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| **ATTACHMENT 5****RECORD OF INITIAL COMPLIANCE REVIEW** |
| **FOA #: [Insert FOA Number]** |
| **[Insert Title of Announcement]** |
|  |
|  |  |  |  |  |  |  |  |  |  |
| Applicant: |  | Period of Performance: |  |
| Application Number: |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| **Review Elements** | **YES** | **NO** | **N/A** |
| **Qualified Organization Eligible for Award**  | [ ]  | [ ]  | [ ]  |
| **Application Received Prior to Deadline** | [ ]  | [ ]  | [ ]  |
| **Mandatory Files Submitted** | [ ]  | [ ]  | [ ]  |
| Letter of Intent / Concept Paper  | [ ]  | [ ]  | [ ]  |
|   | SF 424 – Application For Federal Assistance | [ ]  | [ ]  | [ ]  |
|  | Project Description/Technical Approach | [ ]  | [ ]  | [ ]  |
|   | Budget Summary | [ ]  | [ ]  | [ ]  |
|  | Bibliography / References Cited | [ ]  | [ ]  | [ ]  |
|   | SF 424 A – Total Project Budget Information / Budget Justification | [ ]  | [ ]  | [ ]  |
|   | Statement of Project Objectives  | [ ]  | [ ]  | [ ]  |
|  | Project Management Plan  | [ ]  | [ ]  | [ ]  |
|   | Project Summary / Abstract for Public Release | [ ]  | [ ]  | [ ]  |
|   | Project Summary Slides | [ ]  | [ ]  | [ ]  |
|   | Key Personnel / Resumes | [ ]  | [ ]  | [ ]  |
|   | Project Management Plan | [ ]  | [ ]  | [ ]  |
|   | SF-424A Subaward Budget Information / Budget Justification | [ ]  | [ ]  | [ ]  |
|   | SF-LLL Disclosure of Lobbying Activities | [ ]  | [ ]  | [ ]  |
|   |  | [ ]  | [ ]  | [ ]  |
| **Additional Files** | [ ]  | [ ]  | [ ]  |
|  | Letters of Commitment from Third Parties Contributing to Cost Sharing | [ ]  | [ ]  | [ ]  |
|   | Other Sources of Funding Disclosure | [ ]  | [ ]  | [ ]  |
|  | FFRDC Authorization / Field Work Proposal  | [ ]  | [ ]  | [ ]  |
|  | Environmental Impact Questionnaire | [ ]  | [ ]  | [ ]  |
|  |  | [ ]  | [ ]  | [ ]  |
| **Proposed Project is Responsive to the Objectives of the FOA**  | [ ]  | [ ]  | [ ]  |
| **NOTES:** |  |  |  |  |  |  |  |  |   |
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| **FINANCIAL DATA:** | ***XX% cost share required***  |
| ESTIMATED COSTS |   | **DOE Share** | **Recipient Share** | **TOTAL** | **COST SHARE%** |
|   | **$0**  | **$0**  | **$0**  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Based upon the Contract Specialist's and Project Officer’s preliminary review, it has been determined that (1) the applicant is eligible for an award; (2) the information required by the announcement has been submitted; (3) all mandatory requirements of the FOA have been submitted; and (4) the proposed project is responsive to the objectives of the FOA. Signed\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
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