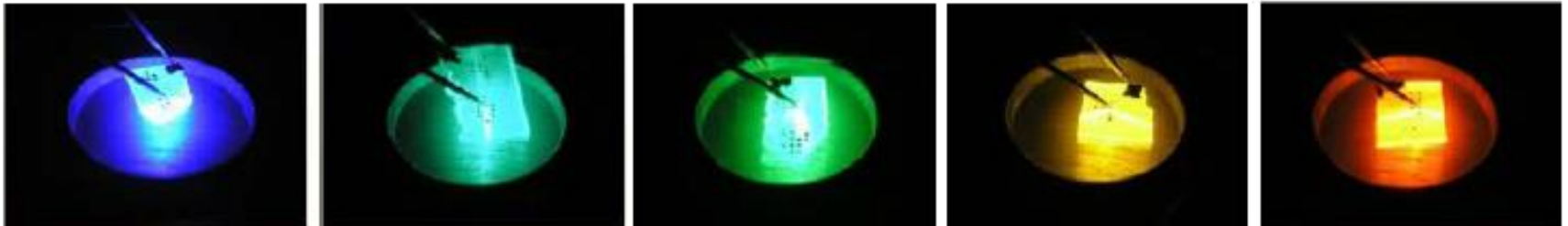




Innovations in Solid State Lighting

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**Solid State Lighting and Energy Electronics Center
Materials and ECE Departments
University of California, Santa Barbara**





Outline

1. Introduction

- History
- Is sun light the best for humans and plants?

2. LED Lighting

- Tunnel-Junction (TJ) blue/green LEDs with EQE over 70%/50%
- Micro LED, green LED for red LED

3. Laser Lighting

- High Power Semipolar LDs
- Li-Fi with LEDs and LDs



Introduction



GaN/InGaN on Sapphire Research

GaN

InGaN

Year	Researcher(s)	Achievement
1969	Maruska & Tietjen	GaN epitaxial layer by HVPE
1973	Maruska <i>et al.</i>	1 st blue Mg-doped GaN MIS LED
1983	Yoshida <i>et al.</i>	High quality GaN using AlN buffer by MBE
1985	Akasaki & Amano <i>et al.</i>	High quality GaN using AlN buffer by MOCVD
1989	Akasaki & Amano <i>et al.</i>	p-type GaN using LEEBI (p is too low to fabricate devices)
1991	Nakamura	Invention of Two-Flow MOCVD
1991	Moustakas	High quality GaN using GaN buffer by MBE
1991	Nakamura	High quality GaN using GaN buffer by MOCVD
1992	Nakamura <i>et al.</i>	p-type GaN using thermal annealing, Discovery hydrogen passivation (p is high enough for devices)
1992	Nakamura <i>et al.</i>	InGaN layers with RT Band to Band emission
1994	Nakamura <i>et al.</i>	InGaN Double Heterostructure (DH) Bright Blue LED (1 Candela)
1995	Nakamura <i>et al.</i>	InGaN DH Bright Green LED
1996	Nakamura <i>et al.</i>	1st Pulsed Violet InGaN DH MQW LDs
1996	Nakamura <i>et al.</i>	1 st CW Violet InGaN DH MQW LDs
1996	Nichia Corp.	Commercialization White LED using InGaN DH blue LED



Contributions towards efficient blue LED

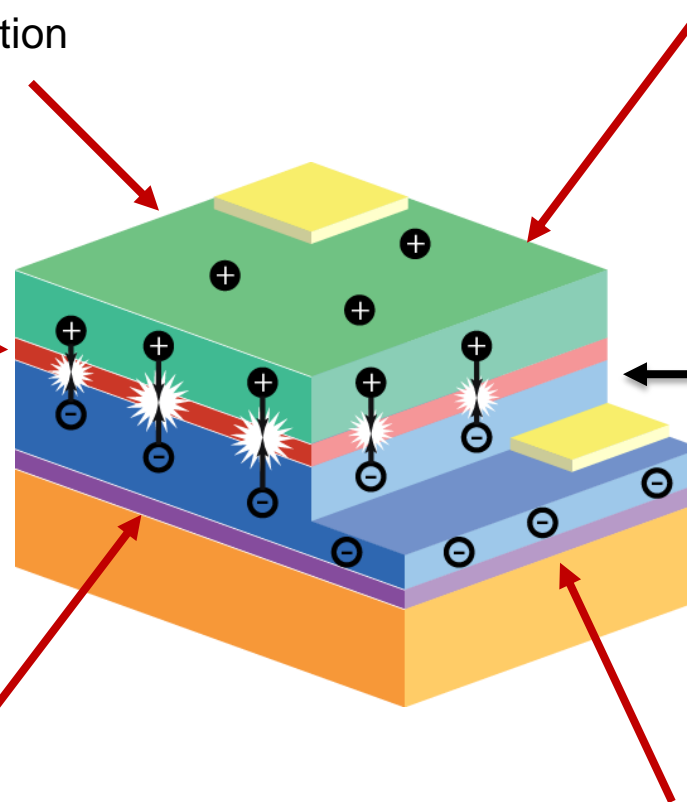
p-type GaN activated by thermal annealing by *Nakamura et al.*, **1992**

Hydrogen passivation was clarified as an origin of hole compensation

p-type GaN activated by Electron Beam Irradiation by *Akasaki & Amano*, **1989**

InGaN Emitting (Active) Layer

by *Nakamura & Mukai*, **1992**



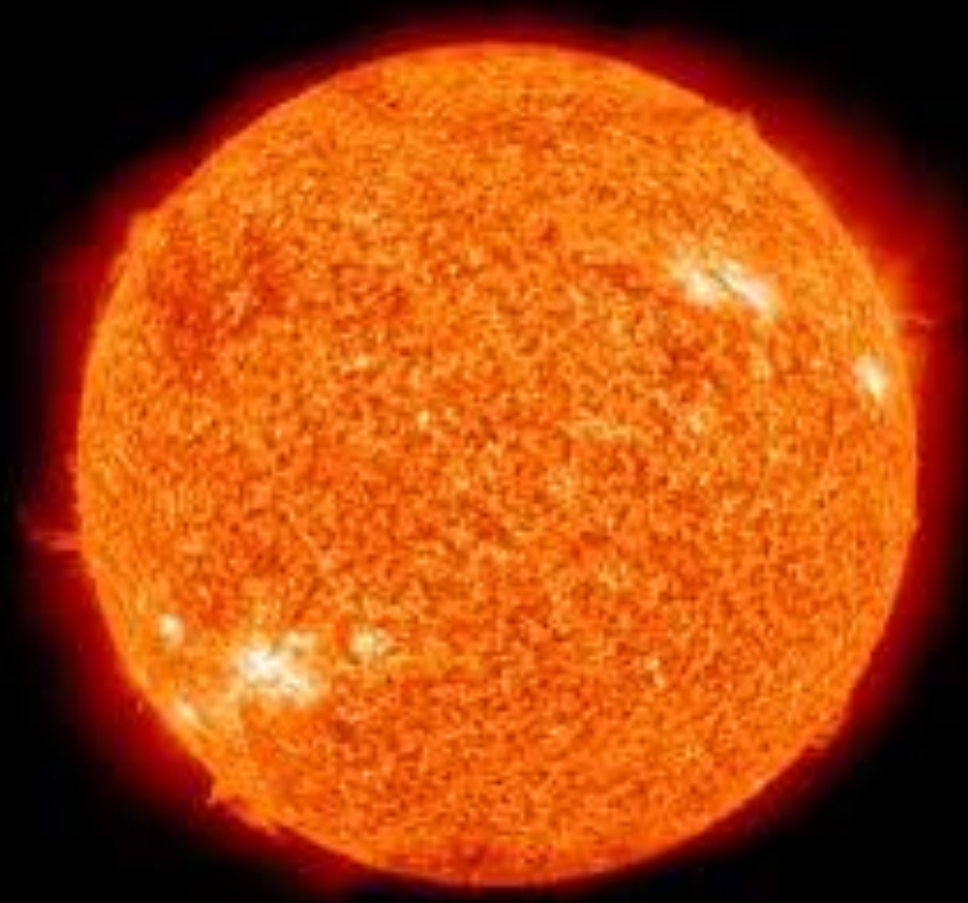
n-type GaN

Sapphire substrate

GaN Buffer by *Nakamura*, **1991**

AlN Buffer by *Akasaki & Amano*, **1985**

First Source of Light for Life: Our Sun





Conventional White LED (Blue LED + Phosphor)

Strong Blue LED light disrupts the circadian cycle or suppresses melatonin?

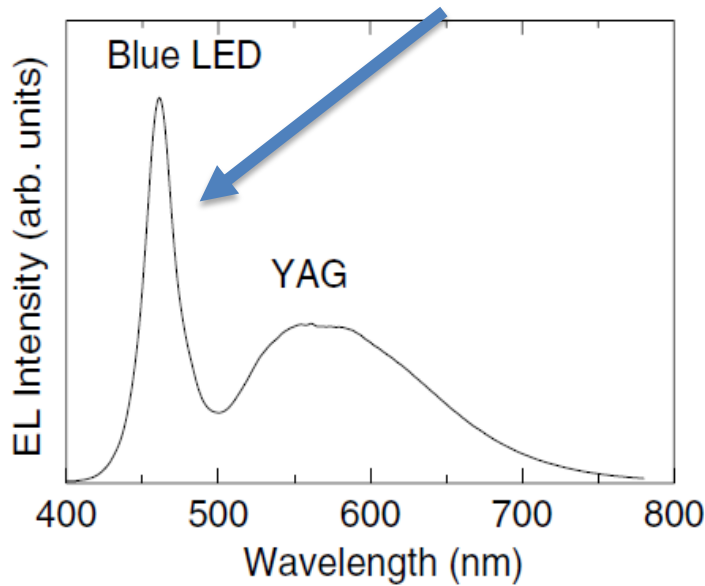


Figure 2. The typical emission spectrum of a white LED using a YAG phosphor at 20 mA. T_{cp} is 6500 K.

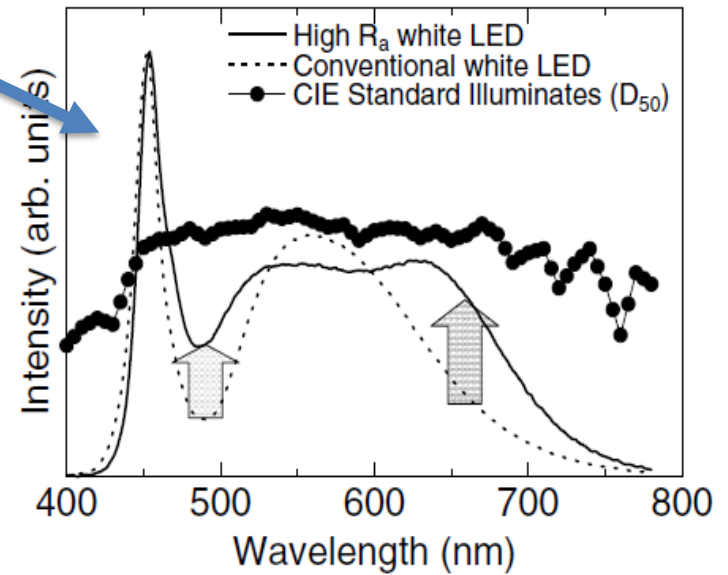


Figure 3. The spectra of the ultra-high R_a white LED, the conventional white LED and CIE Standard Illuminates (D_{50}). All of T_{cp} are 5000 K.

Narukawa et al., J. Phys. D: Appl. Phys. 43 (2010) 354002



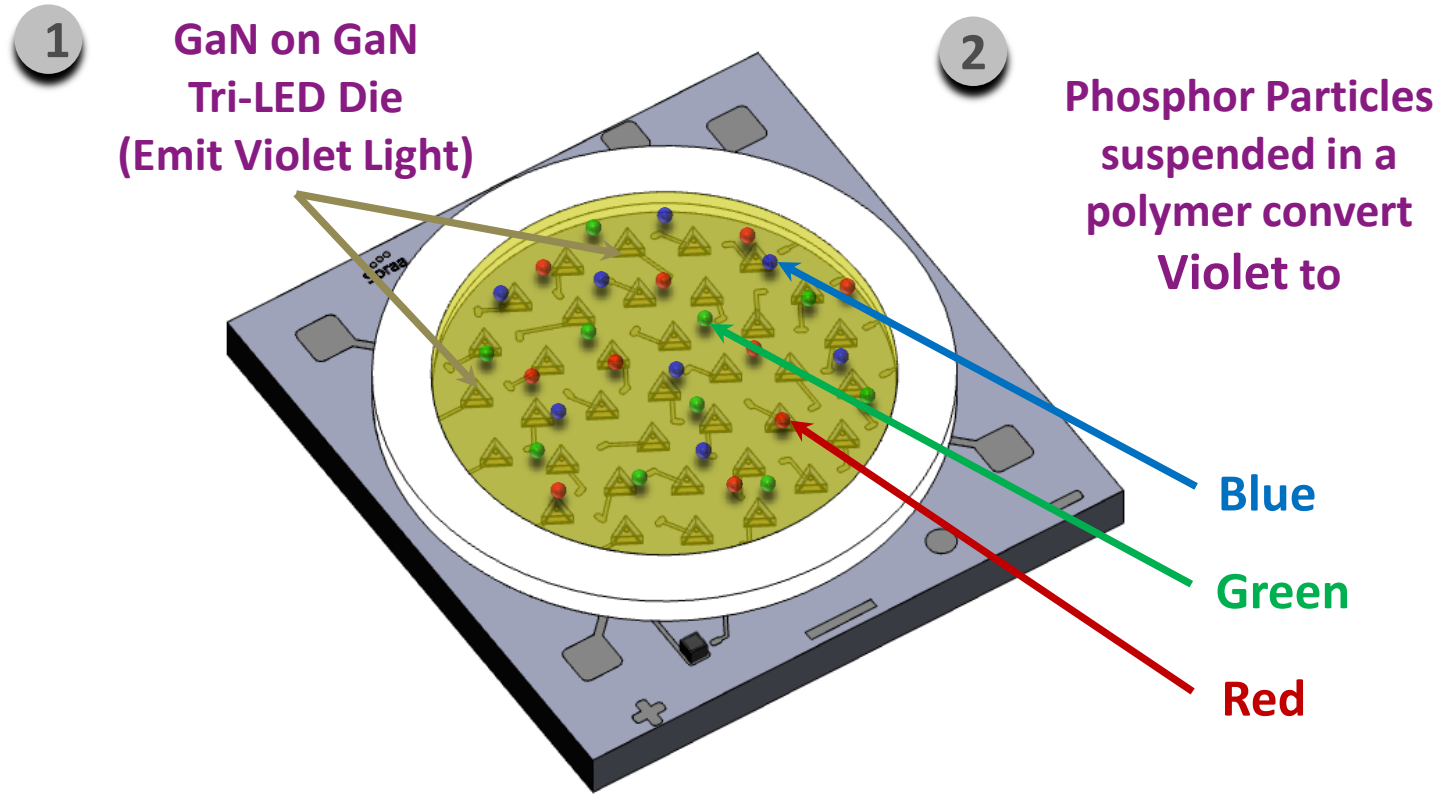
Plant Factory using Blue/Red LEDs in Clean Room



Growth rate is 2.5 times (the latest: 5 times) higher.
Yield from the plants is 50% to 90%
Water usage is only 1% compared with in the field

What's VP₃?

VP₃ = Violet and 3 Phosphor

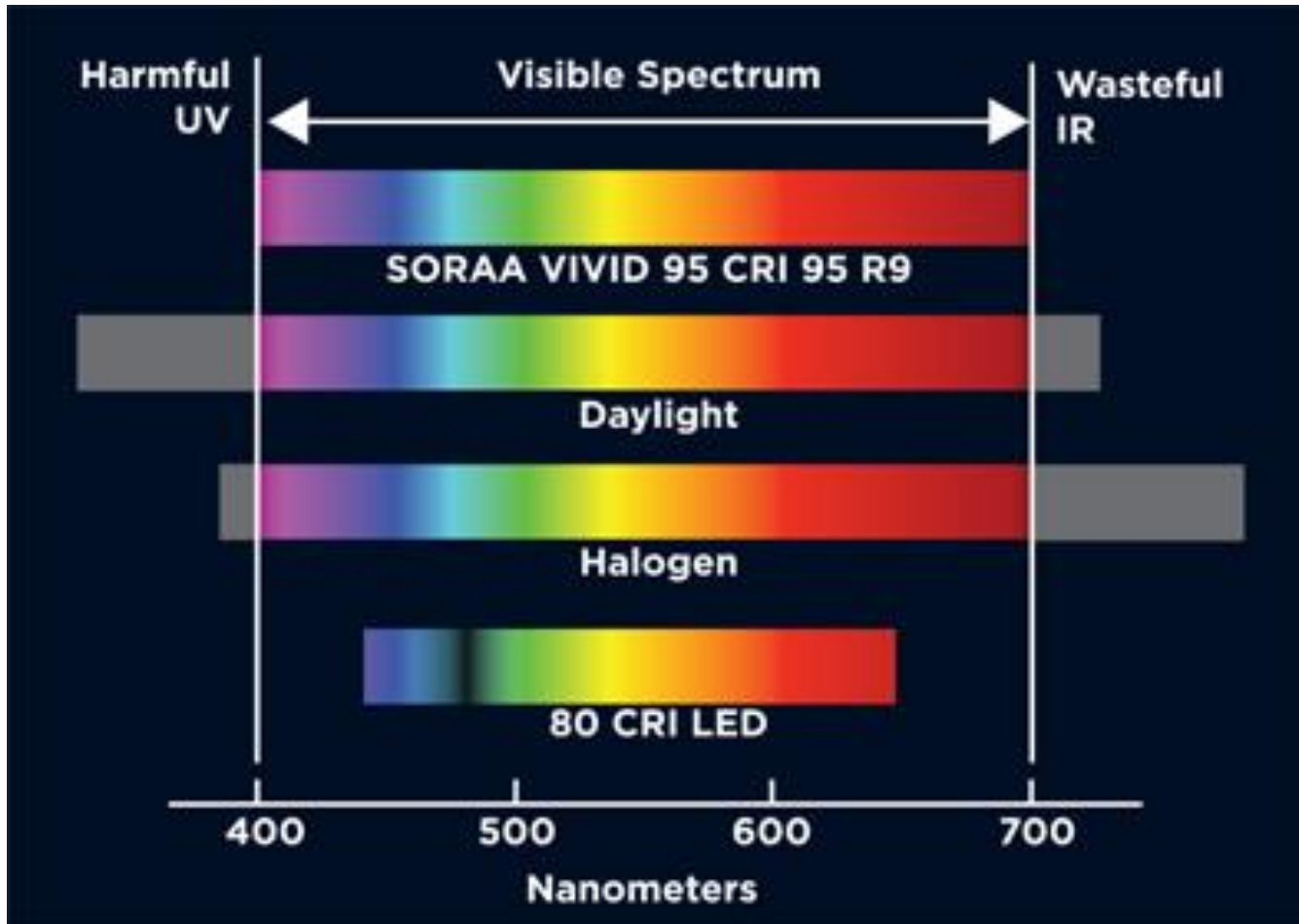


3 Resulting in  Full-visible-spectrum light

What's VP₃?



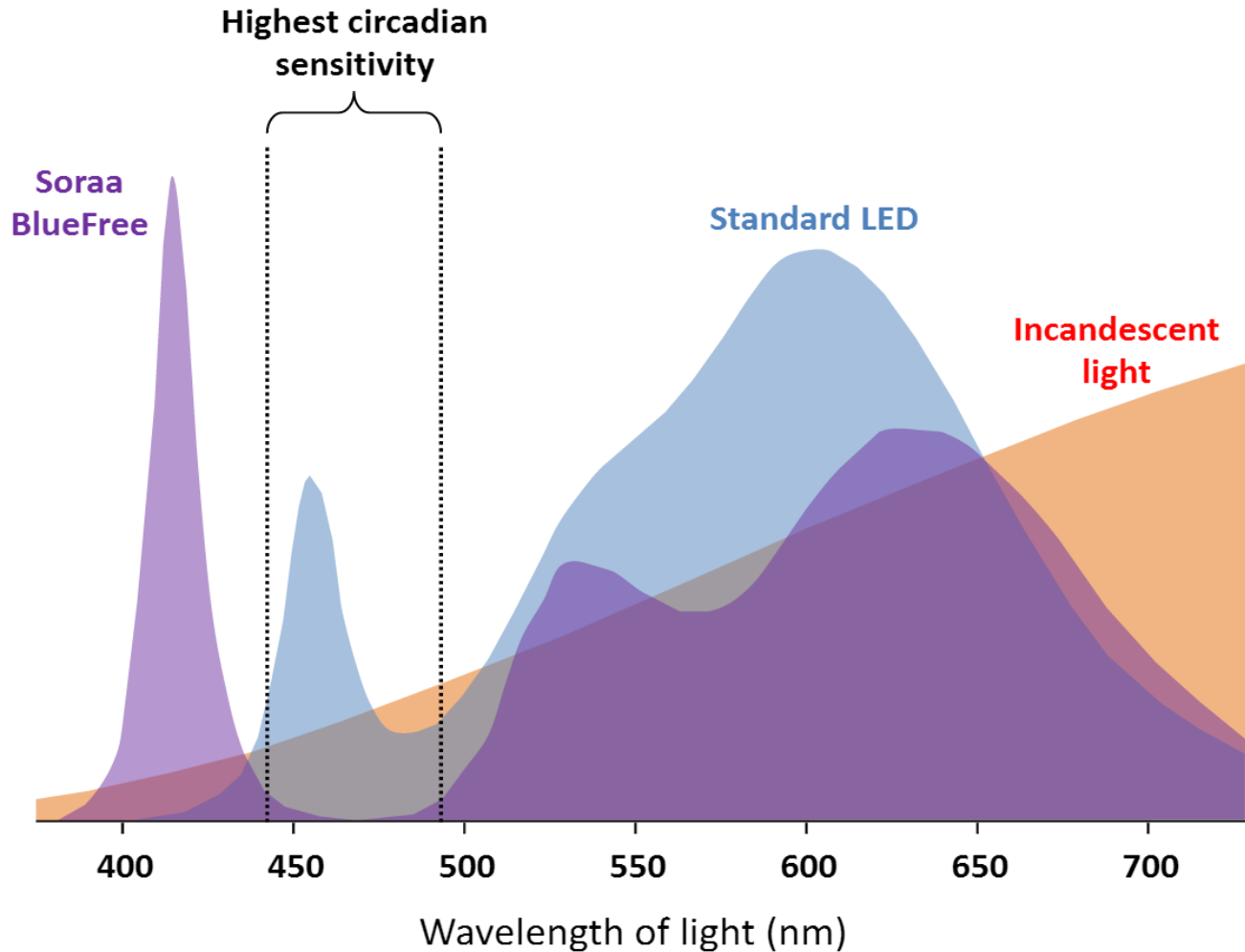
VP₃ = Violet and 3 Phosphor



Soraa's New Helia Bulb Lamp

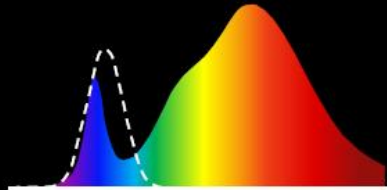


<http://www.digitaltrends.com/home/soraa-helia/#/7>



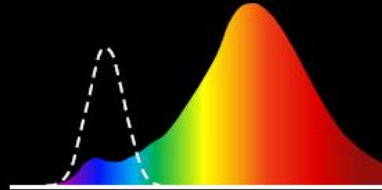
THE BLUE LIGHT SOLUTION

Standard LED



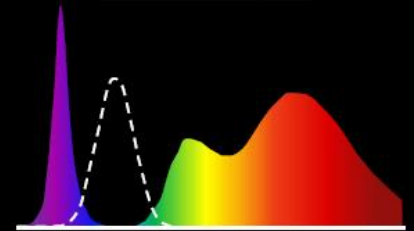
Large Blue Light Peak
Faded Colors & Whites
Efficient

"Sleep" LED

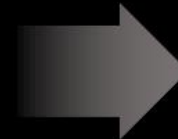


Moderate Blue Light
Unnatural Yellow Tint
Efficient

SORAA



No Blue Light
Beautiful Colors & Whites
Efficient



Soraa's New Helia Bulb Lamp



<http://www.digitaltrends.com/home/soraa-helia/#/7>

2017 CES Innovation award (January 4, 2017)



Using Soraa's BlueFree LEDs, David says the Helia emits almost zero blue light while still retaining a "soft white color." The bulb adapts to your home's sunrise and sunset times as well as your habits to trigger the night mode. Helia also provides "plenty of blue light" in the morning to wake you up.

Read more: <http://www.digitaltrends.com/home/soraa-helia/#ixzz4UvVGdiro>

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PP. 276 Nature, Vol. 519, 19 March 2015



IMAGINECHINA/CORBIS

THE MYOPIA BOOM

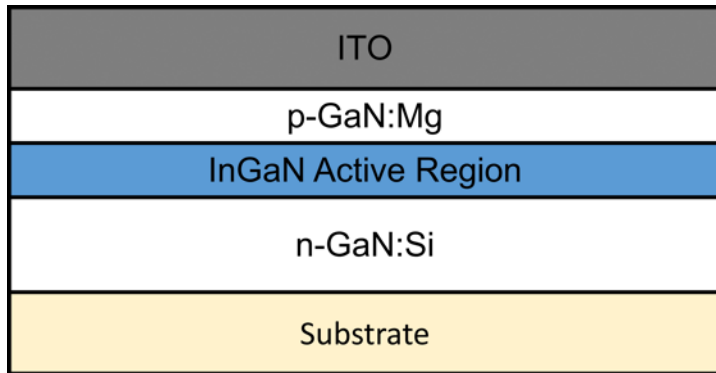


LED Lighting: Tunnel Junction Devices

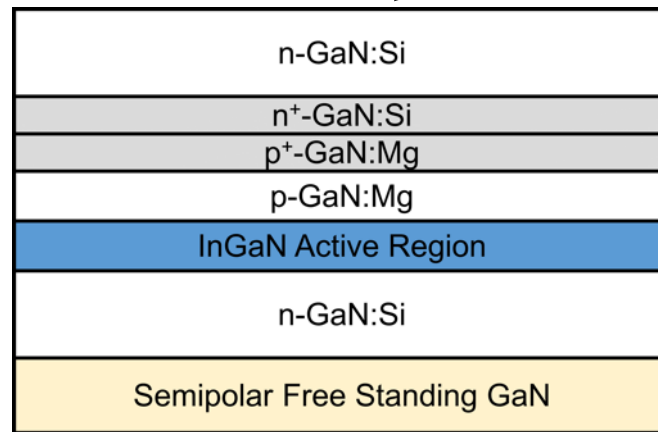


GaN Tunnel Junction Advantages

Transparent Conducting Oxide LED



Tunnel Junction LED



*By Professor Jim Speck
Use of MBE for N-GaN
regrowth (eliminate H)*

*Tunnel junction
eliminates need for
standard p-contacts*

- Increased Internal Quantum Efficiency (IQE) for LEDs
- Could lower voltage in edge emitting laser diodes
- Reduction in optical loss and increase of design space for GaN VCSELs
- Increase in process design space due to buried p-GaN

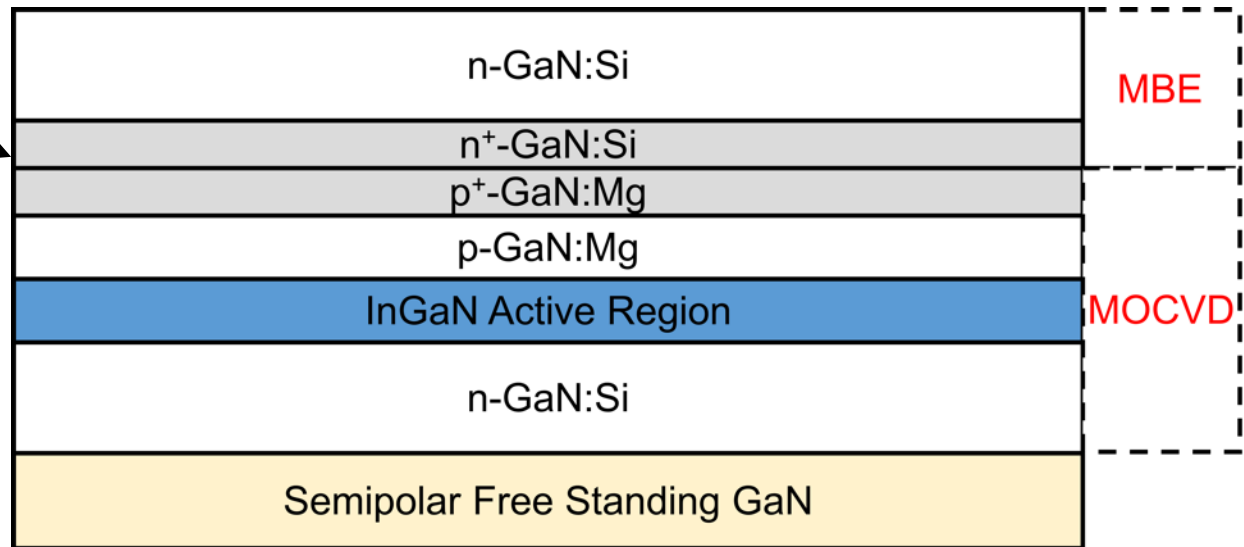


Tunnel Junction LEDs

Tunnel junction
at regrowth
interface



Multiple patents
pending

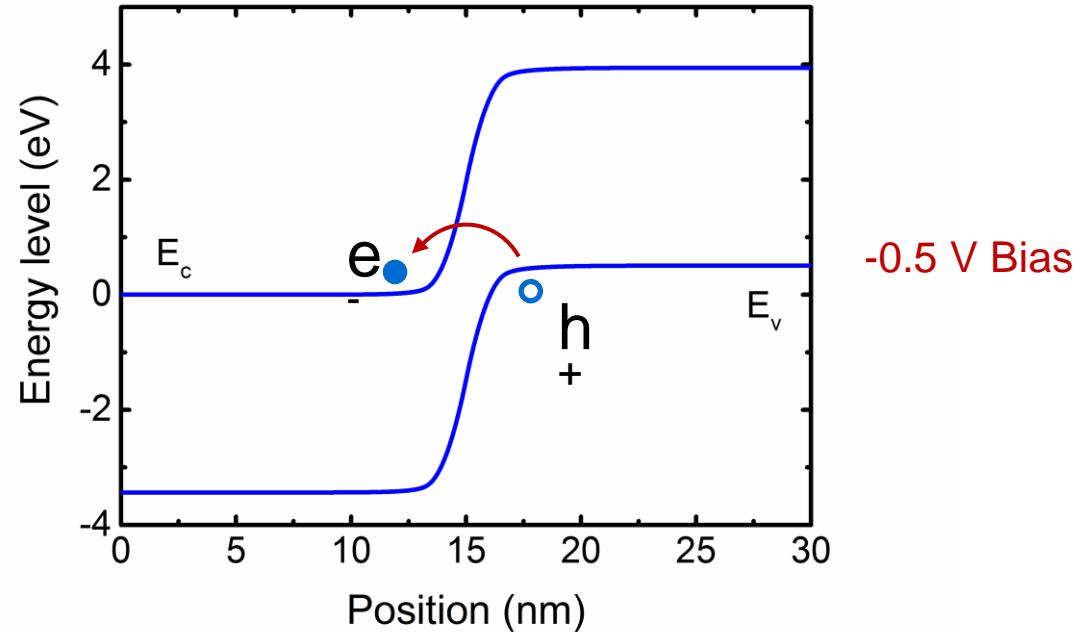


- Combination of MOCVD and MBE allows for high quality MOCVD InGaN active regions with high doping density of MBE
- p-type GaN is activated under NH₃ MBE growth conditions



Tunnel Junction LEDs

15 nm n-GaN $1 \times 10^{20} \text{ cm}^{-3}$ Si
15 nm p-GaN $2 \times 10^{20} \text{ cm}^{-3}$ Mg



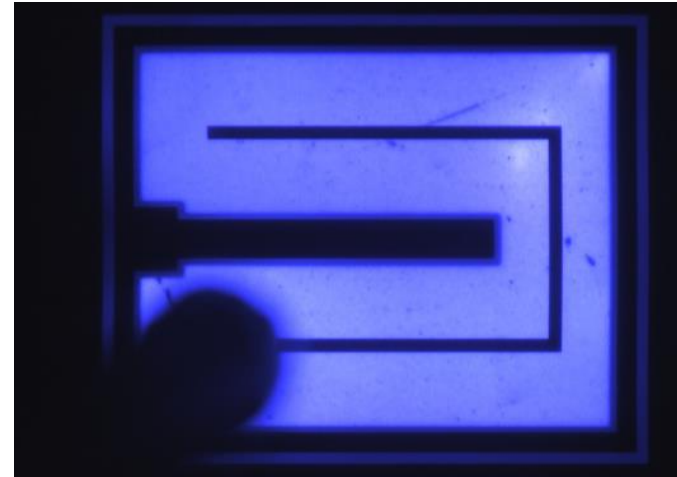
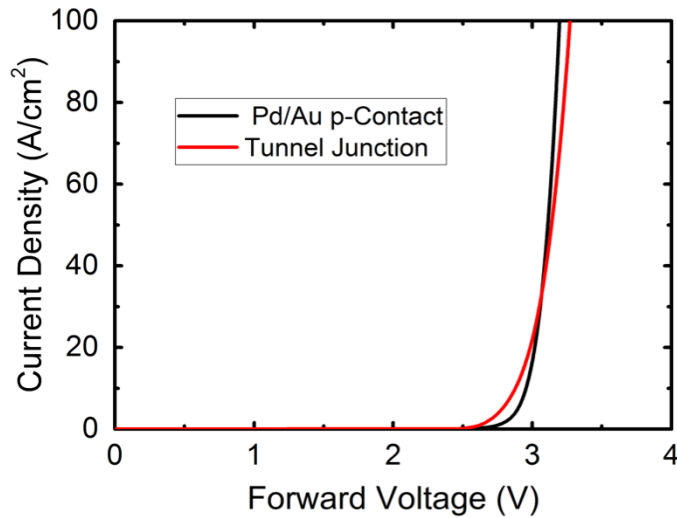
tunneling distance ~5.5 nm

Electrons tunnel directly from valence band in p-type layer to conduction band in n-type layer

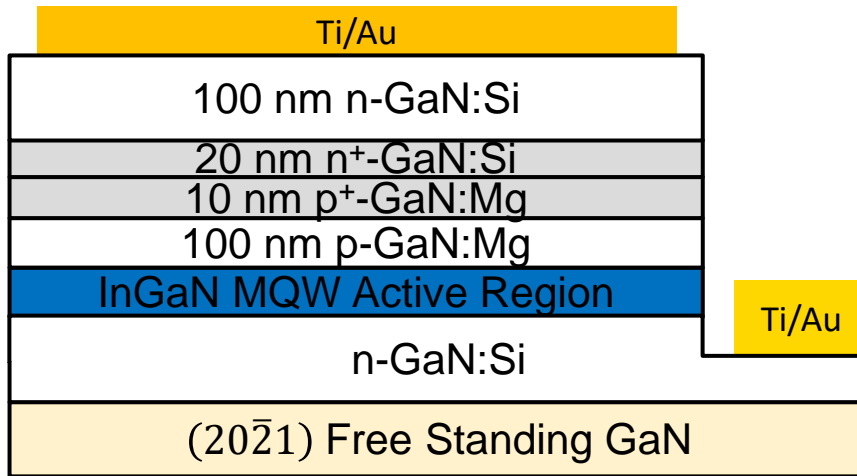
Reverse bias operation decreases tunnel distance



TJ ($20\bar{2}1$) LED



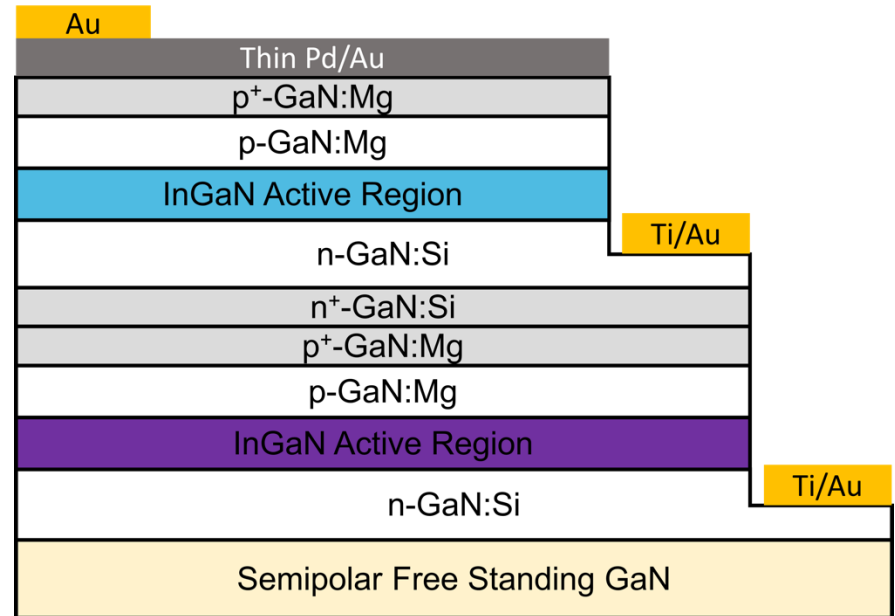
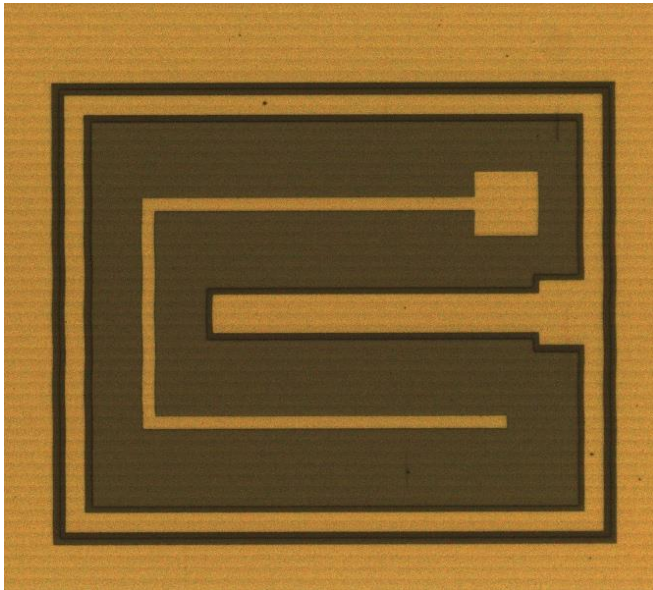
0.1 mm² chip at 20 A/cm²



- Small area LED highlight voltage drop in tunnel junction
- LED with small n-contact illustrates current spreading abilities



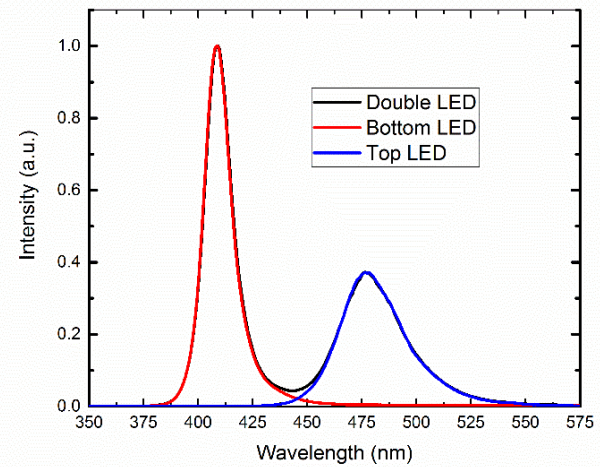
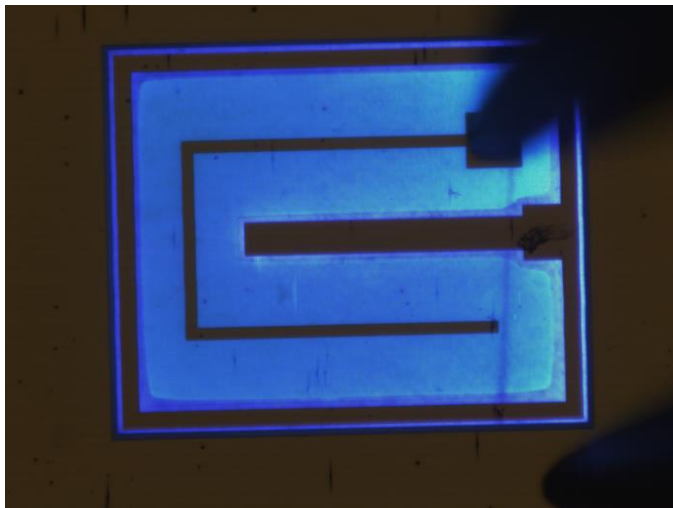
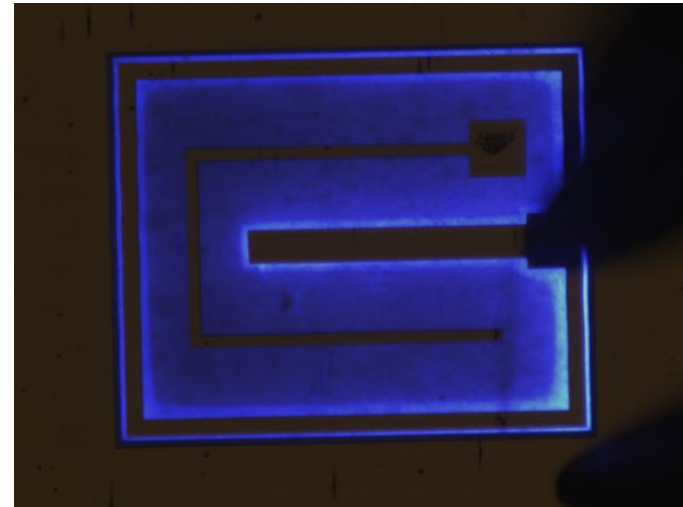
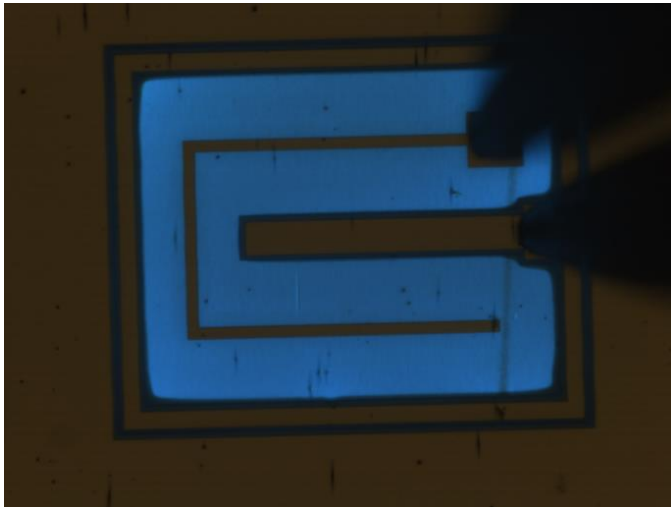
Multi-Junction LEDs (Triple Contact Design)



- Thin metal current spreading layer for top LED
- Two contacts so each active region can be operated independently or in series

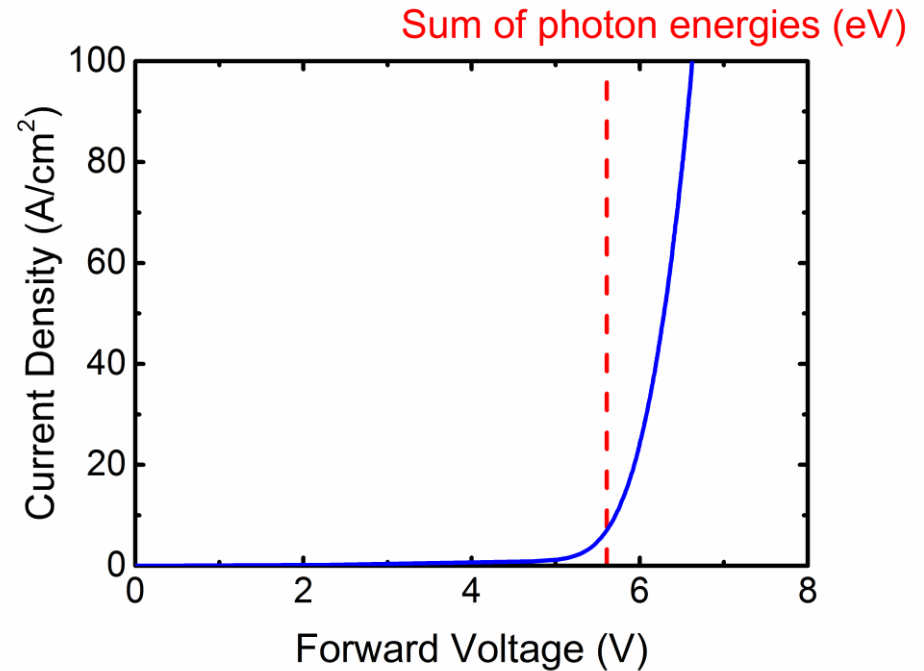
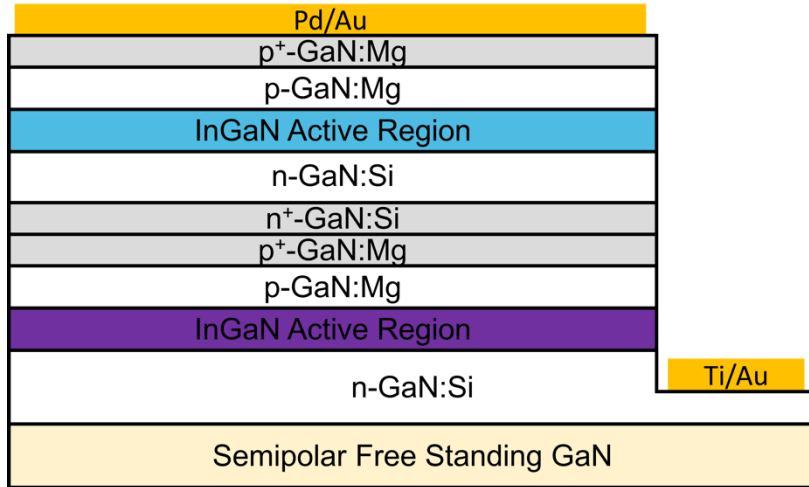


Dual Wavelength (20 $\bar{2}$ 1) LEDs





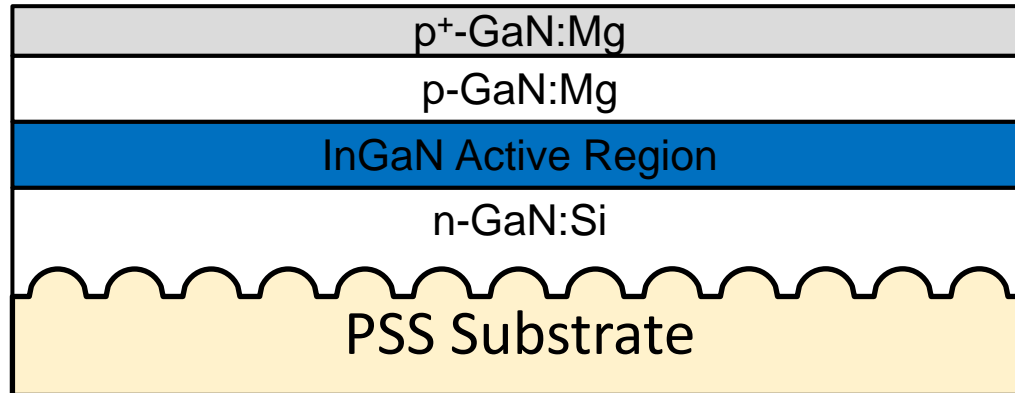
Multiple Junction LED Voltage



- LED turns on near sum of photon energies



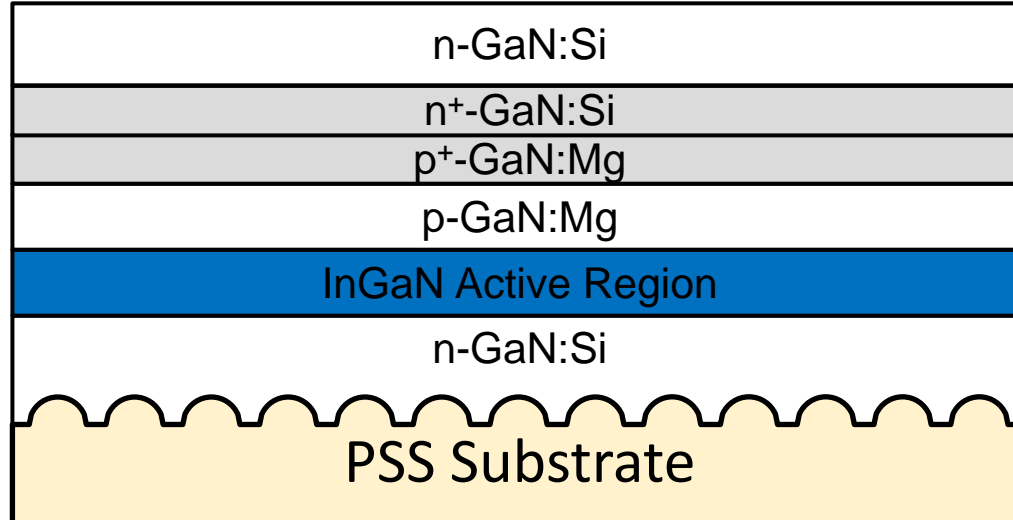
Patterned Sapphire Substrate LEDs



- c-plane PSS LEDs are industry standard for LEDs
- Pattern improves light extraction and LED quality



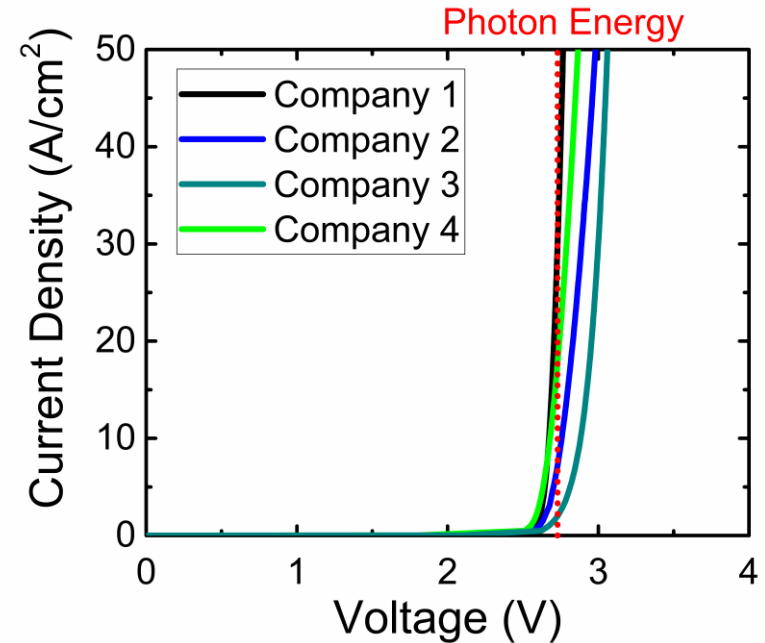
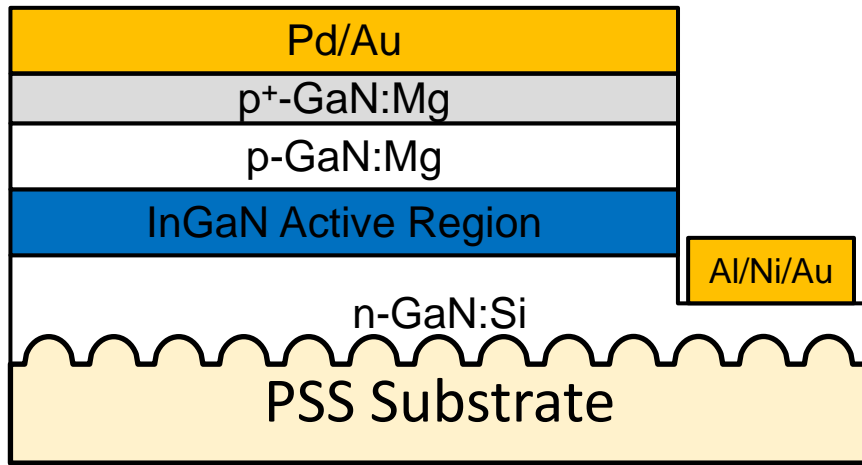
Patterned Sapphire Substrate LEDs



- c-plane PSS LEDs are industry standard for LEDs
- Pattern improves light extraction and LED quality



Patterned sapphire LED epi-wafers

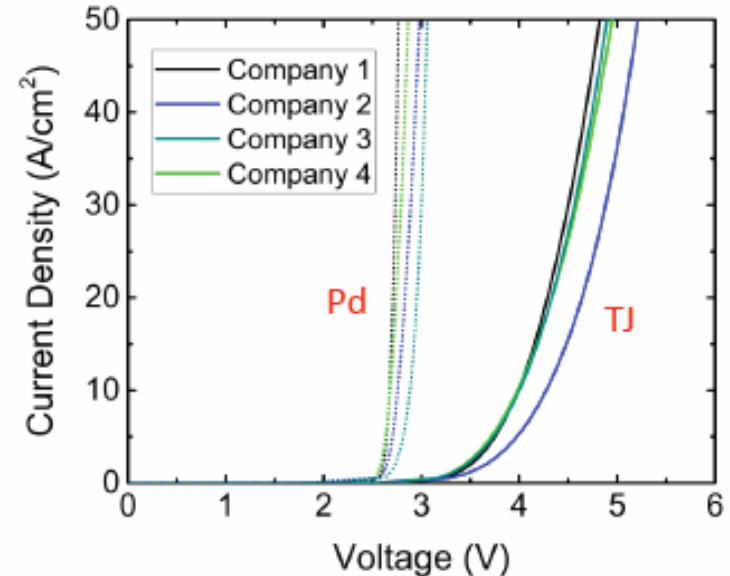
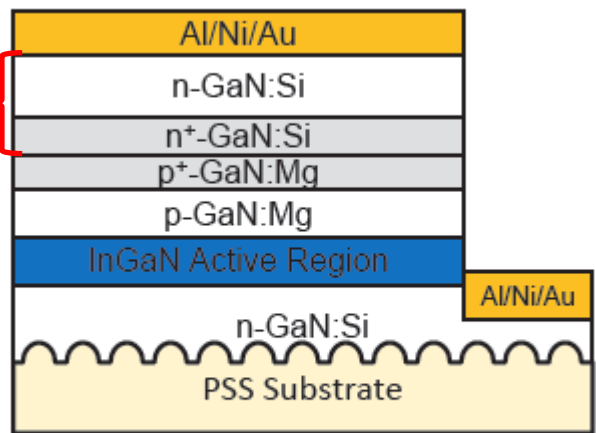


- *c-plane* PSS LEDs are industry standard for LEDs
- Pattern improves light extraction and LED quality



Tunnel junction regrowths

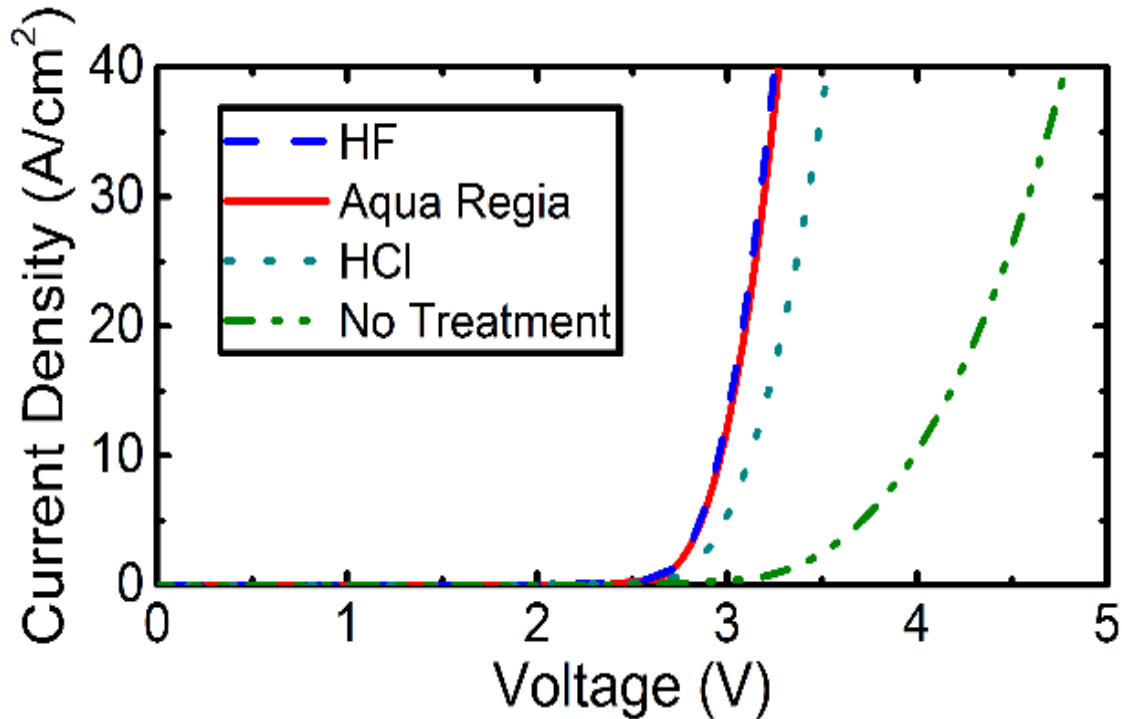
MBE regrowth



- Initial tunnel junction devices had higher voltages compared with reference samples than devices on nonpolar and semipolar planes



Acid treatments prior to regrowth



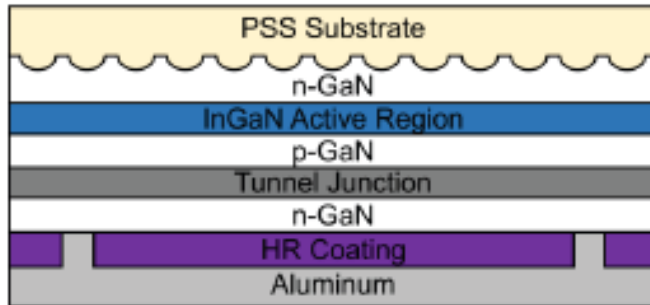
- *HF treatment provides lowest voltage for c-plane TJs*
3.08 V at 20 A/cm²

Patent Pending: "III-Nitride tunnel junction improvement through reduction of the magnesium memory effect"

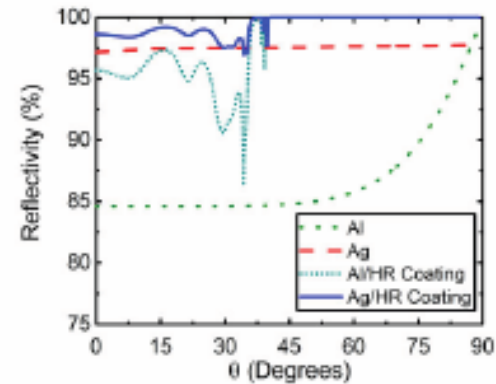
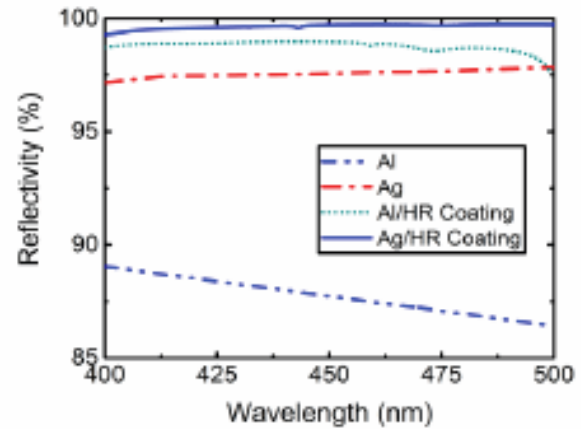
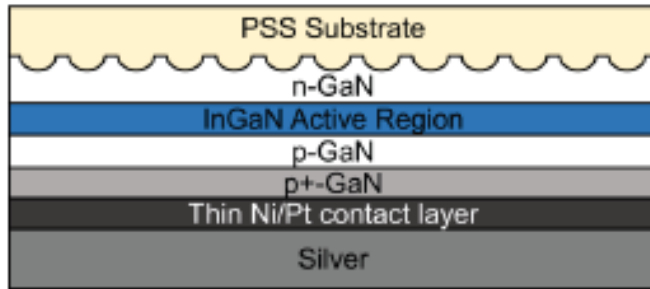


TJ Flip chip LEDs

TJ Flip Chip LEDs



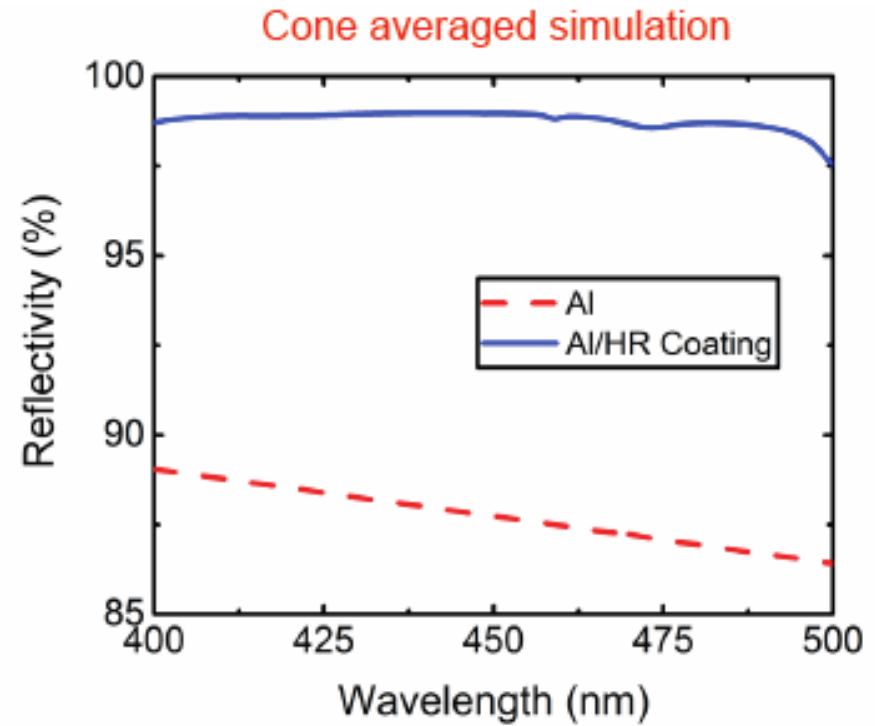
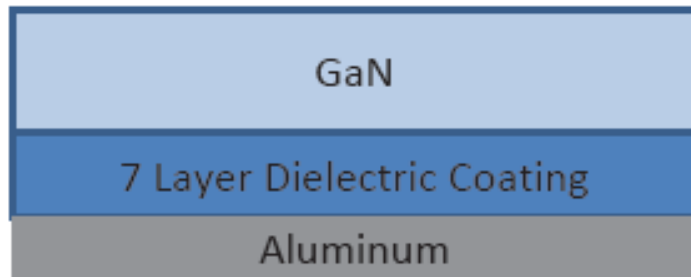
Conventional Flip Chip LEDs



- Omnidirectional reflector has higher reflectivity than silver
- Only a small fraction of aluminum contact area is needed



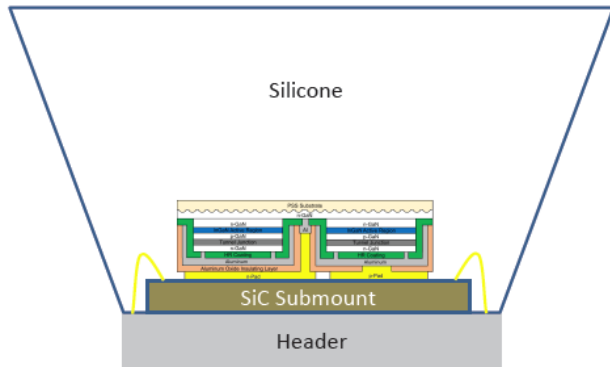
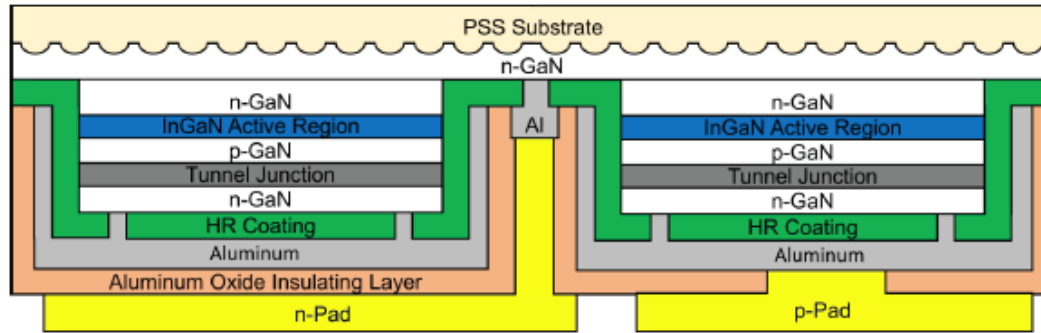
High reflectivity coating



- Multilayer dielectric coating under wire bond pads increases reflectivity to over 97%



Flip chip LED design



- HR coating and metal surrounds mesa to reflect more light
- LEDs are flipped onto a patterned SiC submount
- Wire bonded to header

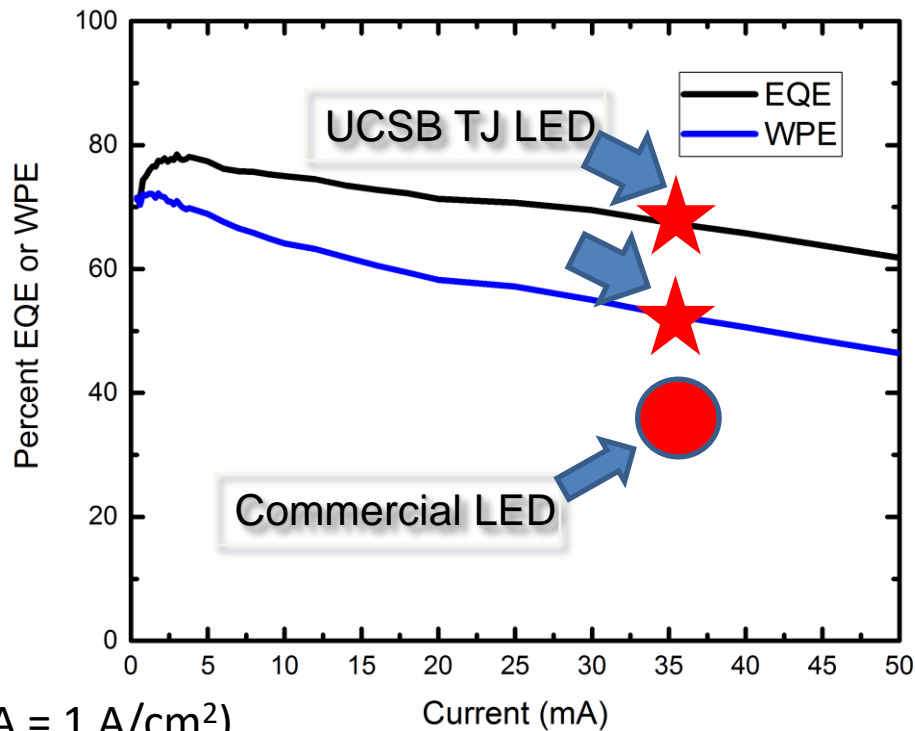
Patent Pending: "III-Nitride flip chip LED with dielectric based mirror"



Tunnel Junction Blue LED

Peak EQE 78%, peak WPE 72%

World Record-Low Droop compared to commercial LED



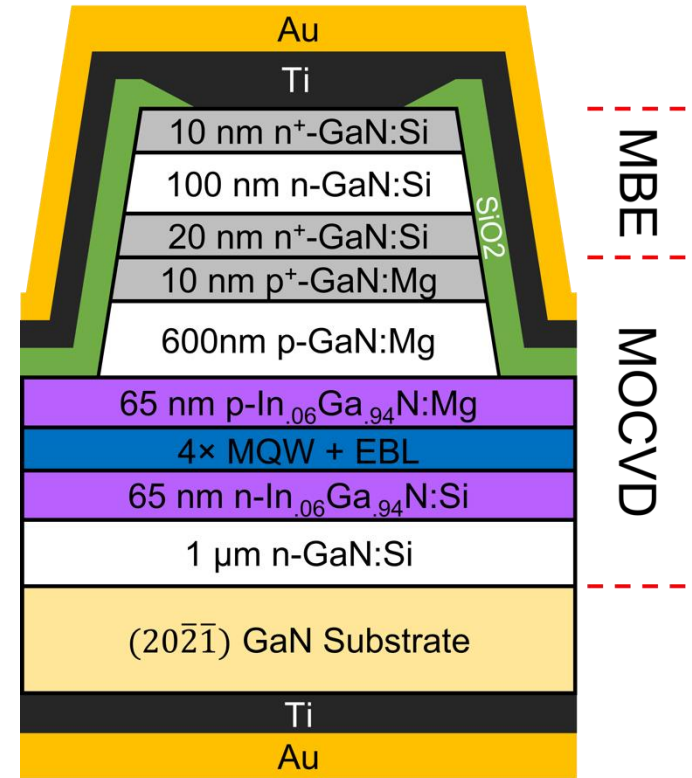
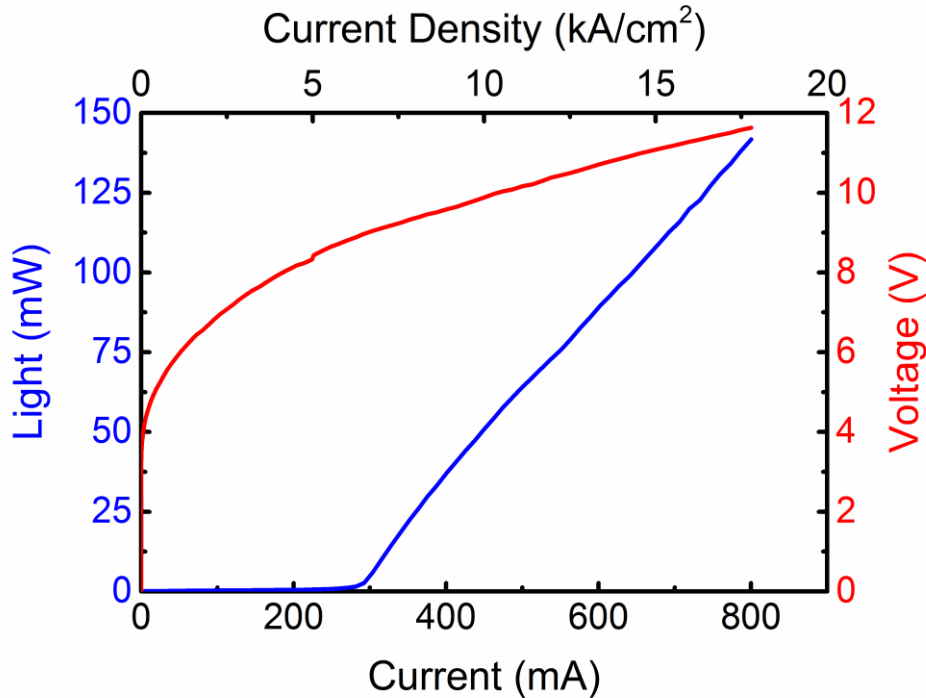
0.1 mm² (1 mA = 1 A/cm²)

“Silver free III-nitride flip chip LED with wall plug efficiency over 70% utilizing GaN tunnel junction”
B.P. Yonkee, E.C. Young, S.P. DenBaars, S. Nakamura and J. S. Speck, *Apply. Phys. Lett.*, 109



Tunnel Junction Edge Emitting Laser

1800 um by 2.5um Laser Dimension

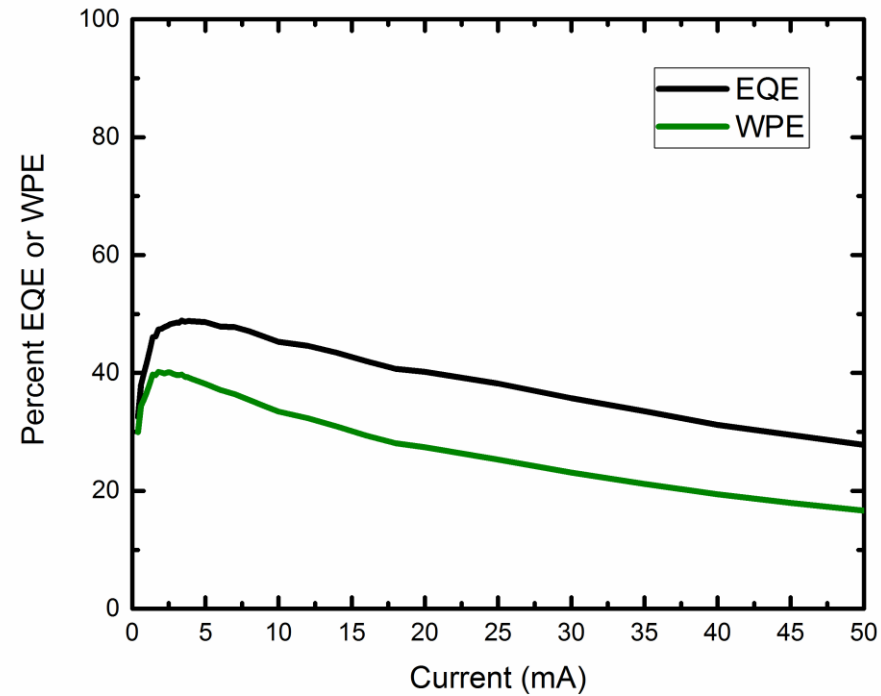
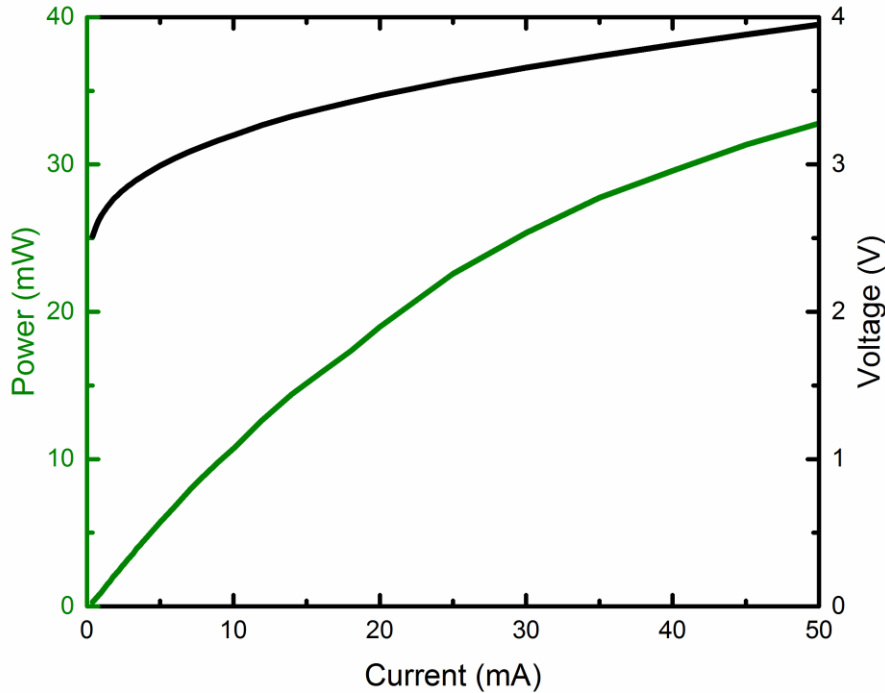


- Tunnel junction could allow for novel laser designs employing n-GaN cladding on both sides
- Highly doped p-GaN has a resistivity of $\sim 1 \Omega\text{cm}$, giving $1 \times 10^{-5} \Omega\text{cm}^2$ resistivity per 100nm p-GaN
- Lower doping used for low optical loss gives higher resistivity



Tunnel-junction 525 nm Green LEDs on PSS

Commercial PSS green LED epi-wafer



0.1 mm² (1 mA = 1 A/cm²)

Peak EQE 50%, peak WPE 40%

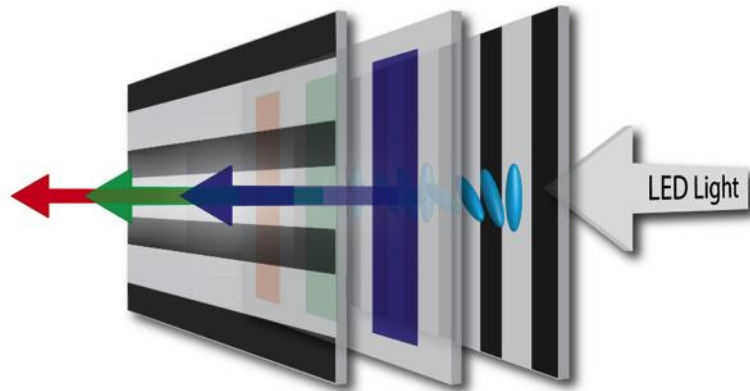


LED Lighting: Micro LED, and Green LED for Red LED



Displays are extremely energy inefficient.

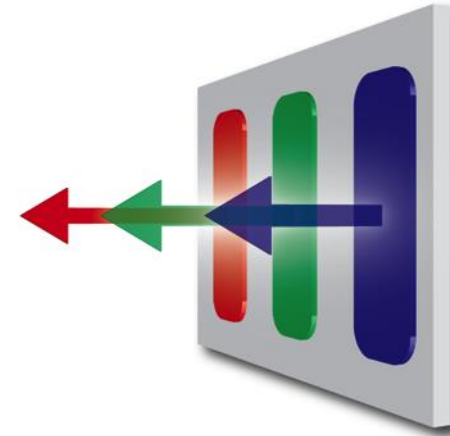
Liquid Crystal Display



Efficient Light Source
+ Inefficient Filtering Process

5% Light Out
(95% Power Lost)

Organic LED Display



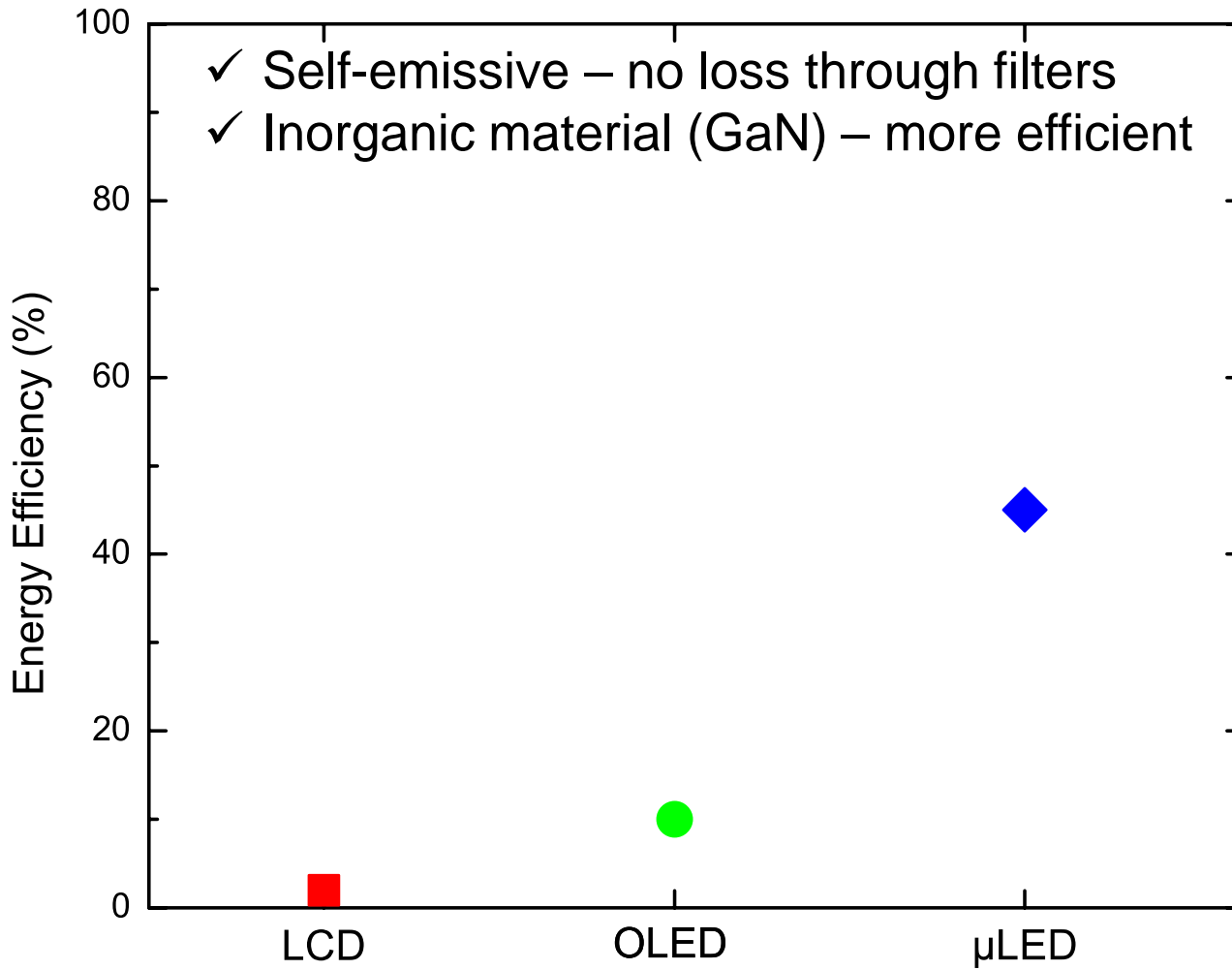
No Filtering Process
+ Inefficient Light Source

10% Light Out
(90% Power Lost)

Figure courtesy of Chris Pynn



RGB μ LED displays can be much more efficient.

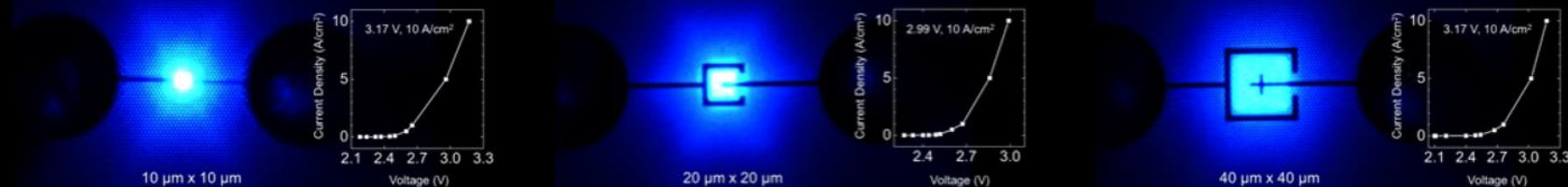




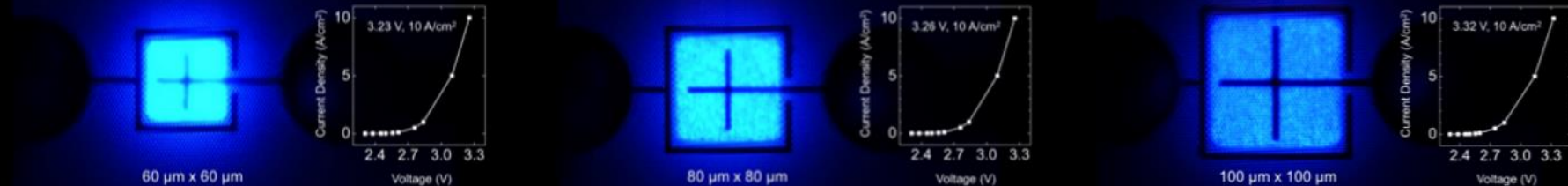
Electroluminescence reveals emission patterns.

10 A/cm²

Smaller μ LEDs have less area over which to spread current.

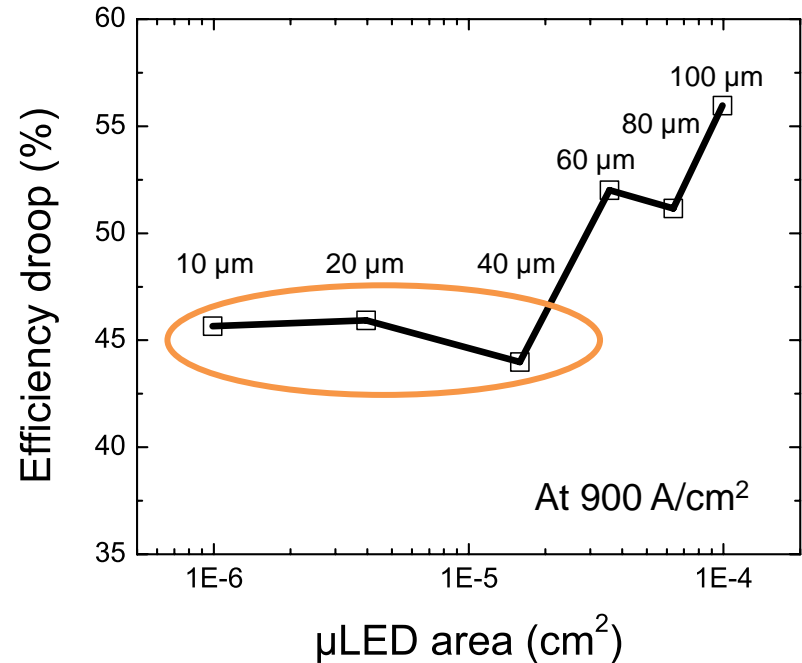
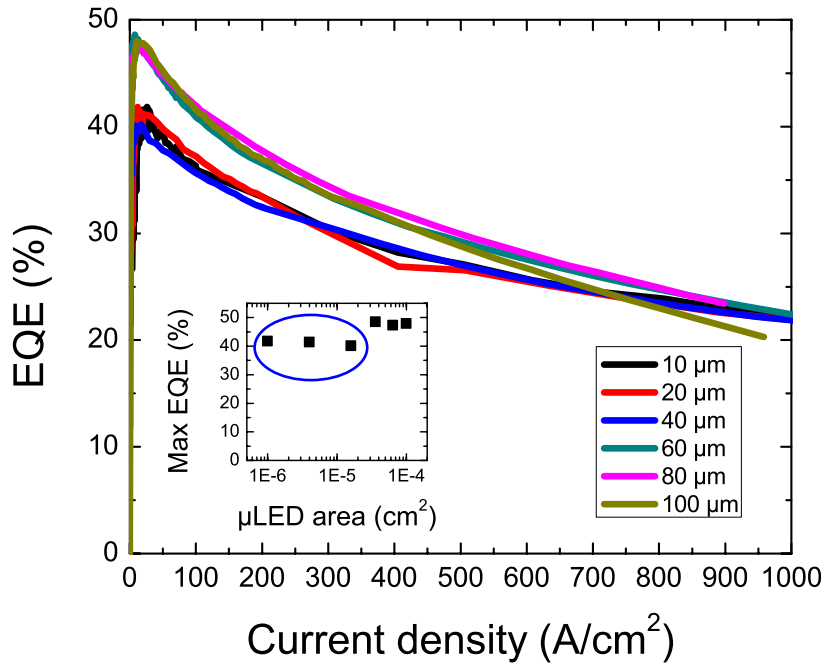


Current crowds around the edges of larger LEDs at low current densities.





Small μ LEDs maintain high EQE.



- The max EQE for all μ LEDs **are similar (40-50%)**.
- Reduction of the EQE is due to **sidewall damage** as μ LEDs have

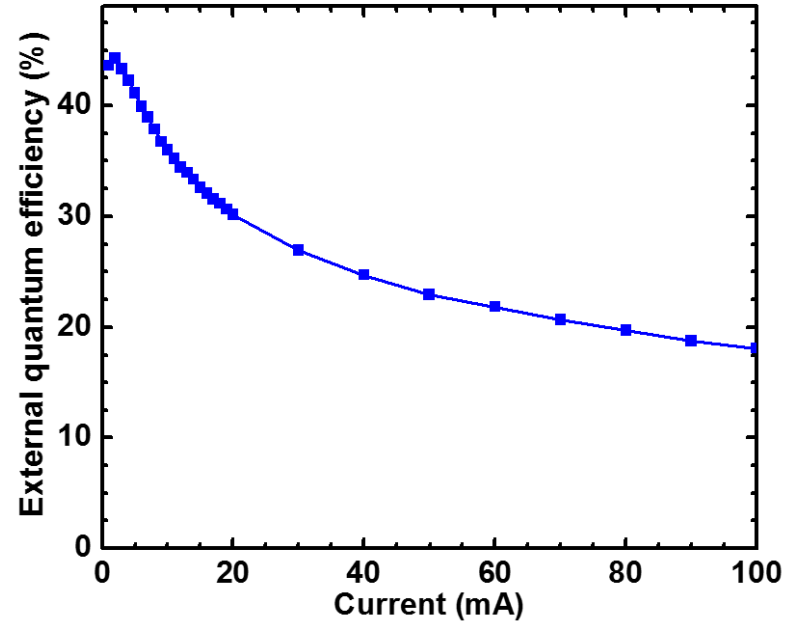
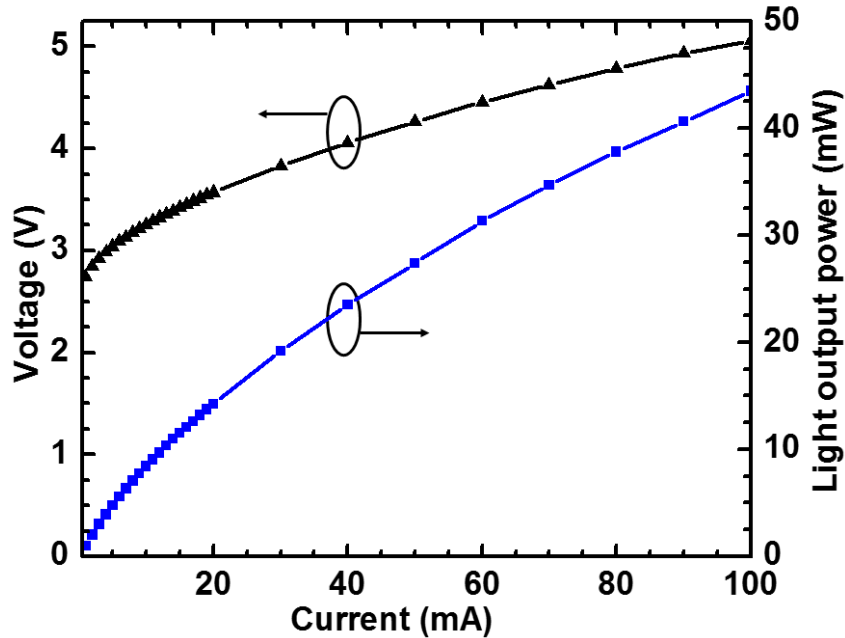
higher perimeter to area ratios.
Smaller μ LEDs exhibit less droop



Growth of Green LEDs with 3 Step Active Region



Previous high efficiency green LED



0.1 mm² (1 mA = 1 A/cm²)

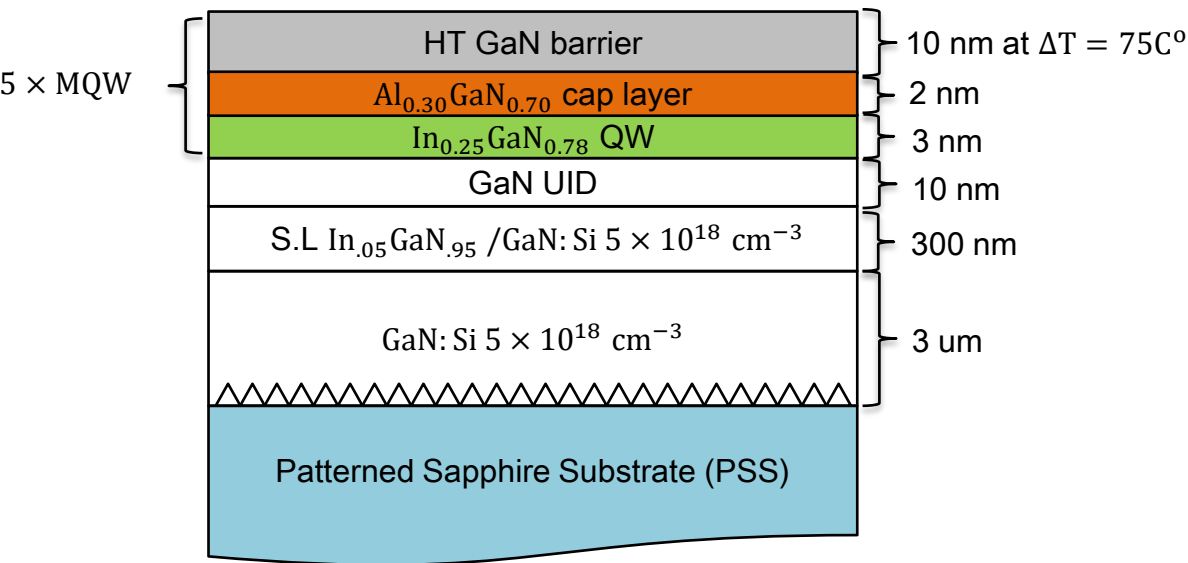
	Current (mA)	Voltage (V)	Wavelength (nm)	EQE%	FWHM (nm)
Packaged LED	20	3.54	526.6	30.2	33

A. Alhassan et al., Optics Express. **24**(16), 17868-17873 (2016)

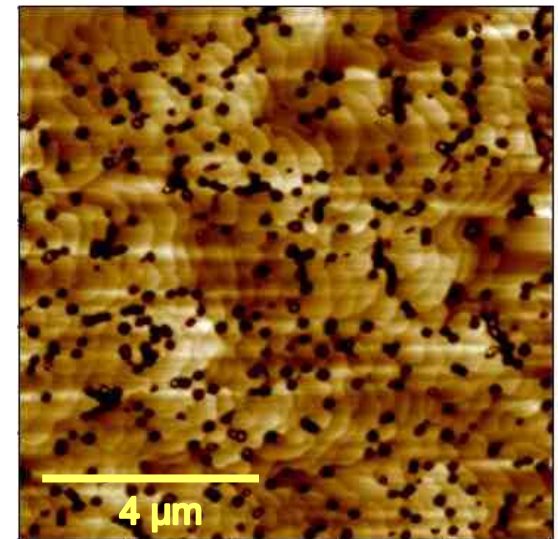


Active region study

- Further study of the surface morphology of MQW



Pits $\sim 6 \times 10^8 \text{ cm}^{-2}$



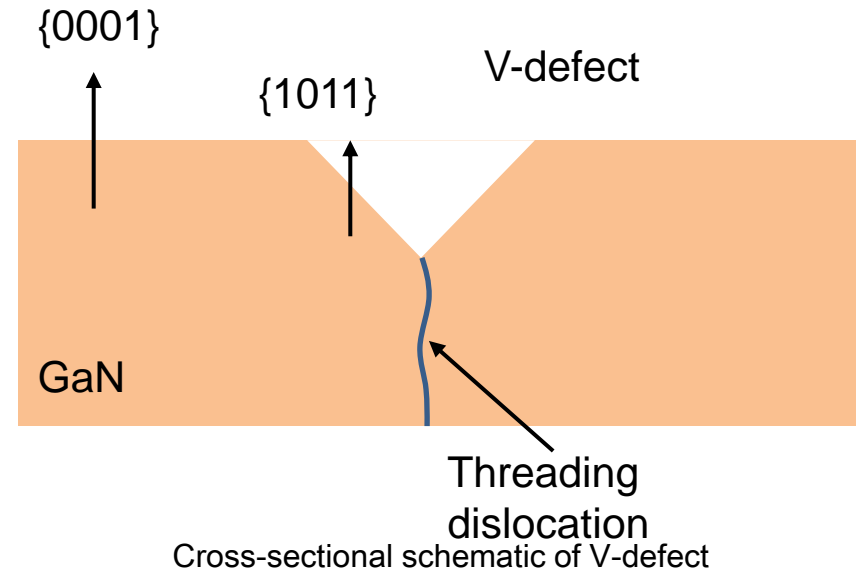
Atomic-force microscopy (AFM) scan of the last GaN barrier

- High density of v-defect in green MQW



Understanding V-defect problem

- V-defect initiates at threading dislocation (TD).
- Kinetically controlled by reduced Ga incorporation which is the primary cause for V-defect.
 - Growth rate of $\{0001\}$ plane $>$ $\{1011\}$ planes
- Increase surface mobility to overcome the problem.
 - Lower V/III ratio.
 - Higher temperature.
 - H_2 carrier gas.



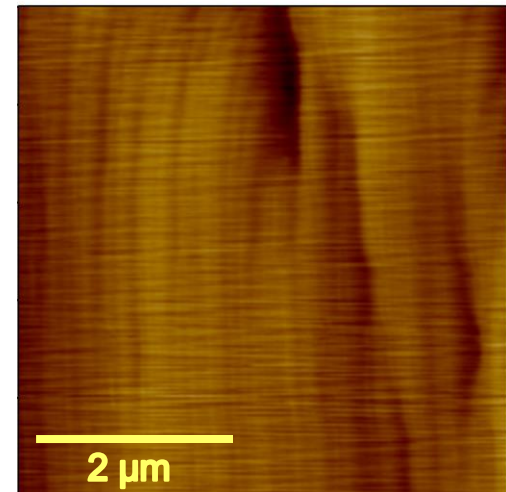
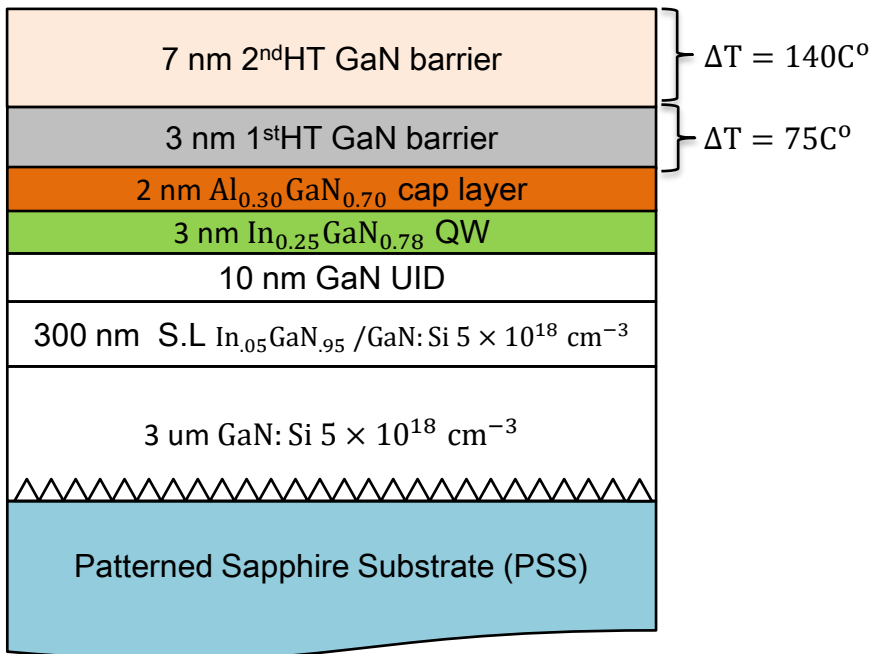
Limitation.

- Temperature difference $\Delta T = 75^\circ C$.
- Thin GaN barrier.



3 step Active region

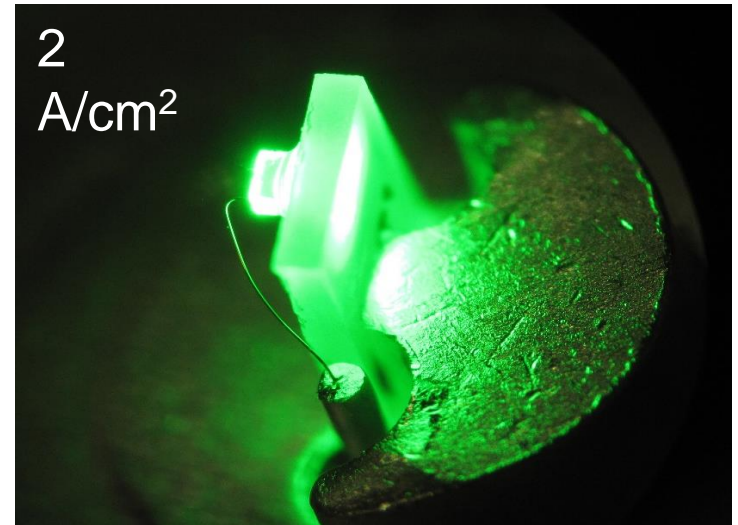
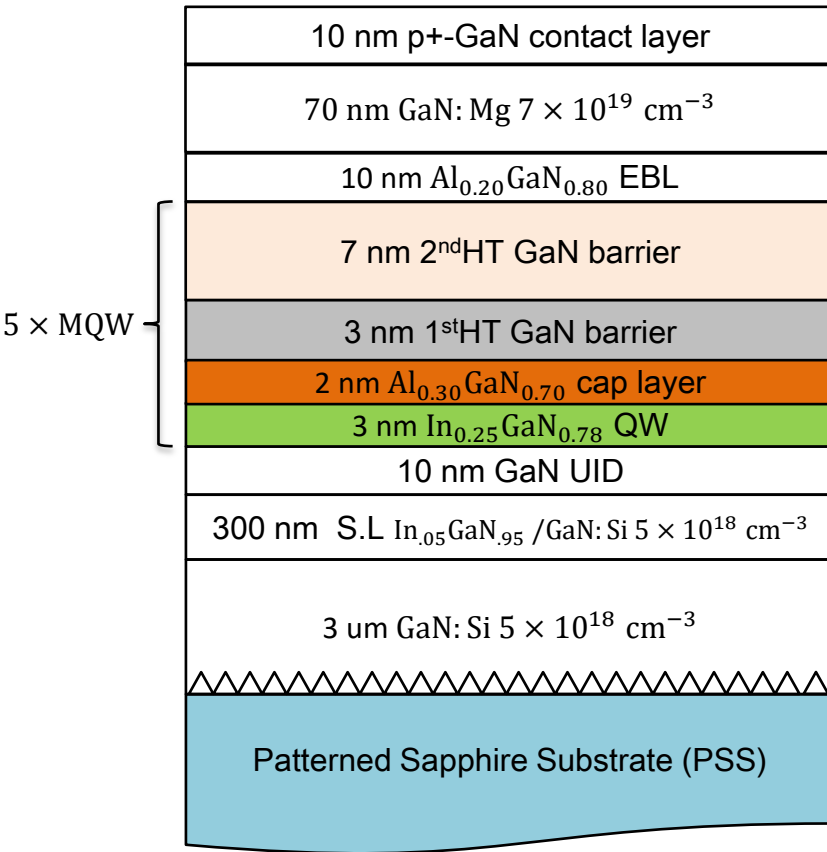
- Growth of Active region in 3 steps with different carrier gas.
 - QW and AlGaIn cap layer growth in N₂ environment.
 - 1stHT GaN barrier with H₂(200 sccm)+N₂(1.9 slm) at $\Delta T = 75C^\circ$.
 - 2ndHT GaN barrier with H₂(1.9 slm)+N₂(1.9 slm) at $\Delta T = 140C^\circ$.





Final device

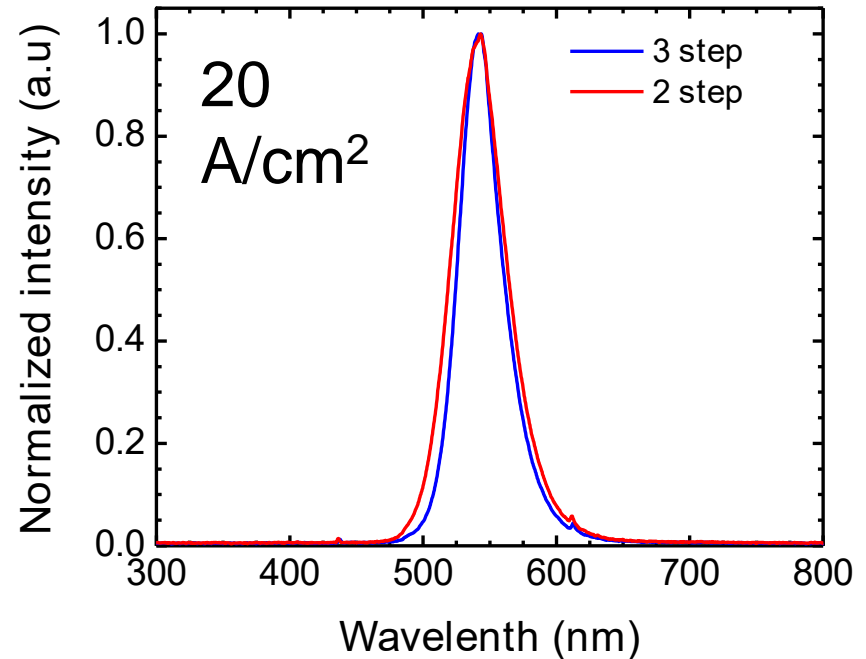
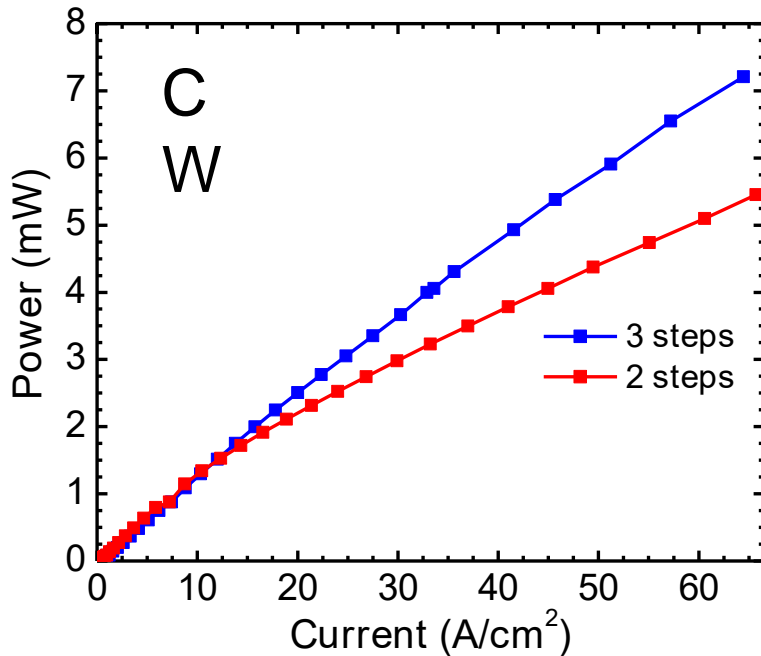
- Three steps photolithography fabrication process.
- 0.1mm² active area.
- Vertical transparent LEDs packaging.





3 vs 2 step Active region results

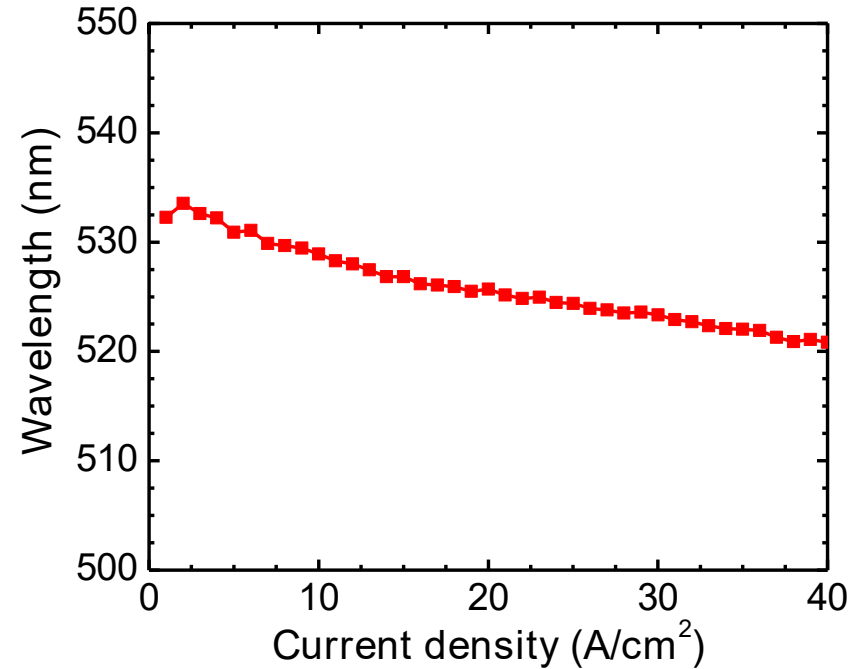
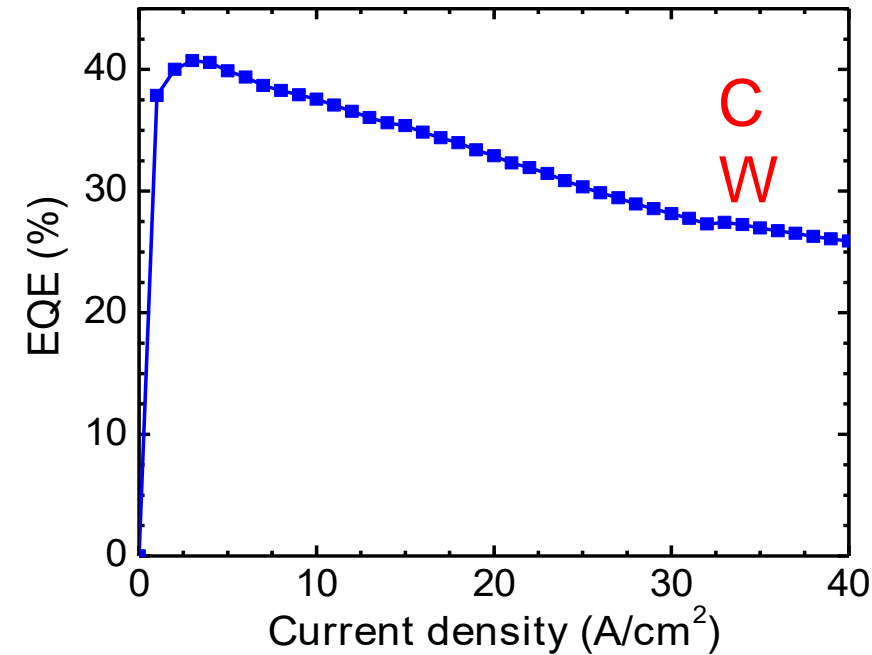
- 0.1mm² chip size.



“Multi-step active region for high performance nitride LEDs” (patent pending)



Green LED results



	Current density (A/cm ²)	Voltage (V)	Wavelength (nm)	EQE%	FWHM (nm)
Packaged LED	20	4.6	525.4	33	34



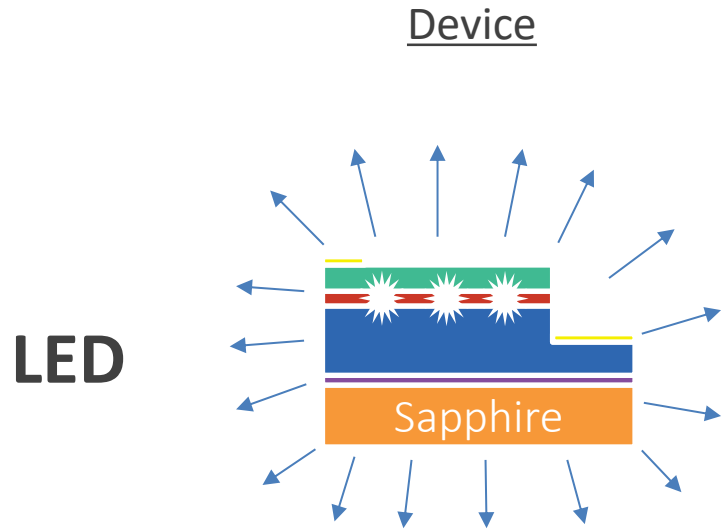
Laser Lighting:

High Power Semipolar ($20\bar{2}\bar{1}$) LDs

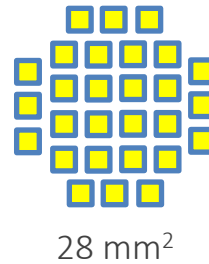


UCSB's Vision

LED based White Light is great, **Laser based** is even better!

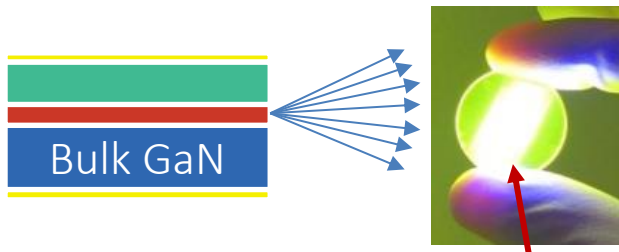


60 W Incandescent Equivalent

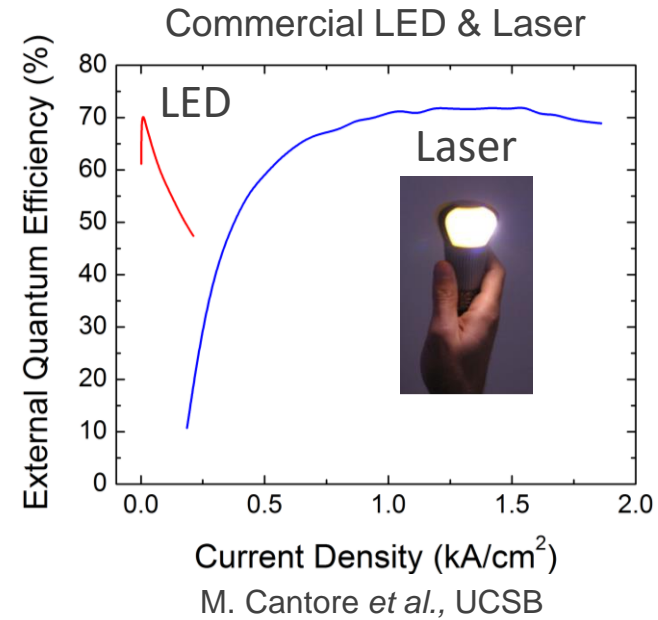
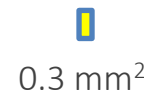


External Quantum Efficiency LED/Laser vs. Current Density

Laser



Phosphor Strip





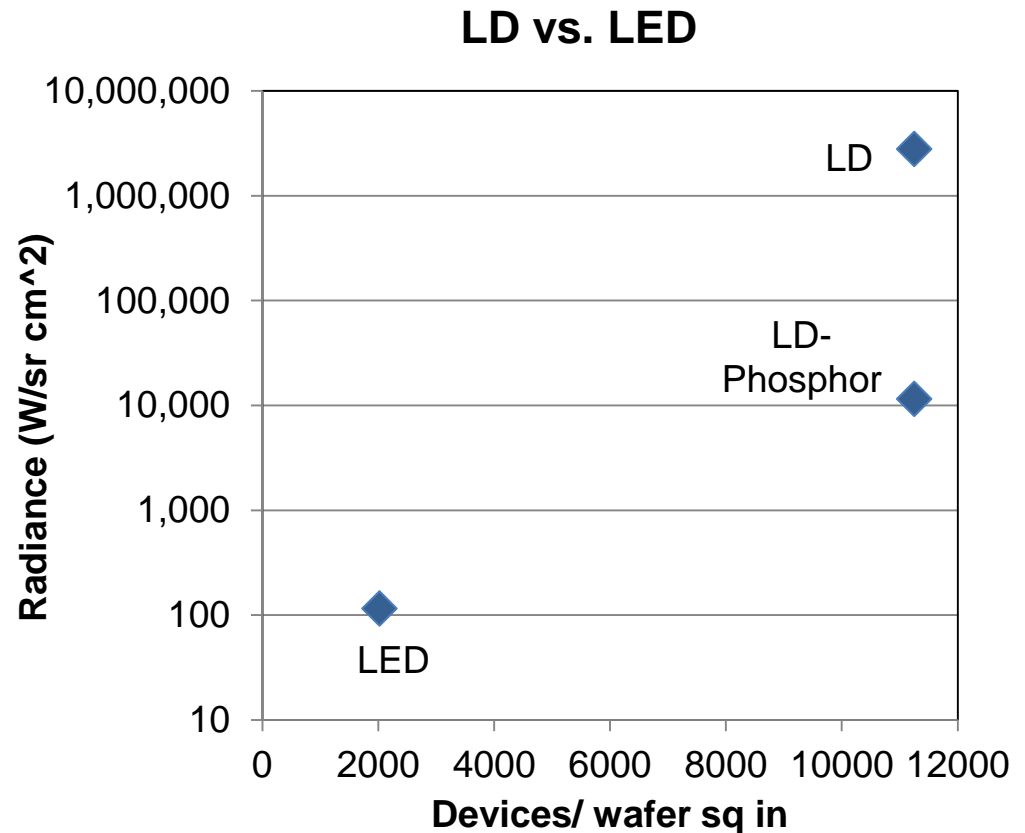
Laser Light: Game-changing Radiance

Substantially more LD devices per sq in of wafer (vs. LED)

LDs are higher brightness by several orders of mag (vs. LED)

LD WPE is increasing and cost is decreasing

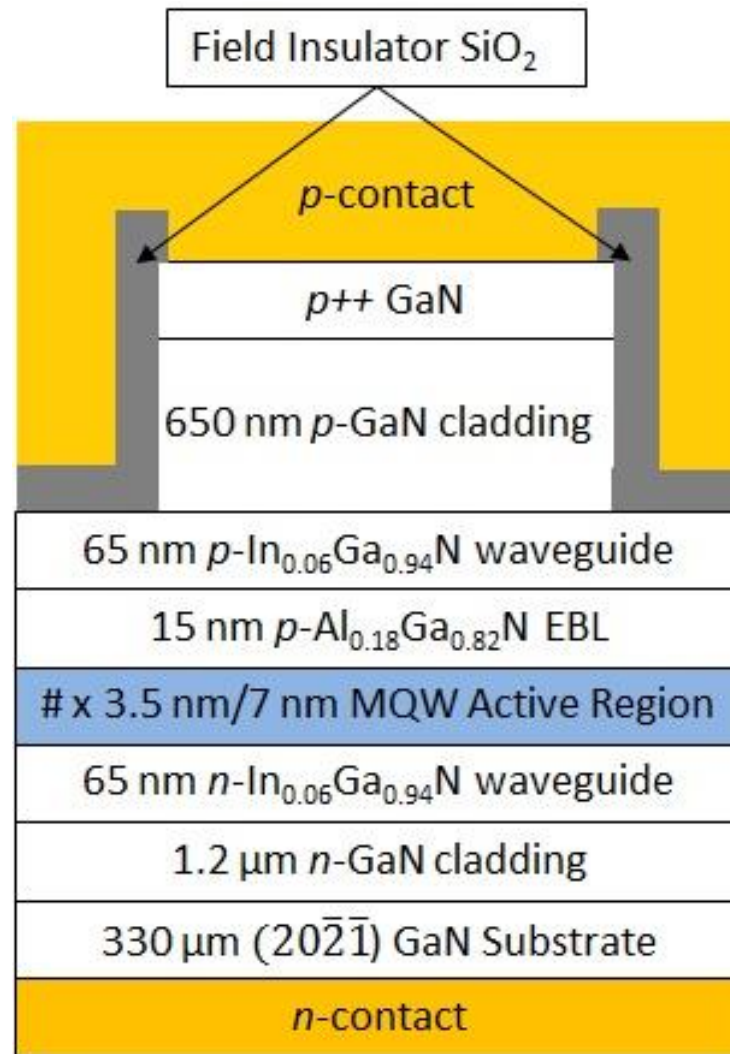
Small source -> simpler optics, novel phosphor designs



“Delivered” Lm/W and \$/Lm for LD sources is increasingly appealing for specialty lighting applications



UCSB Blue Laser Structure



Becerra et al. Appl. Phys. Expr. **9**, 092104 (2016).



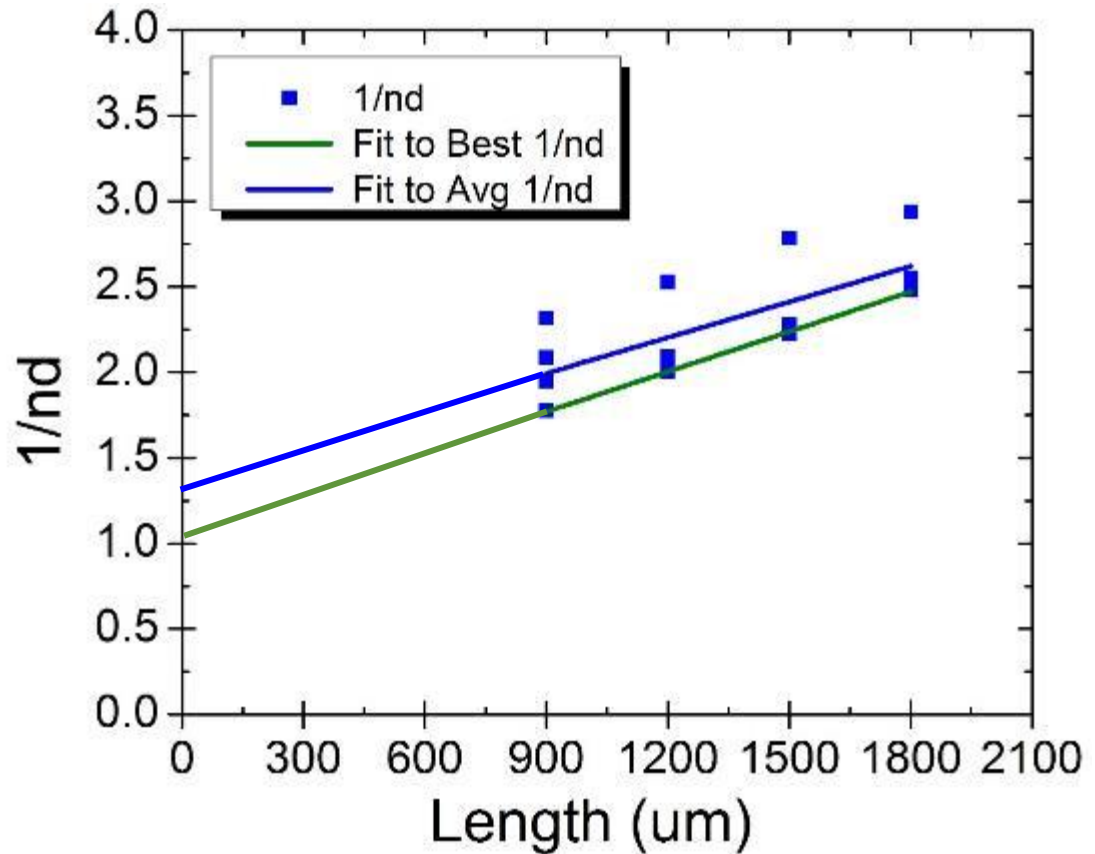
Internal Loss and η_i for (20 $\bar{2}$ 1) LD

$$\frac{1}{\eta_d} = \frac{\langle \alpha_i \rangle}{\eta_i \ln(1/R)} L + \frac{1}{\eta_i}$$

From this fit, we calculate:

$\langle \alpha_i \rangle$	$10 \text{ cm}^{-1} \pm 2 \text{ cm}^{-1}$
η_i	0.80 ± 0.1

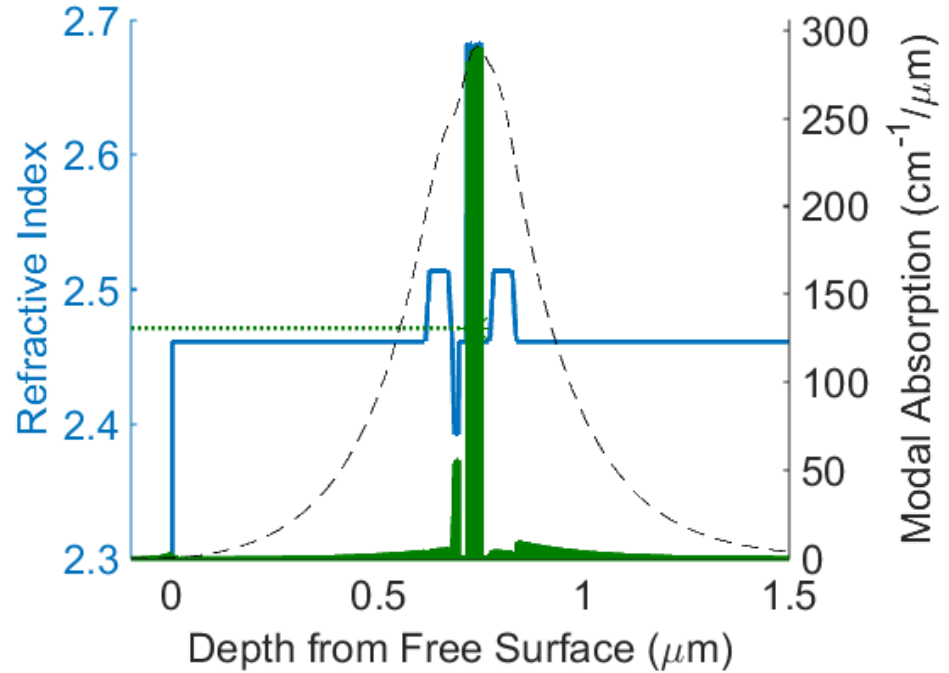
Previous measurement on Violet Lasers on m-plane at UCSB:
 $\langle \alpha_i \rangle = 9.8 \text{ cm}^{-1}$ $\eta_i = 66\%$





Simulation of Confinement & Loss

Layer	Loss %
p-metal	1.0%
p-clad	7.7%
p-SCH	4.0%
EBL	9.5%
subtotal: p-top	22.3%
QW	52.6%
subtotal: active region	53.3%
n-SCH	3.1%
n-clad	19.6%
subtotal: n-bottom	24.4%

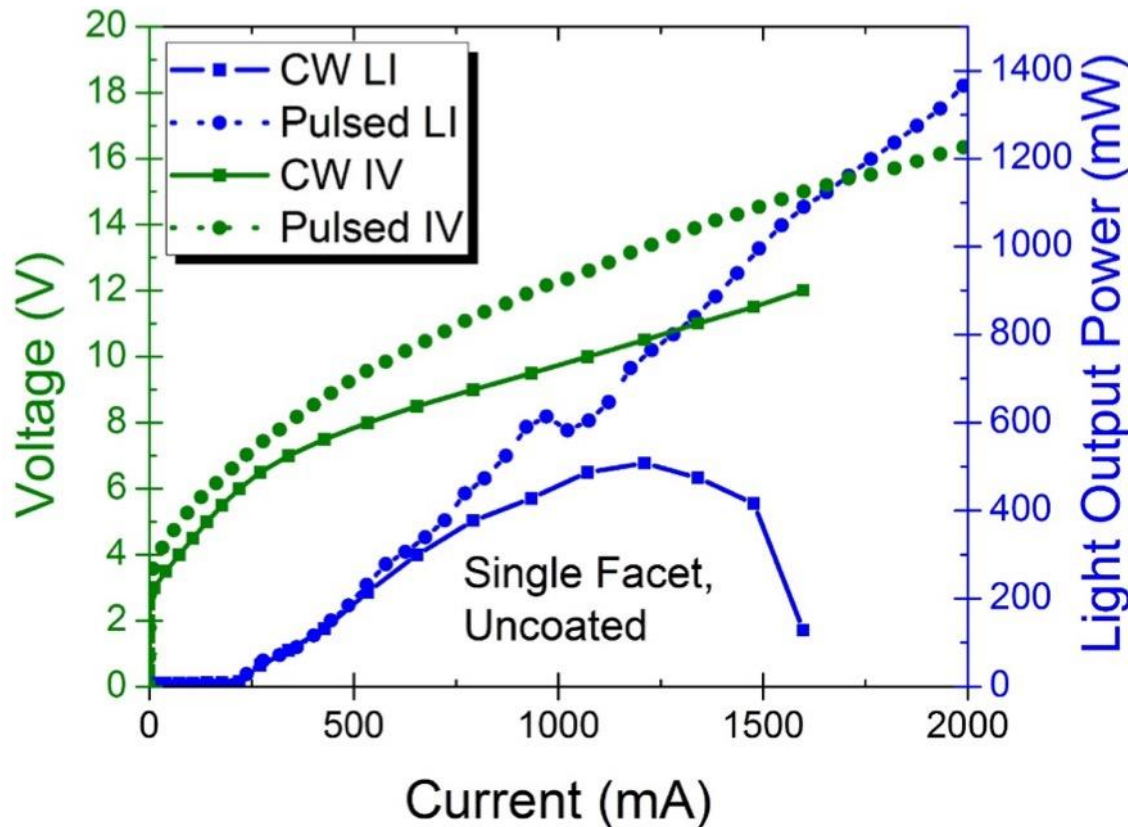


Effective Index	Confinement Factor	Calculated $\langle \alpha_i \rangle$	Experimental $\langle \alpha_i \rangle$
2.47	0.36	7.65 cm ⁻¹	10 cm ⁻¹ ± 2 cm ⁻¹



2 QW Laser

1200 μm x 5 μm LD $\lambda=428\text{nm}$
 Single facet, uncoated

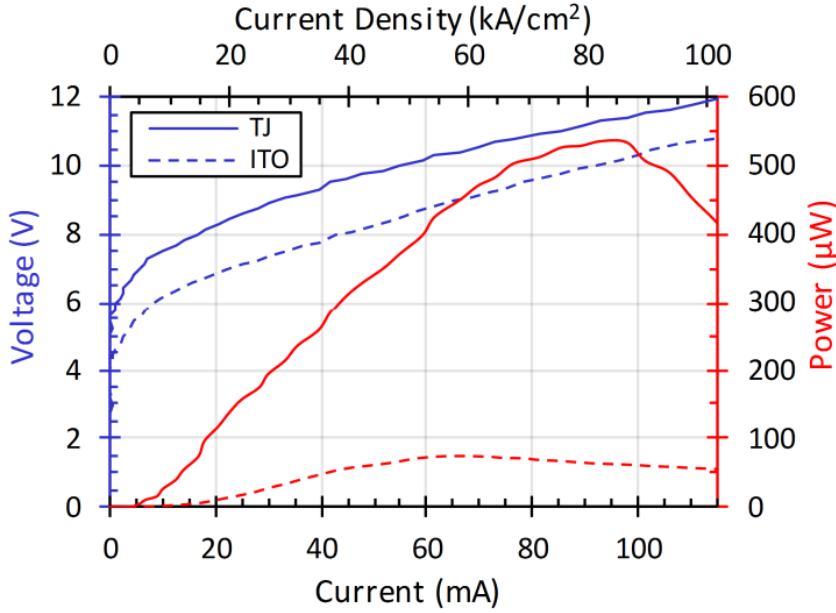


	CW	Pulsed (0.5% duty cycle)
Rd (Ohms)	3.6	4.1
I _{th} (mA)	214	231
J _{th} (kA/cm ²)	3.6	3.8
V _{th} (V)	5.9	7.0
Slope Eff. (W/A)	0.67	0.78
Diff. Eff.	23%	27%
2 facet Slope Eff.	1.34	1.56
2 facet Diff. Eff.	46%	54%

Becerra et al. Appl. Phys. Expr. **9**, 092104 (2016).



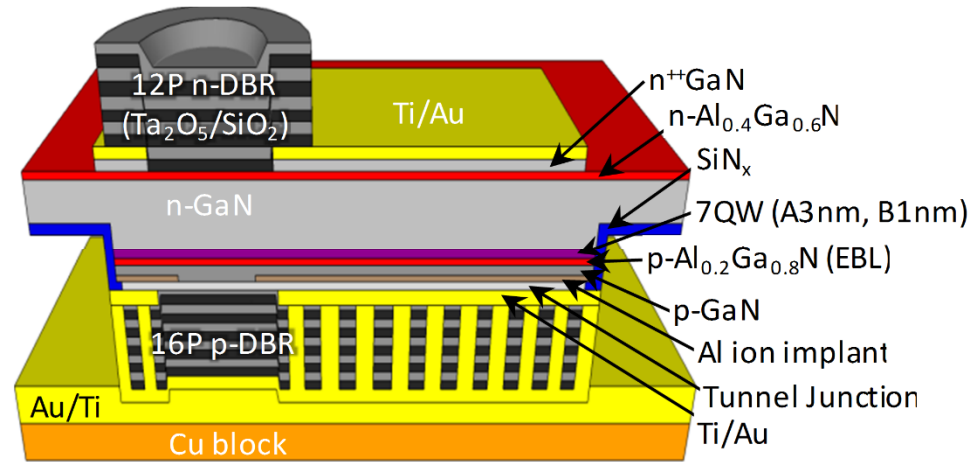
InGaN m-plane VCSEL with tunnel junction intracavity contact



Leonard, J. T. et al. *Appl. Phys. Lett.* **107**, 091105 (2015).

Loss in ITO - 30 cm^{-1}

Loss in Tunnel Junction - 3 cm^{-1}



Leonard, J. T. et al. *Appl. Phys. Lett.* **107**, 011102 (2015).

10 times less loss for tunnel junction (TJ) contact layer compared with ITO

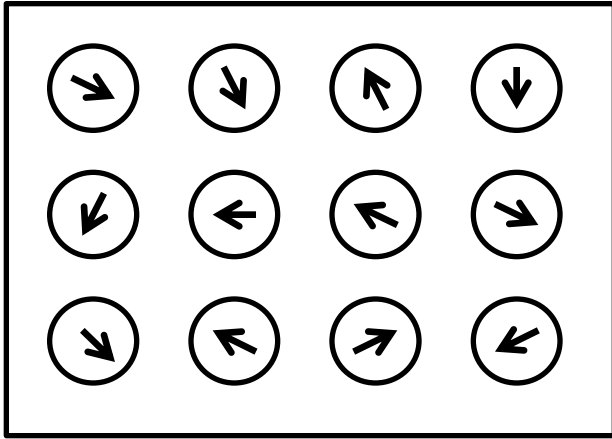
7x enhancement in peak power with TJ

Leonard, J. T., Young, E. C., Yonkee, B. P., Cohen, D. a., Margalith, T., DenBaars, S. P., Speck, J. S., Nakamura, S., "Demonstration of a III-nitride vertical-cavity surface-emitting laser with a III-nitride tunnel junction intracavity contact," *Appl. Phys. Lett.* **107**(9), 091105 (2015).^B

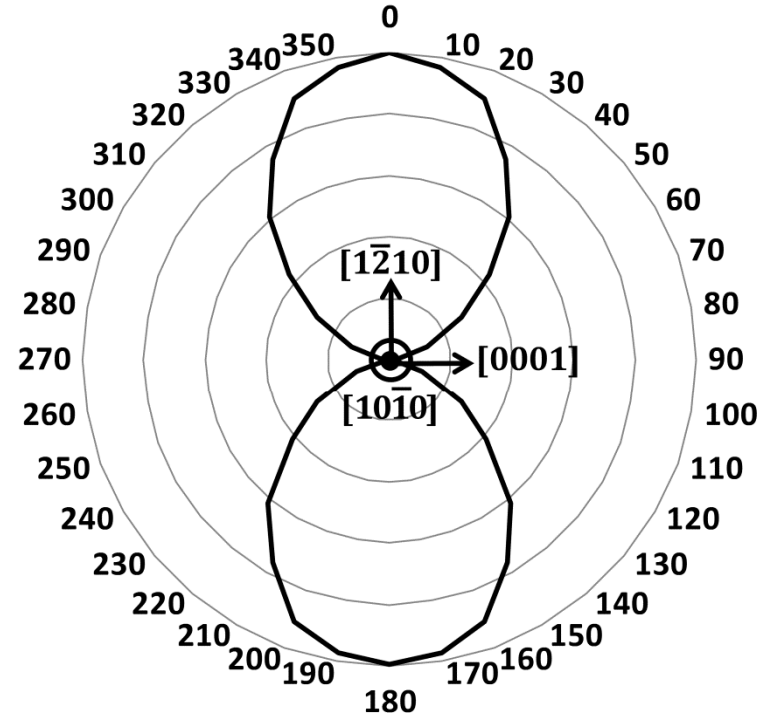
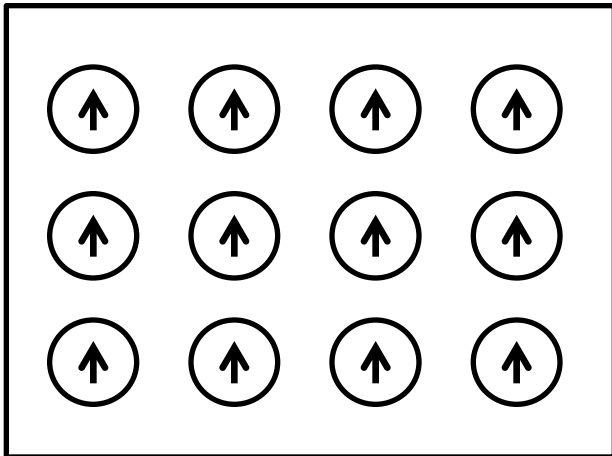


VCSEL Results – Polarization

Conventional VCSEL Array



Nonpolar VCSEL Array



Normalized Intensity vs. Polarizer Angle

Fiber-Coupled Measurement

Polarization Ratio = 100%!

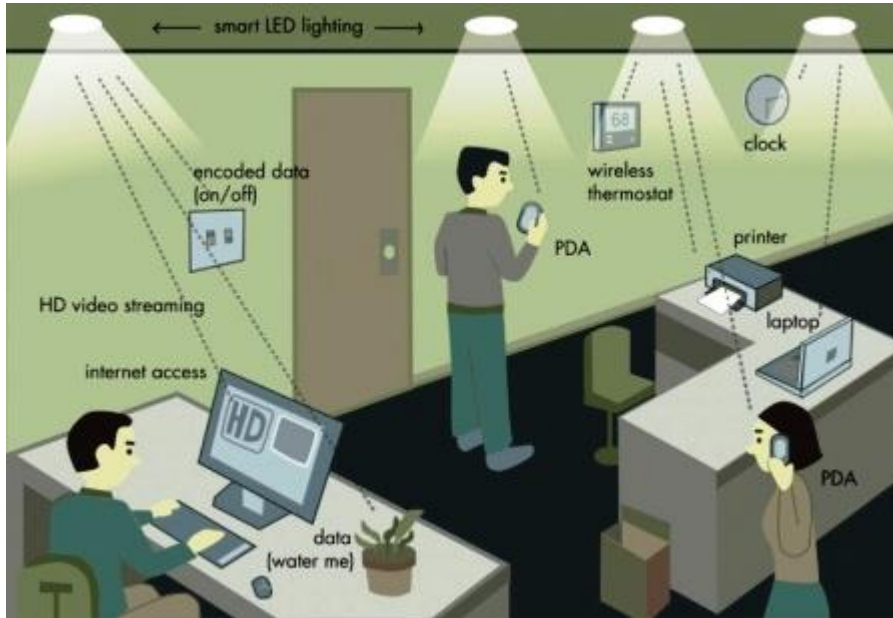


Laser Lighting

Li-Fi with LEDs and LDs



Intelligent LED Light and Communication Systems



Source:www.electronicbus.com

NETWORKING future tech, wi-fi

'LiFi' uses LEDs for blazing fast data transfer

Nick Barber
@nickjb

Nov 7, 2013 4:45 AM

A new technology called LiFi can transfer data using LED lights. In this video, we'll watch a demo of a LiFi system made with off-the-shelf-parts, as it streams a vide.



- Li-Fi communication network
- Sensor, Alarm System, Social Preference
- Higher capacity than Wi-Fi.

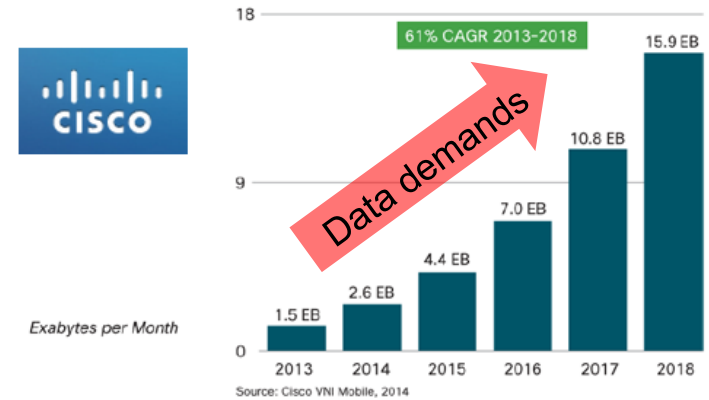
Data Rate: LED Li-Fi > 10xWi-Fi, Laser Li-Fi > 100~1000xWi-Fi



Motivation

- RF spectrum crisis
 - Mobile data demands are exponentially increasing but spectrum efficiency is saturated
- Advantages of VLC
 - ~hundreds THz of unlicensed spectrum available
 - No EM interference (EMI)
 - High security
 - Cost-efficient

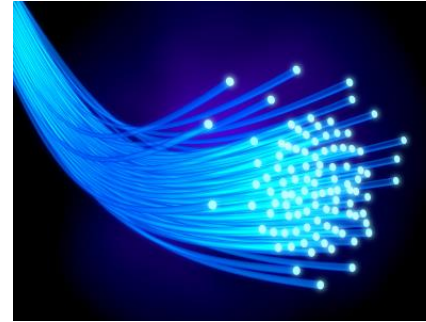
Cisco Visual Networking Index: Global Mobile Data Traffic Forecast



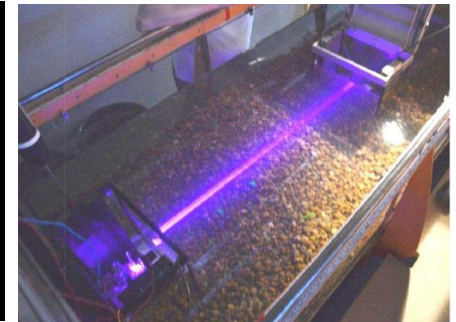


Motivation

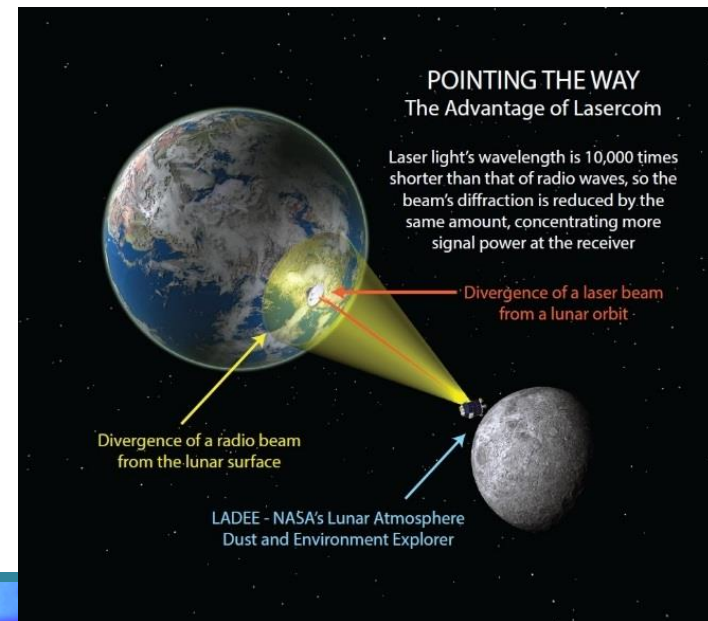
- RF spectrum crisis
 - Mobile data demands are exponentially increasing but spectrum efficiency is saturated
- Advantages of VLC
 - ~hundreds THz of unlicensed spectrum available
 - No EM interference (EMI)
 - High security
 - Cost-efficient



Plastic fiber optics



Underwater communication

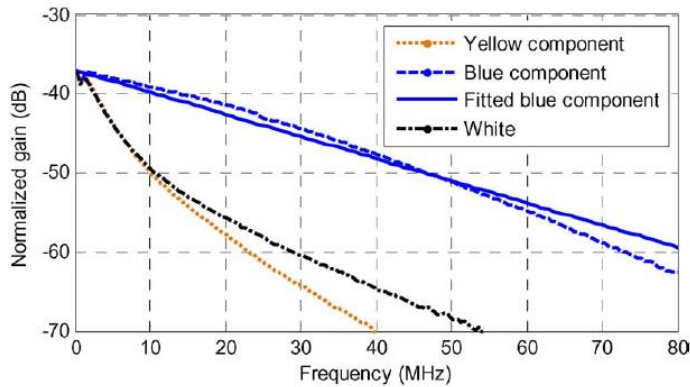


Satellite-to-satellite communication



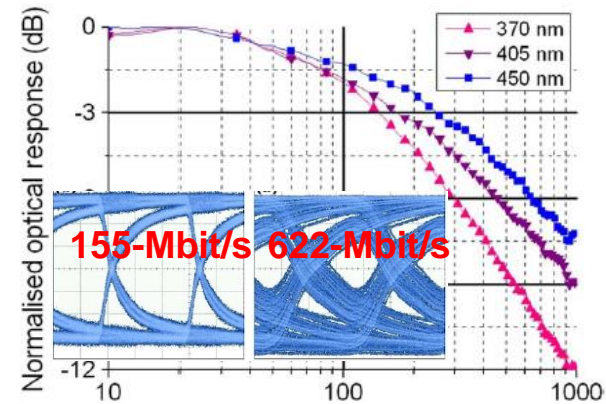
Bandwidth limits in VLC transmitter

Commercial LED



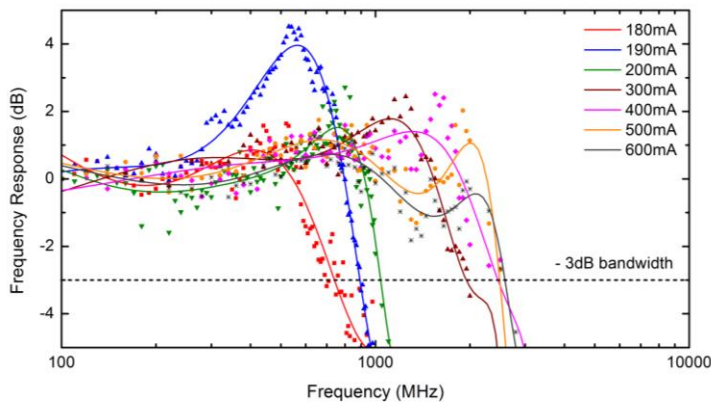
BW of ~ 20 MHz, 100 Mbps OOK

Single micro-LED



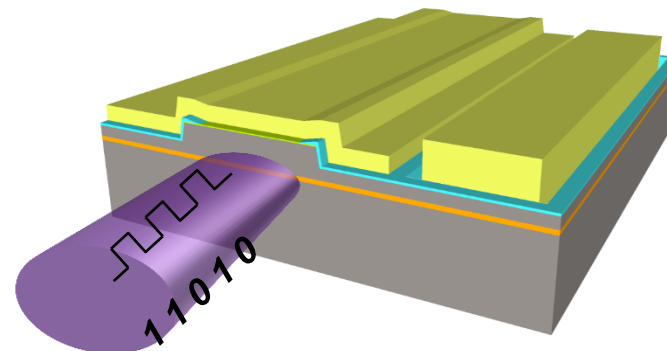
BW of 200 ~ 800 MHz, 1.7 Gbps OOK

Commercial LD



BW of > 2 GHz, 4 Gbps OOK

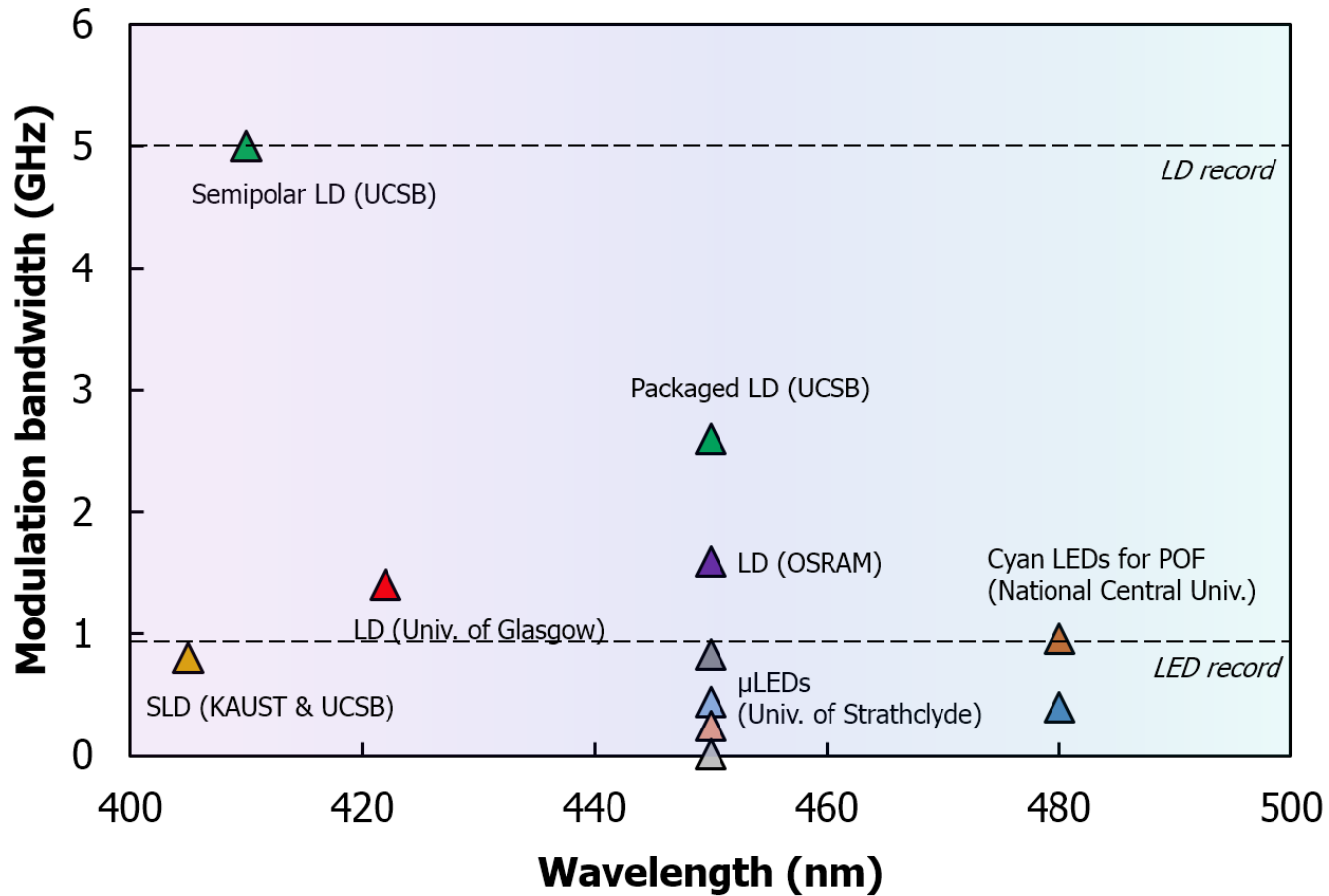
Higher speed LD?



*H. L. Minh, et al., IEEE PTL, 2009
J. J. D. McKendry, et al., IEEE PTL, 2010
C. Lee, et al., Opt. Express, 2015*



Records in III-nitride LDs and LEDs



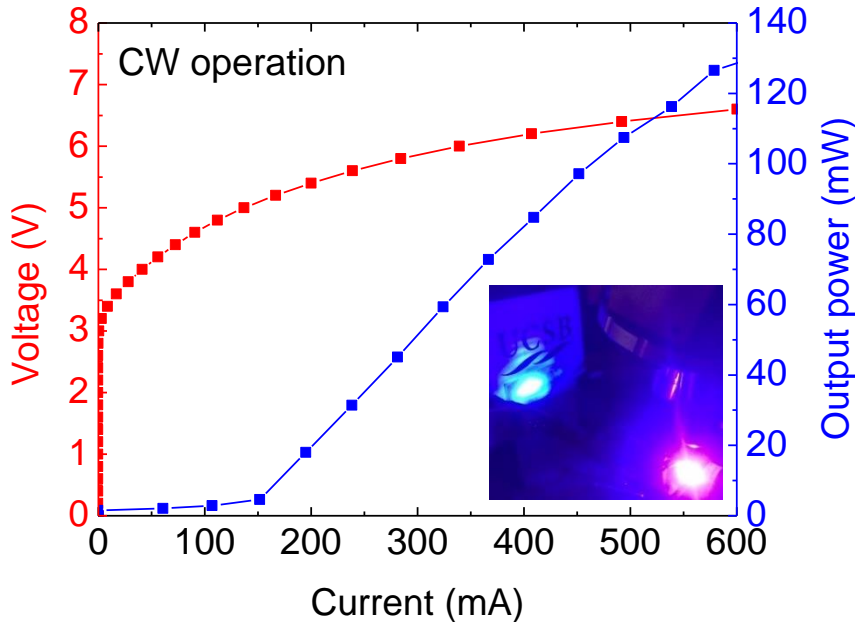
BW_{max} of LEDs: 1 GHz (carrier lifetime limit)

BW_{max} of LDs: 5 GHz (photodetector bandwidth limit)



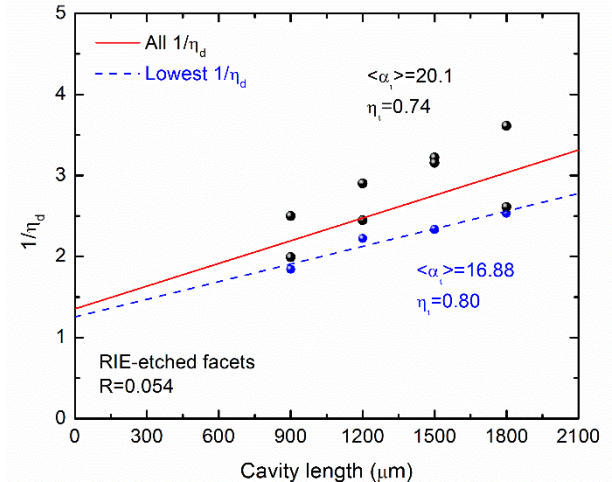
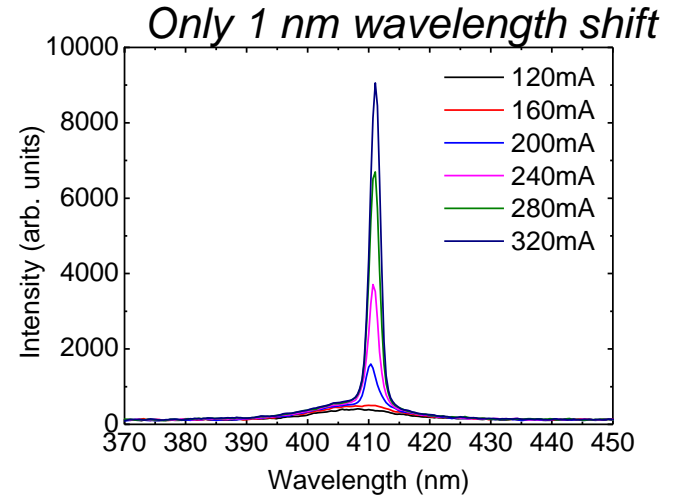
Semipolar (20-2-1) Laser Diode

Violet CW LD on (20-2-1) plane (2 μm x 1200 μm)



CW data	I_{th} (mA)	J_{th} (kA/cm ²)	V_{th} (V)	dP/dI (W/A)
2 μm x 1200 μm	150	6.25	5.1 (4-pt)	0.35

Injection efficiency have good agreement with semipolar blue LD by D. Becerra et al., Appl. Phys. Express, 2016

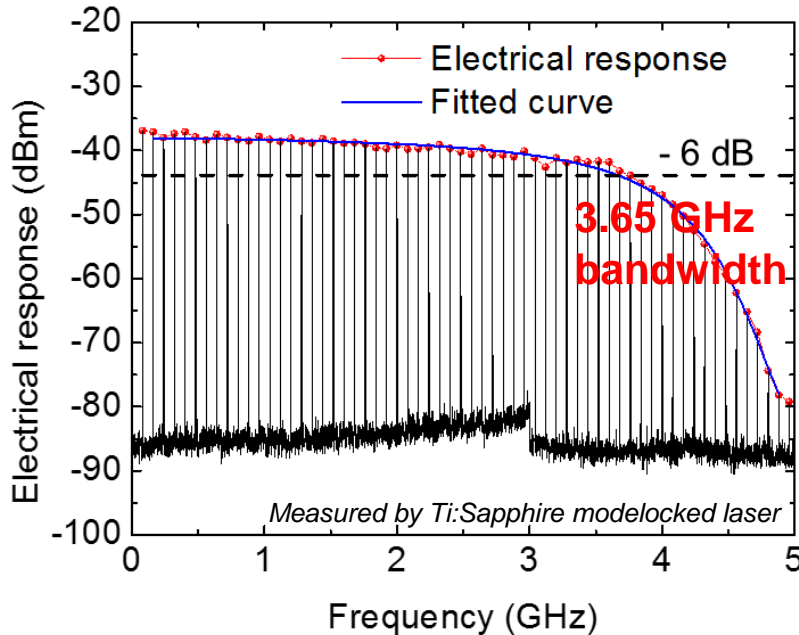


C. Lee, et al., Appl. Phys. Lett., 2016

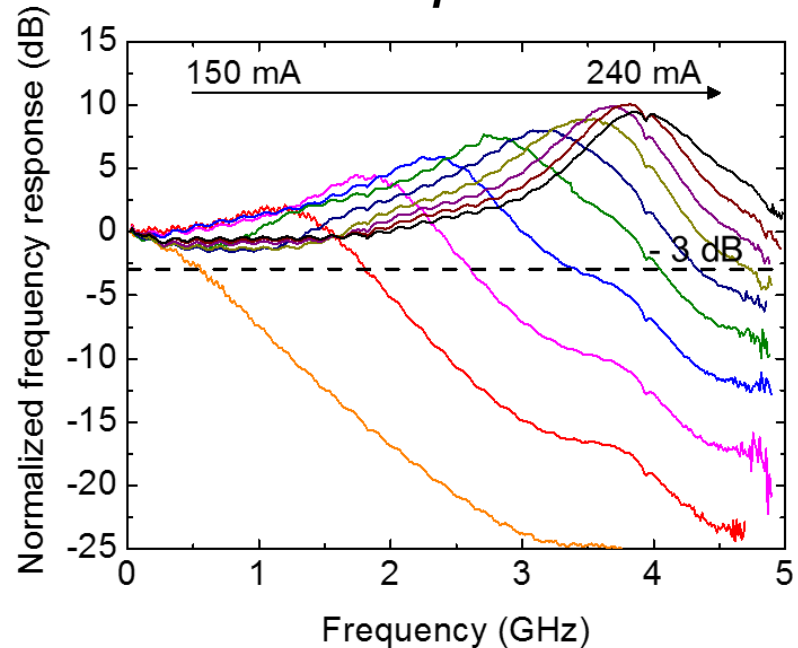


Modulation bandwidth

PD response

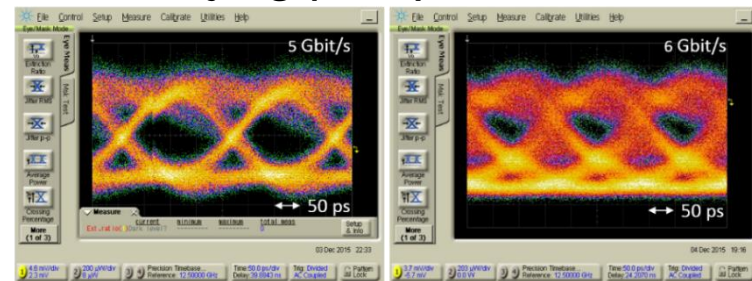


Recovered LD response



After correcting PD response:
Record BW > 5 GHz due to the noise floor
5 Gbit/s OOK with clear open eyes
 (Higher data rate could be achieved by high speed PD and higher order modulation)

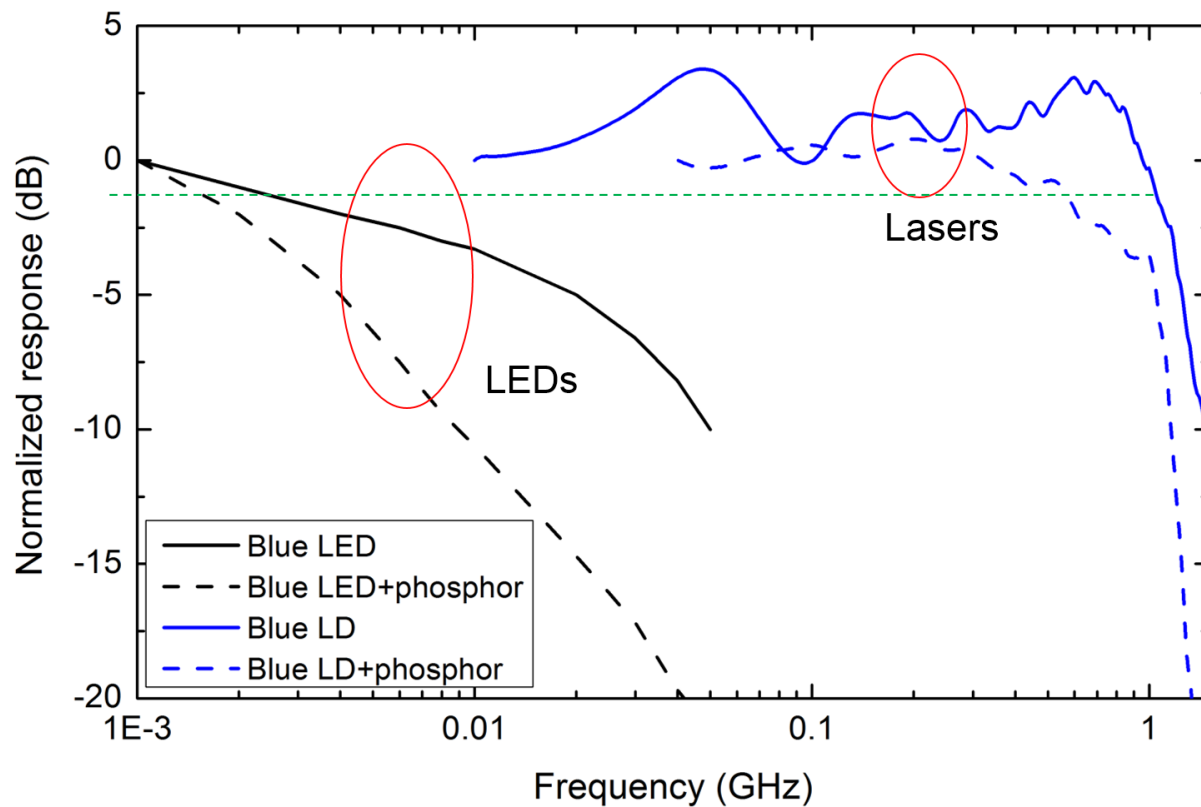
On-off keying (OOK) modulation



C. Lee, et al., Appl. Phys. Lett., 2016



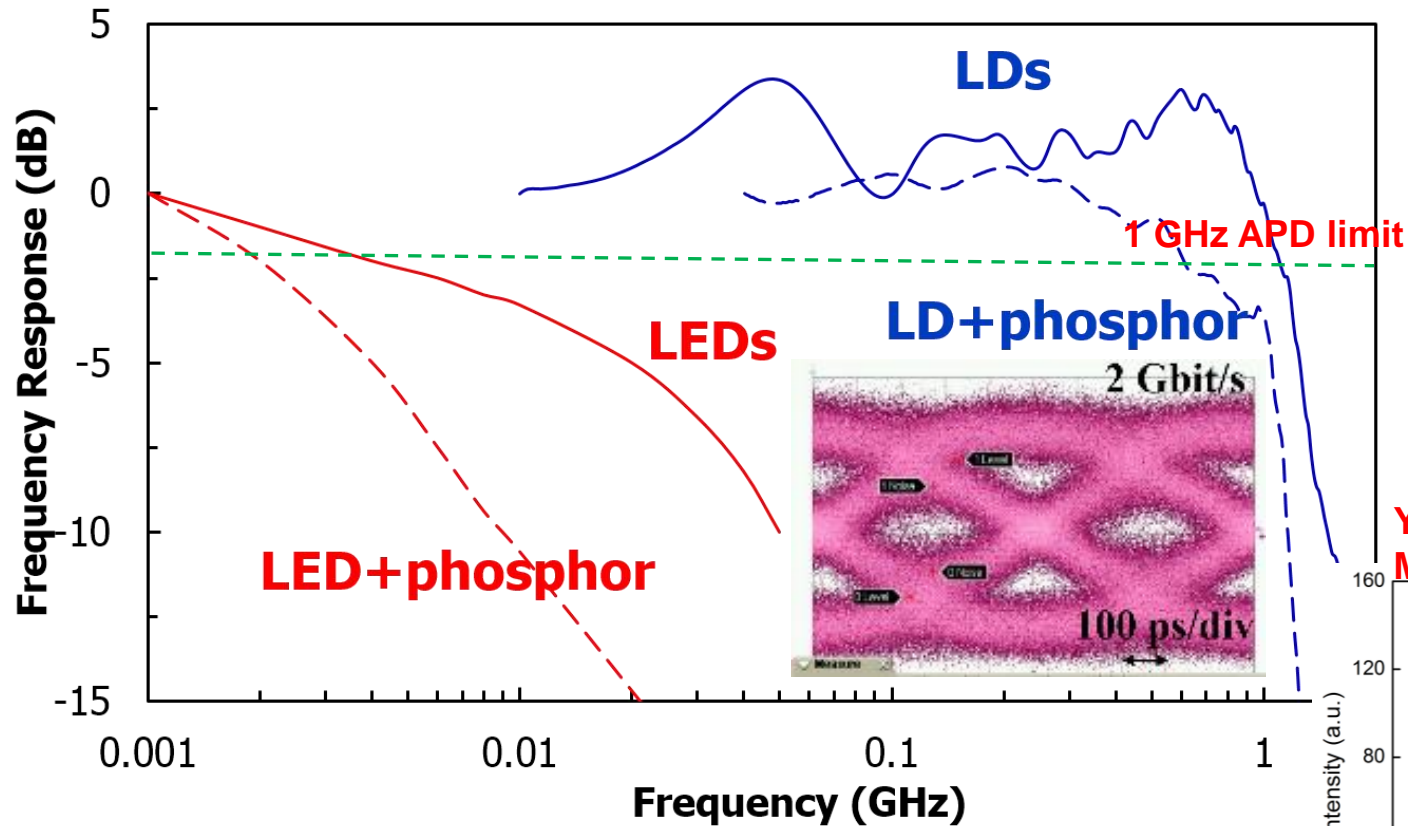
LDs for LiFi Applications



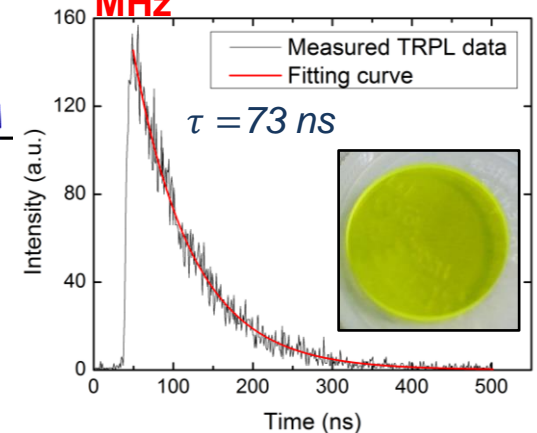
- LEDs – 14 MHz without phosphor and 2.5 MHz with phosphor
- LDs – 1.2 GHz without phosphor and 1.1 GHz with phosphor (limited by photodetector)
- **LDs are ~1,000 times faster than LEDs for white lighting data transmission**



White LED vs. LD VLC



YAG:Ce phosphor limit ~ 4 MHz



C. Lee, et al., Opt. Express, 2015



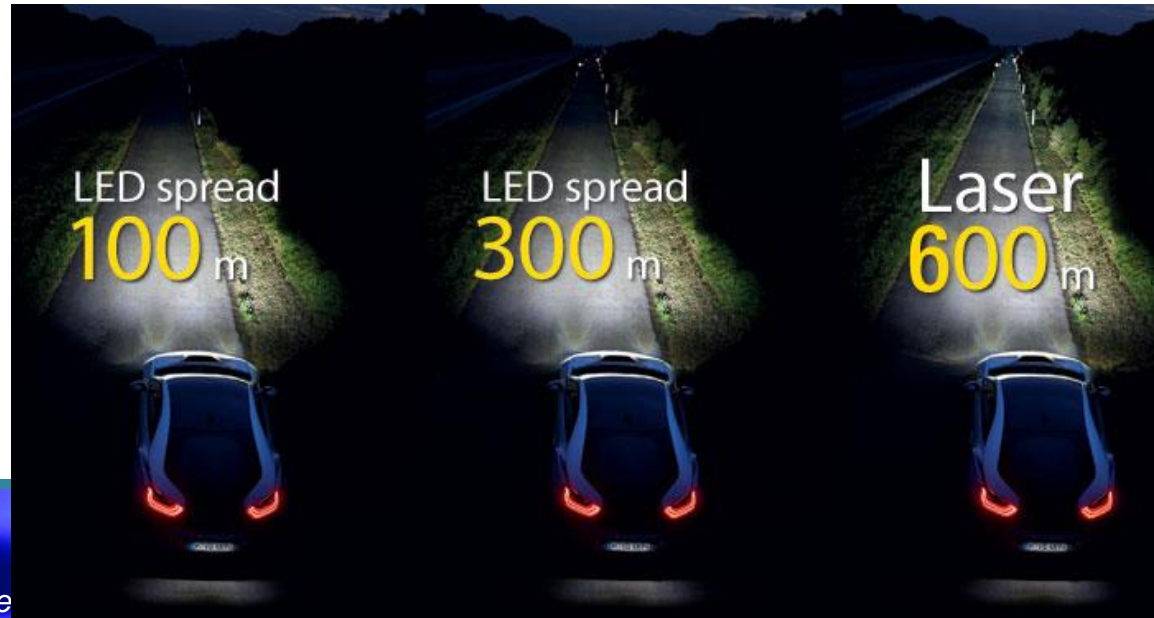
Laser Diodes – Light of the Future



Laser Projectors
100 inch TV



Laser Headlights





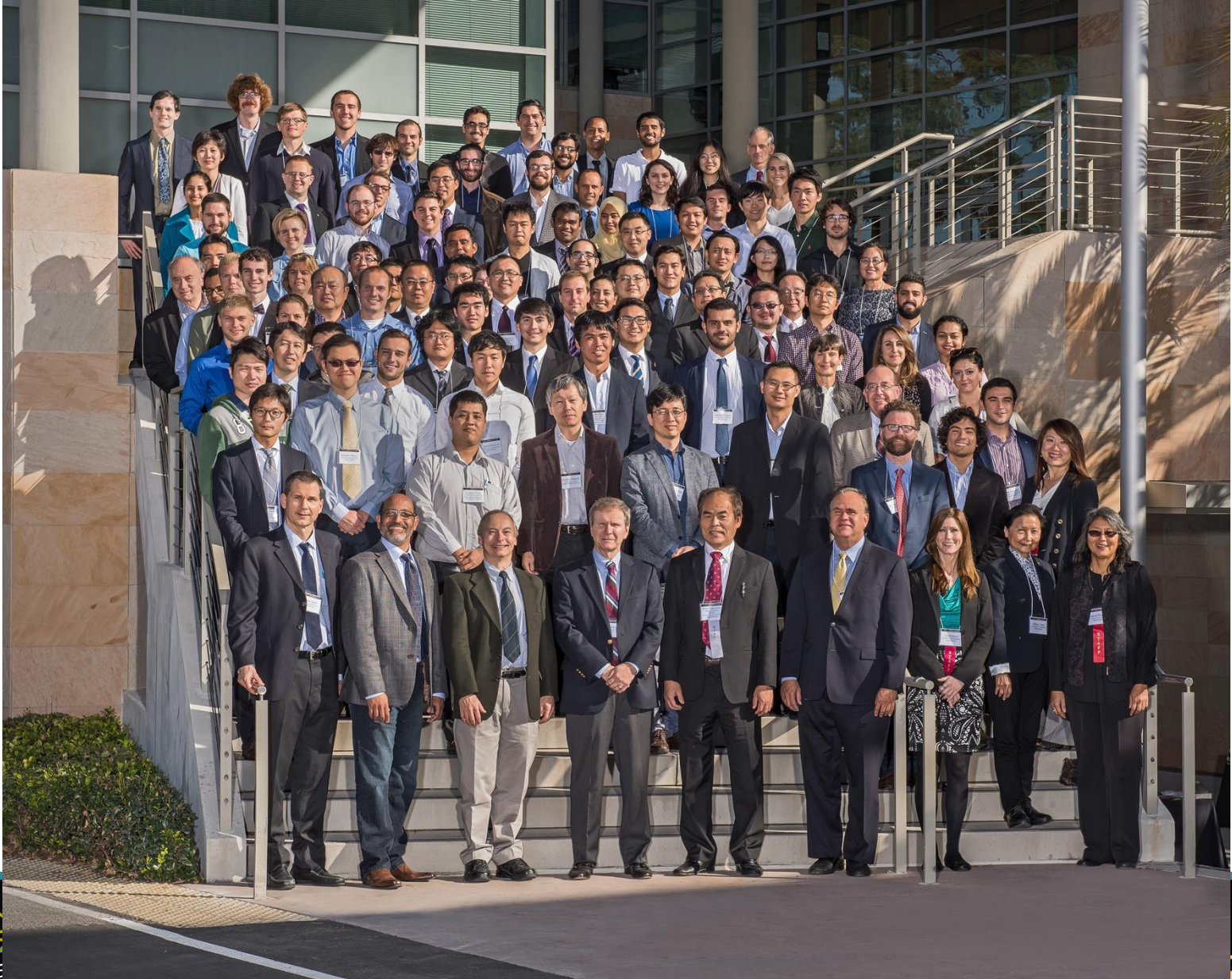
BMW with Laser Lighting Headlights



BMW with laser headlights
(available in US!)



Researchers at UCSB: SSLEEC in 2016





thanks!