The following Annual Freedom of Information Act report covers the Period 10/01/2015, through 09/30/2016, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Peter O’Konski, Director
   Office of Administration, MA-40
   U.S. Department of Energy 1000
   Independence Avenue, SW
   Washington, DC 20585
   202-586-5710

   Alexander Morris, FOIA Officer
   FOIA Office, MA-46
   Office of Public Information
   U.S. Department of Energy 1000
   Independence Avenue, SW
   Washington, DC 20585
   202-586-5955

3. A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, SW, room 1J-018, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.


2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency’s records to which the FOIA exemptions apply.

The DOE’s mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA’s exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and allow open and frank discussion as part of business deliberations and policy development.

III. Agency Component Abbreviations

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<th>Component Abbreviation</th>
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*HQ - After further review it was determined that this number should have been 2 less.*

*ID - After further review it was determined that this number should have been 1 less.*

*NNSA - After further review it was determined that this number should have been 1 more.*
## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

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<th>Agency / Component</th>
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V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

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<th>Description of &quot;Other&quot; Reasons for Denials from Chart B(1)</th>
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### V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

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<th>Ex. 7(B)</th>
<th>Ex. 7(C)</th>
<th>Ex. 7(D)</th>
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VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

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<th>Agency / Component</th>
<th>Number of Appeals Pending as of Start of Fiscal Year</th>
<th>Number of Appeals Received in Fiscal Year</th>
<th>Number of Appeals Processed in Fiscal Year</th>
<th>Number of Appeals Pending as of End of Fiscal Year</th>
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### VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

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<th>Agency / Component</th>
<th>Number Affirmed on Appeal</th>
<th>Number Partially Affirmed &amp; Partially Reversed/Remanded on Appeal</th>
<th>Number Completely Reversed/Remanded on Appeal</th>
<th>Number of Appeals Closed for Other Reasons</th>
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## VI.C.(1). REASONS FOR DENIAL ON APPEAL – NUMBER OF TIMES EXEMPTIONS APPLIED

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<th>Ex. 4</th>
<th>Ex. 5</th>
<th>Ex. 6</th>
<th>Ex. 7(A)</th>
<th>Ex. 7(B)</th>
<th>Ex. 7(C)</th>
<th>Ex. 7(D)</th>
<th>Ex. 7(E)</th>
<th>Ex. 7(F)</th>
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<th>Request Withdrawn</th>
<th>Fee-Related Reason</th>
<th>Records not Reasonably Described</th>
<th>Improper Request for Other Reasons</th>
<th>Not Agency Record</th>
<th>Duplicate Request or Appeal</th>
<th>Request in Litigation</th>
<th>Appeal Based Solely on Denial of Request for Expedited Processing</th>
<th>Other *Explain in chart below</th>
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*Explain in chart below*
### VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

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<th>Number of Times &quot;Other&quot; Reason Was Relied Upon</th>
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**DOE FOIA FY 16 ANNUAL REPORT**
### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

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### VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

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### VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

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## VII.B. RECEIVED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

| Agency / Component | SIMPLE | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
|--------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
|                    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| BPA                | 6      | 14.19  | <1     | 71     | 210    | 262.39 | 13     | 932    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| CBFO               | 27.5   | 55     | 4      | 147    | 139    | 139    | 139    | 139    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| CH                 | 4      | 6      | <1     | 17     | 17     | 20.88  | 4      | 43     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| EMCBC              | 76.5   | 82.28  | 1      | 162    | 60     | 65.31  | 5      | 171    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| GFO                | 12     | 10.34  | <1     | 32     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| HQ                 | 52     | 96.88  | <1     | 1165   | 145.5  | 360.29 | 14     | 1647   | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| ID                 | 6      | 7.56   | <1     | 23     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| NETL               | 8.5    | 25.33  | 4      | 114    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
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| NRLFO              | 14     | 17     | 6      | 36     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| ORO                | 16     | 23.12  | <1     | 93     | 35     | 58.57  | 7      | 142    | 52     | 52     | 52     | 52     | N/A    | N/A    |
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| ROO                | 10     | 10.1   | <1     | 26     | 47     | 64.57  | 16     | 122    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| SEPA               | 1      | 0.8    | <1     | 2      | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| SPR                | 15     | 15     | 8      | 21     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| SRO                | 19.5   | 19.99  | 2      | 60     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| SWPA               | 41     | 41     | 41     | 41     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| WASA               | 21     | 21.59  | 3      | 41     | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| NNSA               | 2      | 4.67   | 1      | 11     | 95     | 264.2  | 1      | 1831   | N/A    | N/A    | N/A    | N/A    | N/A    | N/A    |
| AGENCY OVERALL     | 21     | 54.79  | <1     | 1165   | 92     | 248.96 | 1      | 1831   | 52     | 52     | 52     | 52     | 52     | 52     |
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### XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

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<th>Number of Consultations Received from Other Agencies during the Fiscal Year</th>
<th>Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year</th>
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HQ - After further review it was determined that this number should have been 34 less due to duplicates.
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## XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

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HQ received from last FY - After further review it was determined that this number should have been 2 less.

ID received from last FY - After further review it was determined that this number should have been 1 less.

NNSA processed from last FY - After further review it was determined that this number should have been 1 less.
### XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

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_BPA - After further review it was determined that this number should have been one more._

_HQ - After further review it was determined that this number should have been one less._

_ID - After further review it was determined that this number should have been one less._

_NETL - After further review it was determined that this number should have been two less._

_NNSA - After further review it was determined that this number should have been one more._
### XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

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</tr>
</tbody>
</table>
XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

<table>
<thead>
<tr>
<th>Agency / Component</th>
<th>Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report</th>
<th>Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>HQ</td>
<td>9</td>
<td>10</td>
</tr>
<tr>
<td>AGENCY OVERALL</td>
<td>9</td>
<td>10</td>
</tr>
</tbody>
</table>