we're going to act, we said we're going to meet 15 our deadlines, but yet we publish a schedule that has us missing all of our deadlines, how do we behave? 16 What are we to make of that? 17

18 So these are my two big questions. One is the Department's willingness to move forward on 19 20 negotiated -- previous -- move forward on negotiated 21 agreements, and two, a commitment to a schedule, 22 because if we don't have a commitment to schedule, 23 then what is to bring people to the table? Because 24 some folks will just say, well, I'll just wait because maybe nothing will happen until it all gets bunched up 25

1 into some future date.

2	So these are to me kind of gating questions
3	for this committee. And I guess I had hoped to get
4	some guidance from Deputy Secretary Simmons. David or
5	John or Ashley, if you could provide us some guidance,
6	I think it would really help the committee's business
7	today. Or if other committee members want to weigh in
8	on this or other questions.
9	MR. CYMBALSKY: Yes. I think we should hear
10	from all of the committee members who want to speak to
11	these issues or any other issue here at the onset, and
12	have a dialogue here.
13	MR. GATTO: I guess before that because
14	I'll probably want to participate in that dialogue.
15	Thank you, Andrew and John. You know, I appreciate
16	it. I feel a little honored. Hopefully, it will not
17	be a huge lift just with the amount of regulatory work
18	I have on my plate. But I was very excited to be able
19	to join the ASRAC committee. I see a huge value in
20	negotiated rulemakings where they make sense.
21	We've had limited opportunities to do some
22	other issues on the lighting side where we couldn't
23	really bring in ASRAC. And I think we might have had
24	some different and maybe even better outcomes if we
25	had been able to do that. We tried to do it outside,

but really kind of like you said, Andrew, without
 certainty, there are too many competing voices about
 do we wait, what do we want, what don't we want.

4 And so I -- I think the structure that ASRAC 5 brings to that, where we are at a negotiating table, б and there is a knowledge that what we do here will, depending on the answer John or Ashley gives in a 7 minute to Andrew's question, that DOE will take action 8 9 on that. And I guess the only thing that I would say is that I'm -- you know, I'm looking forward to it. 10 11 So hopefully Andrew will be able to tell me if I'm 12 steering the bus too far left or right, and I look 13 forward to working with you.

14

MR. CYMBALSKY: Diane.

15 MS. JAKOBS: So this is Diane Jakobs from 16 And as an engineer, I'm on, I don't know, like Rheem. 25 committees, and I've worked on a number of test 17 procedures for safety and for energy consumption. 18 And 19 I think that that is the most important part, that we 20 do that energy consumption levels and the energy 21 efficiency level, it's really dependent on the test 22 procedure. And I'm hopeful that we -- and it's very detailed, difficult work. 23

24 So I'm hopeful that we can move forward 25 working on these test procedures, if that's the only

1 thing we can do now. I'm not sure what will happen, 2 but I think we've -- as manufacturers over the number of years that my company has sold regulated products, 3 4 we have made significant improvements. I mentioned we 5 use 65 percent of the energy in someone's home. It's a -- one of the main attributes that we use to sell 6 our equipment, and we -- I think some of the test 7 procedures have fallen behind, and the losses that 8 we're accounting for aren't losses anymore, and the 9 controls are significantly different from what the 10 11 test procedures assumed in the 1970s. And there is a 12 lot of progress we can make.

So I hope that we'll be able to move forwardon the test procedures. Thank you.

MR. HON: Charlie Hon. We have a couple of points we would like to make because as a company, we have a set of products which should be up for -- under the next round of rulemakings at this time, and we have not heard any activity on that because the DOE has been slow to respond on some of the discussions.

But what I get concerned about is if we get off-schedule and we start bunching, as Andrew said, we end up compressing time windows. The rulemaking gets very difficult because we don't allot enough time to think through every step because a lot of times in

negotiated rulemaking, we come to one meeting. People
 leave. They go back to their offices and find flaws
 in the previous discussion. And if we don't have
 enough time to really do every step in a nice, orderly
 manner, we will do less of a quality job.

I think that's part of our responsibility here, is to make sure that we can do it in an orderly manner to get the best possible results. And the only way that happens is on a time schedule, which is very predictable, very understandable, and has a reasonable amount of time to cover the topics, especially in the order at which they should be done.

Test procedures should be done before energy efficiency standards are set because if we don't know what we're testing to, how do we know what the energy levels would be -- how it would be maintained. Thank you.

Hi. Noah Horowitz with NRDC. 18 MR. HOROWITZ: First, this is my first meeting, and I've been 19 20 watching ASRAC's activity from a distance with many of 21 my staff and colleagues participating, and it has been 22 a very effective forum for providing even more 23 creative solutions and delivering the savings that 24 we're all seeking here.

25 I want to express my support for the

comments Andrew deLaski just made, and in particular we should keep the train moving in a smooth fashion that's predictable and understood by all. And I too am struck by the potential inconsistency of the agency saying we intend to meet all of our statutory requirements, yet what has shown up in the unified agenda, it doesn't seem like things are moving.

8 So to the extent today or shortly thereafter 9 we could get further clarity, that of course will 10 influence the direction and activities of ASRAC. 11 Thanks.

12 MS. CLEARY: Jenn Cleary with AHAM. You 13 know, I support the, you know, Appliance Standards 14 Program's continued, you know, commitment to meeting 15 its deadlines. I think that as far as this committee 16 is concerned, some products may be ripe for 17 negotiations, as we've seen in the past, and others may not. So I hope that where product categories are 18 ripe for standards negotiation that this committee 19 20 continues to be involved in directing those and 21 facilitating them.

And for the others and also for those that will be on a negotiated schedule, you know, I think that as we talked about, you know, a couple of years ago, this committee can be active in helping DOE

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develop schedules or mechanisms for schedules that 1 2 will ensure, as Charlie was discussing, test procedures are done first, that statutory deadlines 3 and other obligations are met, and also that the 4 5 Department's resources, which, you know, I think we're б learning how those may be allocated -- hopefully, you'll be sharing that with us after this discussion, 7 but that those resources can be allocated to the 8 9 rulemakings where they're most needed.

10 And I think that was discussed by a number 11 of parties at yesterday's process rule meeting, so 12 perhaps there will be overlap as the process rule 13 evolves. But I would hope that as we discussing, 14 those kinds of time lines and schedules and this 15 committee's involvement in that, that we could 16 continue on that pathway as well.

MS. SIM: I think -- Michelle Sim, SoCalGas. I think this committee plays an important role in setting standards nationally, and it affects -- and in California -- I think, Kristen, you can agree that it affects California in a significant way as well.

22 But it helps to predictably set a specific 23 standard in a structured format for industry that I 24 think as a utility it helps us to set goals. It helps 25 us to facilitate -- I guess achievable goals for our

customers as well. And that's an important aspect of
 what we do as utilities in supporting our customers,
 and in a lot of R&D work that we do with industry.

4 So having this continue in this structured 5 format I think is very helpful, and we do support 6 that, so hopefully this will continue.

7 MR. CYMBALSKY: Do you want to dovetail on 8 that --

9 MS. DRISKELL: Sure. Kristen Driskell, 10 California Energy Commission, agreeing that the 11 federal standards definitely affect California. One 12 of the things that I've seen in previous ASRACs -- I 13 mean, this is my first meeting, but I've watched again 14 from a distance for a while -- is having some sense of 15 priority driven by the statutory deadlines. And so 16 we've had schedules put out that show when things are 17 coming up, and then how do we prioritize among those things, acknowledging that, as Jenn mentioned, some 18 products are more ripe for negotiation than others, 19 20 and maybe test procedures are one of the highest 21 priorities, it sounds like, from this group.

And without that kind of schedule, I don't know how we are able to prioritize what we do here. And so I think it would be helpful to understand what our priorities are and what the Department's

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priorities are so that we can be productive and not spend too much time just talking about things, but actually get to work.

4 MR. CYMBALSKY: Dave? 5 This is Dave Winningham, MR. WINNINGHAM: Lennox International. Kind of dovetailing to the б group's conversation here, but particularly to 7 Andrew's comments, I think it would be particularly 8 9 helpful to understand kind of DOE's view of kind of the role that they expect out of this committee. 10 11 Obviously, Daniel made a statement today for support, 12 but in what role and in what capacity, and how can we 13 be most effective to help DOE meet those statutory 14 requirements in an effective manner, you know, that 15 minimizes the adverse effects of some of the typical 16 notice and comments.

Yesterday, in the process rule -- you know, there was a process rule meeting for those who attended -- we kind of heard some of the horror stories of the past, of various rulemakings. And I think we also heard over and over again that the negotiated approach -- and we heard ASRAC suggested several times as a preferred process.

And I think that what shows is we're taking the learnings from some of the things that may not

1 have worked, and through ASRAC or an outside

2 negotiated -- and using those learnings to be more 3 effective and come up with rules that work for the 4 consumers, for industry, and for, you know, the nation 5 as a whole.

6 So I think having a view of how we can best 7 utilize our resources and prioritize and help the 8 Department achieve its goals in a manner that -- you 9 know, that -- you know, it's difficult to have all in 10 agreement, but you can -- I think through the work you 11 can collectively get most, and make some significant 12 progress.

13 MR. CYMBALSKY: Mike.

14 MR. WOLF: So I too agree with everything 15 that has been said here. I guess, Andrew, I don't 16 know -- I'd like to maybe provide an update on what 17 has happened with regard to the commercial industrial fan rule, the term sheet that we approved in one of 18 19 our last -- in our more recent last meetings. Thev 20 weren't recent, but it was one of the last meetings we 21 had. I don't know if this would be a time to do that, 22 or if you'd like to do that later in the agenda. 23 MR. deLASKI: That makes sense to me. 24 MR. WOLF: Okay. So for our industry and our company, we've now lived through the regulatory 25

things, so this has all been kind of new ground for us. And what has happened since this administration has come in and we've kind of tapped the brakes on what this committee has been doing, is California has now taken up the regulatory exercise for commercial and industrial fans and blowers.

I understand New York has announced plans to 7 do something similar. I anticipate that probably 8 Oregon, Washington, Massachusetts, and others will 9 follow suit at some point. And now what we're left 10 dealing with is instead of a single ASRAC or federal 11 12 regulation, we're dealing with the state of 13 California. And we anticipate we'll be dealing with 14 the state of New York next.

15 I'm sorry, Kristen. I didn't mean any 16 disrespect there. Ashley, you're smiling at it. I've enjoyed my discussions with Kristen, and the work is 17 18 going well. But my point is that it's going to be 19 multiplied, okay? Instead of dealing with just one 20 regulatory requirement, you know, we may end up with 21 multiple requirements, which are going to -- it's 22 going to be more burdensome not only to my company, 23 because there is only one of me doing this right now 24 and, you know, prior to me doing this, we didn't have anyone. But, you know, I can keep track of -- you 25

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1 know, kind of keep track of Ashley and John, to some 2 degree. But if I need to now start tracking multiple states, it will -- you know, there is going to need to 3 4 be another one or two of me created within my company, 5 which will add extra cost and burden to what we're б doing, on top of the fact that now we won't, you know, 7 potentially have consistent requirements or even test requirements across states. 8

9 So we may end up, you know, having to 10 develop, you know, products and register products that 11 are inconsistent -- you know, have to meet different 12 requirements for different states. So that's going to 13 add additional burden.

14 And I want to go on to say, too, that, you 15 know, for our industry, our -- my company is 16 relatively large compared to many of our competitors. A lot of our competitors -- as far as I can recall, 17 the major ones are, you know, relatively small, 18 19 family-owned companies. They do not have people --20 you know, not that I'm anything special, but they 21 don't even have someone like me that they can afford 22 to assign to keep track of what is going on with these 23 various regulations.

24 So bottom line is there is going to be 25 more -- you know, more burden from -- in terms of

1 tracking the regulations and participating in the 2 regulatory process. There is going to be more burden associated with the fact that we may have to develop 3 4 products to meet multiple regulations. And at the end 5 of the day, you know, that's not good for the б manufacturers. I don't believe it will be good for 7 the consumers in the long haul because, you know, all these costs ultimately get passed on to the consumer. 8

9 And, you know -- and the other thing is I'm 10 not even sure in some cases, you know, depending on 11 how the analysis is done, you know, what the true 12 energy savings impact will be if -- you know, if the 13 regulations are not done properly. And this group, as 14 we've discussed and others have mentioned, you know, 15 we have the process kind of down.

16 There has been a lot of learnings, I think, 17 that we've been able to benefit from over the years, 18 work that has been done. So it would be a shame to 19 see that stop. Thank you.

20 MR. HON: I'd like to just put a little bit 21 of historical history as far as products and things 22 we've dealt with. This is Charlie Hon. Michael just 23 made some references, but to give you an -- I think 24 sometimes we need to look at the real -- look back in 25 history to learn from it.

1 Commercial refrigeration in the early Okay. 2 2000s was a non-federally-regulated product. California started in the '70s regulating our product. 3 4 In early 2002, it became very serious. Energy Star 5 was involved into it at that level. We were one of the original Energy Star partners in the projects. б But at that time, we got to the point where we had 17 7 states with 4 different regulation levels, and every 8 9 one of them required individual certifications to the 10 states.

11 So we were reporting -- build a new unit, 17 12 reports going to 17 locations. And so the industry as 13 a whole decided this was not a good functioning system, and we all agreed to it because it was just a 14 15 terrible burden. So we went to the government and 16 asked for federal requlations to cover our products so that we had a single goal, a single target, and a 17 well-understood test standard because test standards 18 19 were not the same either.

20 So we as an industry entered in and asked 21 the government for regulations, not like some 22 industries, which get drug in. But we asked for it in 23 the mid-2005, 2007 regulations. And we got them. 24 That was the reason for that, because it solidified a 25 system. It made it clear. It made it understandable.

In our industry, there is at least 90 different
 competitors in our product classifications.

So there are so many different entities. 3 4 It's not like driven by the auto industry, where you 5 have 10 or 12 players. We had 90-plus. And some of б those were beyond that -- are not being counted right now because they're so low-level, they're under the 7 radar screen. But it clarifies the issues. We wanted 8 9 it, we needed it. And one of the things that people tend to think is everybody runs around saying, we 10 11 don't want regulations.

12 Sometimes regulations are necessary. Ιt 13 makes things at a level playing field. It controls improper importation of products which don't meet the 14 standards. It makes it much clearer how to handle 15 16 products. So we need to keep that level of 17 consistency going. We need to have those deadlines clear. We need to have a workable way to make sure 18 that everybody can participate, and this committee we 19 20 see as one of those most efficient ways to do that.

21 MR. WOLF: This is Mike Wolf, Greenheck. 22 One thing I'd like to just tack on to Charlie's 23 comments is with regard to the international front. 24 Two things there, I think, that, you know, are 25 advanced with a national regulation. One is it does,

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as Charlie, I think, alluded to, create a level
 playing field for, you know, foreign companies
 importing into the U.S.

But I think more importantly, at least in our situation, the rest of the world watches what the U.S. does. And if we have a national regulation, there is a good chance that other parts of the world will adopt that, which then in turn allows us as U.S. manufacturers to compete better on a global scale as well.

11 So, you know, it goes beyond just the 12 states, but on the flip side, it expands into the 13 international realm as well from a -- you know, an 14 advantageous point for everyone.

15

MR. CYMBALSKY: Dave.

16 MR. GATTO: Yeah. Thanks, John. Dave So I just wanted to -- a slightly different 17 Gatto. perspective. We're -- and this is not new 18 19 information, but part of why I was interested in and 20 decided to join ASRAC, and part of why -- my earlier 21 comment about national standards and negotiated 22 rulemakings was that many of the states act -- and, 23 Kristen, I won't speak for you. But most of the time, they're acting in the absence of a federal rule 24 because they're trying to address conservation needs. 25

And so we respect that, but we have found 1 2 that in addition to the burden -- and it's a huge burden, having to comply with multiple regulations at 3 the state level -- there is a larger efficiency pickup 4 5 nationally if we have a single unified standard б because one of the things that will come out of multiple state standards is that, as Charlie said, we 7 end up developing products for individual states. 8

It's nothing against the state, but if those 9 products are more expensive or not in the interests to 10 consumers and other states, we're not going to only 11 12 carry those. It diversifies our investments. Tt. 13 results in wider product lines on common items than we 14 need, and less investment in specialty products, niche 15 products, where some of the best -- particularly in LED, for those of you don't know, you know, that we're 16 in lighting -- particularly in LED, where some of that 17 18 investment money can go into categories that aren't currently getting the attention they need because 19 20 we've got -- you know, we're trying to get the core 21 products, the things everyone will want to buy.

So I do agree. I think that there is value in the negotiated rulemaking. But part of that is because you wouldn't have states having to spend the time on that. And test procedures -- you know, Diane

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1 said it. I made my comment yesterday in the process 2 rule. It came up during the fan rulemaking. The 3 amount of effort that DOE did -- and we appreciate 4 that you did a lot of juggling to try and get the rule 5 right.

6 But the reason that was necessary is because 7 we weren't sure what the results of the testing would 8 be while we were already setting standards. And so 9 getting that timing down, I think, is really 10 important.

MS. DRISKELL: Kristen Driskell, California Energy Commission. Kind of following up on Dave's comments, energy efficiency standards -- and I think Michelle mentioned this -- are critical for state energy planning, and especially in California where we started setting standards for state energy planning purposes.

Whether those standards are set at the state 18 or federal level is kind of the same to us. 19 WΘ 20 support it either way. And so hearing Dave's comments 21 that it's easier for manufacturers at the federal 22 level, I think that's something we should take to 23 heart -- we will help any way we can by setting state 24 standards, if not set at the federal level. But it sounds like it might be better to do it that way. 25

MR. CYMBALSKY: Sofie, you want to provide a
 perspective that might be different from the
 manufacturer heavy conversation we've been having?

4 MS. MILLER: Yes. I'm happy to. One thing 5 that struck me -- thank you, all. This has been very б informative, and I appreciate it. One thing that struck me was that particularly with Andrew and Noah's 7 comments about looking at the unified agenda and how 8 9 it doesn't look like certain things are set to schedule, and wondering what will happen then for 10 11 meeting those statutory requirements -- and because I 12 work on a variety of federal regulatory issues, I have 13 been monitoring perhaps most closely than some, some 14 of the requirements of, for example, Executive Order 15 13771, and then the following executive orders, and 16 how YI (phonetic) and OMB are organizing with agencies in order to full some of those new obligations. 17

18 And one thing that strikes me -- and you can help me -- help to clarify if this is outside the 19 20 scope of our discussion. One thing that strikes me is 21 that if that -- if meeting some of those constraints 22 is an obstacle to the Department for continuing on its 23 rulemaking and being able to meet those in a way that 24 meets statutory deadlines, then maybe we can be thinking about how to help the Department meet those 25

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obligations so that we could move forward in a way
 that does meet those deadlines.

But again, because I think about a lot of different federal regulatory issues, it's possible that some of that thinking is outside the scope of this discussion. But that's what strikes me as being perhaps productive or thinking about what are some of the constraints on the Department that we could help the Department meet in order to move forward.

10 MR. deLASKI: So that's -- I hear a lot of 11 good thoughts here, and what I'm pulling out of this 12 discussion is, you know, a little agenda setting, 13 right? And this is a nice agenda item. It's really a 14 question that goes back to the Department, but that 15 also is -- what I'm talking here is some ideas for how 16 to flesh out some potential agenda for work for the committee. 17

I think this is one idea. What are the 18 things -- is the committee a good place to think about 19 20 how can we meet -- how can we help the agency define 21 how to meet the obligations of the recent executive 22 orders, while also complying with the law, right? 23 Because the statute prevails over executive order, 24 right? So they have to comply with both. And maybe they're between a rock and a hard place. They are 25

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between a rock and a hard place, right? So how do we help them get out of that spot? Is there a role for the committee?

4 I heard Jenn mention perhaps today we talked 5 a little bit about flagging what are some of the б products that may be things that we've been thinking about as a target or as a potential subject for 7 negotiation. Some things are more likely to be a good 8 prospect than others. You know, perhaps we should 9 10 float some of those to get the juices flowing as to 11 what those things are if people want to float some 12 ideas. That has happened in past meetings where 13 people say, oh, well, maybe we should be thinking 14 about this product or that product.

15 I heard Diane mention test procedures, you 16 So are there particular test procedures -- in know. yesterday's discussion, we had a little back and forth 17 over whether or not test procedures really were a good 18 topic for ASRAC committees or really belonged in the 19 20 providence of more narrow technical meetings like 21 others that you serve on, right? Or is it really an 22 ASRAC topic?

But maybe there are some test procedure topics or certification topics, things that aren't necessarily new standards, but that are obligations of

1 the Department that may in fact dovetail nicely with 2 what Sofie mentioned that may end up helping them to meet the obligations under the executive orders 3 4 because in fact they may look like deregulatory 5 actions, right? They may look like easing the regulatory burden if you are doing things in a б different way, you know, that is developed through 7 consensus, right? So this could be a way to be able 8 to help the Department advance -- you know, to also 9 meet the obligations under some of the executive 10 11 orders.

At the same time, I would like to -- I think 12 13 we do need to continue to learn from the Department -you know, there are a number of outstanding, you know, 14 15 previously negotiated agreements that are out there So we need to learn -- I guess this would be 16 still. 17 another agenda item I would suggest, is, you know, what is the status of those items, and maybe just 18 report back from the agency today. 19

20 Circulators is a term sheet that was 21 completed in 2016. Under the terms of the term sheet, 22 there was supposed to be a final rule by last month. 23 There has been no action. All right. So this is a 24 finished term sheet. A lot of people, including some 25 from my organization, spent a lot of time on that

project. We got an agreement that was fully supported by circulator manufacturers. They've asked -- they followed up with the agency since then to ask if the rule be proposed. And there has been deafening silence.

6 All right. So this is a term sheet that is 7 now in limbo. So we've got to find out what is 8 happening with that, and what are the agency's plans 9 for the circulator term sheet.

10 Commercial package air conditions, there is 11 a term sheet that a bunch of us worked on, a number 12 people in the audience. I see Rusty back there, who 13 was the -- played a big role in making that thing come 14 together. Dave, others from the commercial AC 15 industry -- that term sheet includes -- that agreement included a term sheet -- included term recommendation 16 number two under which the agency committed, which 17 committed -- under which the agency would initiate no 18 19 later than January 1, 2016, a rulemaking to address 20 fan energy use to better represent total fan energy 21 use, and it goes on for about a paragraph, the 22 specifics of the term.

And that's supposed to result in a final rule for improved test method to Diane's point -- your (phonetic) test method topic that people could be

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working on by January 1, 2019. There was an RFI last summer that raised some of the issues, kind of obliquely, but it hasn't been taken on head on, and where you're out from what that term sheet calls for a final rule.

6 I don't think there is an AHRI committee 7 working on this, but I could be wrong. You know, so 8 what is happening on that? So that's a topic that 9 we'd like to see move forward because if we're going 10 to work on new terms, new negotiations, we have to 11 have commitments to follow through on the prior 12 negotiations.

13 If there is no commitment on those, then, you know, why should we -- again, back to that first 14 15 question. Why should we be working on new ones if 16 you're not going to follow through on the old ones, if all of us aren't going to follow through on the old 17 ones. Fans -- I'll let Mike talk to fans. 18 He already He's part of that working group. But there was 19 has. 20 a term recommendation number three on the fan term 21 sheet that also had to do with test methods.

22 Walk-in coolers, recommendation number 23 six -- I'm really pleased that there has been an AHRI 24 working group working to address the walk-in cooler 25 topic. Dave's company makes those products, amongst

others in the room, I think. And we've been
 participating in the AHRI committee, and DOE is
 participating in that committee as well.

4 So that's one where I'm seeing -- you know, 5 it's not happening at DOE necessarily, but there was б progress towards addressing that term because of the sustained commitment of the industry in that 7 agreement, as well as the agency. So I presume there 8 9 will be some point where the agency opens up a rulemaking to carry out -- to make that industry test 10 11 method that's being developed through that process into a new federal test method to underlie the walk-in 12 cooler standards or a future iteration of them. 13

14 So that's one that's -- seems to be working 15 pretty nicely, and perhaps is a model for the 16 commercial AC one.

Dedicated-purpose pool pumps, term number -recommendation number nine, DOE should investigate a label that would facilitate proper application and include specified horsepower information. I don't think that work has been begun, but it's something that should be followed up on.

Also coming on, dedicated-purpose pool pump discussion -- there was, I think, agreement that there should be, you know, work on pool pump replacement

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1 I think that has been happening behind the motors. 2 scenes. The industry has been working to advance that. And I hope the Department remains receptive to 3 4 -- I know there was a public commitment to be 5 receptive to that, and I want to just reinforce that б because part of the commitment on the part of the pool pump manufacturers to stand with that term sheet was 7 an understanding, as I interpreted it, that the agency 8 9 would move forward to address the replacement pool 10 pump motors.

11 So there needs to be follow-through on that 12 commitment as well. Again, we need to continue as a 13 committee to bird-dog the prior term sheets to make 14 sure that they're followed through because, again, if 15 we can't -- if people don't follow through on their 16 prior commitments, how can we -- how can future 17 commitments have any meaning?

So I don't know, John and Ashley, if you 18 have any update, any further updates, on those 19 20 And, you know, perhaps we can use -- have matters. 21 some discussion on that. Perhaps we could have some 22 discussion on potential future topics. As another 23 potential future topic, I also would suggest that --24 well, at some point, we should come back to these crosscutting issues, things that affect all 25

rulemakings, and get a little further into detail on
 those.

3 MR. CYMBALSKY: Okay, so, I think Ashley 4 will speak on behalf of the Department based on what 5 she's heard. I will say, in 1986, I took a course in 6 differential equations and I didn't like it very much, 7 and then Sofie just reminded me how much I didn't like 8 it.

9

12

(Laughter.)

MR. CYMBALSKY: I'm glad I don't have to do any differential equation work today.

MS. MILLER: You're welcome.

13 MS. ARMSTRONG: So thank you all for your 14 comments and your questions. I'm going to eloquently 15 try to answer some of them, while others we may still 16 have to take back and may still be more part of an open item for us to get back to, but that being said, 17 I do want to reiterate something that I think is very 18 19 important, as in we do appreciate the work you do. We 20 appreciate the time, we appreciate your efforts, and 21 we appreciate your participation in this committee.

As Daniel mentioned, the committee is still important to the new administration, so we look forward to your continued roles in guiding the appliance standards program and any new ideas you may

have. As Daniel also mentioned this morning, we are
 still committed to meeting our statutory deadlines.
 We are actively working on lots of different data
 collection efforts to help inform our next steps,
 whether that be on complex test procedure issues or
 complex analysis issues.

There is still a lot of behind-the-scenes 7 work to that, and you've seen a number of RFIs raising 8 9 issues for feedback with regards to test procedures. We are being very mindful of the new administration's 10 11 priorities to reduce regulatory burden and protect 12 consumers. To that end, we are very interested in 13 ASRAC's thoughts on those. That being said, we're 14 also interested in ASRAC's thoughts on how to better 15 engage industry test procedure committees.

16 This has come up in the past before with regards to ASRAC and how ASRAC can assist both DOE's 17 and others' involvements in industry test procedure 18 committees to end up with a better product and help us 19 20 meet our statutory deadlines by being able to use 21 industry test procedures and rely on them fully more 22 often. Andrew mentioned walk-in coolers and freezers. 23 I actually think that's a great example.

I've been fairly intimately involved in that one. Industry has really come to the table. AHRI has

been great and responsive with overseeing that committee, setting schedules, setting deadlines. The Department has provided support, as well as is doing some research to help support the committee's work there with some testing, external. I think that's a great example.

There's been other ones that don't work so 7 well, and so perhaps one of the things ASRAC can talk 8 9 about is, how do we encourage all test procedure committees, industry test procedure committees, to 10 11 work in that type of manner? And I will -- I think 12 it's important to note, AHAM is also working with the 13 Department in opening their committees to working with 14 the Department in providing new revisions as well.

15 So we're just starting that process, but I'm 16 equally as encouraged that that one will work as well as some of the walk-in ones. So, that being said, 17 another thing I think we would ask for ASRAC, and 18 perhaps one of the things for the agenda items, is 19 20 ASRAC's thoughts on the process rule discussion from 21 yesterday. So, John mentioned this earlier, and 22 Daniel mentioned it at the outset, whether you file 23 comments as individual companies, or if ASRAC has 24 overall recommendations that they'd like to provide to 25 the Department.

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1 You've had the firsthand experience of some 2 of the negotiated rulemakings, their impacts, how they work, how those committees -- so, I think we'd be 3 4 interested in those types of thoughts as well. Just 5 lastly, overarching, I think we'd be interested in б ASRAC's -- wherever we can help identify opportunities 7 to guide the Appliance Standards Program while being mindful of the new administration's priorities, and 8 9 the fact that we are still committed to meeting our statutory deadlines. 10 11 So with that, I think it's probably a good 12 time to take a break, and then reconvene maybe with 13 some more specific agenda items, if Andrew has them, for a discussion, if we can? 14 15 MR. CYMBALSKY: Great idea. So let's -- I 16 know we have lunch on the schedule too, but let's take a quick ten-minute break. So, it's 11:30 now. Let's 17 18 reconvene at 11:40, please. 19 (Whereupon, a short recess was taken.) 20 MR. CYMBALSKY: Okay, so let's get started back up here. Okay, so we ended the last portion of 21 22 our discussion on DOE's perspective on schedules, rulemakings, et cetera, et cetera. One thing we've 23 talked about the regulatory agenda that is public on 2.4 OIRA's website, and you know, regardless of the way 25

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the rule fits in the active or long-term, or whatever we're calling them there, I think what this group, one homework assignment, I think, for this group, could be to just look at all of those rules that are on the reg genda, regardless of which bucket they're in, and the notion of which ones might be able to be negotiated.

7 I think it would be a good homework 8 assignment for this group to maybe, each of us flag 9 which ones we think are ripe for that, just for a 10 piece of information that DOE could have in its back 11 pocket. Does that sound reasonable?

12 FEMALE VOICE: Yeah.

This is Andrew, John. 13 MR. deLASKI: It does 14 sound reasonable. I think it is a good way to help 15 the committees do some agenda setting. What I would 16 suggest is that, you know, we put it on an agenda item 17 for a subsequent meeting that doesn't happen too long I don't know if it's two months out, three 18 from now. months out, but at the end I assume we'll talk about 19 20 scheduling the next meeting, and as part of that, you 21 know, we then can talk to folks in our respective 22 communities, the various trade associations can go 23 back to their members and the folks who are industries 24 can talk to their colleagues in other companies and get input from a much broader set of interests to make 25

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sure that we're, you know, that we're getting a good
 set of recommendations that are robust, you know,
 covering that whole gamut of things that the agency is
 supposed to be working on.

5 But I would say, you know, come back to it 6 in the not too distance future, perhaps, to help 7 provide guidance, our input as a Department. I know 8 we'll be providing input on the next iteration of the 9 regulatory agenda not too long from now.

10 MR. CYMBALSKY: Yeah, that's true. So the 11 reg agenda comes out twice a year, traditionally 12 around Memorial Day and Labor Day, as I recall, so as 13 Andrew said, I think if the data call did not go out 14 already, it will soon, to the agencies across the 15 government, you know, in support of the new reg 16 agenda. So, I agree with Andrew. We should meet 17 again probably in the spring. If it's not in person, we could do it over the phone with a webinar, so to 18 19 cut down on travel if that's an issue for folks, but 20 certainly I would say April would be a good target 21 month for that.

22 MR. deLASKI: Jenn.

23 MS. CLEARY: Jenn Cleary. I agree with what 24 you said, Andrew, and I think it really should be part 25 of a bigger effort on a work plan. I mean, I think

1 that we all try to quess where you're headed and we 2 try to use the regulatory agenda as a guide, but history hasn't really demonstrated that to be the best 3 4 guide, and so I think, you know, there's more than 5 just, here's, you know, I think the sort of schedule that we talked about, you know, at our last meeting б was really a list of the statutory deadlines for test 7 procedures and standards, and I think that this 8 9 committee, and I can't speak on behalf of everyone, but my impression from what we were really looking for 10 11 was a real work plan that we could help you with.

12 So, you know, can we figure out which test 13 procedures does the Department think are ripe for 14 change, and what changes might be necessary? What 15 data might be necessary? How long does it take to get 16 that? We need to, I think, know that information, the 17 Department needs to know that information, so that you can meet the statutory deadline. So, it sounds to me 18 19 like no one around this table objects to meeting those 20 statutory deadlines.

Everyone agrees that's important. The Department is committed to it. But I'd really like to see how you're planning to do that, and I think that we could all help you better achieve those goals by identifying which rules might be ripe for negotiation

1 if we understood what that plan was. Until we 2 understand that, I'm just not really sure how truly helpful we can be, other than going back to our 3 4 organizations and saying what we might be willing to 5 negotiate, but that doesn't really help the Department б in its full work plan and the overall picture and going back to what Sofie was recommending about 7 looking at, you know, how can the Department satisfy 8 9 its two-for-one obligations and how does that, you know, if at all, fit into this picture as well. 10 11 So, you know, I just hope that if we flag 12 anything for negotiation, it's part of a bigger

13 picture on a work plan.

14 MR. CYMBALSKY: No, and I agree. I think 15 it's a chicken and egg kind of thing, right? So, is 16 this the egg or the chicken? And I think to help the senior leadership here at DOE make some of those 17 decisions, I think having this piece of information 18 19 from ASRAC would be very helpful in the bigger scheme 20 of planning, so I think that's where I'm coming from, 21 anyway.

22 MR. WINNINGHAM: This is Dave with Lennox. 23 And I think kind of as a follow-up to Andrew's 24 suggestion to compile a list of the open issues from 25 prior ASRAC term sheets and from both the DOE

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perspective and maybe somewhat of a homework

2 assignment to the members who may have some interest 3 in some of those open actions to be able to provide a 4 status update.

5 MR. deLASKI: Yeah -- this is Andrew. That makes sense. You know, I'd like to see us have a б 7 plan, make sure there are plans in place, to address each of those open items, right, and many of them, I 8 know we discussed yesterday, one of the items for the 9 process rule discussion was the rule of industry test 10 11 methods, and even in the absence of an ASRAC 12 negotiation, you know, there can be forward progress 13 on test methods that we all have agreed in cases need to be updated. 14

15 So, the commercial rooftop one is, you know, 16 front and center as an example where there's a prior 17 commitment, and there are others. You know, I don't 18 have a ready list.

MS. ARMSTRONG: I could come up with a listfor everyone.

21 MR. deLASKI: Ashley's got a list, and I 22 think we all know -- the legal deadlines are public 23 knowledge, but with the seven-year review requirement, 24 you know, there has to be a look. In some cases, 25 there's no need for change, but there are, you know,

there are a number of parts out there that I think it's well-known that there could be -- there's need for significant improvements to have more representative test methods.

5 Not saying there aren't some really knotty 6 issues, and I think clothes dryers is one where we 7 know there are some knotty issues to deal with, but 8 you know, it would be good to be able to have some 9 progress on the clothes dryer test method.

10 MS. CLEARY: For the record, we don't see 11 any knotty issues that need to be dealt with. This is 12 Jenn from AHAM.

13 (Laughter.)

14 MR. deLASKI: So there's disagreement even15 in the knottiness of the issues.

16 (Laughter.)

MR. deLASKI: That suggests, you know, the 17 18 need for some further discussion to me. So, I would, 19 you know, it strikes me that test methods, back to 20 Diane's point, is an area where there could be some 21 nice, some fruitful discussion about, how do you make 22 forward progress, which may or may not lead to an 23 ASRAC working group, right, but which this committee 24 can provide some guidance on, and can dovetail with 25 commitments under the executive order.

MR. CYMBALSKY: Noah?

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2 MR. HOROWITZ: Hopefully this won't be a 3 I just want to shine a finer point on the tangent. 4 test methods. I think we all agree we should have 5 updated and sound test methods. I want to put a б little caution, though, that while it's good to have work done by trade associations on test methods, we 7 shouldn't by default be rubber stamping them. 8 They 9 get it 70 to 100 percent right, depending on the test method, and it should be the initial starting point, 10 11 but there's often the ability, they might have might 12 have missed one thing or not gotten one part right, so 13 we should encourage those activities, but don't 14 necessarily set up a path that we have to adopt them. 15 Thanks.

16 MR. WOLF: This is Mike. I have a question I mean, Ashley, you kind of, I think, 17 on that. alluded to that in your comments, about the 18 19 opportunity for DOE to work with the trade 20 associations, and again, Andrew, cut me off, because 21 I've got things on here specific to fans, again, we 22 would, you know, the fan industry would welcome that 23 input from DOE.

We got to a term sheet. In the absence of that term sheet, the trade association is continuing

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work on that front, and you know, I'd say probably, 1 2 and I'm exaggerating some, but half our time is spent, oh, what does DOE want? And then I always ask, or 3 Ashley would say, what do we want? 4 5 (Laughter.) MR. WOLF: But anyway, you see my point. 6 I mean, you know, we know that this thing is out there, 7 I believe probably the test procedure, there's a draft 8 9 somewhere in the halls of DOE, but if we could get that on the record somehow, man, that would be so 10 11 helpful, and then to your point, Noah, the industry's 12 not flying blind here. So that's my ask on that 13 particular topic. 14 MS. ARMSTRONG: Thanks for that ask. 15 (Laughter.) 16 MS. ARMSTRONG: This is Ashley from the Department. So, in Ashley's ideal world, test 17 procedure world, if there is an industry test 18 19 procedure out there, whether it's one we currently 20 incorporate by reference or it's one we're considering 21 incorporating by reference, I think it would be really 22 good, in an ideal world, for that committee to open 23 up, open up to whomever wants to participate in the 24 committee, and let the Department as well, and the Department can provide its list of issues, others can 25

provide their list of issues, industry can provide their list of issues, and we work on it together jointly, as a technical committee, to move it forward.

4 Certainly, some committees have worked that 5 way in the past. Others haven't. I will say with б caution, though, there needs to be some sense of time lines and urgencies. There have been some committees 7 I'm still on that we're ten years in and still going. 8 9 Going strong, but still going. That doesn't really work for the Department in terms of meeting its 10 statutory deadlines, so hopefully, in my ideal world, 11 12 that opening would be well in advance of any statutory 13 look-back by the Department, and I'm not by any means 14 circumventing the rulemaking notice and comment 15 process by saying we would rubber stamp it, but I am 16 saying that hopefully at the end of that inclusive process, that it would be a product that we could all 17 sit around at the table and agree addressed a variety 18 19 of stakeholders' open issues, including the 20 Department's.

21 So that's my ideal world, and the question 22 becomes, how do we make that a reality? Chairman? 23 (Laughter.) 24 MR. deLASKI: Well, what strikes me is, you

25 know, having -- when industry initiates the --

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1 industry, you know, takes the initiative on its own 2 test methods, typically, but to have the Department involved in that process in a way that is interactive, 3 4 right -- I heard a complaint yesterday from some folks 5 that when the Department just shows up and sits there, that's not any good either, right, and that can б sometimes be a constraint, you, sometimes, you have 7 your own constraints that aren't necessarily 8 9 understood well by other folks who are part of that committee, so if the agency had the directive to go 10 actively involve -- be involved in more industry 11 12 processes, the guidance from management to be -- go --13 so that at the end of the day, you're closer to more 14 of Noah's 100 percent situation where it actually 15 meets the regulatory needs of the agency, which aren't always well-understood, frankly, by the folks who are 16 writing these test methods, right, because they're not 17 -- they're maybe -- they aren't used to writing 18 regulatory test methods, right? 19

They're used to writing things that are voluntary, perhaps, in nature, not the basis for a program that has an enforcement branch, right? So, there's a leap there, and only by the Department being actively engaged in that can you help to make sure that you have the kind of specificity, repeatability,

that it meets the standards, meets the requirements of 1 2 what is a regulatory test method. But I think if -it sounds like you're already participating in a 3 number of things, you know, and if there's a will to 4 5 participate in more and an openness on the part of б industry groups that, you know, and also to include groups like Noah's and Kristen's and other, you know, 7 non-industry participants, I think that would be a way 8 9 to make progress on the test methods, but I think you kind of need to hear back -- back to the chicken and 10 11 egg problem that you guys have been talking about.

12 Like, who's going to say first what they're 13 willing to work on, right? You're willing to say, oh, 14 I don't want to work on this, but okay, what are you 15 willing to work on? Where do you see the need for 16 work to happen? We have to get beyond rooftop air 17 conditioners. We got one. And how do we get the next -- we got fans, which is done, I think. Mike? 18 I mean, it's not -- what's the next step on -- what 19 20 would you see as the next step on fans? What would 21 you recommend as the next step for a fans test? 22 MR. WOLF: For DOE, now you're talking, or 23 are you asking me where we're at in the industry 24 process? 25 MR. deLASKI: Where are we at in the

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1 industry process?

MR. WOLF: 2 Well, we have the test procedure, we think, done. I mean, it's done to the level of --3 4 MS. ARMSTRONG: Can I clarify? 5 MR. WOLF: Yup, qo ahead. MR. ARMSTRONG: You have the AMCA version of 6 7 the test procedure. 8 I was just going to say that if MR. WOLF: 9 you'd be patient but you --10 (Laughter.) 11 MS. ARMSTRONG: -- for the record you don't 12 have the draft DOE document. 13 MR. WOLF: We have, you know, our trade 14 association verison done that we think is done to the 15 level, the requirements of the trade association 16 certified ratings program, but the \$10 million question that we don't know is, would that meet the 17 requirements of, you know, federal regulation? We'd 18 19 like it to, because we don't want to have to come back 20 and redo something in a few years, and that's what we 21 fear is going to happen. 22 So the ask, I quess, would be, you know, 23 maybe a direct involvement, or to provide 24 recommendations on what we should add to that -- and I'm not sure I'm using the terms right -- test 25

1 I mean, it's one thing to test a fan. procedure. We, 2 you know, there was agreement on the term sheet on that. That wasn't a big debate in terms of the test 3 4 procedure, but in terms of requirements for compliance 5 with the regulation, is a whole other element, and to б be more specific on it, Andrew, for you, the test 7 procedure is done.

What AMCA's doing now is we're working on 8 9 what we call our Certified Ratings Program, so basically, the enforcement piece of enforcing the 10 standard for the members. And the AMCA Certified 11 12 Ratings Program, I guess I would put that as 13 complementary or parallel to the DOE's enforcement 14 piece of the regulation, but Ashley's turning her nose 15 up at me, so I'm probably using the wrong terms here.

16 So, that's where we need the help. How do we make sure that whatever we do from an enforcement 17 standpoint -- because you mentioned it, and I've 18 19 brought this up in our trade association meetings, and 20 I've been told, Mike, you know, you don't understand. 21 This is a voluntary program. If we do these things, 22 nobody's going to want to volunteer to certify their 23 programs and what good is it then if nobody, you know, 24 registers or signs up to certify their products? 25 Well, so we're kind of in a chicken/egg

position there with regard to where we are, but we're pushing forward, and you know, I'm hoping we're going to have something in the next month or so, so that at least we can then, you know, have something that we can show the California Energy Commission, that hey, here's a proposal to possibly model your regulation on.

8 So anyway, Ashley, do you want to add 9 anything more to that, or --

10 MS. ARMSTRONG: I'll just translate a bit. 11 This is Ashley. So, in DOE speak, Mike is asking for 12 how do you take the actual test method for testing a 13 fan and then overlay how do you get the actual, what 14 we call represented values, what you show to the 15 marketplace, for a given basic model of fan, and then 16 to that, a fan platform or a fan line, how do you build your different bubbles, and what does that all 17 look like? How does it bring it all together? 18

I won't call it enforcement, but this is basically the representation you are using to the marketplace, perhaps one day to California and others. We talked about some of that as part of the negotiation. Not all of it is in the term sheet, so that's where Mike is seeking, potentially, some input. MR. WOLF: Mike Wolf, Greenheck, again. And

then one additional item is, during the clients -- or call it check test, but you now, what do you call it when you pull something off the market and do a test on it?

5 MS. ARMSTRONG: Good enough.

6 MR. WOLF: Good enough? Okay. You don't 7 have to translate that for me?

8 MS. ARMSTRONG: Close enough.

9 MR. WOLF: Okay. Thank you.

So, from the Department's 10 MS. ARMSTRONG: 11 perspective, I think you can get a commitment to the 12 Department participating, as so invited, on industry 13 committees. And I do mean that with -- it may be 14 myself sometimes. It may be a different person from 15 the Department at the staff level. It may be some of 16 our more technical consultants. It may be one of our consultants from an actual lab. 17 I'm not sure.

Sometimes we've also supplemented with 18 actual testing as needed, whether that be in a DOE 19 20 test facility or at a third party facility, depending 21 on what's needed and what that looks like. Sometimes 22 we've teamed up with manufacturers to test in their 23 own facility or run different runs to investigate 24 that. Certainly I think you can get a Department 25 commitment that we want are willing to work with you

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1 at that level. Now, can I tell you -- outside of a 2 rulemaking process, I can't say, you know, here's a 3 draft test procedure. I can't give you a draft 4 deliberative document that would be internal to the 5 Department, but I think you can get -- we can talk 6 about technical issues.

We can have some discussion about what those look like, what is needed, what different data -- I think we can get there. Let's put it that way. So, to the extent we are invited, I think you're hearing a Department-level commitment to, we are willing to step up.

13 MR. GATTO: Thanks. Dave Gatto, 14 Westinghouse. And Michael, I think what you were 15 looking for is surveillance testing, is probably the 16 DOE speak, although if it's a voluntary program, I think probably it's more of whatever CEC was looking 17 at, might be what you were shooting for. I think for 18 19 us, the industry tries for 100 percent. I think Noah 20 knows that, but sometimes what industry is trying to 21 glean from testing isn't necessarily completely 22 aligned with what DOE's looking for if it's a covered 23 product, and so that's something that, for legacy 24 products, I think, for the most part, DOE and industry are on the same page about what does DOE need to know. 25

1 I think what would be helpful, Ashley, so 2 maybe that's the ask, is that if there are, particularly for legacy products -- I'm just thinking 3 4 about, you know, linear fluorescent -- if there are 5 things in the current test procedure, you know, the б IES-LM9, I think it is or -- it would be very helpful, not for me because I'm not on that committee, but for 7 me so I can share it with Alex, who is sitting behind 8 9 me and then we can get that into the NEMA group, it would be helpful to know that this is the concern you 10 11 have, because otherwise what happens is, you take a 12 look at the test procedure, you might dictate, you 13 know, LM-79, you only want one of the two options that 14 the LM has as being the test equipment used.

15 So, can't use a Goniophotometer. Well, 16 speed to market, a Goniophotometer is much faster, it's less expensive to test in. So, understanding 17 what your concerns were, because while I think we go 18 19 for 100 percent, Noah, one of the things from an 20 industry perspective I would share is that there is a 21 burden to all of this, that it either adds cost to the 22 consumer or it slows innovation, and when we're talking about covered products, innovation, -- we're 23 24 in serial rulemaking -- we know we're going to potentially be asked to take another step. 25

1 So, the fact that we've got products coming 2 out constantly at a cost that doesn't have all this burden, allows us to have a bigger portfolio to pull 3 4 from when you guys start the rulemaking process. So 5 if we know in advance, hey, listen, this is really where DOE's concerned -- but what I would say is that б I think 100 percent, that's the goal, but if it's 99 7 percent and the difference is a huge burden on the 8 testing cost, I think you've been pretty good about 9 10 this but I think that a Goniophotometer is probably 11 the one where we would have rather been in more 12 dialogue about that difference, because I don't, at 13 least just for me personally, and I'm not the 14 technical person there, but I'm very familiar with the 15 different test data I have, old versus new test 16 procedure -- the variation is very small.

So it could have been something like you've 17 done with confidence level where you just say, you can 18 19 do that, but here's how you would represent that 20 value, and that would have made things easier on 21 industry. We could have used existing test data, 22 which eliminates retesting products. In the LED 23 space, we're launching new products sometimes weekly, 24 so the longer it takes us to do that or the more expensive it is, the more impact it has on the amount 25

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of efficiency we can bring to the table.

2 MR. deLASKI: Anything else on test methods? MS. JAKOBS: So, this is Diane from Rheem, 3 4 and I think there is another aspect to the test 5 If you don't run test procedures, you procedure. might not have thought that really your -- there's a б purpose behind how a test procedure is developed, and 7 I've been doing this for a long time, and it seemed 8 9 like originally, the idea was more, in the 10 marketplace, you could compare two products from two 11 different manufacturers and have a level playing 12 field, a way to compare them, but over time, these 13 test metrics that were developed for one purpose are 14 being repurposed to predict how much energy is being 15 consumed by all of us as a nation, and I was looking 16 at, you know, our input for the furnace AFUE, and we take, I think it's like five or six temperatures and a 17 CO2 reading, and when people tell me, well, you know, 18 19 the AFUE doesn't predict my energy consumption at my 20 home, it's like, well, of course not. How could it? 21 You know, it's not the intention.

So I think we have to be mindful of what the purpose is for the test procedure, what we're trying to learn from the test procedure from the metrics we develop. Some of the old metrics maybe should be

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retired and some new ones should stand in their place,
 but I think that's one area that we need more work.

MR. deLASKI: Okay, so I would definitely 3 4 invite the committee members to think about what are, 5 again, what are the ones, in addition to rooftop air б conditioners and fans where there's already work underway, you know, where that would make sense, and 7 where there's commitment from stakeholders to spend 8 9 time and effort on those, and we should come back to 10 that in a future meeting.

MS. ARMSTRONG: Yeah, and I'll come back to compiling a full list of all those we currently incorporate by reference.

14 MR. deLASKI: Mm-hmm.

MS. ARMSTRONG: There will be upwards of 40 or 50 of them --

17 MR. deLASKI: Mm-hmm.

MS. ARMSTRONG: -- identified, just so that 18 ASRAC is aware of the totality of what we incorporate 19 20 by reference, either in whole or in part currently for 21 consideration, because as we move through review 22 cycles, either new versions of those or modified 23 versions of those are what we start with, along with 24 the list, full list of open issues and/or questions we've gotten from stakeholders or labs or 25

1 manufacturers or -- and we look at the old docket to 2 see what else was brought up then. So certainly, we 3 can help with that information.

4 MR. deLASKI: So I want to just to come back 5 to the point Sofie raised around the executive order, two for one order, because it does strike me that, you б know, some of these are things that are streamlining 7 in nature, that are improving information in nature, 8 9 and I'm wondering, Sofie, do you have other -- when you raised that, did you have particular other ideas 10 in mind as to what types -- what are the kind of 11 12 things that you're thinking of that might help the 13 agency to meet the obligations under the EO?

14 MS. MILLER: I think the focus on test 15 procedures has been so far productive and could be an 16 area where there could be a lot more productivity, 17 especially because there are statutory limitations, 18 which of course are going to be primary here, so you 19 can't alter standards that have already been set in 20 certain directions, which limits the types of 21 regulatory burden reduction you can do.

22 So a focus on test procedures could be very 23 good, and one thing to keep in mind, too, since some 24 of you are so involved in some of the very nitty 25 gritty aspects for very particular rules, one thing to

1 think about too is that -- or not -- some of the 2 quidance that OMB has implemented on complying with these executive orders have specified that some of the 3 4 outs, as they've been calling them, don't necessarily 5 always have to be significant rules or economically б significant rules. They could be smaller rules. They could even be quidance, under certain circumstances, 7 so that's something to think about as well. 8

9 And if you're looking at -- well, maybe I'll So, there are a variety of different ways 10 stop there. 11 to be looking at what your options are, and it's not 12 just rule rescissions or something like that. It can 13 be modifying a rule to be reducing burdens, including 14 paperwork burdens or reporting burdens or testing 15 burdens, for example, like Diane has been mentioning, 16 and I think that could be really productive to think about too, how those specific areas may overlap with 17 some areas which are of interest to, for the trade 18 associations, to some of your members, or to the 19 20 industries, to some of your production line, and for 21 the rest of us too, it's time to put on our thinking 22 caps.

I think my own personal homework assignment is to go back to my desk and see if there are any particular areas that stand out to me as well.

1 MS. JAKOBS: Could I just add one more 2 thing? This is Diane. So, in water heating, we have a new test procedure, and it has been a huge burden, 3 4 huge learning for everyone involved, but the old test 5 procedure was repeatable, and the new test procedure б represents the consumption in a home more accurately, hopefully, and there's a conflict there. If you want, 7 I mean, just looking how I do stuff at my home 8 9 compared to my sister doing stuff at her home, I'm sure there's a wide variation, so to try to predict 10 11 how people interact with their appliances is a very 12 complicated, and could be a huge burden for 13 manufacturers who, in the end, are the ones who have 14 to conduct those tests, and the consumers are the ones 15 who pay for them, and in my experience, most people I 16 know have no clue what those metrics are or what they mean, and you know, is it even the right thing to do? 17 Maybe going back to just, I want to compare 18 this item to that item, and how do we compare them, 19 20 maybe that's the purpose for the test procedures, but, 21 so, I mean, as I'm advocating for new test procedures 22 or developing or -- it's a huge burden on everyone. Ι 23 know Ashley worked really hard, and the thing about 24 the -- crosswalk? Crosswalks, oh, my God. So,

anyway, there are so many people who are affected by

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all these rules, and it's just work that should be
 done carefully and thoughtfully.

3 MR. deLASKI: Just to follow up, Sofie, you
4 said there some of the outs. I don't know what you
5 meant by that. Could you explain?

MS. MILLER: Yeah, forgive me. 6 I hang out with a lot of regulatory nerds. And, you're welcome. 7 8 Here I'm the regulatory nerd. So let me define. So, 9 because it's a -- the two-for-one executive order had to do with, for every new rule that met certain 10 11 requirements, you have to identify two regulatory 12 actions as deregulatory in some way or reducing 13 burdens, and those tend to be referred to as the outs.

14 So you have the ins, which are the rules 15 that qualify under the executive order for needing 16 offsets, and then the outs are essentially the offsets that the Department or OMB or someone else identifies 17 as being able to come back to a net base. One thing 18 19 to keep in mind as well is that DOE and all agencies 20 do have regulatory cost budgets, essentially, for how 21 much they can impose in regulatory burdens for this 22 fiscal year, and DOE's is negative, which means that 23 there is a lot of work that does need to be done in 24 this area, so perhaps we can find something 25 constructive.

I believe DOE's budget is something to the order of negative \$1.1 billion, but that might be in total cost rather than in annual. So that's something to think about as well.

5 MR. WOLF: Sofie, I'm sorry. I'm kind of 6 slow. Can you -- this is Mike Wolf, Greenheck. Can 7 you explain the negative cost thing again?

MS. MILLER: Yeah. And I'm happy to talk 8 9 about this too when people's stomachs aren't growling for lunch, but briefly, I think the way to think about 10 11 it is, part of the executive order isn't just, you get 12 a certain number of rules that are finalized, and that 13 means that you have to identify additional rules to go 14 It's not just the two-for-one. There's also a out. 15 cost component, and so all of the cost from the new 16 rules need to be offset by reductions in regulatory burdens from rules you've identified. 17

MS. MILLER: And that would -- yeah, that would be a net-zero base, but for those of you who haven't yet got it for this fiscal year, DOE has a below zero base that it needs to hit in terms of offsets, so it needs to have more offsets than additional regulatory burdens in this fiscal year. MR. CYMBALSKY: This is John from DOE. I'll

MR. WOLF: Okay. I've got it.

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just add that Sofie's right that -- but it's a DOEwide. There are other regulations out of DOE that are not just this program. So, that negative number might not be coming from here. Just -- I'm not sure where it's coming from but it might not be here.

(Laughter.)

7 MR. GATTO: It's Dave Gatto. So, I was going to ask that guestion, John, anyway, because, 8 Sofie, because the way the executive order is written, 9 it's, when not prohibited by law, and most of the 10 rulemaking activity that, at least for our product 11 12 lines, are in statute. So, I do like the idea, and 13 maybe that's worth discussion this afternoon or maybe 14 at the next meeting, an agenda item of, are there 15 opportunities to reduce burden.

16 I think the thing I would say, and it'll sound weird from a manufacturer that, well, why would 17 you want to have a reporting burden, what I think it's 18 19 important for non-industry members to understand is 20 that, if we're not reporting to DOE, we may, similar 21 to the national standards conversation, we may then in 22 fact be reporting different, or even the same data in 23 different formats, to other stakeholders, particularly 24 at the state level.

25

6

And so we want the information to be

1 available having a single -- there's actually, there 2 is a rulemaking going on there that I'll ask Ashley about offline and I don't think it's part of ASRAC --3 4 but having the reported data gathered in a single 5 place, whether that's DOE or, you know, it's EPA in б some cases for us on mercury-containing products, that actually satisfies the reporting requirements of other 7 agencies or state-level agencies, where a regulatory 8 9 quidance document to reduce the burden at the national level would actually greatly increase burden on 10 11 manufacturers.

12 So, this is something that we've been in 13 dialogue with -- on the industry side. There's a 14 little bit of a "careful what you wish for." We're 15 for good, solid regulations. We have been all along. 16 What we're not for is having to duplicate the same 17 work over and over again when we could be doing other 18 stuff.

MS. CLEARY: Jenn Cleary with AHAM. So, Sofie, you mentioned that, like, test procedures and guidances could be taken into account as deregulatory actions. Is that the Department's view as well, even though they're not significant?

24 MR. CYMBALSKY: Right, so, we've done --25 this is John from DOE. We've done some preliminary

1 work on some test procedures. As Ashley said, we've 2 been working all the while, and some of these updates to test procedures are actually burden-reducing, 3 4 right, because you can save time in the test, right? 5 So the current test maybe takes an hour, and what we 6 propose or go final with takes a half an hour, and if you do all the math, it reduces burden. So that could 7 be a deregulatory or a cost-reducing action. 8 9 MS. CLEARY: Jenn Cleary again. So that just kind of goes, then, like, all into a pool of what 10 11 you have to meet, and you can reconcile it later? 12 MS. ARMSTRONG: Agency-wide. 13 MS. CLEARY: Right. 14 MR. CYMBALSKY: Yeah, agency-wide. So it's 15 part of the calculus that Sofie was laying out there. 16 MS. CLEARY: Okay. Thank you. MR. deLASKI: Okay, just a couple other 17 18 thoughts on this. This is Andrew again. So, one is, 19 just so -- I think it's -- I think we all understand 20 -- I think this is clear, but the benefits of the 21 rules aren't counted in this calculation. 22 MS. MILLER: No, they're not, and in the OMB 23 guidance, they did specifically look at energy 24 efficiency savings for consumers and manufacturer -or for commercial entities as well, and did 25

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specifically say that the way that the benefits or costs had been treated previously by that agency in similar rules was how they would be treated in the future, so because DOE counts energy savings as a benefit rather than a negative cost, for example, they will not be counted as cost reductions in future rules because they have been previously treated as benefits.

MR. deLASKI: Okay. As we all know, I mean, 8 9 the statute requires that standards are cost-effective for the people who buy and use the appliances, and all 10 11 the appliance standards that the agency has ever 12 promulgated are cost-effective for the consumers and 13 yield net benefits for the consumers, you know, 14 typically, in the billions of dollars. That said, 15 that's not the test that's being applied, right?

16 The question I have is, we also heard earlier from a couple of the industry folks on ASRAC 17 that one of the -- that they faced potentially 18 19 significant burdens from state regulation, and that by 20 having federal regulation, they avoid what could be 21 much higher costs from state-by-state regulation, in 22 their estimation. Charlie gave us a particularly 23 vivid image of that description of a historical 24 experience.

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Do we have any sense of how that fits into

1 these discussions at the current -- under the

2 executive order? Has that been addressed in any sense
3 that you know of?

4 MS. MILLER: My understanding is that, 5 because those would be, then, costs that are incurred б as a result of state action rather than federal, that would not fall under the umbrella of what needs to be 7 counted. I can double-check with that on the 8 9 quidance, but I do not believe that the federal government will take into account costs that states 10 11 oppose in lieu of costs that the government is 12 imposing, if that makes sense.

MR. deLASKI: Well, I don't think it does
make sense, but it's what we're doing.

15

(Laughter.)

MS. MILLER: Well, I guess that's all we can ask for, huh?

I mean, it's the box. 18 MR. deLASKI: They've 19 drawn the box rather narrowly in that sense, right? 20 They've drawn the box around the costs of complying 21 with the regulation at the agency. They've left out 22 the benefits of the regulation and they've left out impacts at the state level. That's the way the box is 23 24 drawn, it sounds like. I mean, I don't -- it's 25 something I don't follow that closely, so is that an

1 accurate way to put it?

2	MS. MILLER: I think the way so, to an
3	extent, yes, just because you have to define costs
4	very carefully, otherwise everything counts as a
5	negative cost, which is a problem that you often run
6	into in benefit-cost analysis. You have to very
7	clearly define what fits into those buckets, and that
8	is something that I think OMB has tried to do. One
9	thing that we should keep in mind is that the
10	deregulatory actions or actions to reduce burdens also
11	do have to go through well, I guess depending on
12	how significant they are, but they should be passing a
13	benefit-cost test of their own.

So if you're removing some restriction, 14 15 there should be greater benefits from removing it than there are, for example, disbenefits to people because 16 there is no longer a protection, if that makes sense. 17 So in that way, benefits still does come into play, 18 19 but it's in a different way and it's not in the rules 20 themselves that you're imposing, the new ins for the 21 in and outs that we're looking at. Does that clarify 22 at all or does that complicate?

23 MR. deLASKI: I guess what I would -- this 24 helps some, I think, you know, because it helps us, 25 you know, help think about how we can help to be able

1 to move -- to be able to come up with the actions that 2 would enable the agency to meet the executive order's obligations. You know, to the extent that we have 3 4 ideas about things that could help meet those 5 obligations, I think it would be helpful to discuss б them in the committee, and that people should put their thinking caps on and, you know, what are the 7 additional things, and Sofie, since you've spent time 8 9 thinking about this, if you've got ideas, you know, please bring them back at the next meeting. 10

Are there other thoughts on this orquestions about this topic? Noah?

MR. HOROWITZ: Noah with NRDC. I think we need to not lose track of the fact that the two-forone rule only -- does not apply to things that are required by statute, and most of the standards and test methods we're talking about have a calendar and a requirement, so let's not get too distracted by that, and --

20 MS. MILLER: That's actually not quite the 21 case. That has to do -- there are a number of 22 different exemptions, and those rules that are 23 strictly exempted from the requirements are those that 24 have to do with, for example, national security, but 25 then there is a looser set of exemptions for rules

1 that have statutory deadlines, and for those, the 2 agencies are still required to identify offsets, but 3 may do so at a later time because they are required to 4 meet certain deadlines within the statute.

5 So some of these may be subject to more of 6 those requirements than some people seem to think, 7 based on OMB's supplemental guidance, which I think 8 was released in April.

MR. HOROWITZ: Okay, but --

9

10 MS. ARMSTRONG: I am going to chime in at 11 this point and just say that the Department's in 12 litigation over that issue, and the Department's 13 position and/or comment on this, we're not commenting 14 on pending litigation. So, while Sofie's presenting 15 her view and you're presenting your view, at this 16 point, the Department's view, there is no comment from the Department. 17

MR. HOROWITZ: Understood, and where I was 18 going to go on this is, I think, as Andrew cued up the 19 20 session was, which products might it make sense for us 21 to do some prioritization that might be good 22 candidates for negotiated rulemaking, and I'm 23 encouraged by, let's try and do that, and doing it in some sort of time frame that works, so we have time to 24 do the work, is the right thing to do, and again, the 25

1 clarity of, and if we are going to do that work, will 2 the Department be in a position to act upon it, and 3 that's an open question that I'm hoping after the 4 meeting you can provide more clarity. Thanks.

MR. deLASKI: Mike?

5

MR. WOLF: Mike Wolf, Greenheck. So, as I'm 6 listening to this dialogue, three things kind of go 7 through my head. First of all, I think, playing off 8 9 from Noah's comment here, I know, John, at one of the previous meetings, you went through the list of 10 11 regulations that DOE has in place and is tracking and 12 so on and so forth. One thing I don't have a clear understanding on is, which one of those regulations 13 14 are statutorily required, and which ones are not? 15 That would be a helpful thing for me to know and maybe 16 for others on this committee to know.

Number two, with regard to the cost 17 elimination, one of the things that, you know, I'm 18 19 sure all companies look at is that there's a cost of 20 not taking action a lot of times as well, and I think 21 what the potential we have here, and I don't know if 22 this committee can do anything about that, but I think 23 it could help the Department if we could, maybe, 24 possibly evaluate, okay, what happens if the 25 Department doesn't finish this rule?

1 For example, in my little world of fans, if 2 that rule is not finished, what is going to be the added burden of all these states doing their own 3 4 regulation? You know what I mean? Because that's not 5 going to be a cost-positive thing to the industry or б the consumer if that happens. So, you know, maybe that's something this committee can provide some 7 quidance on. 8 9 And the third one, Andrew, I don't know, you know, you made the statement that there has not been a 10 11 rulemaking that has not saved energy, I think was your 12 statement --MR. deLASKI: Cost-effective for the 13 consumers, I said. 14 15 MR. WOLF: Yeah, and --16 MR. deLASKI: On average. MR. WOLF: -- at least in the circles I run 17 18 in, there are those who take exception. They don't necessarily buy into some of what --19 20 MR. deLASKI: Well --21 MR. WOLF: -- the analysis that's been done, 22 and I want to just finish that statement, I mean, and 23 I was going to come back to Diane's comment here a 24 minute ago with regard to, what is the purpose of the 25 metric? You know, she just mentioned this, I guess

1 it's a furnace metric. It doesn't necessarily show me 2 how much energy I'm going to save in my house. Well, 3 if it doesn't give me some indication of how I'm going 4 to save energy in my house, you know, is it the right 5 metric?

6 You know, maybe we need to look at these 7 metrics, as Diane was alluding to, and make sure that, you know, these metrics will carry over into a useful 8 application in the marketplace as well, beyond just an 9 10 apples-to-apples comparison. And I know I'm getting 11 out there maybe beyond the scope of what this 12 committee's, you know, work task is, but I think 13 that's the real opportunity we have to save energy in 14 the future.

MR. deLASKI: Thanks, Mike, for that, and my point was that the Department has to have shown -now, you might disagree with what the Department showed, and then that speaks to that there was something wrong with that particular analysis, right, so --

21 MR. WOLF: Yes, I agree.

22 MR. deLASKI: -- makes sense, someone 23 mentioned growling stomachs, so it would make sense to 24 go ahead and take a lunch break. I know there are 25 still some -- I think there are some topics from the

morning session regarding the recent DOE notices that we really haven't finished on. We probably should come back to those after lunch and then get to the after-lunch agenda items. Do you guys want to stick with the plan here which is on here to bring the lunch б back to the room? Is that the --Yeah, I don't know if we're MR. CYMBALSKY: going to -- we can turn off the transcript now, please. (Whereupon, at 12:35 p.m., the meeting in the above-entitled matter recessed, to reconvene at 1:30 p.m. this same day, Wednesday, January 10, 2018.)

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1 <u>AFTERNOON SESSION</u> 2 (1:25 p.m.) MR. CYMBALSKY: Okay. So we're going to 3 4 move along with the agenda. Welcome back. I hope 5 everyone had a nice lunch. Looks like we pretty much б stayed on to the 45-minute schedule. So without 7 further delay, we're going to move to our next topic, 8 which is new working group requests, and so the first 9 bullet there is to consider a working group to negotiate energy conservation standards for variable 10 11 refrigerant flow air conditions and heat pumps. 12 And so we have two industry members who will pitch this suggestion. So we have Rusty Tharp from 13 14 Daiken and Paul Doppler from Mitsubishi. 15 MR. THARP: Yeah, we're actually going to -we're going to tag-team here. We're going to start 16 with Jill Hootman. 17 MR. CYMBALSKY: Oh, we're going to start 18 19 with Jill Hootman from Trane. 20 MR. THARP: So I'm Rusty Tharp with, with 21 Daiken Goodman, and we're -- there's three of us 22 industry members here who want to pitch the proposal 23 for a VRF working group. Jill Hootman with Trane is 24 going to start off talking about the products. Paul 25 Doppel with Mitsubishi is going to talk about test

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procedures, and then I'll wrap up talking about the
 conservation standard proposal.

MR. CYMBALSKY: Okay. Jill? 3 4 MS. HOOTMAN: Yeah, Jill Hootman, Ingersoll 5 Rand, VRF is a relatively new HVAC product to North б America, but it has been extensively internationally used since the 1980s. Today in the U.S., most comfort 7 air systems either distribute conditioned air directly 8 9 through duct work or they distribute conditioned fluid to portions of the building which then may be 10 11 conditioned through smaller portions of the large 12 building. Those are commonly called chiller systems. VRF is different because it distributes 13 refrigerant throughout the building. VRF, which 14 15 stands for variable refrigerant flow, comes with heat 16 recovery options, and they have the potential to take heat from a warm portion of a building that needs 17 cooling and move it to a cooler portion of the 18 19 building that needs heating. So people commonly call 20 this simultaneous heating and cooling. The systems 21 have a high degree of flexibility for building 22 designers as well as the occupant. They feature 23 multiple indoor and multiple outdoor units that act --24 that those multiple outdoor units act as a single outdoor unit and are matched with sophisticated 25

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1 control systems for zoning.

2	There are water-cooled and air-cooled
3	systems. The majority are air-cooled, though. The
4	product applications, principally, are commercial in
5	nature, however, there is some overlap on the
6	residential systems. And they compete head-to-head
7	with the traditional ducted systems in the
8	marketplace, like I said before. And so common
9	metrics for both unitary ducted systems and variable
10	refrigerant flow systems are desired so that the
11	commercial building owner can understand their
12	relative benefits.

Paul?

13

14 MR. DOPPEL: Okay. And I'm going to talk about the test procedures, and it's important, I 15 think, to go back and do a little history on the test 16 procedures. These systems were so unique when they 17 first came out that we had to do a test procedure 18 19 waiver through Department of Energy in order to come 20 up with a way, way to test these systems that would be 21 equivalent to unitary systems, rooftop systems. And 22 so one of the concepts, important concepts was to test the combination because these units have millions and 23 24 millions of potential combinations of indoor units, 25 and some of the systems can have up to 50 indoor

units, so you can imagine the millions and millions of
 combinations.

We came up with a tested combination with a 3 4 max of 12 indoor units. And so that helped us to 5 align with rooftop systems and other systems with б regard to common metrics that could be compared between those, like COP, EER. We added a new metric 7 of simultaneous cooling and heating efficiency 8 because, as Jill mentioned, these systems do provide 9 10 heating and cooling at the same time. So we came up 11 with a, a test method in order to do that. IEER is 12 something else that we have always pushed from the 13 beginning for these systems because that's what makes 14 most sense for them.

15 Currently, the metric with, with DOE is EER, 16 and so we were a little bit late on the, the rooftop, that had already started before us, so now, it's time 17 18 to get up-to-date with IEER. So there was a -- oh, 19 another thing that's very important is that the test 20 procedure includes all types of VRF. So it's air 21 source and water source, and it's also systems less 22 than 65,000 and systems greater than 65,000. So in a 23 way, we've combined three different test standards 24 into one test standard so that all the VRF are 25 included in one.

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1 And that's important because none of the 2 other test procedures really had -- have a method for testing up to, you know, 12 indoor units, and those --3 4 all of the different requirements that come along with 5 So the -- with the RFI that was put out for that. commercial systems, VRF in particular, a, a updated б test procedure was included. So that was kind of 7 referred to as the 2016 version. Now, the group that 8 developed that test standard, and again, what we're 9 trying to do is to reduce burden on manufacturers and 10 11 also to kind of open up the testing method so that 12 consumers could understand better what was happening 13 in the testing, and they could have more confidence in 14 what those are.

15 So I mean those were the important reasons 16 that, that we did that. So the 2016 version needed to 17 be updated again, so we've again formed another team, just like the other one, which included members from 18 19 the Department of Energy and also members from various 20 test -- independent test labs so that there's a good 21 communication. An important thing also to mention is 22 that an entirely different type of testing chamber had 23 to be developed to do the VRF testing. It's called a 24 quad chamber.

25

So instead of an indoor and outdoor section,

it consists of an outdoor section and then two indoor 1 2 sections to accommodate the simultaneous cooling and heating testing. So -- and also, the -- because of 3 4 the concept, as Jill mentioned, you could have 5 multiple outdoor units acting as one outdoor unit. б That added more to the complexity of the testing, so there's -- we've tried to make it as, as easy as 7 possible, and this, this version that we're working on 8 9 now, again, is to make this testing procedure consistent with 210, 240 for the systems less than 65 10 and consistent with 340 through -60, which is large 11 12 rooftops above that.

And then the water source has also been -that has always been pretty consistent with those. So that's where we are with those.

16 MR. THARP: Again, I'm -- again, I'm Rusty 17 Tharp with, with Goodman -- part of the Daiken Group, and I tried to get Paul and Jill to lighten things up 18 a little bit and, and do a rap, but they told me I 19 20 have no rhythm so you'll have to, to live with it. 21 For reasons for having a negotiated rulemaking from an 22 energy -- from an energy conservation perspective, as 23 Paul indicated, today the metric is based on steady 24 state EER as regulated by the Department of Energy. 25 As anyone associated with energy efficiency

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products can confirm, part load or seasonal metrics 1 2 are a much better predictor of annual energy consumption. And while we wouldn't say that this is 3 4 the perfect metric to get to actual energy consumption 5 that would be used in the field because that varies so much, depending on the application, the IEER metric is б a much better metric to use for the systems. 7 It is better for consumers; it's better for users to 8 9 basically have that comparison of the products itself.

10 A second reason we would like to have a negotiated rulemaking on the ECS is because 11 12 competitive products, like the CUAC and CWAF or commercial unitary air conditioners and commercial 13 14 unitary heat pumps have just switched also from EER to 15 IEER, effective January 1st of this year, as a result 16 of a totally tubular negotiated rulemaking that 17 happened in 2015. So because the VRF products are also used in very similar applications, often times 18 19 the same building will compete for a CUAC or a VRF 20 system, we think it makes sense to have the same style 21 of metric for VRF as is used on, on a CUAC and not 22 CWAF but heat pumps.

It makes sense then for consumers to have the same metric because it will be easier for them to make a comparison between the products that they're

1 going to be putting into the building. So keeping the 2 existing steady state metric would likely cause 3 confusion for consumers who would be looking at an EER 4 metric for VRF and trying to compare that to IEER 5 that's on a commercial rooftop product.

6 A third reason we would like to have a negotiated rulemaking for -- on VRF is because of 7 speed and regulatory burden. As an industry, we are 8 9 fully onboard with the statutory defined process of ASHRAE 90.1, defining with the efficiency level for 10 11 these commercial products and then DOE adopting for 12 federally regulated products as we move along in time. 13 However, in this case, DOE is, is statutory required 14 to address water cooled VRF products and we think it's 15 in the best interest of lowering regulatory burden to do all of the products at the same -- all VRF products 16 at the same time because as, as mentioned earlier, 17 18 rather than do multiple rulemakings, it's better to do one rulemaking at once. 19

20 We think that with the negotiated rulemaking 21 process, several of us have participated in that in 22 commercial certification, in A/C heat pump and et 23 cetera. We find that the, the negotiated rulemaking 24 process provides a very good, as indicated yesterday 25 in the process rule discussion, that there's a lot of

good interaction that you have during the negotiated rulemaking that you don't have in a notice in comment. So we are a big fan of reducing our regulatory burden by having a negotiated rulemaking on these. And when you have lower costs and lower burden for manufacturers, that leads to lower costs for consumers because there's lower overhead, et cetera.

8 And we know that's all part of the goal of 9 the administration to reduce the regulatory burden, so 10 we'd appreciate a positive vote for that. So that's 11 the end of my sales pitch.

MR. deLASKI: Before Rusty leaves, are therequestions from the committee?

MR. CYMBALSKY: So maybe I'll start the questions. So Paul talked about the test method and I think you and Jill talked more about the standard. Is it your desire to finish up your test procedure work before any negotiation for a standard would kickoff?

MR. THARP: The simple answer is yes, we would like the test procedure to be finalized and because -- so this is my personal opinion, I don't know if it's the same of everybody else, but everybody else has the same opinion, but I would rather have the test procedure agreed upon at the beginning of a negotiated rulemaking. So I would like for that to be

1 finalized at the beginning of a negotiated rulemaking 2 so that as we go in and talk about an energy conservation standard, we know what we're setting our, 3 4 our targets on. 5 MR. CYMBALSKY: And so when you say б finalize, do you mean a DOE final rule in the Federal 7 Register or do you mean your committee work, finalizing their work or what, what exactly do you 8 9 mean? MR. THARP: Well, well, from my perspective, 10 11 I think -- I don't think it would necessarily have to 12 be a final rule in the Federal Register. I think we'd 13 have to be in agreement that yes, we agree that the 14 test procedure as AHRI is in the process of modifying 15 with, as Paul indicated, input from multiple other 16 stakeholders that we --17 MR. DOPPEL: Including DOE. MR. THARP: -- including DOE that we would 18 have that finalized at that point. So yeah, this is 19 20 the one we want to go with. 21 MR. CYMBALSKY: And then what's your time 22 line for that action? 23 MR. DOPPEL: We're, we're targeting May to 24 have it approved by the section, and so after that, it would -- so it would be, I would say, June time frame 25

1 when it was final through the AHRI process.

2	MS. ARMSTRONG: So just to follow along that
3	line of questioning, if May/June is the schedule for
4	which the AHRI committee is working on, it is is it
5	your request of this committee that we consider
6	charting a working group and getting the
7	assuming let's say if the committee ends up
8	agreeing that that's a good pathway to get the
9	groundwork weighed for a committee to be up and
10	running in the summertime?
11	MR. THARP: Simply put, yes.
12	MS. ARMSTRONG: Yes.
13	MR. DOPPEL: Yes.
14	MR. THARP: Sooner rather than later.
15	MR. DOPPEL: Yes.
16	MR. GATTO: Dave Gatto, Westinghouse, I have
17	a question, and I don't want to make an assumption
18	here, although I'm kind of thinking this is where
19	we'll be. You're talking about changing the metric
20	used based on the new test procedure and that some of
21	the similar products that will fall under the same
22	coverage rule are using a different one now?
23	MR. DOPPEL: No, so actually, the
24	MR. GATTO: I guess
25	MR. DOPPEL: the, the testing has always,

since IEER was initiated many, many years ago, has always -- IEER testing has always been done, but what was recognized by Department of Energy was the EER metric.

5 MR. GATTO: So you may be getting at where I 6 was going, then. So I guess the question I would have 7 as a non-stakeholder in that particular category, will 8 the manufacturers not here in this room today have to 9 retest or you're going to be using the same essential 10 test methodology but it's a different metric that's 11 coming out the other end? It's --

MR. THARP: So the AHRI 1230 includes both EER and IEER today and has since it was released. ASHRAE 90.1 actually has values for both EER and the IEER on both commercial rooftop, unitary products, as well as VRF products. So the reality is it's switching from EER to IEER is not a burden on manufacturers.

MR. GATTO: Yeah, I kind of -- like I said, I didn't want to make that assumption. It almost sounded like one-third of the industry is doing it one way, but it sounds like that's not actually true. You're all doing it the same way.

24 MR. THARP: Right.

25 MR. GATTO: You just want to change so that

you're all using the same representative values,

1

2 whatever that metric -- what gets reported.

3 MR. THARP: Thank you for asking the4 clarifying question.

5 And just, just one more point MR. DOPPEL: б on that, the Consortium for Energy Efficiency publishes a rating for, for utility companies to use, 7 and when they published their VRF, they included both 8 9 the EER and IEER, and they said that, you know, for this type of system, this is really the metric that 10 11 makes sense, so a good question. Thanks.

12 MR. GATTO: Okay. That's --

13 MS. ARMSTRONG: Yeah, and, and just to 14 clarify one thing I think that's an important nuance, 15 which you guys have alluded to, but the switch has 16 been made for the rooftop air conditioners at, at the federal regulatory level as of January 1 of this year. 17 The competing product, which is VRF, has not made the 18 switch in metrics. It is still in the legacy metric, 19 20 so Paul's -- where Paul was going -- explaining the 21 test procedure and, and please correct me if I'm 22 wrong, I think one of the underlying really important 23 things is that competing products are using the same 24 metric as far as their representations to the marketplace from a federal level, and that's not 25

1 occurring at this point.

2	MR. DOPPEL: Correct.
3	MR. GATTO: Okay. Dave Gatto, thanks
4	Ashley. Believe it or not, both from a federal
5	standpoint and from an industry standpoint, at least
б	in the industry I'm in, having everyone use the same
7	ways of representing the product is a good thing.
8	MR. deLASKI: Other questions for the
9	presenters?
10	MS. CLEARY: Jenn Cleary with AHAM, so as
11	you have been working within the AHRI task force to
12	develop changes to this test procedure, have you all
13	been testing your products? Because I'm just trying
14	to get at when would you be ready to initiate an
15	actual negotiation? I would assume at least from our
16	industry's experience, you would need some time to
17	understand where your products might be; is that
18	MR. DOPPEL: So the updating of a test
19	procedure is more, you know, we, we started from
20	something and we laid out procedures and methods to do
21	that. Well, when you get into the actual process of
22	doing it, it's like well, instead of doing A, B, and
23	C, you realize you have to do oh, A1, A2, A3; B1, B2,
24	B3; C1 you know? So it's incorporating those types
25	of things. It's making sure that the labs understand

1 exactly how to set the units up.

2	We, we developed also another section where
3	we defined what the lab's responsibilities are and the
4	manufacturer's responsibilities are regarding the
5	setup. One, one thing that's different about VRF
6	systems is they actually have to be installed in the
7	test chamber. The components are brought there and
8	then all of the interconnecting piping and wiring all
9	has to be added. So it makes it a little bit
10	different than, than other systems.
11	MS. HOOTMAN: So Jenn, this is Jill. Are
12	you asking that we understand what the effect is of
13	this metric on our ratings?
14	MS. CLEARY: Jenn Cleary, yes, that's what
15	I'm trying to understand if
16	MS. HOOTMAN: We did
17	MS. CLEARY: basically, what I'm really
18	getting at is if you finish this test in June and
19	assume that the working group that could be created
20	would agree to that and recommend that DOE adopt that
21	as the federal test procedure, then you would be ready
22	like in mid-June to start actually negotiating this.
23	MS. HOOTMAN: Yes, yes. We do understand
24	the metric and even today and understand what it's
25	effect is on our product, even today, so

1 MS. CLEARY: Okay. Thanks for the 2 clarification.

MR. THARP: So -- Rusty Tharp with Goodman, 3 4 a little -- a little more clarification is that, to 5 me, the -- what we're changing is really more tweaks б and clarifications in the test procedure and the instructions thereof. In reality, I wouldn't expect 7 that our ratings would change really as a result of 8 9 the changes that are being made, if so, a very small 10 amount.

So I think we would be -- we would 11 12 understand fairly well what the differences are and 13 what the clarifications of the test procedure -- the 14 other thing to remember, as Paul noted, this test 15 procedure is relatively young, and as you would expect 16 with anything -- any test procedure of that nature when you're talking fairly complex products with a lot 17 of flexibility for consumers, that you would learn a 18 19 lot as you go along in this test procedure as we're 20 testing and auditing at AHRI and in our own labs, so 21 we're making these tweaks and changes for clarifying 22 how we're all testing and then we'll make sure we're 23 all doing things the same way, whether it's in our own 24 facilities in AHRI audit testing or in any DOE audit 25 test.

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1 MS. JACOBS: So -- I want to (phonetic) say 2 it another way, would you say that it hasn't been sufficiently documented the way everyone's testing it 3 4 now? 5 Rusty Tharp, Goodman, I'd rather MR. THARP: say -- I would rather say that we, we've, we've б learned a lot in the last seven years and we're making 7 improvements based on the learnings. 8 9 MR. CYMBALSKY: Rusty -- and I think all 10 three of you said -- that this action would reduce 11 burden at the end of the day if finalized the way you 12 envision it, the test procedure? 13 MR. DOPPEL: Yes, we do. 14 MR. CYMBALSKY: Okay. Thank you. 15 MR. WOLF: This is Mike Wolf, question, so 16 is there -- there's something in 90.1 that sets the levels for VRF? 17 MS. HOOTMAN: Yes. 18 MR. DOPPEL: Yes, there is. 19 20 MR. THARP: Yes, 90.1 has levels for VRF. 21 So there's been a lot of dialogue MR. WOLF: 22 yesterday, as well as before that, that this is a case 23 where we have a somewhat regulatory requirement through, through ASHRAE 90.1 and the energy codes for 24 25 something that doesn't yet have a test procedure for

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1 it.

2 MS. HOOTMAN: It does. MR. THARP: No, the test procedure exists 3 4 and has existed. 5 MR. WOLF: Okay. MR. THARP: It's just we're -- again, we're 6 7 making improvements to the test procedure and making 8 clarifications on instructions and exactly what has to 9 be done and how you set it up. And so the, the EER and IEER has been listed in ASHRAE 90.1 for several 10 11 years. 12 MR. WOLF: The original test procedure was 13 2010, okay, but so what I heard Ashley say though is 14 the, the, they don't -- they're not necessarily 15 meaning the same thing on a ducted type unit versus a 16 VRF type unit, so you kind of have got apples and oranges for the same metric, which would be very 17 consuming -- very confusing --18 19 MS. HOOTMAN: Different metric competing 20 products --21 MR. WOLF: Okay, okay. All right. Okay. 22 MS. HOOTMAN: -- so the federal regulatory 23 metric, right? 24 MR. DOPPEL: Rooftops are IEER at this time. 25 MR. WOLF: Gotcha.

MR. DOPPEL: And VRF is still EER, so - MR. WOLF: Yes, okay.

MR. HOROWITZ: Noah with NRDC, trying to 3 4 understand what the actual request is and maybe the 5 It seems like through an AHRI-led sequencing. б process, you're close to making the tweaks necessary to have a robust IEER metric, and is your intent to 7 finish that work or be close to finished with it and 8 9 then bring that to this committee and then start a negotiation that finalizes -- adopts the test method 10 11 and then you then take the work to set the standard or 12 help me understand the sequencing.

13 MR. THARP: So I quess what I would envision 14 is that the AHRI committee, with communication with 15 others, the labs, DOE, et cetera, would complete our 16 work and then the -- bring that before it's released as final by AHRI to the committee, basically get the 17 committee blessing, the working group blessing that 18 19 yes, this is what we want before we would actually go 20 publish it. And, you know, if we need to make any 21 tweaks, then we'd have the opportunity to tweak it 22 before we publish it or an alternative is we could 23 publish it so that it's on the record and then come 24 back with an addendum as, as needed because we can do addendums fairly quickly and, and then after that test 25

procedure is finalized, agreed upon, then we would
 start with the ECS process.

MR. DOPPEL: So there was a test procedure 3 4 submitted with the RFI. So what, what has happened 5 since then is that there's been some DOE rulemakings 6 on the products less than 65,000 so the majority of the work that we're doing now is sort of coordinating 7 that with the 210, 240 standard that was just done to 8 9 make sure that both standards are aligned. So the, the standard was submitted with the RFI several years 10 11 ago. And so --12 MS. ARMSTRONG: A draft of the standard --MR. DOPPEL: A draft, a draft of the 13 14 standard. 15 MS. ARMSTRONG: -- a working draft of the 16 standard was submitted --MR. DOPPEL: Yes. 17 18 MS. ARMSTRONG: -- in response to the RFI --19 MR. DOPPEL: Yes, it was. 20 MS. ARMSTRONG: -- where tests subsequently may have been changed by the committee --21 22 MR. DOPPEL: True. 23 MS. ARMSTRONG: -- and is ongoing, so clarify. 24 25 MR. DOPPEL: And, and we're updating. We're

1 updating.

2	MS. ARMSTRONG: Minor, minor clarifications.
3	So I just want to clear up a few terms. We have some
4	new people in ASRAC, and it's been a while since we've
5	all met, so I just want to so and, and I want to
6	make sure I understand what it is you guys are asking.
7	So just from an administrative perspective, so there
8	is the committee, ASRAC.

9 There is a working group that typically is 10 formed to negotiate a specific thing. That working group will be different members. It can -- it will 11 12 have some of the ASRAC members, but we will solicit 13 separate nominations for that process. The working 14 group is typically where a lot of the technical work 15 is done with negotiating the actual test procedures or 16 standards, so when you were using the term committee before, I think you were referring to working group. 17 MR. THARP: Yes, thank you. 18

MS. ARMSTRONG: In other words, your ask is that ASRAC, and I'm translating, and this is not speaking on behalf of the Department, I'm just trying to -- so your, your ask, I think, is for ASRAC to consider a working group to establish or to negotiate test procedures and standards for this product with a recognizing that the industry process is working

1 through a test procedure update, and the hope and 2 expectation is that the working group can quickly initially review that, agree to it and move forward to 3 4 concentrate on standards. 5 MR. THARP: Yes, ma'am. 6 MS. ARMSTRONG: Does that help? 7 MR. THARP: Yes, that is correct. Thank you for the clarification, Ashley. 8 9 MR. WINNINGHAM: So Rusty, just to, to kind 10 of elaborate on what Ashley's question and 11 clarification and I think that helped a lot, is it the 12 intent that you would start the working group process 13 and agree to a term sheet on the test procedure that 14 you would bring back for approval and then start the 15 working group on the efficiency standards or is it 16 the, the requests kind of all in one and the test 17 procedure would be part of an overall package? 18 MR. THARP: This is Rusty with Goodman, I would -- I would envision that ASRAC would develop a 19 20 working group and that the assignment of that working 21 group would be to agree upon a test procedure and an 22 energy conservation standard with the direction from the ASRAC -- full ASRAC committee that the test 23 24 procedure must be finalized before there's 25 negotiations on the energy conservation standard. And

I would -- I would assume that at the -- at the 1 2 beginning, that there'd be a lot of back and -- a lot of information exchanged on -- as typically is done in 3 4 the RFI and the in manufacturing impact analysis, 5 MIAs, that are typically done, a lot of that would be б done at the beginning, also, but the discussions itself on energy conservation standard would not start 7 until after the test procedure would be agreed upon. 8 9 Would you guys agree? Jill? 10 MS. HOOTMAN: Yes. 11 MR. DOPPEL: Yeah, I would agree. 12 MS. MILLER: Thanks for that question. Ι 13 thought that was really helpful for clarifying. This 14 is Sofie Miller. One thing that's from a consumer 15 perspective I tend to think of when manufacturers are 16 themselves trying to initiate a standards process is will this, in some way, impede some of their 17 competitors from entering in this market, and if so, 18 19 would there be a loss of competition from which 20 consumers would, would not be benefitted? 21 So not, not really having the lay of the 22 land in terms of your competition and who the large 23 manufacturers are in your -- in your segments, can you 24 tell me a little bit about what -- with an energy conservation standard in mind, what some of the 25

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incentives might be from your perspective as it

25

1 pertains to some of your competitors or if there might 2 be some anti-competitive effects of such a standard? 3 4 MR. THARP: Rusty Tharp with Goodman Daiken, 5 I, I don't perceive that there would be any б significant or any measurable anti-competitive issues. If you look at the -- Paul Doppel with Mitsubishi has 7 been involved in this much longer than I have, but the 8 9 reality is there's these, these products were initially created in the Asian/Japanese market and 10 11 have started bleeding into the North American market, 12 as Jill indicated in, in her intro. Many of the major 13 North American competitors of HVAC products have not 14 offered VRF products early on, but now almost all of 15 the major VRF competitor -- or most of the North American HVAC competitors, if you want to think of the 16 major seven, almost all of them now are offering VRF 17 18 products.

19 So actually, the VRF market is growing 20 substantially. There's a lot of -- there are Chinese, 21 Korean, Japanese manufacturers. You've got North 22 American manufacturers getting into it. And I --23 quite frankly, I don't see how we could really make it 24 non-competitive.

MS. HOOTMAN: And this is Jill Hootman from

1

Ingersoll Rand, I mean we're already -- the

2 marketplace is already regulated. It's just on a 3 different metric, so I don't see how it would change 4 it by changing to a different metric.

5 MS. MILLER: I think I was referring to a б desire to negotiate new energy conservation standards, rather than the test procedure issue, which I, I kind 7 of separate in my mind because I work more on the 8 9 standard side than on test procedures. Just one thing that we've seen in the past is that on occasion, there 10 can be standards that are set at a level where 11 12 domestic manufacturers can meet them but then perhaps 13 there can't be imported products, in which case, 14 American consumers do lose out because there are fewer 15 options in the marketplace in some cases. So I just 16 wanted to get a feel for whether or not that's the case here, given that especially many of these 17 products, VRF products, many of them are manufactured 18 19 in Asia specifically.

20 MR. THARP: Yeah, so just real quickly on 21 that, I think the -- I would say nearly 100 percent, 22 if not 100 percent of the indoor products of VRF 23 systems are made outside of the United States and 24 it -- so we have to rely on imports basically for the 25 indoor sections of those and, you know, don't think it

1 would hurt a little bit to brag that my company has 2 invested heavily into developing a new, new production facility here in the United States, basically a half-3 a-billion dollar facility outside of Houston, where we 4 5 are manufacturing -- I guess assembling, depending on the terminology we're using, we're definitely б assembling, if not manufacturing the outdoor sections 7 of these products here in the United States, so we're 8 9 actually bringing jobs into the United States benefitting consumers here and, and people here in the 10 11 United States for that.

MR. WINNINGHAM: John, one of the things I think might help in that discussion is kind of how the formulation of a working group and, and any current thoughts on representativeness of the various interest groups around that and maybe DOE's latest thoughts.

Sure. So this is John from 17 MR. CYMBALSKY: As is always the case, you know, this will, if 18 DOE. the working group is going to be formed, we'll put out 19 20 a Federal Register notice and solicit nominations 21 across, across all interested stakeholders, including 22 consumer groups, manufacturers, of course, energy 23 efficiency advocates, utilities, states, everyone, 24 right? So installers, to the extent that they, they've been in a few of these, I think with, with 25

1 CUAC, we had an installer on that committee. So we 2 always do our best to get every interested stakeholder 3 involved, so we will do that again.

4 And, of course, members of ASRAC and, and 5 whoever else, you know, it's, it's helpful to spread б the word. I mean not everyone reads the Federal 7 Register, and not everyone gets our email blast, believe it or not. So I think it's incumbent on us 8 9 to, to -- as a group, to do our best to, to recruit folks who should be on the group, frankly. And so 10 11 once we get the pile of applicants, as is the normal 12 process, we'll go through them and, and pick out the 13 ones for representativeness and experience in the 14 field, et cetera, et cetera, to, to form a balanced 15 working group.

This is Charlie Hon. 16 MR. HON: I have a couple comments, different questions, but I -- we have 17 no stake in the, the, this particular project. We 18 don't make anything like this, but it, it very much 19 20 parallels refrigeration capacities as far as grocery 21 store type refrigeration where you have multiple 22 possible trails of refrigerant going this way, that 23 way and the other way. And it is very, very relevant 24 to the refrigeration people to get -- be -- and probably review, if certainly not be involved in this, 25

that this has a relevance beyond just the HVAC group, also into the refrigeration group because the same problems exist in refrigeration, in certain sectors of the refrigeration that are driving everyone in this room.

As far as the DOE goes, half of that sector 6 is regulated; half of it isn't for these very same 7 questions. I think it'll be very beneficial that we 8 9 really review this because as a refrigeration person, 10 I'm going to be watching the result because it, it has 11 relevance to what could happen in our industry as 12 well. And it's very important because right now, 13 there is no regulation at certain levels of that, 14 which has, has always been a difficult situation with 15 the DOE.

16 So to me, I see a very complex problem here 17 that they're trying to come up with a very good solution, what appears to be a very good solution, if 18 it works out correctly, to make it so that they can 19 20 truly add to the selections available to the North 21 American market in an honest way, in a straightforward 22 way because these are fairly new products into the 23 North American market and they are -- by adding 24 selection possibilities, you're giving a customer potential pricing -- improved pricing, improved 25

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efficiencies, all kinds of different possibilities 1 2 there with this new product -- new product introduction, but also put it in parallel with the 3 4 existing equipment, so it's really relevant to do 5 this, and it could be a very complex process, so just б the rulemaking will be very difficult to understand the rulemaking process because there are so many new 7 elements we're discussing here. So I would be very 8 9 much in favor of that. It sounds like we have our 10 MR. CYMBALSKY:

11 first ASRAC volunteer for this working group.

12 (Laughter.)

13 MR. deLASKI: This is Andrew. I, I want to 14 come back to the point, I, I don't think you can 15 separate the task method and the standard in this 16 particular case because the request is to change the 17 test method. If you change the test method, you've 18 got to change the standard, right? So they, they're the same. You can't -- you know, you can't change one 19 without changing the other, so, so it is the, the --20 21 it is a package request, and you can't consider it as 22 a -- you know, point A leads to point B. 23 Other questions on this? MS. CLEARY: Yeah, this is Jenn Cleary, so 24

25 understanding when you're going to finish this test

procedure effort from an AHRI perspective, which is several months from now, I just -- is there a reason why you want the working group approved now instead of when that effort is completed?

5 Again, typically, it takes some MR. THARP: б time, you know, for the ASRAC approval, then the internal DOE approval; then the publication in the 7 Federal Register, and then the -- you know, the time 8 period for applications; then the review of the 9 So I would -- I would envision even if 10 applications. 11 the ASRAC working group -- ASRAC committee agrees to 12 form a working group today that it's going to be a few 13 months down the road anyway before that group even 14 starts.

MS. CLEARY: Hi, this is Jenn. Thank you.
And my last question is for the Department. Given -oh, yeah, sorry --

MS. HOOTMAN: Well, yeah, I was just going to say to follow on that, you know, you all didn't meet all last year, so, you know, you don't meet on a regular basis. I kind of want to get the surety now so that we can, you know, align our resources such that -- such that way.

24 (Laughter.)

25 MS. CLEARY: Jenn Cleary, Jill, I'll, I'll

1 schedule -- I'll schedule the next meeting.

2 (Laughter.) MS. CLEARY: My last question is for the 3 4 Department, which is kind of going back to what Andrew 5 was talking about before there, pending term sheets. б If, if we approve a working group, do we have assurances that DOE will be participating and that 7 8 this will move forward? 9 MR. CYMBALSKY: So I think we heard from Daniel this morning that, that DOE values the 10 11 negotiated rulemaking process, so I'll just echo what 12 he said. MR. WOLF: This is Mike Wolf. So I want to 13 14 follow-up on that, but, you know, I picked out on the 15 word statutory -- whatever the -- translate for me, 16 Ashley --17 MS. ARMSTRONG: Keep going. You're doing 18 great. 19 MR. WOLF: -- statutorily required is this 20 something that would fall under that? 21 MS. ARMSTRONG: Yes. 22 MR. WOLF: Okay. 23 MR. deLASKI: Which also speaks to the 24 timing because the deadline's coming up. 25 MS. ARMSTRONG: Yes.

MR. deLASKI: In fact, it's going to be 1 2 tough to hit the deadline if we approve a group today, so -- and if there's not a -- you know, it's, it's 3 4 going to -- where this leads to if there's not a 5 process is, is unclear, right? But there is a б statutory deadline and it's coming up. 7 MR. GATTO: So Andrew, it's Dave. I guess the question I would have is what are the odds of 8 hitting that deadline if there isn't a working group? 9 10 Because I would --11 MR. deLASKI: If there's not a working 12 group? 13 MR. GATTO: Right. 14 MR. deLASKI: I can't answer that question 15 for you. 16 MR. GATTO: No, I quess what I'm saying is 17 that if the -- if the concern was, you know, they're -- they may miss the deadline, I, I don't know 18 19 that that's relevant, just because the -- if the 20 deadline -- if there's no -- if you don't have an open 21 rulemaking, John, what -- you know, I don't -- you 22 don't have to actually answer this, but if there's no 23 open rulemaking now --24 MR. CYMBALSKY: There is -- there is an open 25 rulemaking.

There is, it's open. 1 MS. ARMSTRONG: 2 MALE VOICE: It's open. It's been open. MR. GATTO: So this is the RFI with the 3 4 draft test procedure for, for giving --5 MR. CYMBALSKY: No, they, they, they commented with the procedure, as far as I recall. б Okay. So I guess -- so not 7 MR. GATTO: knowing where you are in that process, I apologize, 8 9 Andrew, then maybe it is possible, but, you know, my experience is if you're that early in the process, the 10 11 working group might actually be a more efficient way 12 of hitting or maybe not missing the deadline as badly 13 is, is, is probably a more fair way to say it. 14 MS. ARMSTRONG: So I'm going to -- so one, I 15 think, point-of-view, and maybe not necessarily the

Department's, but I think one thing to appreciate is that as they explained, this -- these products are very complicated. They come in a variety of different configurations. They get installed in a variety of different ways, some never the same twice. From a purely analytical standpoint, to do the analysis on an industry like that, it is hard.

It is challenging. And so the benefit of
being able -- and I'm going to speak from, you know,
if I was one of the analytical consultants from the

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Department, the benefit from being able to sit across the room with the manufacturers, with installers, with utilities, with consumer groups. The people that are otherwise all affected by the rule and talk about the analysis, the inputs, what it looks like, be able to do real-time changes, I think in this particular case is astronomically beneficial.

9 MS. DRISKELL: A completely different 10 question. So for your test procedure, it will change 11 -- it won't change the ratings? It might change the 12 ratings? It won't change the ratings so that existing 13 information that's presumably reported to AHRI or 14 ASHRAE 90.1, we can use that data --

MR. CYMBALSKY: Kristen?

15 MS. HOOTMAN: Or you -- or you.

8

MS. DRISKELL: -- or to us -- I was trying not to remember that I, I do that. We can use that information, it won't need to be updated. Once you finish this test procedure, we can start right away with the information we have. You don't clean it up, it's good. Okay.

22 MR. CYMBALSKY: Okay.

23 MS. HOOTMAN: There may be reprogramming 24 changes that have to happen, I will say that. I mean, 25 you know, as far as the filing on CCMS or something

1 like that, there might be, but --

2	MR. CYMBALSKY: Try to get this to a vote
3	MS. MILLER: Hopefully this isn't a
4	question, but one thing to keep in mind, when Charlie
5	was talking about how this could be enabling, in some
6	ways enabling different products in the market to be
7	available, to the extent that it's true that a change
8	in regulation could be enabling to that kind of
9	activity, under OMB guidance, part of that rule could
10	be considered partially deregulatory activity as well.
11	So that's something to keep in mind if that really is
12	the interaction that it has in the marketplace and it
13	does make new products available to consumers, that
14	might be a box that you're able to check.
15	MR. CYMBALSKY: Can you hear me? Okay.
16	So I want to start at my right, and we'll just go
17	around the table, vote yea or nay. We're just going
18	to go around like this, so Andrew?
19	MR. deLASKI: So we're supportive. We
20	support creating a working group to address the test
21	method and the standard for VRF.
22	MS. CLEARY: Jenn Cleary, support so long as
23	the test procedure is completed before discussions of
24	standards begin.
25	MR. WINNINGHAM: We support and, again, with

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the stipulation that the test procedure is, is in
 place before the standards begin.

MS. MILLER: Support and would be interested in discussing further in that whether or not there may be barriers to imports through the enforcement of different standards.

MS. SIM: In support with the stipulation
that the test procedures be completed and accepted
before proceeding into a working group.

10 MR. CYMBALSKY: Okay. Kristen?

11 MS. DRISKELL: Kristen Driskell, support.

MR. HOROWITZ: Noah Horowitz, NRDC, support
with the test method going first.

MR. GATTO: Dave Gatto, Westinghouse,
support with the same stipulation on the test
procedure being completed first.

MR. WOLF: Mike Wolf, Greenheck, support
with the same stipulation on test procedure going
first.

20 MS. JACOBS: Diane Jacobs, support with the 21 test procedure coming first.

MR. HON: Charlie Hon, support with the testprocedure as well.

MS. ARMSTRONG: Ashley Armstrong, DOE,abstain.

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MR. CYMBALSKY: Okay. So I think what we 1 2 need to talk about now is, is schedule and what the actual ask from ASRAC will be to the working group. 3 4 We all agree that the test procedure must be done 5 first, so not to put too much pressure on the industry 6 quys, but it sounds like June, but clearly, that date can float. They need to finish their work, and we 7 won't do anything until that work's complete. 8 9 MS. ARMSTRONG: We won't? 10 MR. CYMBALSKY: We won't start negotiating, 11 we will do --12 MS. ARMSTRONG: Standards. 13 MR. CYMBALSKY: Right. 14 MALE VOICE: The standards. 15 MR. CYMBALSKY: Right. So the question is 16 once, once the group is formed, let's just say 17 everything goes according to plan, how much time are you requesting to do negotiations? So we don't need 18 19 hard dates of it needs to be let's say August, but how 20 many -- once we commence, how much time? 21 MR. THARP: Six months. 22 MR. CYMBALSKY: Six months. Okay. 23 MALE VOICE: For the test method and the 24 standard or just to review and adopt the test method? 25 MR. CYMBALSKY: It sounds like the whole

1 package.

2	MR. THARP: The whole package, yeah
3	MR. CYMBALSKY: Okay. So anyone in you
4	know, so I'm not going to say like I said, I'm not
5	going to say a hard date, I'm going to say from
6	commencement, the group will have six months.
7	MS. ARMSTRONG: And does ASRAC want to
8	stipulate to the working group that they pass forward
9	a term sheet on the test procedure agreement first and
10	then secondary, they can have a second term sheet on
11	the standards?
12	MS. CLEARY: It's Jenn Cleary, yes.
13	MS. ARMSTRONG: Just all around the room.
14	Yes? I see a lot of shaking heads, so I'm going to
15	say yes around the room.
16	MS. DRISKELL: I have hopefully a related
17	question. Has, has DOE been invited to participate in
18	the test procedure development that we're talking
19	about? Has it also been open to so there were nods
20	has it also been open to the Energy Commission, who
21	might be interested in this as well?
22	MS. ARMSTRONG: So the answer for DOE is
23	yes, we've been an active participant over the years
24	in this one and are actively participating now. I
25	can't answer the other one.

1 MR. THARP: For the -- in recent major test 2 procedures for AHRI, specifically 210, 240 and 1230, we've created the normal process is the engineering 3 4 committee reviews and develops it, then the section 5 Then there's a standards committee that approves. б approves, so there's these three steps. We actually 7 created for those two a preliminary committee, we call the ECTC, and there was a call for members that was 8 9 sent out.

I don't know who all that went to prior, but 10 11 it was pretty -- I'm pretty sure it was blasted out to 12 all of our contacts, including energy advocates and 13 others, but I -- we can get that for you. I don't 14 know if you happen to know, Paul, who all -- I don't 15 know who all it was sent to. I'll find out and get 16 that information to you. But the committee, as far as I'm concerned, it's open for participation. 17

18 MR. DOPPEL: So other than Department of 19 Energy, we also have a CSA participating out of Canada 20 and, and also Intertek Laboratories and other 21 laboratories were invited to participate, too.

MS. DRISKELL: Okay. I'm just thinking if we're asking to form a working group to take a test procedure and approve it. It might be helpful if potential groups who will be on that working group

have some indication of what this test procedure is as it's developing so they can raise issues now rather than during the working group, especially given the time frame that you're requesting.

5 MR. DOPPEL: So a year -- a year ago, we had б a meeting with many members of the advocacy groups, including someone from the California Energy 7 Commission, and we reviewed the standard with the --8 9 draft standard with, with that group at that time. So we have been very open with, with the standard and 10 11 what, what it can take. So someone from CEC has seen 12 and was given copies of that, so they understand what, 13 what VRF is, what we're trying to achieve by the 14 testing. So we've been -- we've been very open with 15 that.

MR. WOLF: Mike Wolf, Greenheck, I have a 16 question kind of related to, you know, the 17 participants on these working groups, not only this 18 19 one, but just more in general. From a consumer 20 perspective, who would -- who would you guys define as 21 the consumer for this type of product. I always 22 struggle with that for commercial products. I mean, 23 you know --

MS. HOOTMAN: Commercial building owners?
MR. THARP: Commercial, commercial building

people, commercial contractors are the ones --1 2 MS. HOOTMAN: Yeah. MR. THARP: -- who typically install these 3 4 types of products. 5 MS. HOOTMAN: Yeah. 6 MR. WOLF: Okay. I understand. MR. THARP: Not, not your regular --7 MS. HOOTMAN: And just about every building 8 type in, in commercial uses them, could use them. 9 MR. WOLF: Okay. I understand that. 10 In, in 11 my little world, though, the, the commercial 12 contractor's a completely different audience than the 13 building owner, a lot of times. And, you know, 14 engineers and architects fall into that. I was just 15 kind of curious what others' perspectives were on 16 that. MS. HOOTMAN: All of the above. 17 MR. DOPPEL: Yeah. so all of the above would 18 19 be --20 MS. HOOTMAN: All of the above, yeah. 21 MR. DOPPEL: Yeah, all of the above. 22 MS. HOOTMAN: Distribution chain is all of 23 the --24 MR. DOPPEL: Right. And we've, we've always -- all manufacturers, I'm, I'm pretty confident, have 25

always worked with architects, engineers, contractors.
 In fact, all VRF manufacturers have extensive
 training programs for contractors because of the -- so
 they understand the systems and can install all of the
 systems properly.

6 This is Andrew. I think it MR. deLASKI: 7 makes sense for the Department to -- in putting out a solicitation for membership to be sure to solicit, you 8 9 know, participation from the users of the product and make -- do direct outreach to try to identify -- I 10 11 mean there are various associations; there are -- you 12 know, they, they exist. You know who they are, and 13 sometimes there are leaders in the field you can recruit to try to participate. It does take a lot of 14 15 time, so it's not easy to get participation in things 16 like this, but it's -- you can recruit people to 17 participate.

18 MS. ARMSTRONG: And so one other thing, one other question that comes to mind for ASRAC is 19 20 typically we solicit nominations through the -- for 21 the working group through the Federal Register 22 process. After that, we get all of those nominations, 23 we put them in a big pile and we bring them to ASRAC for really the first level consideration. So is there 24 anything else? 25

1 History has shown that typically we, we just 2 ask for a resume, but sometimes that doesn't get really to the issue of why someone wants to be on that 3 4 committee or what they bring to the table to add to 5 the committee or what relevance they might or who they б might be, so is there anything particular ASRAC would want us to ask for members to provide, even if it's a 7 simple one-page statement about why they want to be on 8 9 the committee and what their interests are representing before we put out this Federal Register 10 11 notice soliciting applications? 12 MS. JACOBS: So I, I think that's something 13 we talked about maybe --14 MS. ARMSTRONG: Okay. I was just --15 MS. JACOBS: -- 18 months ago, but --16 MS. ARMSTRONG: That's right (phonetic). MS. JACOBS: Yeah, the -- not, not only 17 18 that, but what they -- I mean it's not a -- you can't be a spectator. You have to have something to 19 20 contribute to the work and the, the analysts depend on 21 whatever data can be provided, so there's kind of an 22 implicit understanding that to be effective, we need data from somewhere and if we -- if someone in 23 24 applying is offering to provide data as much as they can or whatever, that I think in the solicitation, we 25

1 should have some paragraph about asking them what they 2 think they will contribute, whether they have some field data available for the analysis and I, I think 3 that would cover it. I don't know. 4 5 MR. deLASKI: Ask them to submit a letter of application, right? And you, you've described in the б notice what this is all about, and a letter of 7 application, I think, you know --8 9 MS. JACOBS: Will take care of it. MR. deLASKI: -- will take care of it. You 10 11 can tell them what to ask for. 12 MS. JACOBS: But we need a response from 13 them, too. 14 MR. deLASKI: Yeah, a letter of application, 15 I think, invites that response and, you know, 16 presumably, they want to be on the committee. You know, in my experience, in our experience, we haven't 17 had the number of applicants in the committee, 18 19 committees has not been that large, right? 20 MS. JACOBS: Except for the last one. 21 MR. deLASKI: There have been one or two, 22 you know, but by-and-large, it's like everybody who 23 applied gets in, right? It's like it's not -- this is 24 not like getting into Harvard or something, you know? 25 (Laughter.)

Mr. deLASKI: You know, it's -- you're taking the, the, the people who are willing to put in the effort. Now, there is, in some cases, I, I agree with you, there has been a couple of cases where you had to pick, so that's where having this letter, I think, would be very helpful.

MS. JACOBS: I think on the pool pumps or 7 the -- one of the pumps, but there were -- in the 8 9 early ones, anybody who was in the audience was welcome to join the conversation. And then with the 10 11 pool pumps, it seems like we restricted the -- who 12 could actively participate to the people actually on 13 the working group? No? Well, I remember this one guy named Buzz, maybe, but anyway, so anyway --14

15

(Pause.)

16 MS. JACOBS: All right.

17 MR. WOLF: So this is Mike with Greenheck, 18 to that point, I know we've chatted about the -- you 19 know, the, the fan working group was pretty darn 20 large, and is there kind of an optimum number that DOE 21 or anyone has kind of thought is the right number? 22 MS. ARMSTRONG: So I think DOE, at this 23 point, has no opinions on an optimum. We've had 24 different dynamics for different working groups. We need to make sure that the working group is balanced; 25

1 it meets the requirements for different type of 2 representations, and then it can be up to 25. So I think it really depends on what we get because if we 3 4 get 24 manufacturers and one other person, I'm pretty 5 sure we're going to have to make some hard decisions. б So it, it really just depends on those applicants. And so we would ask for your help in, in -- when this 7 goes out, sending it to anyone you know that would be 8 9 representative, that would be willing to do this, that would be willing to help us with this -- assuming, oh, 10 11 veah, so --

MR. deLASKI: -- where we are, the decision here?

14 MS. ARMSTRONG: So ASRAC just voted to 15 charter a working group to negotiate the test 16 procedures and energy conservation standards for VRFs, 17 with a few stipulations. ASRAC is willing to give the 18 working group six months for negotiation from the 19 first meeting. ASRAC requests two separate term 20 sheets: first, the test procedures, followed then by 21 the standards. ASRAC is also going to stipulate that 22 the committee may not begin negotiating the standards 23 until an agreement on the test procedure is made and 24 the term sheet is passed to ASRAC. And that's it. 25 MR. deLASKI: Okay.

1 MS. ARMSTRONG: And then there's other 2 things for the working group Federal Register notice 3 that I have written down as the Department to do.

4 MR. deLASKI: Okay. Thanks. We usually 5 save time at the end for audience questions, Steve. Is that okay if we put that off until the end, we have б time for audience questions or comments? I wanted to 7 come back to the recent DOE notices and there was some 8 9 discussion this morning about that, and I also wanted to come back to the potential for other working groups 10 down the road and then also come back to the 11 12 outstanding business of prior working groups.

13 So maybe we can take some of those -- take 14 those in reverse order because that might be something 15 that might be kind of quick. So in terms of things 16 that might be kind of quick, I wanted to ask the 17 Department if there's any update on circulators? MR. CYMBALSKY: Not at this time. 18 DOE is still evaluating what was presented to the Department, 19

20 so nothing new there.

21 MR. deLASKI: Okay. And I think we've 22 already talked about the rooftop air conditioners and 23 the walk-ins and the one other item, I guess, is the 24 pool -- the pool pump labeling topic, whether that's 25 been addressed at all, if you don't know the answer,

1 then that's fine.

2 MS. ARMSTRONG: Skip to the end --MR. deLASKI: Okay. 3 4 MR. CYMBALSKY: Yeah, let's, let's 5 contemplate, yeah. MR. deLASKI: Okay. So those are the things 6 7 I had as outstanding business from prior term sheets. 8 Is there anything else that's outstanding business from prior, prior term sheets that people wanted to 9 So there was some discussion this 10 raise? Okay. 11 morning about this group's role with respect to the 12 RFI on --13 MR. WOLF: Andrew, sorry, Mike here. 14 MR. deLASKI: Yes. 15 MR. WOLF: Can, can I just ask, there was a 16 term sheet on fans as well, which was just sitting 17 there. Sorry, Ashley. Hi, Mike. I think fans is 18 MS. ARMSTRONG: So the Department's working to meet all its 19 one. 20 statutory obligations right now, and I think fans is 21 one it's still considering. It understands it has a 22 term sheet. It's very complicated, and we're still 23 working through those issues. To the extent we can work with the industry committee at the same time, 24 25 we'll talk about how to do that.

MR. deLASKI: The one other item I wanted to 1 2 move onto is the -- I quess the thing I wanted to say was are there any other topics out there that people 3 4 want to flag as potential subjects for future 5 negotiations, not things that are perhaps ready to be б moved on today as a, you know, form -- like a proposal, like we had from the industry on VRFs, but 7 are there any other products out there that --8 9 products or topics that people think may be a good candidate for a future working group? 10 11 Diane? 12 MS. JACOBS: So yesterday, there was a 13 public meeting about the process for rulemakings. Is 14 that a possible working group topic? 15 MR. deLASKI: I think it's a fair question, 16 and I think that was -- that was my third item, I guess, was to, to raise that, so you're getting into 17 the --18 19 MR. CYMBALSKY: Let's -- yeah, let's put 20 that to Andrew's third item. 21 MR. deLASKI: Okay. So aside from the 22 process, dealing with those process issues, which we 23 have talked about in lots of prior meetings, those are 24 not fresh, not all new, are there other topics that people -- topics, products, test methods, you know, 25

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1 that people think are coming down the pike that are 2 worth flagging now for -- so people can start thinking 3 about them?

4 MR. CYMBALSKY: And I know we all have our 5 homework assignments with the reg agenda, kind of 6 planning stuff too, so to the extent that, you know, 7 that's a logical follow-on maybe to that exercise.

8 I mean we're talking a lot about the process 9 rule. I do want to say we did issue another RFI about 10 different approaches to the regulatory nature of this 11 program. I don't know if you all saw that. It came 12 out, I think, last --

13 MALE VOICE: Market base.

MR. CYMBALSKY: 14 Yeah, the market-based 15 So that came out in late November. approaches. So to 16 the extent anyone wants to talk about that -- I mean, it's pretty self-explanatory. It just lays out what 17 other approaches might exist. But I don't know if 18 19 that's something ASRAC wanted to talk about before we 20 get into the process rule. Because I think, as Andrew 21 said, you know, yesterday's meeting there were a lot 22 of issues that were brought up, I think this group is 23 well positioned to comment on. Okay, so we'll just go 24 with the process rule, so have at it.

25 MR. deLASKI: So you want to follow up

there, Diane? I think the question you're posing is, is there some role for ASRAC for the parent committee -- now, we're not talking about creating a working group perhaps, but is there some role for the parent committee or maybe it's working group, to think about, to respond to some of the issues that have been raised in the context of the process rule RFI.

MS. JAKOBS: Yes, that's what I was 8 9 thinking. And kind of when I think back all that I've learned from being part of this committee for the two 10 11 years when we were active and all the rulemakings, it 12 seems like that we would be a good group to weigh in. 13 And also that the negotiated rulemaking process would 14 be a good way to look at reviewing the processes we do 15 have and looking for ways to improve them or maybe 16 some of it goes away and something else happens. But what was in -- when you talk about the Cap A methods 17 and market, I mean, to me that sounds really 18 19 complicated and a little bit scary. But, I don't 20 know, you know, if you're kind of familiar with -- you 21 know, I mean, you can be disgruntled with what you're 22 doing, but at least you know what it is and you know 23 what the problems are and maybe you have some ways to 24 deal with them. But if you do something completely different, then you really don't know where the issues 25

1 can be.

2	So I'm not sure it's just my ignorance that
3	makes me be concerned about it, but the whole issue of
4	the verification and I think a lot of the regulated
5	process or products, they're not very precise. Our
б	manufacturing processes are not very precise. And we
7	talk about these high confidence levels and
8	repeatability of the energy measurements we take and
9	what we're held to, that it may be inconsistent with
10	our manufacturing processes, you know. The fact that
11	we want to say 97-1/2 confidence level on furnaces,
12	but they're just sheet metal and so they're not built
13	exactly the same every time.

So there's a lot to the rulemaking process, the verification and certification processes. And it seems like maybe a high-level review and then, you know, a working group to do specifics, it just seems like we're -- you've educated us, so that we might be helpful.

20 MR. CYMBALSKY: So I agree. This is John 21 from DOE. I think from a timing point of view, I 22 think for the process rule, that it would be this 23 group. If this group decides it wants to comment as a 24 group, then that's something I think we have to all 25 vote on and agree that that's what they want to do,

because obviously not everyone on this group may have the same opinion. So I think that's the first thing you need to do, is do you want this group to comment as a whole on at least on a few of the issue raised. And I definitely heard on a few of the issues, it was pretty easy that everyone agreed. You know, at least on one issue, everyone just said yes, right.

8 But the first thing you have to consider is 9 does ASRAC, as a group, want to recommend something. 10 If not, then we're all on our own, right. But I don't 11 think a working group -- just timing-wise to form a 12 working group and then have another different group, I 13 think that should be off the table. I think it should 14 just be you all, for what that's worth.

15 MR. HOROWITZ: Noah with NRDC. A couple of 16 thoughts. I think it's worth a shot of trying to work 17 together to come up with a common set of comments that we could all agree to and submit. And I think that 18 19 would go a long way given the diverse set of 20 stakeholders we have here. And I think we should 21 focus on that and not conflate the other RFI that's 22 out there for the alternate regulatory processes. And 23 I share your concerns, but I think that's a different 24 process and we can maybe talk separately about having 25 to deal with that.

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1 Then the question is how do we do this. And 2 I think you're right, there are probably a list of, I'm making up a number, six or seven issues and maybe 3 4 we can quickly do some triage and say given each of 5 our respective points of view, let's focus on these б four and try to get to yes and then try and submit I don't know what the process would be to make 7 that. that happen. And then the other question I would 8 9 have, each of us should think about, even though we sign on to these group comments, do we still have the 10 11 ability to submit separate ones, which I would argue 12 people should have that ability if they want.

13 MR. deLASKI: I quess I wonder a little bit 14 about sort of the notion of comments. I think we're 15 all going to submit comments in this docket, right. I 16 mean all the people around the table are not going to submit comments to the docket, right. So we're all 17 going to submit comments. There are going to be some 18 19 commonality among those comments. There's going to be 20 some things where we disagree.

I guess I'm wondering whether -- I almost think of it as does it make sense for ASRAC, given our experience particularly in negotiation, which is one of the major topics of the RFI, to provide some response to the Department or some guidance -- provide

1 our collective opinion to the Department on how -- on 2 that topic in particular and perhaps -- and that topic happens to touch on all of the other topics just by 3 virtue of -- for example, the sequencing of test 4 5 methods and standards comes up, it just came up in our prior discussion, and how would we provide that. Do б we provide it as -- you know, I don't know whether 7 it's a comment to the docket or whether we have 8 9 this -- we have this committee, which is created by the Department, to provide guidance to the Department. 10 11 So, you know, I certainly would want it in the public 12 sphere. This meeting is in the public record. So 13 it's all in the public sphere, but I would think it 14 would be an opportunity for us to provide it so it's 15 separately from our individual organizational 16 comments, if we decide to do that. MR. GATTO: Dave Gatto, Westinghouse. 17 Yeah,

I think I agree with that. I'm just not sure of the 18 19 timing of it because I don't know if what you're 20 proposing is -- because I agree we're all going to, 21 whether directly or through our associations, we're 22 all going to be submitting comments for most of it to 23 that particular docket. So are you proposing or 24 thinking -- and John, I don't know if this is possible, because once the comment period is closed, 25

1 would ASRAC be able to then review the comments, 2 whether they're -- once they're publicly published, because they go right in as soon as you get the date, 3 4 as soon as people submit them really. I think you do 5 a quick review to make sure there's not anything in б there that you can't post. But then the ASRAC committee, and I would agree it would be the committee 7 versus the working group, we could then review that 8 feedback or maybe pick the portions of it that we 9 think are things the committee could comment on where 10 11 we wouldn't have 19 different positions, and then 12 either give feedback on what we think the process rule 13 change might look like or on the areas that we think 14 DOE would be best served to focus on to improve the 15 process overall.

Does that make sense? It's really for me I'm not sure whether this committee is allowed to comment after the comments deadline, if that makes sense.

20 MR. CYMBALSKY: This is John from DOE. But 21 this committee is charged, as Andrew said, to advise 22 the Department on issues relating to appliance 23 standards and obviously this is one of them. So even 24 outside the comment period of the RFI, after the 25 meeting that we have in the springtime, this group at

that meeting could say, here's advice we're giving to DOE and that will be put up in the docket and on the website and obviously it's public. So, sure, I don't see why that can't be done.

5 MR. deLASKI: That's a lot more appealing as 6 an exercise what you've described. Because I would 7 have been trying --

8 MR. GATTO: Yeah, and I wasn't sure if 9 that's what you were proposing either because I wasn't 10 -- like I like the idea, but I wasn't sure if that was 11 actually what you were saying.

12 MR. deLASKI: Frankly I hadn't --13 necessarily I didn't have a firm proposal out there. 14 But what you've just described to me, I think we ought 15 to be really busy frankly, unless -- I mean, of course 16 you guys can come in the deadline. That would help 17 plod. But given you have a request for that, that Karen from Rheem made yesterday, so you have a formal 18 request from that deadline. But I would suggest that 19 20 the notion that we, as a committee, could then learn 21 from what's on the public docket and then, you know, 22 use our experience and then what would we draw from 23 that and can we come up with some recommendations for 24 the agency based on both our own experience and also what we've seen on the docket that is reflective of 25

1 the joint opinion of this diverse of the stakeholders.

2 I think that could be very valuable to the Department, you know. Who cares what the Department 3 4 thinks, but I think it could be some time well spent. 5 You know, we created some working groups that -- not б working groups, but subgroup within this committee to tackle some of these issues over the past year. Now 7 is the chance to bring that -- past couple of years --8 9 it's a chance to bring some of that experience to 10 bear.

MR. HON: 11 This is Charlie Hon. I think that 12 it's very important because we still are bound by 13 certain rules and regulations for this committee as 14 far as public notices, time lines, different things 15 like that. We have to give certain amount of advance 16 notice of a meeting or anything like that. And I do not see how we could possibly, unless the deadline is 17 18 extended, really do a good job of solidifying our list 19 of opinions in a timely manner to make this happen. 20 It would be much better served by reviewing what's 21 going on from other comments and try and solidify some 22 position melding several of these comments in. 23 MR. deLASKI: Right, that makes good sense 24 to me.

25

MS. CLEARY: Jenn Cleary with AHAM. I agree

1 with what seems to be off the table, which is that we 2 should not try to comment as a group. It's a ridiculously short period of time for us to try to do 3 4 I think as far as the potential for us to give that. 5 future recommendations, I'd really like to see what ends up on the docket before I commit one way or the б other because I think that what we read on the docket 7 will be telling us to whether that's possible or not. 8 And I don't really want to be under like an 9 obligation for us to do that if when we review the 10 docket, it doesn't look like we have any areas of 11 12 sufficient agreement, for example, to make that time 13 well spent. So for what that's worth.

14

MR. deLASKI: Sofie?

15 MS. MILLER: This is Sofie Miller. I agree 16 with you, Jenn, and I think part of that is because as a newer member, I'm less familiar with what some 17 folks' stances are on different portions of that. 18 And also because coming from a rulemaking best practices 19 20 perspective, you might end up having very different 21 recommendations than coming from what's an expedient 22 rulemaking to provide certainty to manufacturers. So 23 I anticipate that I might actually not find lots of 24 room for agreement on certain particular areas and I would prefer to see how that pans out before 25

1 committing. But if there are areas of agreement, I 2 would be more than happy to learn more about those and see how we can support each other in that. But I 3 4 agree with Jenn, I prefer to see -- to wait a bit to 5 see where the chips fall, if that's a saying. MR. deLASKI: Noah? 6 7 MR. HOROWITZ: So I might have been one of the initial proposers of coming up with comments 8 9 jointly. I withdraw that. MR. CYMBALSKY: So it sounds like we've 10 11 heard from a couple of people that today we agree, as 12 a group, to read the docket comments when the comment 13 period ends and then go from there. Is that something 14 -- everyone is shaking their head, yeah. 15 MS. DRISKELL: Can I suggest being a little 16 bit more precise and come back in the spring and talk about, all right, do we have areas of agreement, yes, 17 18 no, and then proceed from there? Okay, if we do have 19 areas of agreement, what do we want to do with them, 20 what recommendations do we want to make? Or if we 21 don't have areas of agreement, we'll let the 22 Department decide what to do? MR. deLASKI: Yeah, I also would caution 23

24 that there could be areas of agreement that you
25 wouldn't see in written comments, right, that if

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1 you're looking for someone's bottom line position in 2 their written comments, you're not necessarily -- you know, that there's a -- we learn things in these 3 4 discussions that we don't learn or just read written 5 comments and that there may be value in coming back around this table to discuss items, even items where б 7 you don't see agreement in the written submittals and that you shouldn't stop there. 8

9 MR. GATTO: Yeah, Dave Gatto, Westinghouse. 10 I think I understand where we are. The plan would be to add an agenda item for the spring meeting 11 12 specifically to talk about what everyone learned and 13 look for, if there are, as an opportunity to provide 14 either comments going back to DOE, and I think that's 15 a good idea. I definitely was not -- if it sounded 16 like we should do this. I don't know that I want to 17 pull that back, but we don't know whether we want to do it until we have a sense of what -- whether there 18 19 is something.

20 And I think I also agree, Andrew, that a 21 conversation here after having everyone having 22 reviewed, there may be some common ground that isn't 23 in the comments but that comes out of that discussion. 24 I think that's been one of the benefits of the 25 negotiated rulemaking process. And even where we

1 weren't in an ASRAC process, but in the public meeting 2 space where we would be more collaborative negotiating mode, things come out of that that benefit everyone 3 4 that we don't get to if we're lobbying comments over, 5 everyone in the very last minute before the comment б period closes, so no one else can see and respond to 7 your comments and then waiting 'til they come out. MALE VOICE: Nobody does that. 8

9 MR. GATTO: Oh, I'm sorry, it's just me 10 apparently.

11 MR. CYMBALSKY: And the only thing I would 12 add, I agree with what everybody's saying, but, you 13 know, for us to be relevant, we also need to be 14 timely, and I think we all realize that. That's 15 probably obvious. But, you know, at the end of the 16 comment period, you know, everyone here has to read the comments, right. Don't, you know, don't wait for 17 18 the spring meeting to start reading them, because I 19 think -- and I don't know how this process is going to 20 move forward after the comment period ends. I 21 honestly don't know and I don't know the timing, but 22 we want our -- whatever we come up with, we want it to be relevant. So it needs to be timely. That's all 23 24 I'll say.

25

MS. CLEARY: Jenn Cleary. So I anticipate

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1 that there are going to be hundreds of comments on 2 this docket and I'm not really prepared to read all of them, but I am happy to read those from the members of 3 4 the committee and, you know, maybe any others that are 5 particularly relevant to me. But I don't want to like б try to take on the Department's job of reviewing and trying to reconcile all of the comments. Otherwise, I 7 would apply to work here, but I don't want to do that. 8 9 So I just want to be clear about, you know, what we're all like getting into here because I'm not 10 11 really ready to get into that.

12 MS. ARMSTRONG: Yeah. And I think if 13 everyone reads not only everyone on the committee's 14 comments but also those from the industry -- John and 15 I get the pleasure of reading all of them regardless. 16 So perhaps we can fill in the gaps as necessary, if there are any that we need to raise that we don't 17 think are covered by, I think that's okay. 18

MR. WINNINGHAM: This is just a question back to the Department. Would it be possible to create kind of a discussion outline of the summary themes from the comments that you received to kind of foster the discussion of, you know, from this group, here's what we're seeing as the major themes, so to kind of move the discussion forward. And I don't know

1 if that's possible or not.

2	MS. ARMSTRONG: So I certainly think we can
3	come up with some type of outline. Now how detailed
4	it is going to depend on obviously the volume of the
5	comments, the diversity of the comments, and honestly
б	when the meeting is as compared to when the comment
7	period closes.
8	MR. WINNINGHAM: Yeah. And I
9	MS. ARMSTRONG: If we're talking like 10
10	days and there's hundreds of comments that are
11	hundreds of pages, I don't think it's you know,
12	we're not going to be able to give you a detailed
13	comment discussion draft.
14	MR. WINNINGHAM: Yeah. And acknowledging
15	those constraints and
16	MS. ARMSTRONG: But something is reasonable.
17	MR. WINNINGHAM: Something to help foster
18	the discussion that's, you know, particularly around
19	the common themes that we're seeing.
20	MS. ARMSTRONG: So I will say that in my
21	opinion, I think this agenda item in and of itself
22	will take a day with all the discussion. So to the
23	two chairs and how you want to handle this and
24	whether I don't know what that looks like, if ASRAC
25	wants to have a dedicated meeting to this. I do think

1 it will take a while.

2	MS. CLEARY: Ashley, this is Jenn. Do you
3	see value in this? I guess I'm just questioning it a
4	little bit, if what we're doing is reading through
5	comments, identifying areas of agreement, and then
6	making an ASRAC recommendation based on those areas
7	when the Department can do the same thing and
8	presumably would want to try to enact areas things
9	where there are areas of agreement. I just want to
10	make sure we're not duplicating.
11	MS. ARMSTRONG: So my personal opinion
12	this hasn't been discussed at the larger level within
13	the Department. My personal opinion is that a joint
14	recommendation supported by everyone on ASRAC will
15	carry weight and will be helpful.
16	Now I think as something Dave said is one of
17	the benefits you get to, you guys have a lot of
18	experience, most of you, with our rulemakings and with
19	the negotiated rules and with different aspects, and I
20	think one of the things you might be able to do is
21	talk about some of the comments and maybe things
22	come maybe there's some middle ground there that
23	doesn't come through with one comment or another but a
24	common recommendation that could satisfy multiple
25	commenters. Maybe the comments aren't exact, but a

1 creative solution. I think that is what the
2 committee -- is what could be beneficial from the
3 committee taking the time to discuss it. As you can
4 tell by the attendance yesterday, as you can tell by
5 the importance that has been placed, what Daniel
6 mentioned this morning, this is a big deal to the
7 Department, as I think it is a big deal to all of you.

8 MS. DRISKELL: Kristen Driskell, California 9 Energy Commission. I also suspect based on the 10 comments we had yesterday that there are going to be 11 recommendations to do more work for more processes, 12 and the one I'm thinking of is like a fast-track 13 process to make a no standards determination of some 14 sort. And if that ends up being some sort of area of 15 commonality, that might be something we want to work 16 So I'm quessing that it's not going to be fleshed on. out in a comment. Not that I'm suggesting that we 17 18 support that, I'm just suggesting an area that might 19 be for further work.

20 MR. HOROWITZ: I'm hearing a lot of 21 potential interest with a little bit of caution from 22 Jenn and Dave. I think we probably need to focus a 23 little bit after this meeting on process. There's 24 going to be a big stack of stuff and many of us don't 25 have the time or the inclination to read through all

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1 I think we can today quess what many of the of them. 2 key themes would be, but maybe there will be some new information. And maybe before we get together, the 3 4 chair and co-chair can figure out a small process, 5 could be appointing three or four people as kind of a б mini preparatory group to identify what the six or seven issues and kind of bring a straw person or 7 outline of potential areas of consensus in advance of 8 the meeting. Otherwise, we're going to be talking 9 around the table quite a bit, and one day might not 10 11 even be enough for that.

MS. JAKOBS: So this is Diane. 12 T have worked on a lot of comments. And since the Department 13 14 started posting them immediately, you know, I start 15 reading them because I was just working on it. It's 16 not like a few months later. And, I mean, the idea is 17 that even though I've been working on it, you read somebody else's comment, it's like, oh, I didn't think 18 19 about it that way. And just like a negotiated 20 rulemaking is really helpful, to me, being able to 21 look at the other people's comments is kind of almost 22 as good as having a discussion. So I would be happy 23 to read all of them. I probably will read them all no 24 matter what. But anyway, I think there's a lot of value there. 25

1 MR. deLASKI: Any other comments on this? Ι 2 agree with Diane's point. This is work. I mean, this committee is work. This is our first meeting in a 3 4 year, so we have a backlog, right. So people know 5 working on this committee isn't just coming to a б meeting, you know, once every six months. It's a chunk of work and I think it's a responsibility for us 7 as committee members to how do we improve the program, 8 9 right. So this is a chance to help improve the 10 And if that means, you know, blocking off program. 11 some time to read some comments and then come together 12 and try to -- you know, I hope we can try to sort 13 through not just the things where there's obvious 14 agreement but where there are some things where 15 there's not agreement and can we through discussion 16 get to a consensus among more stakeholders so that the 17 agency can move forward to address the obligations and the goals of the Administration. So I think we can do 18 19 that, you know, but it's going to be work.

20 MR. WINNINGHAM: To that end, Andrew, I do 21 think there's value here. I think there's significant 22 value and I think it's meaningful for us to take the 23 opportunity to put the effort forward. And I think it 24 is probably just as, maybe even more relevant, to 25 understand the areas of major objection and

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1 controversy and understand why and understand what are 2 the potential opportunities to prevent that from 3 happening in the future. The commonalities are 4 probably the things that are the easy things. The 5 areas of conflict are probably the meteor ones on how 6 do we either resolve it or provide suggestions to try 7 to help prevent that going forward.

You know, there was a variety of discussion 8 yesterday and I thought there was a fair degree of 9 commonality on certain themes from a pretty diverse 10 set of stakeholders. But there are certain areas 11 12 where there were some very entrenched feelings and opinions around certain things. I don't think we need 13 14 to go into that. But through our experiences, you 15 know, I think we, as Diane alluded to, we've all kind 16 of been educated through this process as to kind of 17 what works and maybe what doesn't work and how can we lend those experiences and make, you know, meaningful 18 19 change into the process. How do we get to a yes or a 20 successful rule that doesn't end up in litigation and 21 helps move the ball towards, you know, the goals of 22 the Department and the nation as a whole?

23 MR. deLASKI: And then just to follow onto 24 that, I think what we learned I think through the 25 negotiations that we've had and through the work ASRAC

1 has done is that things that we work out through this 2 kind of discussion tend to stick, right, as opposed to things that are contentious and then they don't stick, 3 4 right, either because of litigation or either because 5 it gets pulled back by some future decision-maker, 6 right. So, if you want to have a robust process that persists over time, just throwing it over the transom 7 and seeing what comes back doesn't usually, doesn't 8 9 always stick, right. So, if you work through things and understand different opinions and different points 10 11 of view, you will more likely come out with an outcome 12 that sticks. So that, to me, is the value -- back to 13 the value add question, that, to me, is the value add, 14 coming up with something that would stick over time.

15 So I think there's a lot of benefit and I 16 think that's part of why the committee was created in 17 the first place. And we've done it successfully now 18 for a dozen working groups or so and, you know, this is a chance now for more of a crosscutting role for 19 20 So I think the action item is simply to schedule us. a next meeting to allow -- that will be long enough to 21 22 be able to give us at least the possibility of having 23 a more in-depth discussion, even though some folks 24 here aren't necessarily committed -- aren't ready to commit to that in-depth discussion. So at least we 25

1 have that open and we have a meeting scheduled. 2 MS. ARMSTRONG: But to John's point, not too far off in the future to make our stance --3 4 MR. deLASKI: Right. Can we pull out 5 calendars? Should we try to schedule a meeting? 6 MS. ARMSTRONG: Yes. 7 MR. CYMBALSKY: I might take away my April suggestion and say March, honestly, based on, you 8 9 know, based on, you know, what we've decided to tackle here and again to be relevant and timely. So the 10 11 current comment period ends in February. And then if 12 it gets extended, it would be --MS. ARMSTRONG: 13 Mid march. 14 MR. CYMBALSKY: -- mid March. 15 MS. DRISKELL: February 16th now. So, if it 16 went back to the original 90 days, it would be March 15 or so. February has only got 28 days, but 17 18 something like that. 19 I mean, who is up for seeing MR. CYMBALSKY: 20 Cherry Blossoms in D.C. in late March, early April is 21 what I'm hearing, but I don't know. Does that sound 22 right to you, late March? 23 MS. ARMSTRONG: I think the first week in 24 April is ideal maybe. 25 MR. CYMBALSKY: Okay.

1MALE VOICE: The last week in March or the2first week of April.

MS. ARMSTRONG: So the first week in April 3 4 might be a little better, especially towards the end 5 I know Fridays are not great. of the week. 6 MS. MILLER: Beginning of April would be preferable. I've got I think three academic 7 8 conferences in March, two of which involve travel. 9 MS. ARMSTRONG: How about February the 4th 10 or February 5th. One is a --11 MR. CYMBALSKY: April. MS. ARMSTRONG: 12 I'm sorry, you know, I 13 really want to see you guys so soon. I'm so excited. 14 April 4th or 5th. 15 MALE VOICE: I think we're probably going to 16 be meeting, if we're going to have a full meeting, 17 we're going to need one day or two. MR. deLASKI: What did you say, Kristen? 18 19 MS. DRISKELL: I'm going to be down the 20 river in Utah --21 MR. deLASKI: You'll be down the river? 22 MS. DRISKELL: -- the first week in April. 23 MR. deLASKI: With a paddle, I hope. 24 MS. DRISKELL: Hopefully, I'll have a 25 paddle.

1 MR. CYMBALSKY: Better than being up the 2 river. MS. ARMSTRONG: Well, can you modify that, 3 4 please? 5 MR. deLASKI: So the last week of March was a conflict for some folks, is that what I heard? 6 7 That's no good? 8 MS. MILLER: I think I might be able to do 9 last week of March. I'm trying to double check some 10 of the conference dates. 11 MR. deLASKI: I hear a negative over here. MR. HON: 12 The last week of March is fine. 13 Two weeks before that, I'm out of the country. 14 MR. deLASKI: Ashley, you're --15 MS. ARMSTRONG: I'm out. 16 MS. DRISKELL: You're out. We need you. 17 MS. ARMSTRONG: Kids' spring break. MR. CYMBALSKY: Don't look at me. 18 19 MS. ARMSTRONG: Yeah, you're in. 20 MR. CYMBALSKY: This is why we don't have 30 21 people on the committee, just so that you know. It's 22 hard enough getting 12 people to agree. MR. deLASKI: Well, what about the next --23 24 what about the week of April 9th? 25 MR. CYMBALSKY: April 9th is a very special

1 day to me.

2 MR. deLASKI: Your birthday? It's my birthday, bring 3 MR. CYMBALSKY: 4 presents. 5 MS. ARMSTRONG: So we could do like a 9th б and 10th with a 9th evening out. 7 MR. CYMBALSKY: I'm okay. I will sit in this room on my 51st birthday. 8 9 MALE VOICE: Explain the \$25 rule. We can go off the record. 10 MS. ARMSTRONG: 11 MR. CYMBALSKY: A slice of cake I hope does 12 not cost \$25. 13 MR. deLASKI: All right. So maybe -- that's a Monday. We're not going to do the whole Monday, 14 15 right? That's bad for the people who have to travel 16 in. MALE VOICE: That's okay for me. 17 But 18 anybody that's got to go on the plan, like Christmas, 19 it's going to be Sunday. 20 MR. deLASKI: Yeah. 21 FEMALE VOICE: April 10th? 22 MR. deLASKI: The 10th of April? 23 FEMALE VOICE: The 10th of April is good, 24 works for me. 25 MR. CYMBALSKY: I might be a little tired

1 that day, but I'll come in.

2	(Laughter.)
3	MS. ARMSTRONG: Sounds good.
4	MR. deLASKI: Okay.
5	MS. ARMSTRONG: Starting at 9?
6	MR. CYMBALSKY: Yeah. I think we'll make
7	this a 9 to 5 and we're going to need the full day.
8	MR. deLASKI: Fly in the night before, piece
9	of advice from somebody who
10	MR. CYMBALSKY: Got stuck.
11	MR. deLASKI: got stuck the last time.
12	Okay. I think we're done with the
13	committee's agenda, other than the important item of
14	the last, which is opening up to members of the public
15	for comment or oh, Jenn?
16	MS. CLEARY: This is Jenn. I did have one
17	additional agenda item, which is portable air
18	conditioners. Just, you know, it wasn't anything that
19	was negotiated by this group, but AHAM would really
20	like to see that rule, which was issued but not
21	published, be published. I think there are others who
22	maybe want to speak up on this as well. And I don't
23	know if it's possible to get an ASRAC recommendation
24	for that publication and/or for us to consider as part
25	of, you know, what we talked about earlier today,

1 identifying, you know, what the Department's

2 roadblocks are. I'm assuming it's two for one order.
3 Are there ways that ASRAC can help to identify things
4 that could be offsets for that rule to allow it to be
5 published.

You know, just for those who aren't 6 involved, which I think is just about everyone else at 7 the table, this is one of the rules that toward the 8 9 end of the last Administration was issued and had to go through the error correction rule process and then 10 11 could not be published. So right now we have the 12 status where we know what the Department's decision 13 was on the rule, but it's not yet effective. We have 14 a final test procedure for this product. It's the 15 first time it's been regulated. We have interest 16 from, you know, Canada, from states starting to crop up. So really in the interest of national, you know, 17 and U.S. standards coming first, et cetera, 18 coordination with Canada is really critical for 19 20 certainty for manufacturers as well to get this 21 published and an effective date known. So this is an 22 important priority for AHAM.

23 MR. deLASKI: Charlie, you want to comment? 24 MR. HON: One quick, when backtracking and 25 one thing we didn't do is we've approved a working

1 group, but we didn't approve a member from ASRAC to be 2 the coordinator for that working group, communicating 3 back into the group. 4 MR. CYMBALSKY: That's true. I thought I 5 heard you volunteer. I guess that wasn't the 6 official -- I know who the DOE person is going to be.

7 MR. HON: Yes, but I don't guarantee that I
8 could be that active on that group because it is not a
9 product which we manufacture.

10 MR. deLASKI: So I hear Charlie was not --11 he thought he was being -- we thought he was 12 volunteered and he's telling me that he wasn't 13 volunteered.

14 MR. CYMBALSKY: Yes.

15 MR. deLASKI: Diane?

16 MS. JAKOBS: I would volunteer because of 17 the work at AHRI, I am familiar with this --

18 MR. deLASKI: Okay.

19 MS. JAKOBS: -- with both those products.

20 MR. deLASKI: Okay.

21 MR. CYMBALSKY: Anybody else?

22 MR. WINNINGHAM: Everyone can do it. We're 23 in the second month. But, again, you know --24 MR. deLASKI: Dave and Diane. I mean, you

25 guys don't -- I think we can have you both for the

moment and then, when the working group is formed, we can probably figure out who has the bandwidth to do it. Anyone from the non-manufacturing community interested in participating in this working group potentially?

6 MS. DRISKELL: I mean, the Energy Commission 7 will probably participate. I just don't think it will 8 be me, if possible.

9 MR. deLASKI: Okay.

MS. ARMSTRONG: It will just be separate.
 MS. DRISKELL: Yeah, it will be a separate
 application through the normal process.

MR. deLASKI: Right. Any member from this committee can have a person from their organization or separately apply for the working group. Okay, all right. So the working group representative is -- for the moment, we have two and in the end it may be one of the two.

MS. JAKOBS: And the other one would back up whenever?

21 MR. deLASKI: Yeah. Okay, great. Thank you 22 for, Charlie, for getting us on track on that.

23 On the issue of portable AC, we've supported 24 that standard since it was -- you know, throughout the 25 process and appreciate AHAM's hard work to get it in

place and the agency's hard work to complete it. And it's a solid standard. It's wildly cost-effective for the consumers of the product. And it's one that was developed through an open public rulemaking process over multiple years that had full participation of stakeholders.

It's been a point of frustration that it 7 hasn't been completed. It's got -- it's basically a 8 9 consensus rule. It wasn't developed through the negotiation process. But a lot of the rules that were 10 11 negotiated -- developed through the vendor rulemaking 12 process are not controversial. You know, this one 13 doesn't have to be negotiated. It's already been 14 done. You don't have to create a working group. It's 15 done, just publish it, right. So, you know, I guess 16 we can't -- it's a difficult situation, but it strikes me that it would be very -- it would be a good thing 17 for the Department to publish it. Kristen, do you 18 19 want to comment?

20 MS. DRISKELL: Two things. One, Jenn's 21 right, that states are starting to take interest in 22 this. The Energy Commission just released a notice of 23 proposed order instituting rulemaking that includes 24 portable air conditioners. The second thing I wanted 25 to ask is would it be helpful if the ASRAC made a

1 formal recommendation to DOE to publish this rule or
2 sent a letter or something along those lines if we
3 agree that we want this to happen?

4 MR. CYMBALSKY: I don't think it would hurt. 5 So, I mean, I think ASRAC, as I recall a couple years 6 back, there were some things with OIRA where ASRAC as 7 a group were trying to make recommendations on certain 8 things. I see this as the same as that.

9 MR. deLASKI: I guess I would ask -- I mean, 10 a number of us were very involved or our organizations 11 were very involved in that rulemaking. I wonder 12 whether there are folks on the committee who weren't 13 so involved who may have questions or concerns.

MR. WINNINGHAM: Just, Jenn, could you kind of walk through the process of the participants and the timeframe and the outcome, just high level, just would like to understand better, just from unfamiliarity.

19 Sure. This is Jenn. MS. CLEARY: It was 20 normal notice and comment rulemaking, so I know that 21 AHAM and some of our individual members participated. 22 I know that members of the advocacy community 23 participated. So, as Andrew said, I think there was 24 full participation in the rule. AHAM provided a great deal of data as part of the rulemaking effort. 25 I'm

1 not going to say that the rulemaking process was 2 without issues, test procedures -- the test procedure wasn't completed before the standard was started. 3 4 Nevertheless, we don't oppose the outcome of the 5 standard that has been issued but not yet published by б DOE, and I'm not aware of anyone that does oppose it. MR. WINNINGHAM: So it was done through 7 normal -- the normal process, but you mentioned it was 8 9 somewhat of a consensus of the stakeholders? MS. CLEARY: Not to put words in Andrew's 10 11 mouth, but I think what he meant is that there wasn't 12 a -- it wasn't an overly controversial rulemaking. 13 MR. WINNINGHAM: Okay. 14 MR. deLASKI: Yeah. I shouldn't use the 15 word -- it was not a consensus proposal. There's no 16 objection to the rule. No one's objecting -- no one 17 has objected to the rule as it was finalized to any of our knowledge of those of us who track it closely. 18 19 MR. HOROWITZ: It would seem to me that it 20 could be helpful, and I don't see a downside unless 21 there's some procedural restriction to have a 22 resolution or letter supporting the publication of 23 this final rule by this group. 24 MR. CYMBALSKY: Just from DOE's point of view, these are -- this rule is subject to litigation 25

right now. So we -- you know, obviously, DOE won't be 1 2 a part of whatever ASRAC may want to decide to do. MR. HOROWITZ: And some of us might be part 3 4 of the litigation, so we just need to check if it's 5 okay to be on the letter. 6 MR. CYMBALSKY: We don't hold that -- as you 7 heard yesterday, we don't hold that against anybody. 8 MR. deLASKI: Maybe we need to make this a

9 little more simple, maybe just a sense of the group, 10 you know, just is there a sense of the group? I don't 11 know that we need to do a letter. What do you think? 12 What would be your --

13 MS. CLEARY: I think a sense of the group would be helpful. I think if it was something more 14 15 formal, that would probably carry a little more 16 I'm glad John raised that we've gone to OMB weight. 17 in the past together before. So, if there were people 18 willing to go together to OMB to try to impress upon 19 them the importance of publishing this rule as well, 20 to the extent that the holdup may be there, that would 21 also have some value, and I'd be glad to organize that 22 effort.

23 MR. GATTO: So, Jenn, I don't -- I wouldn't 24 have any objection to going to OMB. But I guess, and 25 this may be because no one who is involved in the

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litigation can talk about it, but is anyone able to 1 2 say whether or not the litigation is why it's not coming out of OMB? Because then there's no -- I don't 3 4 want to say there's no point, but there's -- you know, 5 if the reason it's not coming out of OMB is that 6 they're waiting for a determination, then it's always nice, Cherry blossoms or not, to come down here, John. 7 I just don't know that it's worth the trip. 8 9 MS. CLEARY: This is Jenn. I'm not a party 10 to the litigation, but the litigation is because it 11 hasn't been published. So it's not about the 12 substance of the rule and it's packaged together with 13 other rules that were in the same status of issue, but not yet published. 14 15 MS. JAKOBS: What were the products? 16 MS. CLEARY: This is Jenn. Portable air 17 conditioners. I know it's not the normal 18 MALE VOICE: procedure, but somebody wants to weigh in and I'm not 19 20 sure if that's appropriate. 21 I just have a fact that MS. DAVIDSON-HOOD: 22 -- this is Caroline Davidson-Hood from AHRI, but there 23 was also another rule that was subject to this 24 litigation that did eventually get published. 25 MR. deLASKI: Right.

MS. DAVIDSON-HOOD: Just for the record. 1 2 MR. deLASKI: No, that's a very good point. Thank you for raising that. You know, the walk-ins 3 4 were part of the same litigation and the walk-in rule 5 was published. 6 MR. HON: Are there others in the same 7 litigation? 8 MR. deLASKI: Yeah. There's five or four. 9 There were five. Now there's four. 10 MR. HON: Okay. 11 MR. deLASKI: So the Department can 12 basically -- basically, this is the least -- this is 13 the one amongst the four where just clearly there's no 14 one who has raised objections to publishing this rule. 15 And what I'm hearing is -- I guess what I would 16 suggest is let's -- is there a sense of the group to urge the Department to go ahead and finalize this one, 17 given the level of -- given that it is a consensus 18 19 rule that, you know, doesn't need to be negotiated? 20 MR. WINNINGHAM: First, thank you, Caroline, 21 for that piece of information and the one rule that 22 was pushed through and I guess I wasn't connecting the 23 I mean, there was some effort to try to dots. 24 encourage the Department to move that forward. So I guess I would be supportive of that. 25

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MS. MILLER: This is Sofie. I'm not sure if 1 2 I'd be comfortable doing that only because I don't recall if I've looked deeply into that rule or not. 3 4 And generally -- at George Washington University, we 5 generally don't sign on to letters. If it were a б comment letter, that might be slightly different, but typically not coalitions and things like that. 7 So that might not be within my portfolio, but I don't 8 9 know that that would prohibit anyone else from grouping together and making the same kinds of 10 11 recommendations. 12 MR. deLASKI: Does anyone else have a 13 concern? 14 MS. JAKOBS: Not a concern, but I think one 15 of the key issue though is that when this group of 16 people comes together and negotiates and comes to a conclusion, that it goes somewhere because it's -- the 17 expense is a lot of time and people make a big 18

19 commitment. So the fact that everything worked out 20 and you have an agreement and it's like stopped 21 somehow, I think that's a problem for us regardless of 22 the --

23 MS. CLEARY: Jenn, this is Jenn. Diane, 24 just to be clear, this wasn't negotiated. This was 25 done through formal notice and comment rulemaking and

1 it's just that we, as an industry, and I think 2 everyone is just kind of like okay with it. I'm not going to say if we're happy or jumping over the fences 3 4 about it, but we're okay with it and we'd like to see 5 it published. I think from, you know, a policy б perspective for this committee though, having a rule that the Department has issued and not published is 7 not a good policy. So that's why I brought it before 8 9 this group, you know, not necessarily on the substance of the rule, but this is sitting out there and I 10 11 think, you know, all the things we talked about today, 12 avoiding patchwork of state standards, et cetera, you 13 know, are advanced by having this rule published.

14 MR. deLASKI: Just a reminder that you can 15 get through -- I think in a case of most negotiated 16 standards, you're usually in a -- at the end of the day, you're like, I can live with this standard. 17 Again, even in that case, you're not jumping over the 18 19 moon usually. You're just like, this is something I 20 can live with. So you got to that outcome through the 21 normal notice and comment process. But it's equally, 22 an equally supportive, you know, kind of thing, 23 outcome that has big benefits that work for -- has 24 always been, as Jenn just described, and should be completed. So I think what I'm hearing is a consensus 25

agreement, with Sofie's caveat that she just provided,
 that the Department -- we're urging the Department to
 publish that rule.

4 And I guess I would leave it to you, Jenn, 5 that if you'd like to organize a meeting for OIRA, б that I certainly would be willing to participate in that and I see a couple of other noddings from the 7 table of others who would be willing to participate as 8 9 well. I don't think we need to have a -- I think we 10 did that before for some product, I forget what it was, and we had a delegation of two essentially. 11

MS. CLEARY: I think it was you and I,Andrew.

14 MR. deLASKI: I guess.

MS. CLEARY: So thank you all for your time.I appreciate it.

So I will just suggest if 17 MS. ARMSTRONG: 18 you end up deciding to go to OIRA to meet, there are 19 some new faces at OIRA, so I think it could be 20 beneficial for them to get introduced into this is 21 what ASRAC is, this is what ASRAC does, this is who we 22 are, this is what we're trying to accomplish from 23 someone other -- explaining it other than people from 24 the Department and this is why it's important. And whatever else you want to add. 25

1 MR. HON: Depending on schedule, I may be 2 available too. MR. deLASKI: So we're look to you to 3 4 coordinate that and schedule it. 5 MS. CLEARY: Okay. MR. HOROWITZ: Jenn, someone from NRDC, if 6 you want to reach out to me, will get you the right 7 8 person. 9 MS. CLEARY: Great, thank you. MR. deLASKI: Okay. Is there anything else 10 11 from the committee before we open for comments and questions from the public? 12 13 (Pause.) 14 MR. deLASKI: All right. Thanks for your 15 patience, those in the audience. Steve? 16 MR. ROSENSTOCK: Thank you. Just a quick one and this is -- I've been on a couple of negotiated 17 18 and neg reg working groups and I just wanted to ask, 19 as you go forward with this first one, assuming it 20 goes forward for the VRF air conditioners and heat 21 pumps, I heard the timing of six months. I know I was 22 on one that worked for about a year, I'll say. You 23 know, again it was transformers because it was a lot 24 of -- it might be more product classes, for example, 25 but a couple of thoughts. In the solicitation, is

there going to be -- I'll just say for those who are 1 2 interested, you need to know that you have to be at so many in-person -- you're expected to be at so many in-3 4 person meetings per month or so many webinars per 5 Again, just to -- and all the meetings will be month. in Washington, D.C. Again, I'm just thinking about б 7 logistics for potential participants, that they need to know for this particular negotiation, over the six-8 9 month period, they're going to have to allocate time 10 and resources and possible travel expense. Aqain, I 11 just to give an idea of this is the basic commitment. 12 Again, I can't remember if it was done in previous 13 solicitations, but since I've heard the timeframe, is 14 there a way to say that in the solicitation that this 15 is what you're expected to do just for your logistic 16 time and resources basically, time and resource 17 management. That's one thought. 18 The second thought is again --MS. ARMSTRONG: Well, before second -- the 19 20 answer is yes. 21 MR. ROSENSTOCK: What? 22 MS. ARMSTRONG: The answer is yes. Okay. 23 MR. ROSENSTOCK: The second is, is there thoughts about possibly adjusting the in-person 24

25 versus webinar type of meetings going forward again in

1 terms of cost and resource management? I know in-2 person is very invaluable, but I have been -- I do a lot of ASHRAE work. We do have ASHRAE meetings with 3 4 sometimes 70 people on a webinar and they can make it. 5 It can be made to work. So, again, again, I'm just б thinking about people's resources and time commitment 7 that if there's a higher percentage of webinars versus in-person, I know you don't get the face-to-face, but, 8 9 again, it might help in terms of possible participation. Again, I'm just throwing these things 10 11 out in terms of could changes be made in terms for the 12 working groups to make it easier for participation? And the third one is, I think we did -- I 13 14 think it was done before, but will you allow, I'll 15 say, organizational alternates, that if the primary 16 can't do it, the secondary is required to attend and 17 required to be up to speed where no one has to explain anything to him or her? Again, speaking from my 18 19 ASHRAE experience, I have an organizational 20 alternative where I'm doing a lot of different things, 21 we all do a lot of different things, that it does 22 allow some flexibility for participation. 23 MS. ARMSTRONG: The answer to the last one is yes for the alternates. 2.4

25 MR. ROSENSTOCK: Okay.

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1 MS. ARMSTRONG: The answer to the middle one 2 is in the past, the Department has provided both webinars and in-person meetings and it's been up to 3 4 the participant to decide. And sometimes the working 5 group has decided to have webinars during. Really the logistics of where, exactly when and how are decided б at that first working group meeting. But certainly 7 we'll continue to provide both a room, a mediator, and 8 9 a webinar availability for all the meetings. 10 MR. ROSENSTOCK: Thank you. I didn't know, 11 again, if there was any changes down the pike or if 12 there are any new rules that working group participants might be -- need to be aware of. 13 Thank 14 you very much. 15 MR. deLASKI: Bejit? 16 MR. KINDU: Bejit Kindu with Energy Solutions on behalf of PG&E. Just two comments for 17 18 the record. We are glad to hear that DOE is contemplating the term sheet on circulator pumps and 19 20 we would encourage direct final rule as soon as 21 possible since PG&E and the California IOUs were a 22 part of that working group. We hope that's finalized. 23 And the second comment I have is on the VRF 24 test procedure. We have some concerns about the 25 representation of actual energy use from that test

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procedure. So we hope that whatever working group is formalized has an opportunity to make tweaks or make some modifications as needed before a standards negotiation moves forward.

5 MR. deLASKI: Okay. Anyone else from this 6 side?

7 MS. ARMSTRONG: Can you state your name? MR. TRUSKOSKI: Eric Truskoski, Bradford 8 9 White. So recognizing something you guys had said 10 when creating, whether it be industry, test 11 procedures, or associations, organizations, ASHRAE, so 12 forth, that create test procedures, there's concerns 13 from the Department that they may not encompass 14 everything that you guys feel needs to be included. 15 So I guess my question is, is there some sort of 16 template or list of requirements that you could give 17 to these organizations or associations, so that we 18 could -- as we're working through it, we can try and 19 take care of those concerns up front? Not to say we 20 want to exclude your participation, just can we help 21 there? 22 MS. ARMSTRONG: Should I take that

23 personally, Eric?

24 MR. TRUSKOSKI: No.

25 MS. ARMSTRONG: So I think two things that

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have started to happen as we march on. I think one is for those -- I mean the statutory requirements for a test procedure for the Department are the statutory requirements. We've got to read them. They are there.

6 That being said, I think we have some ask and there are more technical and product specific ask. 7 8 Whether they be -- stem from questions we've gotten 9 from interested parties, testing we've done where we 10 found issues, or other things where we just have 11 We started giving a list of those sometimes concerns. 12 to test procedure committees when they start their 13 work and/or put someone on that committee that 14 represents the Department's interest. We also 15 actively have submitted comments to all of the ASHRI 16 ones that have come out lately and some of the other ones we've provided some more detailed feedback. 17 So 18 that is our plan going forward.

19 MR. TRUSKOSKI: Okay.

MS. ARMSTRONG: So I think that it should be pretty obvious to the committees, at least some of our thoughts or open issues. Some of them, we don't have solutions to or opinions on, but we know there's an issue. So we're just simply asking the committee to look into them.

1 MR. TRUSKOSKI: Okay, thank you. 2 MR. deLASKI: Anybody else? MS. ARMSTRONG: 3 Is there anyone on the 4 webinar? Peter? 5 MR. GAYDON: Hello? Can you hear me? MS. ARMSTRONG: б Yes. 7 MR. GAYDON: I wanted to make a public comment about the open term sheets that, you know, 8 were approved by ASRAC and are outstanding. 9 Is the 10 ASRAC committee doing anything to move these along or 11 can they report back to the working groups relative to 12 where DOE stands on these term sheets and moving forward with them? 13 14 MR. deLASKI: This is Andrew deLaski. Ts 15 there a particular -- so there was some discussion on 16 the various term sheets today. Is there a particular term sheet that you wanted to ask about and you want 17 18 to identify your affiliation? 19 MR. GAYDON: Yeah. Peter Gaydon with the 20 Hydraulic Institute. 21 MR. deLASKI: Okay. 22 MR. GAYDON: I was a member of the 23 circulator pump working group. We haven't received 24 any information as far as if DOE is moving forward 25 with those. It was approved by the ASRAC. So we're

just looking for any information as to schedule. Are
 we waiting until after the process rule? Any
 information back to the working group would be
 helpful. ASRAC approved it and we expected some
 motion this year.

6 MR. deLASKI: Right. So thank you, Peter, 7 for your comment. So for everyone else's benefit, the Hydraulic Institute is the trade association that 8 9 represent the pump manufacturers. They are part of 10 the negotiation. They support publication of the 11 rule. And it came up earlier and the report back was 12 that there was no news from the agency. And ASRAC, 13 we've requested for -- our request is outstanding, 14 which is we're looking for an update from the 15 committee -- from the agency. And at this point, they 16 weren't able to provide us any information. So it is outstanding business that we're -- as ASRAC is keen to 17 see the agency move on, given that we approved it 18 19 previously.

20MR. GAYDON: Thank you for that update.21MR. deLASKI: Anyone else from the webinar?22(No additional questions.)

23 MS. ARMSTRONG: So I just want to circle 24 back to one thing and I think you asked me something 25 about an outstanding term sheet item on a label,

perhaps pumps. Did I get that right? The label is in the regs. We adopted it as part of the previous rulemaking. So that has been satisfied. MR. deLASKI: Cross that one off. MR. CYMBALSKY: Good way to end the meeting. MR. deLASKI: Thank you. A very product meeting and we'll see everybody in early April. Bye-bye. (Whereupon, at 3:25 p.m., the meeting in the above-entitled matter adjourned.) //

REPORTER'S CERTIFICATE

DOCKET NO.: N/A

- CASE TITLE: Appliance Standards and Rulemaking Federal Advisory Committee (ASRAC), Full Parent Committee Meeting & Webinar DATE: January 10, 2018
- LOCATION: Washington, D.C.

I hereby certify that the proceedings and evidence are contained fully and accurately on the tapes and notes reported by me at the hearing in the above case before the U.S. Department of Energy, Office of Energy Efficiency & Renewable Energy.

Date: January 10, 2018

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