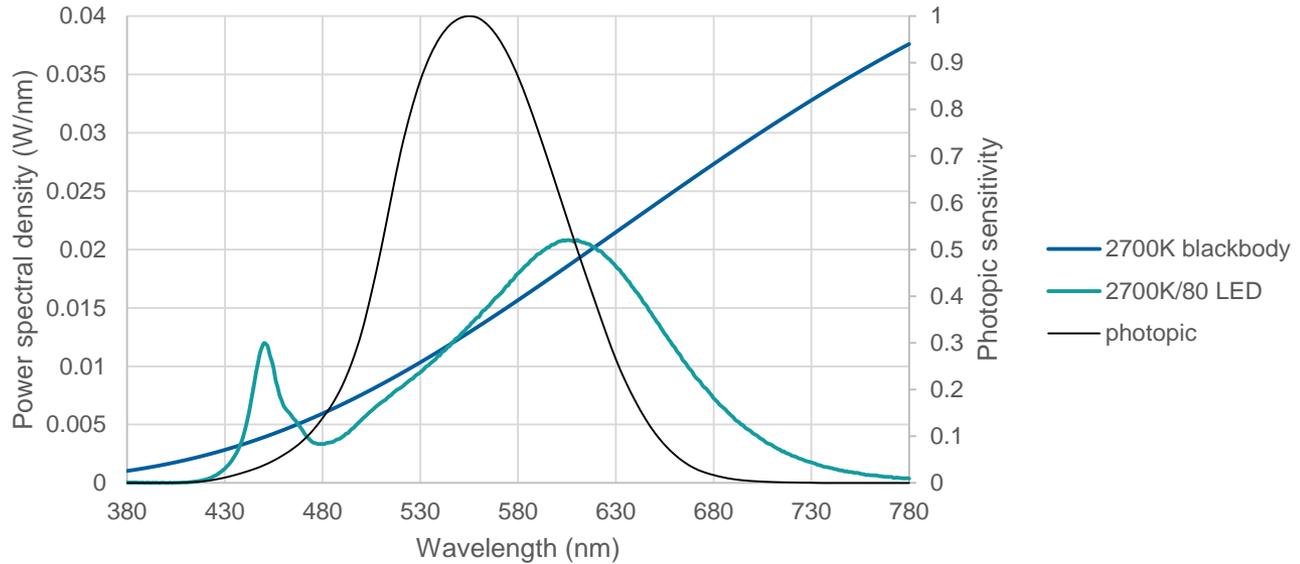


# Engineered spectra for SSL applications

Wouter Soer  
R&D Illumination Systems

DOE SSL R&D Workshop  
January 31, 2017

# Spectral engineering in the past



## Key metrics

**Color temperature**  
CCT

**Color fidelity**  
CRI  $R_a$  or TM-30  $R_f$

**Spectral efficacy**  
LER

**This approach to spectral engineering is changing because**

- Improved red phosphors remove tradeoffs between efficacy and CCT/CRI
- New applications require an extended set of metrics to measure spectra

# Spectral engineering in the future

## Key metrics

**Color temperature**  
CCT

**Color fidelity**  
TM-30  $R_f$  or CRI  $R_a$

**Spectral efficacy**  
LER

**Chromaticity**  
CCT,  $D_{uv}$  |  $x, y$  |  $u', v'$

## Human-centric lighting

**Color gamut**  
TM-30  $R_g$  or GAI

**Individual color saturation**  
Chroma / hue shift

**Material damage**  
Damage function  $S(\lambda)$ , CIE 157

**Non-visual stimulation**  
Blue / melanopic content

**Ecological impact**  
Species-dependent

**Broadcast camera quality**  
TLCI

**Plant response**  
Biomass, nutritional content

**Animal response**  
Health, behavior, growth

# pc-LED spectral engineering toolbox

**Blue pump LEDs**  
(InGaN)  
Peak ~430-460 nm  
FWHM ~30 nm

**Green phosphors**  
(YAG, other garnet)  
Peak ~520-560 nm  
FWHM ~110 nm

**Yellow to red phosphors**  
(nitrides)  
Peak ~570+ nm  
FWHM ~75 nm

**Narrow red phosphors**  
(e.g. SLA)  
Peak ~630-650 nm  
FWHM ~50 nm



## **Phosphor-converted (pc) LEDs** vs. color-mixed (cm) LEDs

- + Highest efficacy with state-of-the-art technology
- + Color control by material design
- Broader spectral width than direct emitters  
(but narrow-band phosphors emerging)

# Example applications



**Retail lighting**

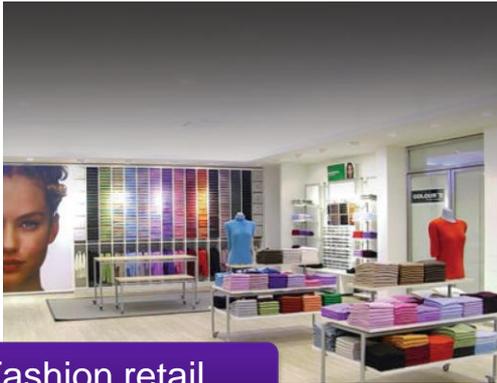


**Outdoor lighting**



**Circadian lighting**

# Engineered spectra for retail lighting



Fashion retail



Fresh food

Spectral metric	Importance for retail lighting
Color point	On- and off-Planckian
Color fidelity	Somewhat natural colors
Color gamut	Precise control of color saturation
Efficacy	Considered when other criteria are met

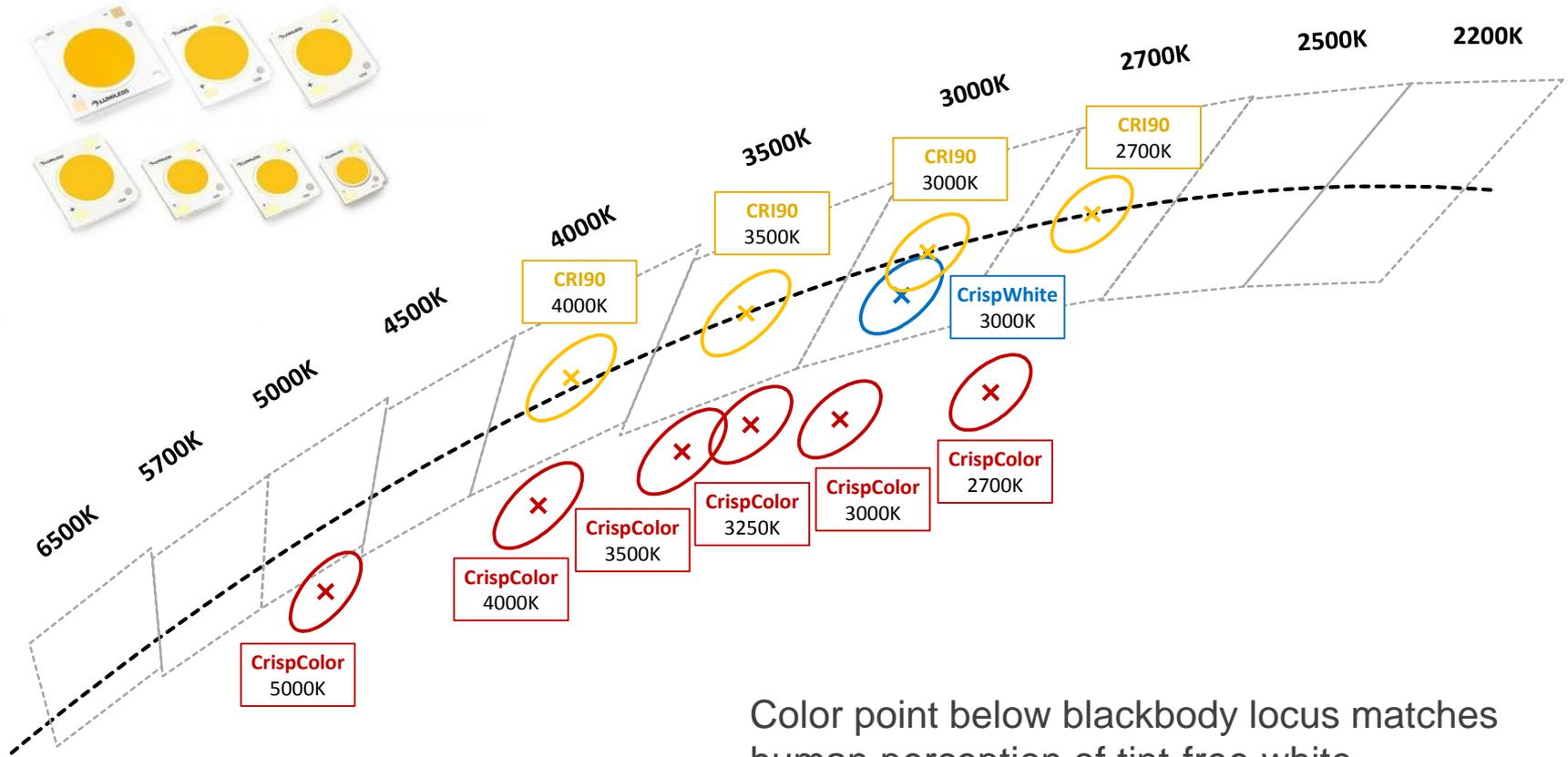


Primary metric



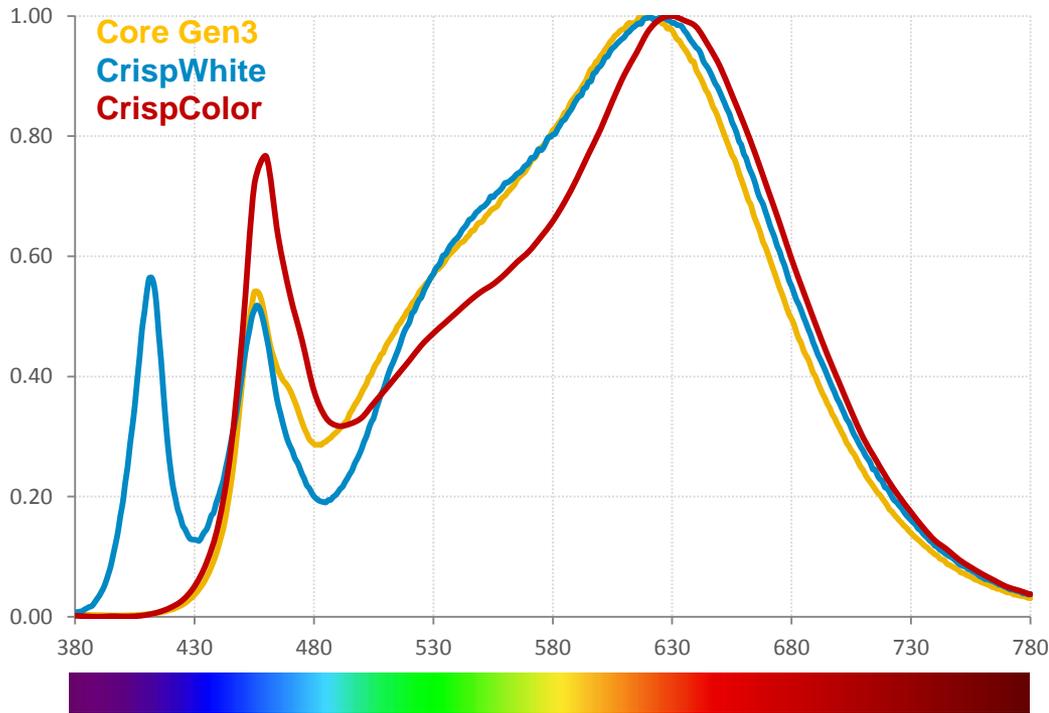
Secondary metric

# Fashion retail | Optimized color point



Color point below blackbody locus matches human perception of tint-free white

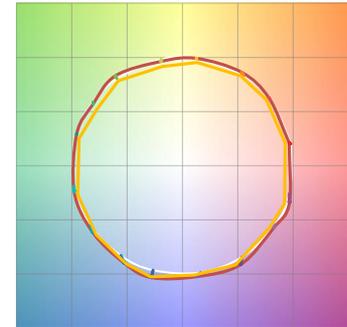
# Fashion retail | Color gamut and white rendition



**CrispWhite**  
Bright white from FWAs activated by violet



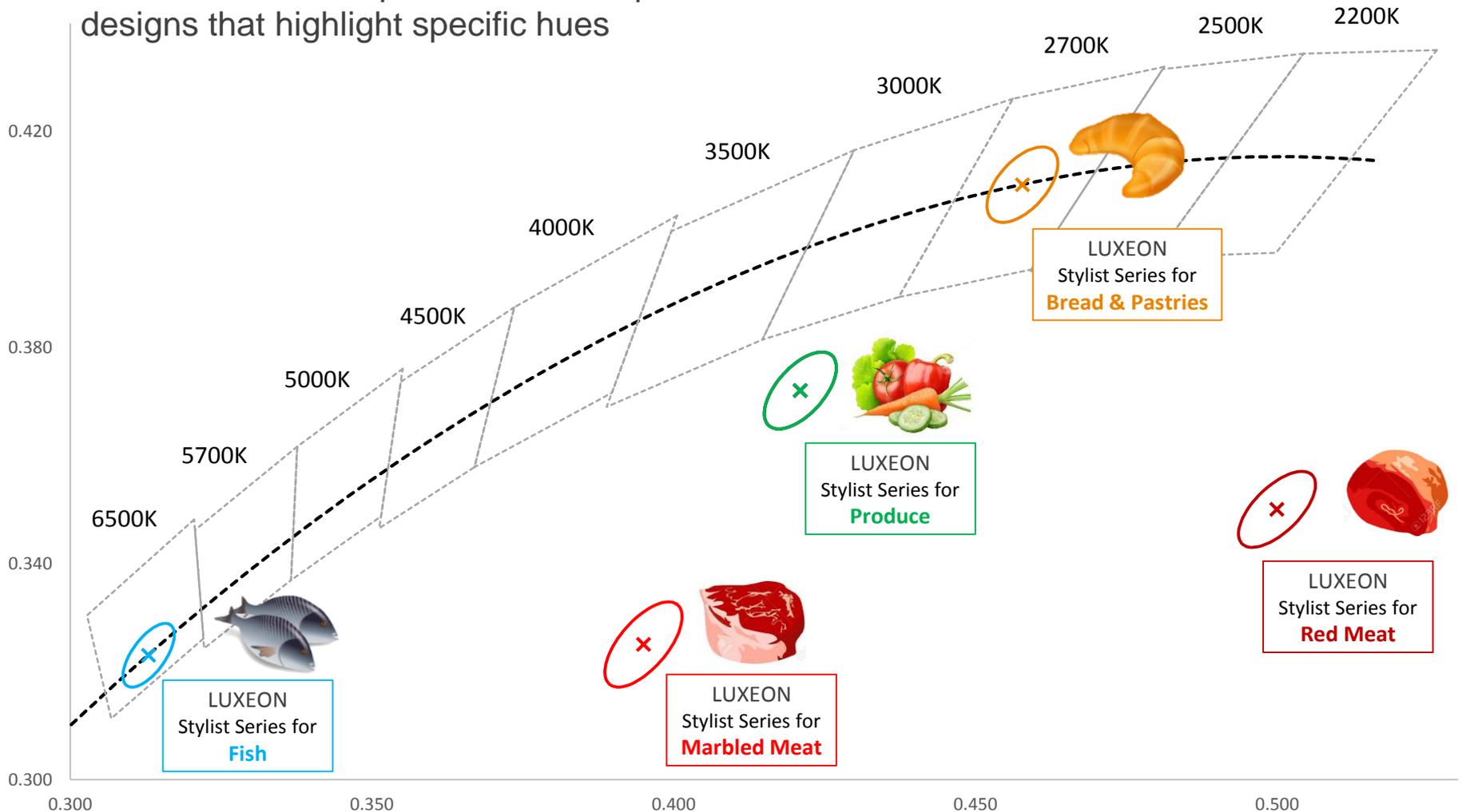
**CrispColor**  
Increased color gamut for more vivid colors



LED	Type	CCT	CRI Ra	CRI R9	TM-30 Rf	TM-30 Rg	Efficacy (lm/W)
LUXEON CoB 1211	Core 3090	3,000K	93	63	89	97	120
	CrispWhite	3,000K	91	61	87	102	100
	CrispColor	3,000K	95	94	92	103	107

# Fresh food | Optimized color point

Off-Planckian color points allow for spectral designs that highlight specific hues

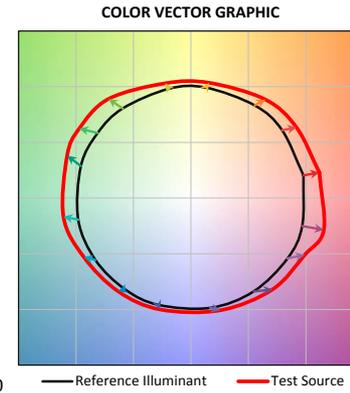
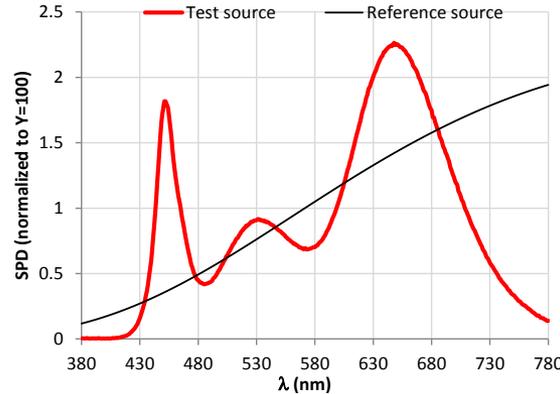


# Fresh food | Color gamut and saturation



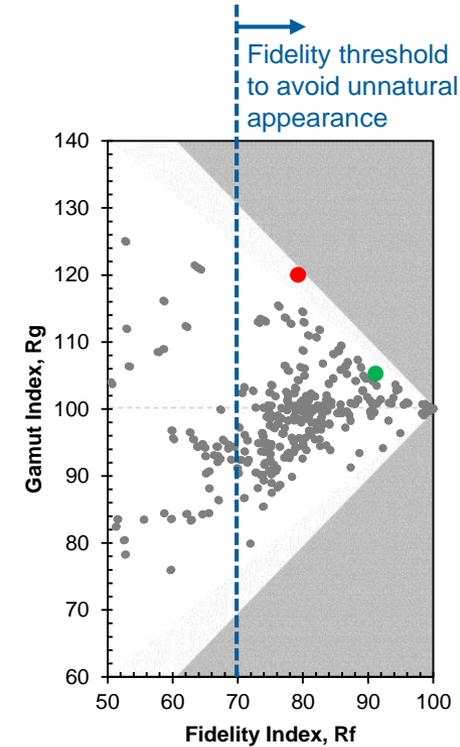
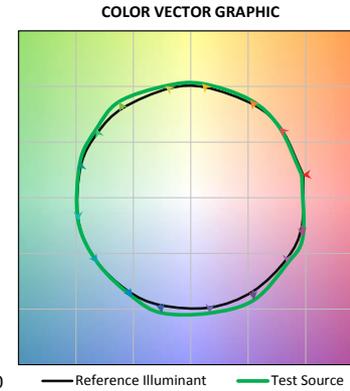
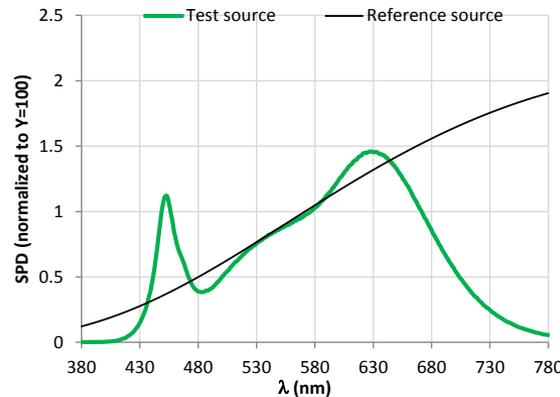
Spectrum for **Marbled Meat**

Enhances red and white marble texture without yellowish appearance



Spectrum for **Produce**

Renders green through red colors with high saturation and fidelity



LED	Type	CCT	CRI Ra	CRI R9	TM-30 Rf	TM-30 Rg	Efficacy (lm/W)
LUXEON CoB 1211	Core 3090	3,000K	93	63	89	97	120
	Marbled Meat	~3,000K	67	-23	79	120	71
	Produce	~3,000K	95	94	91	105	107

# Engineered spectra for outdoor lighting



Spectral metric	Importance for outdoor lighting
Color point	Visual comfort, safety and visibility
Efficacy	Main adoption driver
Non-visual response	Illuminance often low enough to have minimal impact
Ecological impact	Skyglow, animal wildlife and plant photoperiodism

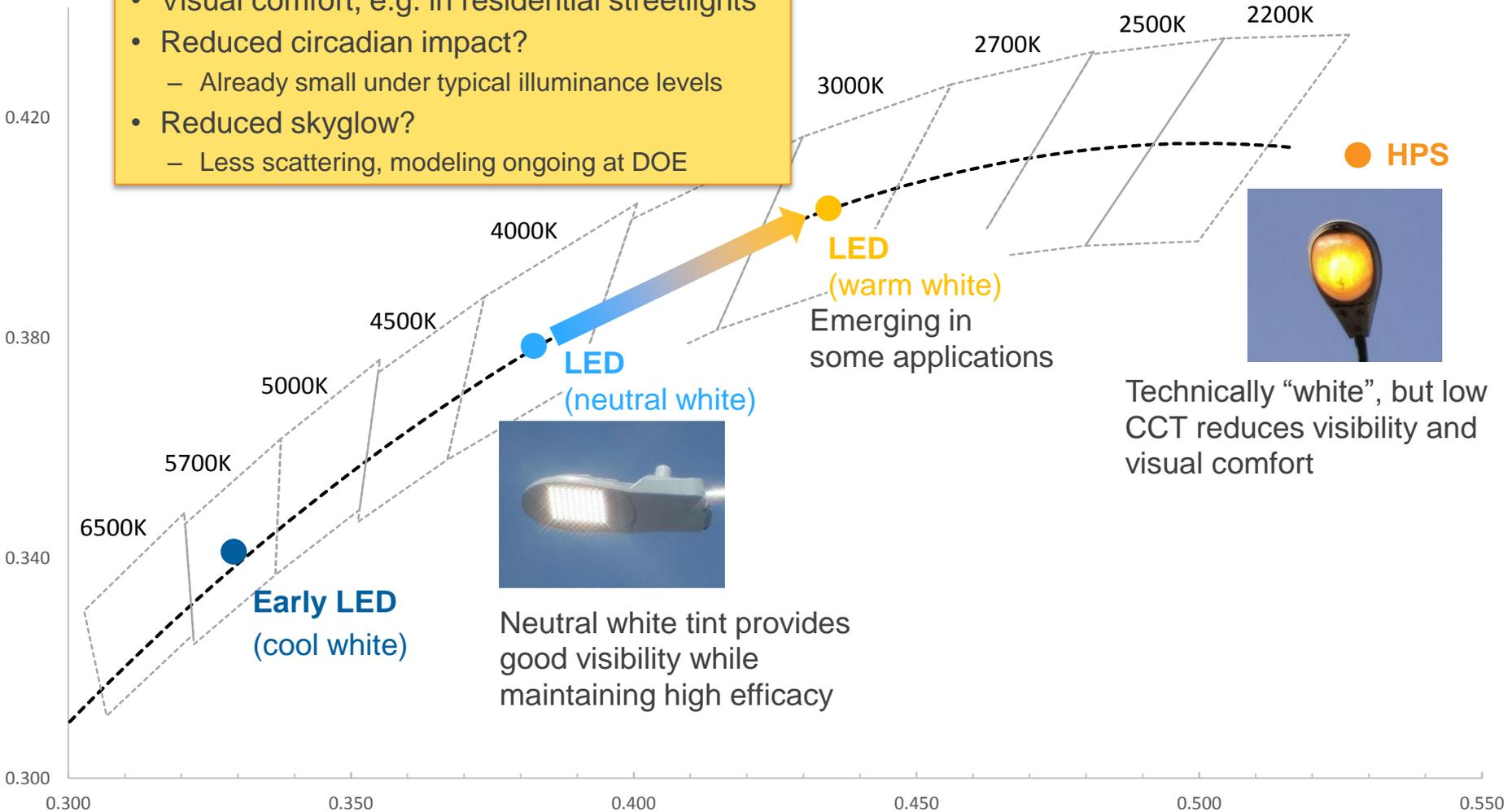
 Primary metric

 Secondary metric

# Chromaticity in outdoor lighting

## Motivation for warm white outdoor lighting

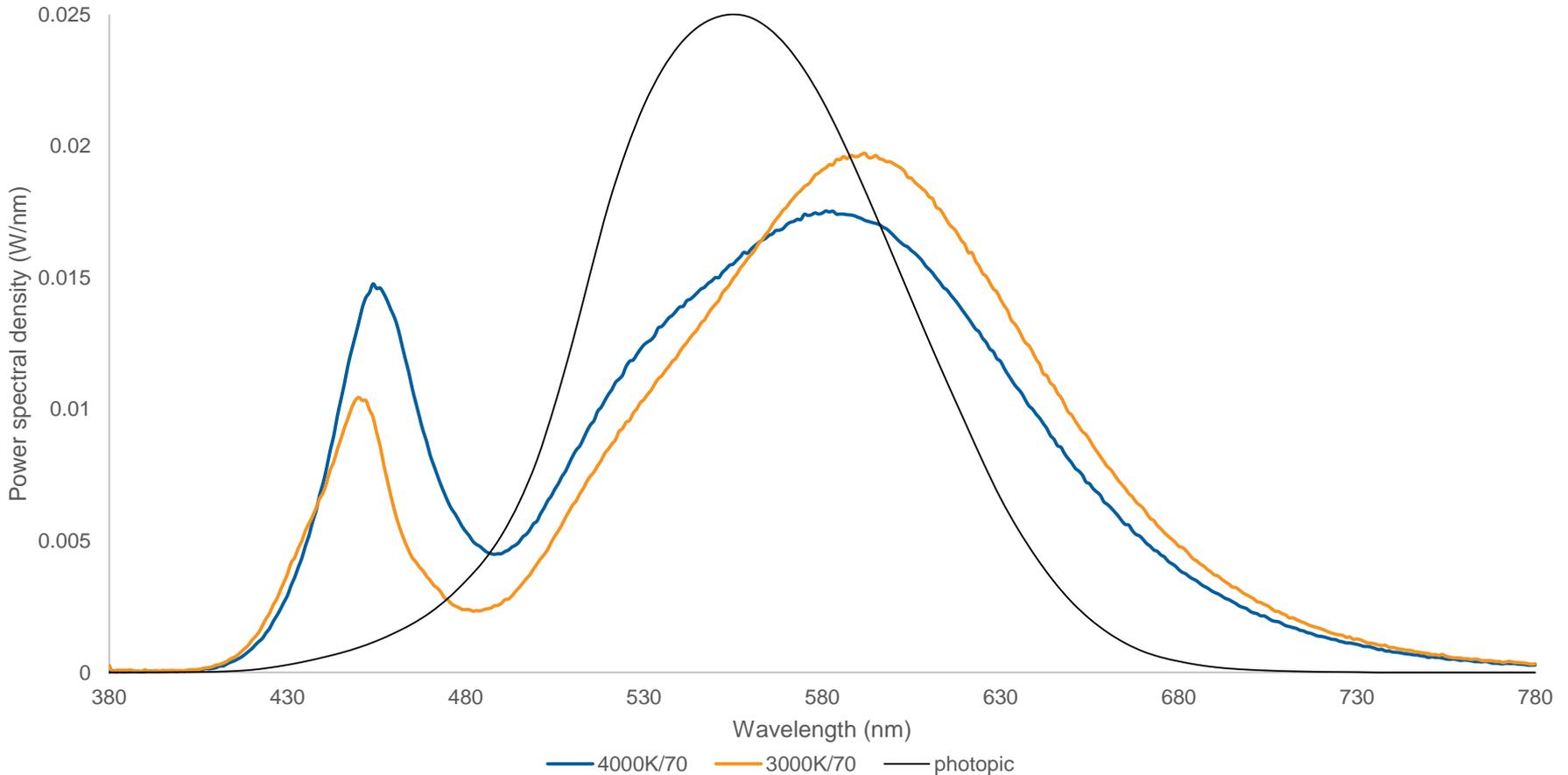
- Visual comfort, e.g. in residential streetlights
- Reduced circadian impact?
  - Already small under typical illuminance levels
- Reduced skyglow?
  - Less scattering, modeling ongoing at DOE



# Increasing spectral efficacy of warm-white pc-LEDs

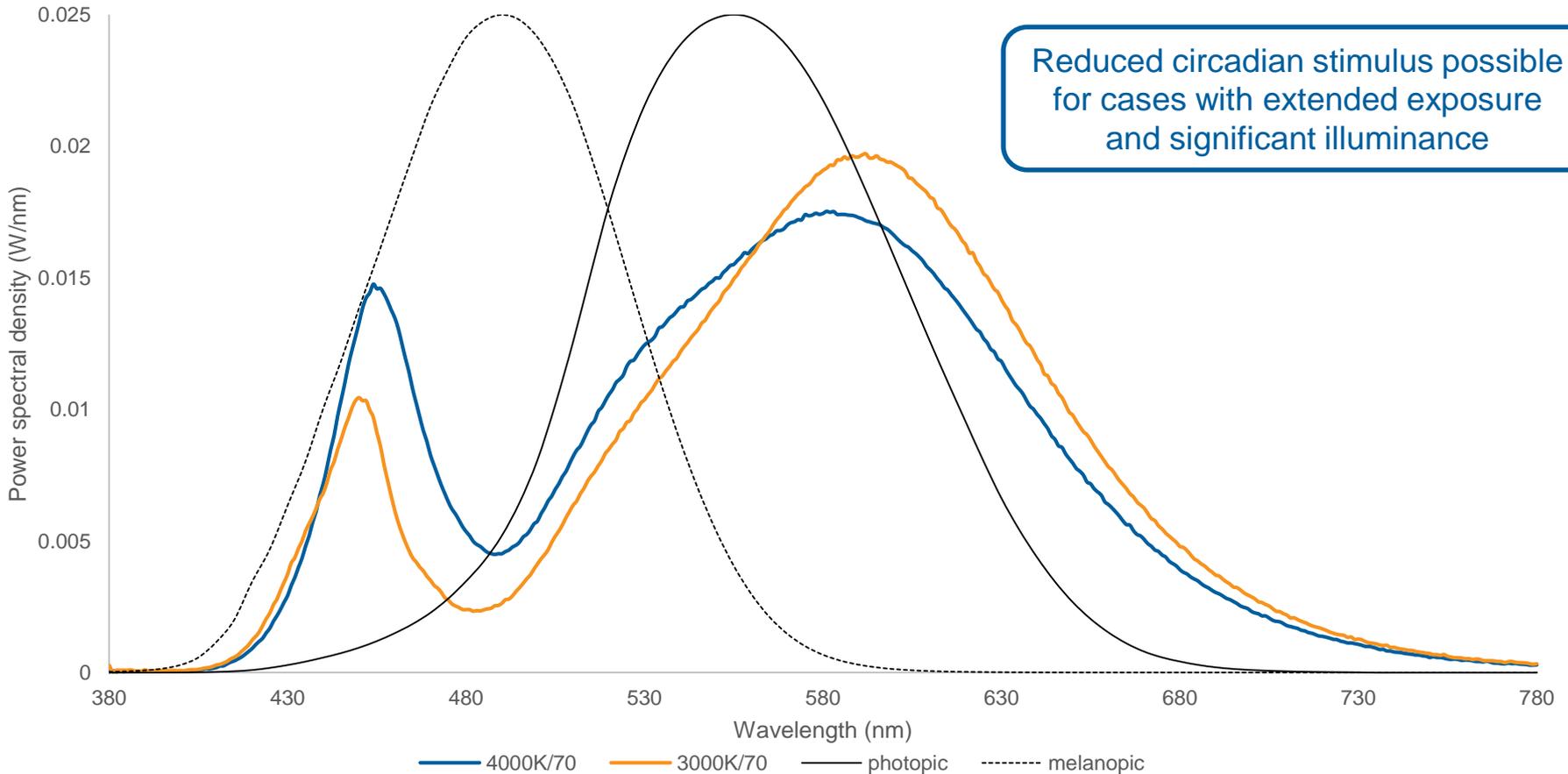
$lm/W_{opt}$	4000K/70	3000K/70	3000K high efficacy
LER	342	347	417
LER*QD	280	274	330

Eliminating CRI>70 requirement enables ~20% gain using phosphor technology available today



# Circadian impact of high-efficacy warm-white spectra

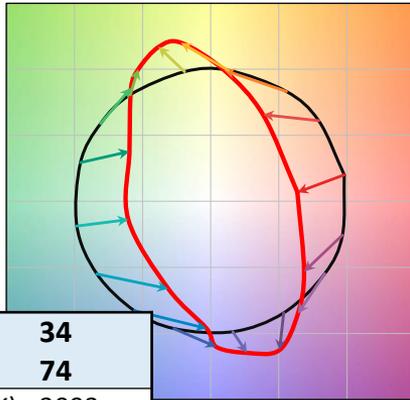
Metric	4000K/70	3000K/70	3000K high efficacy
Melanopic content ( $\alpha$ -opic lux / lux)	0.631	0.448	0.286
Blue light content (405-530 nm, $\mu$ W/lm)	875	617	427



# ...but what about color rendering?

3000K high efficacy

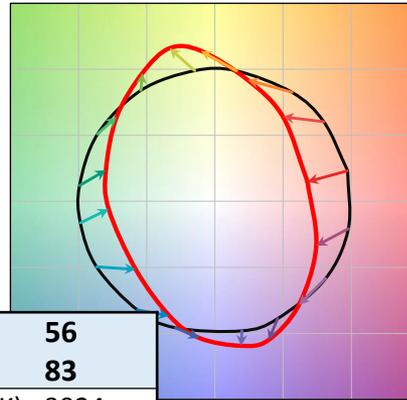
COLOR VECTOR GRAPHIC



$R_f$	34
$R_g$	74
CCT (K)	3093
$D_{uv}$	-0.0004
CIE $R_a$	36

Metal halide

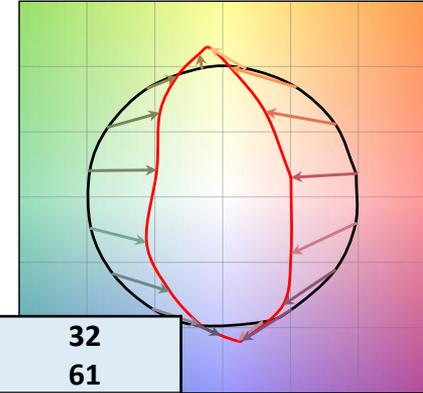
COLOR VECTOR GRAPHIC



$R_f$	56
$R_g$	83
CCT (K)	3924
$D_{uv}$	-0.0016
CIE $R_a$	55

HPS

COLOR VECTOR GRAPHIC



$R_f$	32
$R_g$	61
CCT (K)	1971
$D_{uv}$	-0.0001
CIE $R_a$	17

- CRI and TM-30 are specified relative to reference source of same CCT as test source
- Sources with <2000K have very little blue-green content
  - Object colors in this range are hard to distinguish even with high  $R_a$  and  $R_f$
- Practical white sources (2700-6500K) have a more equal energy distribution
  - Better overall color visibility despite lower  $R_a$  or  $R_f$

Practical white pc-LED spectra in the 2700K-6500K range have color rendering acceptable for many outdoor applications despite low fidelity metrics

2700K, CRI  $R_a > 80$ ,  
standard pc-LED spectrum



2700K, CRI  $R_a = 37$ ,  
high-efficiency pc-LED spectrum



# Engineered spectra for circadian lighting

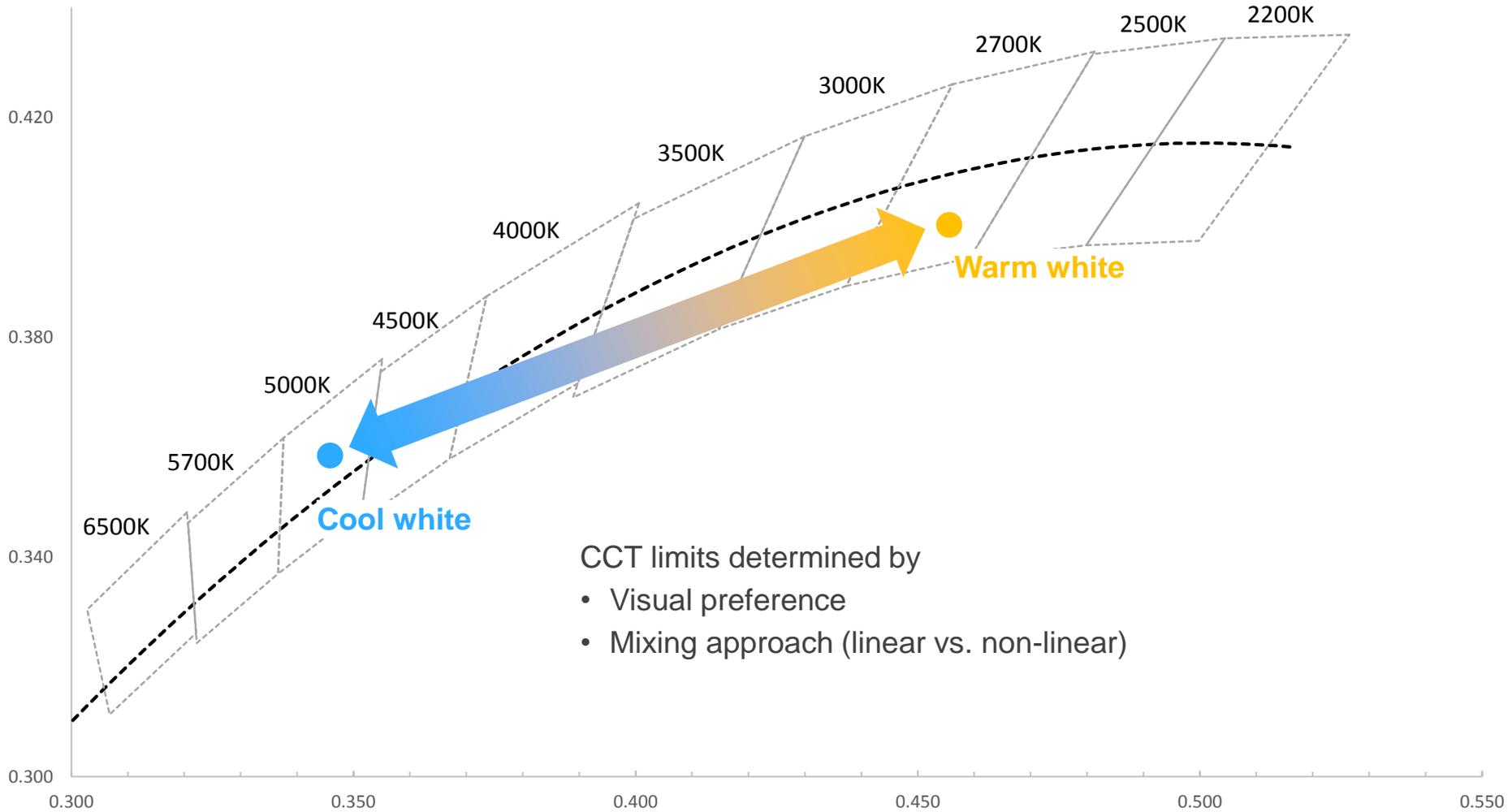


Spectral metric	Importance for circadian lighting
Color point	Visual comfort, “natural” cycle of warm and cool white
Color fidelity	Somewhat natural colors
Non-visual response	Physiological impact mediated by ipRGC
Efficacy	Considered when other criteria are met

 Primary metric

 Secondary metric

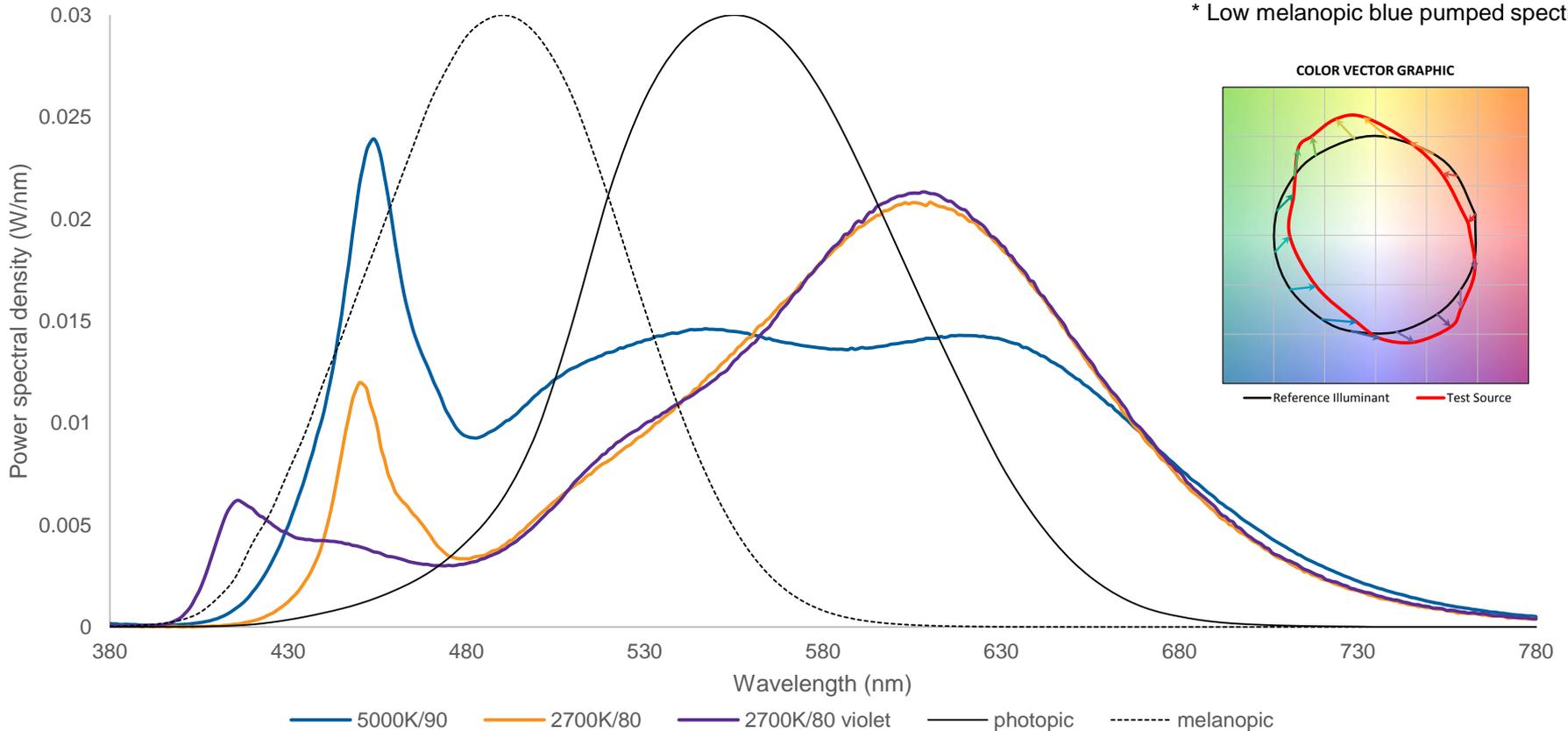
# CCT range for circadian lighting



# Optimizing color rendering and melanopic content

Metric	5000K/90	2700K/80	2700K/80 violet	2700K LM *
Melanopic content ( $\alpha$ -opic lux / lux)	0.922	0.468	0.416	0.301
CRI $R_a / R_g$	97 / 90	82 / 14	82 / 9	69 / 43
TM-30 $R_f / R_g$	92 / 100	81 / 98	80 / 97	61 / 100

\* Low melanopic blue pumped spectrum



# Towards application-specific metrics

	General purpose	Retail	Outdoor	Circadian
Color point	Green	Green	Green	Green
Color fidelity	Green	Yellow	Grey	Yellow
Color gamut	Grey	Green	Grey	Grey
Efficacy	Green	Yellow	Green	Yellow
Non-visual response	Grey	Grey	Yellow	Green
Ecological impact	Grey	Grey	Yellow	Grey

Chromaticity is important in virtually all applications

Color fidelity (CRI  $R_a$ , TM-30  $R_f$ ) is often not the most important metric

Key metrics depend on the application

