

## Fiscal Year 2017

### Planned Audits and Inspections

#### CENTRAL REGION

- Followup on Southwestern Power Administration's Critical Asset Protection (Audit)
- Followup on the Federal Energy Regulatory Commission's Dam Safety Program (Audit)
- Consolidation of the Department of Energy's Office of the Chief Human Capital Officer (Audit)
- Followup on the Department of Energy's Protective Force Training and Capabilities (Audit)
- Implementation of the Department of Energy's Project Management Initiatives (Audit)
- Procurements within the Office of Environmental Management (Audit)
- Department of Energy's Use of Recruitment and Retention Incentives (Audit)
- Strategic Partnership Projects (Audit)
- Office of Electricity Delivery and Energy Reliability's selected grant programs (Audit)
- Followup on the Department of Energy's Weatherization Assistance Program (Audit)
- Selected Loan Programs Office Projects (Audit)
- Bonneville Power Administration's Safety and Health (Audit)
- Department of Energy's Interagency Agreements (Audit)
- Southeastern Power Administration's Customer Fund (Audit)
- Followup on the Department of Energy's Oversight of Management and Operating and Other Facility Management Contractor Employees for Services Performed in the Washington, D.C. Area (Audit)
- The DIII-D User Facility (Audit)
- Department of Energy's Building Technologies Program (Audit)
- Department of Energy's Management of the Contract Closeout Process (Audit)
- Department of Energy's Fleet Management Program (Audit)
- Leased Space (Audit)
- Office of Indian Energy Policy and Programs (Audit)

#### WESTERN REGION

- Nuclear Quality Assurance at the Idaho Sodium Bearing Waste Treatment Facility (Audit)
- Hanford Site Tank Farm Surveillance Program (Audit)
- Quality Assurance – Commercial Grade Dedication (Audit)
- Quality Assurance at the Waste Treatment and Immobilization Plant (Audit)
- National Nuclear Security Administration's Management of Concurrent Directed Stockpile Work (Audit)
- Sandia National Laboratories' Issues Management (Audit)
- W80-4 Life Extension Plan (Audit)
- National Nuclear Security Administration's High Explosives Capability (Audit)
- Los Alamos National Laboratory Accelerated Vault Work-off Project (Audit)
- Packaging and Transportation Operations at the Pantex Plant (Audit)

- Follow-up on the Los Alamos National Laboratory Waste Treatment Facility (Audit)
- Los Alamos National Laboratory Radiological Laboratory Utility Office Building Project (Audit)
- Follow-up on Fire Protection at Los Alamos National Laboratory (Audit)
- Safety Equipment at the Hanford Site (Inspection)
- Department of Energy's Management of Chemicals (Inspection)
- Follow-up on Well Decommissioning at the Hanford Site (Audit)
- Controlled Substances at Selected National Nuclear Security Administration Sites (Inspection)
- Consulting Agreements at Los Alamos National Laboratory (Inspection)
- Management of the National Nuclear Security Administration's Infrastructure and Safety Subprogram (Audit)
- National Nuclear Security Administration's Nonproliferation and Arms Program (Audit)
- Sandia National Laboratories Organizational Conflicts of Interest (Inspection)
- Follow-up on Management of the National Criticality Experiments Research Center (Audit)
- Integrated Safety Management at the Nevada National Security Site (Audit)
- Environmental Management Cost Transfers (Audit)
- National Nuclear Security Administration General Plant Projects (Audit)
- National Nuclear Security Administration Nuclear Weapons Materials and Parts Storage (Audit)
- Spare Parts at Washington River Protection Solutions (Audit)
- Industrial Hygiene at the Hanford Site (Inspection)
- Subcontract Administration at the Waste Isolation Pilot Plant (Audit)
- Integrated Safety Management at Office of Science Sites (Inspection)
- Management of Warehouse Space at the Hanford Site (Audit)
- Department of Energy's Radioisotope Power Systems (Audit)
- National Nuclear Security Administration's Advanced Technology Development and Mitigation Program (Audit)
- Firearms and Ammunition at National Nuclear Security Administration Sites (Inspection)
- Management of the Hanford 222-S Analytical Laboratory (Audit)
- Dosimetry Services at the Hanford Site (Audit)
- Follow-up on National Nuclear Security Administration Neutron Generator Activities (Audit)
- Follow-up on Records Management at Management and Operating Contractors (Audit)

#### EASTERN REGION

- Efforts to Reduce Mercury Contamination at the Oak Ridge Reservation (Audit)
- Safe Use of Lasers at Selected Sites (Inspection)
- Safety Drill Accessibility for Disabled Employees at Selected Facilities (Inspection)
- Cost Estimating in the Office of Environmental Management (Audit)
- Roles and Responsibilities at the Oak Ridge Office (Audit)
- Followup on Nuclear Material Availability (Audit)
- U.S. Intelligence Activities – Improper Retention and Dissemination of Information on U.S. Persons (Inspection)
- Consultant Agreements at Selected Office of Science Laboratories (Audit)
- Followup on the Canine Program (Inspection)
- Savannah River Site's High Level Waste System Support for the Salt Waste Processing Facility (Audit)

- Bartering of Uranium in Exchange for Decontamination and Decommissioning Activities at Portsmouth (Audit)
- Work Package Closures at the Mixed Oxide Fuel Fabrication Facility (Audit)
- Surplus Plutonium at the Savannah River Site (Audit)
- Office of Environmental Management's Project Management Support to Small Sites (Audit)
- Subcontract Management at the Strategic Petroleum Reserve (Audit)
- Health Physics Management at Portsmouth (Inspection)
- National Nuclear Security Administration's Secondary Assessment Technologies Subprogram (Audit)
- Low Altitude Airspace Security over Selected Sites (Inspection)
- Insider Threat Program's Analysis and Referral Center (Inspection)
- Followup on Management of Explosives at Selected Sites (Inspection)
- Electrical Safety at Selected Sites (Inspection)
- Calibration and Maintenance of Production Equipment at Kansas City Plant (Inspection)
- Oak Ridge National Laboratory's Procurement Activities (Audit)
- Office of Environmental Management's Enterprise Risk Management (Audit)
- Security Seal Program (Inspection)
- Issues Management at Selected Office of Environmental Management Sites (Audit)
- Payments for Contract Terminations (Audit)
- Supply Chain Management Center Commodity Contracts (Audit)
- Purchase Card Risk Assessment (Inspection)
- Travel Card Risk Assessment (Inspection)
- Conference Monitoring (Inspection)

#### TECHNOLOGY AUDIT GROUP

- Management of the Office of Energy Efficiency and Renewable Energy's Information Technology Systems Integration (Audit)
- Consolidation of Information Technology Activities at the Y-12 National Security Complex and Pantex Plant (Audit)
- Management of the Cybersecurity Program at a Selected Department of Energy Location (Audit)
- Department of Energy's Efforts to Manage Mission Essential Information Technology Functions (Audit)
- Follow-up on the Federal Energy Regulatory Commission's Monitoring of the Nation's Power Grid Cybersecurity Efforts (Audit)
- Security Over the Department of Energy's Infrastructure Management Systems (Audit)
- Management of the Office of Science's Information Technology Support Services (Audit)
- Department of Energy's Implementation of the Federal Information Technology Acquisition Reform Act (Audit)
- The Department of Energy's Implementation of the Digital Accountability and Transparency Act of 2014 (Audit)
- The Department's Implementation of the Cybersecurity Act of 2015 – Cybersecurity Information Sharing (Audit)
- The Department of Energy's Unclassified Cybersecurity Program – 2017 (Audit)
- The Federal Energy Regulatory Commission's Unclassified Cybersecurity Program – 2017 (Audit)
- Information Technology Management Letter for Fiscal Year 2016 (Audit)

#### GOVERNMENT MANAGEMENT REFORM ACT (GMRA)

- Department of Energy's Fiscal Year 2017 Consolidated Financial Statements (Audit)
- Department of Energy's Improper Payment Reporting in the Fiscal Year 2016 Agency Financial Report (Audit)
- Fiscal Year 2017 Financial Statements of the Federal Energy Regulatory Commission (Audit)
- Fiscal Year 2017 Financial Statements of the Nuclear Waste Fund (Audit)
- Fiscal Year 2017 Combined Financial Statements of the Southwestern Federal Power System (Audit)
- Fiscal Year 2017 Combined Financial Statements of the Western Area Power Administration (Audit)
- Management Letter on the Department of Energy's Consolidated Financial Statements for Fiscal Year 2016 (Audit)

#### STATEMENT OF COSTS INCURRED AND CLAIMED (SCIC)

- Sandia Corporation - Sandia National Laboratories 2013 – 2016
- Trustees of Princeton University - Princeton Plasma Physics Laboratory 2013- 2016
- Fluor Federal Petroleum Operations - Strategic Petroleum Reserve April 2014 - FY2016
- URS | CH2M Oak Ridge, LLC - East Tennessee Technology Park 2014-2016