



DOE Bioenergy Technologies Office (BETO) 2015 Project Peer Review

Integration of Sustainability Metrics into Design Cases and State of Technology Assessments

2.1.0.100/2.1.0.302 NREL 2.1.0.301 PNNL

March 24, 2015 Analysis and Sustainability

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Goal Statement





- Support the development of sustainable, economic biomass-derived liquid fuels
 - Integration of TEA and LCA to identify cost reduction opportunities and sustainability trade-offs for BETO, researchers and key stakeholders
- Directly supports BETO goal:
 - ► "Identify conditions under which a hydrocarbon pathway, validated at a mature modeled price of \$3/gge reduces GHG emissions by 50% or more and meets targets for water consumption, wastewater and air emissions" (November 2014 MYPP)

Quad Chart Overview





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Timeline and Budget

This presentation merges crosscutting work from several projects supporting the Analysis & Sustainability and Conversion platforms, the budget and timelines for which are covered in other presentations.

Barriers

- Barriers addressed
 - At-A Comparable, transparent and reproducible analysis
 - **St-C** Sustainability data across the supply chain
 - St-D Indicators and methodology
 - Bt-J, Tt-R Process Integration

Partners

- Partners:
 - ANL, INL, NREL, PNNL

Project Overview





HISTORY

- TEA is a long established tool used to assess technical progress.
 Sustainability has always been an underlying theme.
- ➤ Sustainability moves to the forefront with respect to TEA, and efforts were made to integrate the two to support more optimized designs:
 - 2011: sustainability discussed in detail in the MYPP
 - 2012: began to identify appropriate indicators and metrics
 - 2013: all new design cases and SOT reports include section on sustainability, including metrics and sensitivity analysis

CONTEXT

Sustainable and economic deployment of biofuel

OBJECTIVE

Combined TEA and environmental sustainability analysis of emerging pathways helps to facilitate biorefinery designs that are economically feasible and minimally impactful to the environment.

Approach (Technical)





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Overall Approach Structure

Chemcad
AspenPlus

Economic Models

Excel

Data

Lab researchers, Industry, Universities, Literature

Sustainability

Water usage Fossil inputs Mitigation methods

GOAL

Guide research Track progress Reduce costs

► <u>Approach</u> Consistent use of BETO technical, financial assumptions; set of defined sustainability metrics

- Critical Success Factors
 - Identify cost reduction strategies
 - Help set research goals
 - Quantify sustainability impacts
 - <u>Potential Challenges</u> risk and uncertainty:
 - Sensitivity studies to identify high cost and sustainability impact areas
 - Conclusion uncertainties risk management:
 - External peer review
 - Interaction with industry
 - Multi-lab collaborations
 - Make assumptions transparent

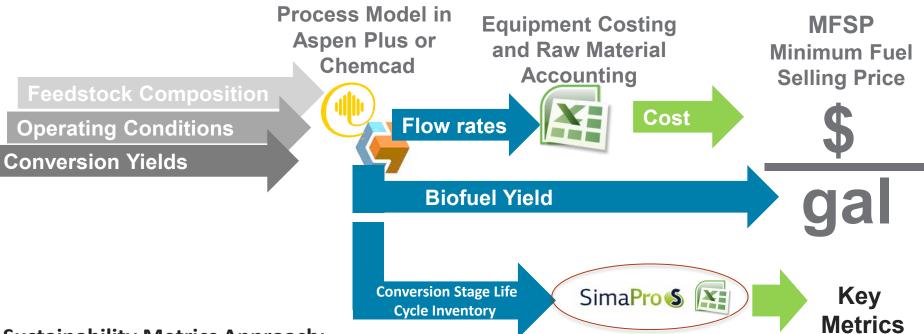
Approach (Technical)





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Consistent TEA and sustainability methodology used across all labs



Sustainability Metrics Approach:

- a) Partial LCA -- the boundary for the metrics is the biorefinery. The rationale for performing a partial LCA is that the overall focus of this study is the conversion stage. Therefore, to isolate this stage, all others are excluded for quantification of metrics.
- b) Systematically quantify and assess key sustainability metrics which allow for conversion pathway evaluation and comparison.
- c) For certain pathways, full LCA is performed for sensitivity cases to understand effects on feedstock stages and limitations around RFS thresholds.

- Fossil GHGs
- Fossil Energy Use
- Fuel Yield
- Carbon-to-Fuel Efficiency
- Water Consumption
- Wastewater Generation

Approach (Management)





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Approach structure

- Project Management Plan (PMPs) indicating scope, budget, schedule
- Annual Operating Plans (AOPs) prepared prior to each fiscal year
 - Details quarterly <u>milestones</u> and <u>deliverables</u> including sustainability
- Quarterly reporting to BETO (written and regularly scheduled calls)
- Potential Challenges and Risk Mitigation
 - Data availability: experimental data for evaluation of alternative process configurations (e.g., renewable hydrogen from biomass)
 - Data basis: ensure underlying data quality and assumptions are consistent through harmonization efforts
- Critical success factors
 - Make results public (MYPP and published reports)
 - Deliver quality work on-time, on-budget

Pacific Northwest



Technical Progress

Design Reports and MYPP 🔭





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Incorporate Sustainability Metrics:

- Design reports for projected 2017/2022 targets including sustainability sensitivities
- State of technology reports and MYPP include sustainability metrics

Sustainability Metrics Example: fast pyrolysis & upgrading



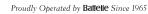


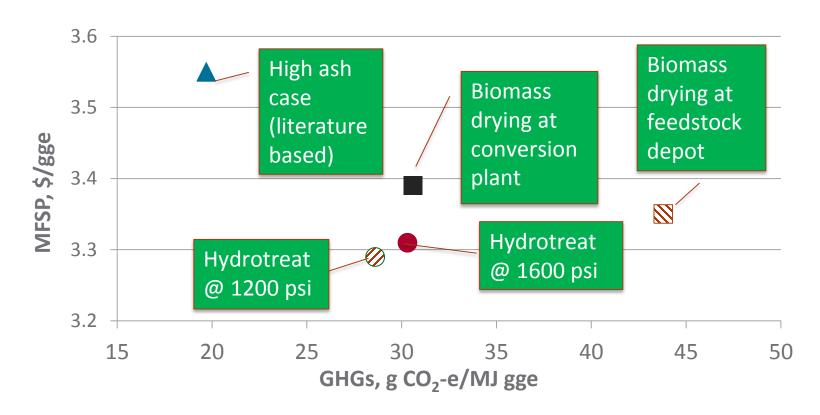
Sustainability Metric for Conversion Process	2009 SOT	2012 SOT	2013 SOT	2014 SOT	2017 Projected
Fossil GHGs (g CO ₂ -e/MJ fuel)	22.1	19.8	20.5	19.4	18.9
Fossil Energy Use (MJ fossil energy/MJ fuel)	0.33	0.29	0.32	0.31	0.30
Fuel Yield (gal/dry ton wood; GGE/dry ton wood)	74; 78	74; 78	84; 87	84; 87	84; 87
Carbon-to-Fuel Efficiency (%biomass C in fuel product)	38	38	47	47	47
Water Consumption (m³/day; gal/GGE)	998; 1.5	998; 1.5	1124; 1.5	1088; 1.5	1050; 1.4
Wastewater Generation (m³/day; gal/GGE)	917; 1.4	917; 1.4	948; 1.3	975; 1.3	932; 1.3

- Sustainability metrics are included in the MYPP
- Chosen as representative of key indicators (McBride et al 2011) (see extra slides)
- Serve as input to full life cycle analysis (GREET, ANL water analysis)
- ► Inform modeled plant choices (e.g. air fins vs. water cooling)

GHG/Cost Trade-Offs & Synergies: Pyrolysis & Upgrading







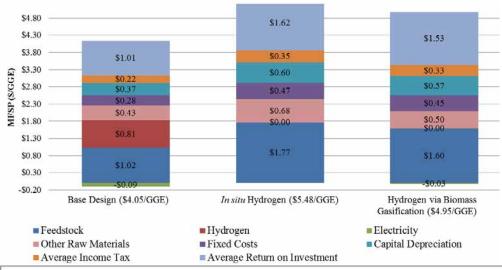
- ▶ Reducing hydrotreater pressure: reduced cost (↓ capital and electricity) & GHGs (↓ electricity)
- ► Higher feedstock ash content reduces GHGs (↓ NG), increases MFSP (↓ yield)
- Moving the dryer offsite slightly reduces conversion cost, but increases GHGs (↑ NG)

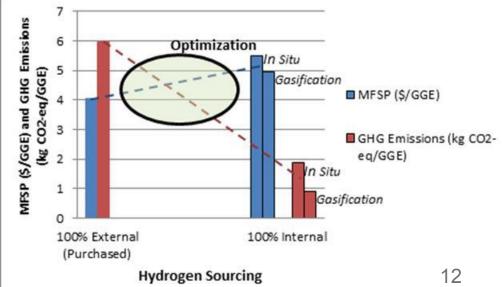
GHG/Cost Trade-Offs & Synergies Catalytic Conversion of Sugars *Hydrogen Source*





- Base case assumes large hydrogen import purchased from off-site natural gas SMR production (ex situ)
- Alternative case investigates producing hydrogen internally (in situ) via reforming reactions from a fraction of hydrolysate, or by diverting a fraction of feedstock biomass to gasification train
 - Increases cost to \$5.48/GGE (in situ), \$4.95/GGE (gasification)
 - Requires large fractional diversion of hydrolysate (41%, in situ) or biomass (36%, gasification) to generate required H₂ = reduced fuel yield
 - Although lower yield/higher cost, also tradeoffs in sustainability



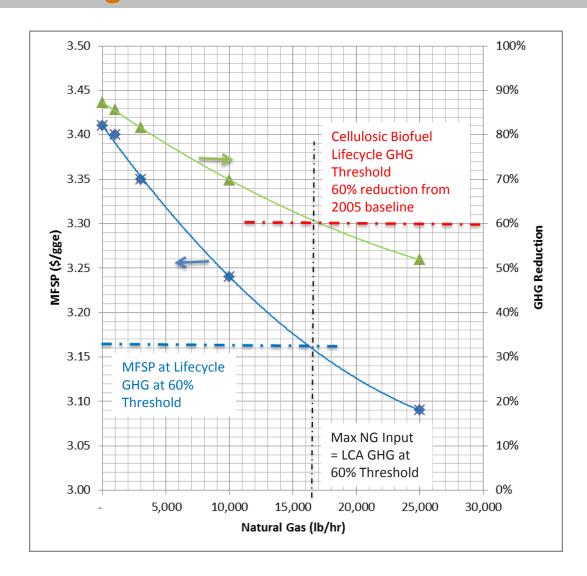


GHG/Cost Trade-Offs & Synergies: Gasification Co-conversion with natural gas





- Thermochemical pathway to high-octane gasoline blendstock through methanol/DME intermediates
 - Co-conversion of biomass with natural gas can simultaneously increase fuel yields and reduce fuel production costs provided that the life-cycle GHG thresholds specified in EISA is not violated.
 - Co-processing natural gas at the life-cycle GHG threshold limit (i.e., 60% GHG emissions reduction relative to the petroleum baseline) decreases the MFSP by 7%, from \$3.41/GGE to \$3.17/GGE.

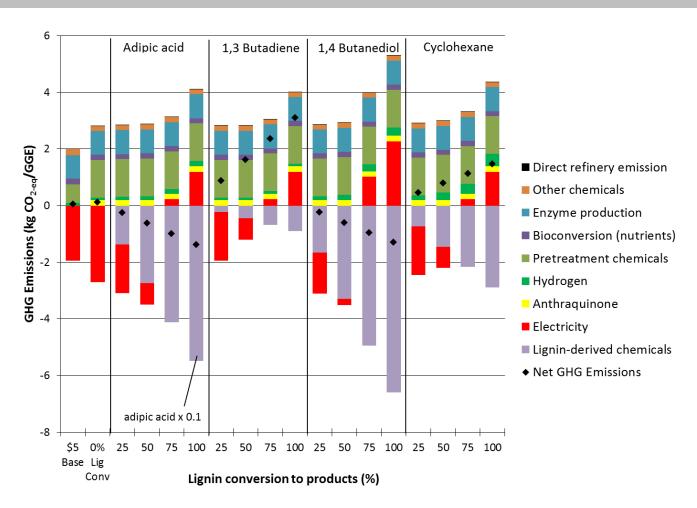


GHG/Cost Trade-Offs & Synergies: Biological Conversion of Sugars Lignin to chemicals





- High-level analysis shows that lignin conversion to oxygenated products can improve the process minimum fuel selling price and GHGs
 - Adipic acid and 1,4 butanediol provide increased GHG offset credit vs lignin combustion to power coproduct



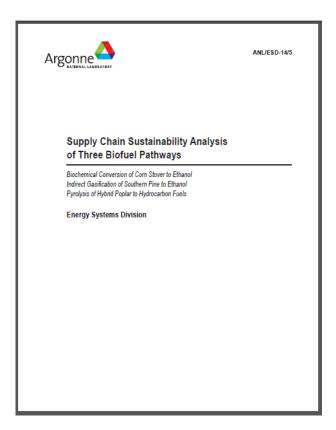
- Conventional adipic acid production is very carbon-intensive
- Minimization and eventual loss of power coproduct, replaced by increasing offsets from chemical coproduct as more lignin diverted away from the boiler

Supply Chain Sustainability Analysis





- Results from this work feed into ANL's GREET model and Supply Chain Sustainability Analyses (SCSA)
 - Emissions and energy analysis for entire supply chain from feedstock to end use
 - Incorporates new conversion data into the GREET model for SCSA – consistent basis of assumptions and data
 - SCSA's provide documentation for metrics included in the MYPP pathway technical tables
 - More details in the following GREET presentation



Project Relevance





- Address identified barriers (11/2014 MYPP)
 - At-A Comparable, transparent and reproducible analysis
 - Collaborative effort amongst labs to ensure consistent and transparent approach to analysis
 - St-C Sustainability data across the supply chain
 - Provide key data needed for the conversion process to ANL for full supply chain analysis
 - St-D Indicators and methodology
 - Develop and document approach and indicators
 - Implementing methodology for assessment and comparison of conversion pathways
 - Bt-J, Tt-R: Process Integration
 - Integrated sensitivity analysis helps predict effects of feed and process variations on biorefinery economics and sustainability

Project Relevance





- Target Audience: BETO, researchers, and industrial stakeholders
 - Provide feedback to BETO-supported R&D efforts to improve process sustainability
 - Utilize results in BETO analysis projects (e.g., water usage)
 - Support development of full supply chain sustainability analysis and expansion of GREET pathways
 - Identify data gaps needed for further consideration to BETO conversion platform
 - Design reports are externally peer reviewed

Project Relevance





Positive impact on commercial biofuel viability:

- Determine bio-fuel production cost reduction opportunities
- Investigate synergies/tradeoffs between economics/sustainability
- Consider alternative scenarios to reflect potential design modifications (e.g., use of alternate hydrogen sources)
- Report all results in publically available studies including design reports and state of technology reports
- Support the publication of results in the DOE BETO MYPP

Future Work





FY15:

All Five Hydrocarbon Pathways

- Complete annual SOT modeled costs and sustainability metrics
- Continue to explore sustainability tradeoffs (e.g. hydrogen from natural gas vs. renewable hydrogen)
- Continue TEA/sustainability analysis for feedstock interface work
- Continue to work with ANL on updates to GREET and SCSA

Pyrolysis and Upgrading

- Complete draft manuscript of sustainability and economic effects related to processing different types of pure and blended feedstocks (experimental data from the INL, NREL PNNL Feedstock Interface Project)
- Complete draft manuscript of bio-oil upgrading catalyst GHG analysis
- Work with NREL on transferring relevant data for criteria emissions modeling

Biological Upgrading of Sugars

- Consider cost implications for tradeoffs between full on-site, partial on-site, or full off-site treatment of biorefinery model wastewater
- Quantify criteria air pollutant emissions and consider technology options for ways to mitigate them; estimate cost tradeoffs and implications on MFSP for adoption of these options

Future Work





FY16:

All Pathways

- Complete 2015 SOT modeled costs, sustainability metrics, and waterfall for MYPP
- Continue to explore sustainability tradeoffs (e.g. hydrogen from natural gas vs. renewable hydrogen)
- Continue TEA/sustainability analysis for feedstock interface work
- Continue to work with ANL on updates to GREET and SCSA

Biological Upgrading of Sugars:

 Complete draft manuscript documenting outcomes of criteria air pollutant emissions work from FY15

Summary





- Overview: Integrated TEA/sustainability analysis of emerging conversion technologies helps develop sustainable, economic biofuels.
- Approach: Iteration of TEA, sustainability analysis and researcher input to identify high impact areas and synergies/tradeoffs between cost/metrics
- ► Technical Accomplishments/Progress/Results
 - FY14: Included sustainability metrics in all design cases and MYPP; cost/sustainability sensitivity analyses for four pathways
 - FY15: Continued sensitivity analyses for additional technology optimizations; feed data to criteria air emissions analyses; journal drafts
- ► **Relevance:** this project aligns with BETO's mission by identifying opportunities for improved cost and sustainability performance of developing conversion routes.
- ► Future work: Continued multi-lab collaborations for integrated TEA/sustainability analysis for entire pathway supply chain; SOT and journal publications.
- Status since 2013 Review: Added sustainability metrics framework and analysis to all design cases and SOTs.

Acknowledgements





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- INL: Jack Jacobsen, Kara Cafferty





Additional Slides

Response to reviewers comments
Publications and presentations
Abbreviations and acronyms

Responses to Previous Reviewers' Comments





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2013 Peer Review

- "The portfolio has made great strides in taking a proactive approach to sustainability, moving beyond traditional net energy balances and greenhouse gas accounting"
- "The research portfolio's robustness could be further improved through the creation of guidelines regarding the approaches to sensitivity, metric definition, model verification and validation of results"
- "The first major element that could better support BETO's overall goals is improved integration of TEA and LCA"

Response:

The NREL/PNNL team will continue to interface with the ORNL team to ensure consistency with their metrics framework, the PNNL/NREL team on development and understanding of metrics for biomass conversion technologies, and the ANL team on integration of conversion stage inventory data into the GREET model. While GREET documents full fuel cycle emissions for BETO technology pathways, it is critical to perform sensitivity analysis at the process development and modeling level to fully understand and facilitate improvement of the cost and environmental implications of key variables in the design, as well as to elucidate any impact of conversion design changes on upstream stages.

Publications and Presentations





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Publications

- Jones SB, PA Meyer, LJ Snowden-Swan, AB Padmaperuma, E Tan, A Dutta, J Jacobson, and K Cafferty. 2013. Process Design and Economics for the Conversion of Lignocellulosic Biomass to Hydrocarbon Fuels: Fast Pyrolysis and Hydrotreating Bio-Oil Pathway. PNNL-23053; NREL/TP-5100-61178, Pacific Northwest National Laboratory, Richland, WA.
- Dunn, J., M. Johnson, Z. Wang, M. Wang, K. Cafferty, J. Jacobson, E Searcy, M Biddy, A Dutta, D Inman, E Tan, L Tao, S Jones, and L Snowden-Swan. Nov. 2013. Supply Chain Sustainability Analysis of Three Biofuel Pathways: Biochemical Conversion of Corn Stover to Ethanol, Indirect Gasification of Southern Pine to Ethanol, Pyrolysis of Hybrid Poplar to Hydrocarbon Fuels. ANL/ESD-14/5.
- Jones SB, LJ Snowden-Swan, PA Meyer, AH Zacher, MV Olarte, and C Drennan. 2014. Fast Pyrolysis and Hydrotreating 2013 State of Technology R&D and Projections to 2017. PNNL-23294, Pacific Northwest National Laboratory, Richland, WA.
- Dutta, A.; Sahir, A.; Tan, E.; Humbird, D.; Snowden-Swan, L.; Meyer, P.; Ross, J.; Sexton, D.; Yap, R.; Lukas, J. Process Design and Economics for the Conversion of Lignocellulosic Biomass to Hydrocarbon Fuels Thermochemical Research Pathways With In Situ and Ex Situ Upgrading of Fast Pyrolysis Vapors. NREL/TP-5100-62455, PNNL-23823, 2015.
- Tan, E.; Talmadge, M.; Dutta, A.; Hensley, J.; Schaidle, J.; Biddy, M.; Humbird, D.; Snowden-Swan, L.; Ross, J.; Sexton, D.; Lukas, J. Process Design for the Conversion of Lignocellulosic Biomass to High Octane Gasoline Thermochemical Research Pathway With Indirect Gasification and Methanol Intermediate. NREL/TP-5100-62402, PNNL-23822, 2015.
- Davis, R.; Tao, L.; Tan, E. C. D.; Biddy, M. J.; Beckham, G. T.; Scarlata, C.; Jacobson, J.; Cafferty, K.; Ross, J.; Lukas, J.; Knorr, D.; Schoen, P. . (2013). Process Design and Economics for the Conversion of Lignocellulosic Biomass to Hydrocarbons: Dilute-Acid and Enzymatic Deconstruction of Biomass to Sugars and Biological Conversion of Sugars to Hydrocarbons. 147 pp.; NREL Report No. TP-5100-60223.
- Davis, R., et al. "Process Design and Economics for the Conversion of Lignocellulosic Biomass to Hydrocarbons: Dilute-Acid and Enzymatic Deconstruction of Biomass to Sugars and Catalytic Conversion of Sugars to Hydrocarbons" NREL/TP-5100-62498. Golden, CO: National Renewable Energy Laboratory, Manuscript in Preparation.

Publications and Presentations





Presentations

- S Jones, L Snowden-Swan, P Meyer. "Bio-Oil Upgrading Economics and Sustainability", presented at the Thermochemical Workshop, University of Delaware, October 8, 2013
- Olarte MV, AH Zacher, SB Jones, LJ Snowden-Swan, PA Meyer, AB Padmaperuma, LJ Rotness, Jr, GG Neuenschwander, DC Elliott, and C Drennan. 2014. "Current State of the Technology (SOT) of the Fast Pyrolysis-Hydrotreating Pathway." Presented by Mariefel V. Olarte at Biomass 2014, Washington DC, DC on July 29, 2014. PNNL-SA-104180
- E Tan, J Jacobson, K Cafferty, D Inman, C Wright. "Techno-economic Analysis and Environmental Sustainability of Biochemical Biorefinery Sizing", 2013 AIChE Annual Meeting, San Francisco, CA, November 3-8, 2013.
- E Tan, J Jacobson, K Cafferty, A Dutta, E Searcy. "Conventional Versus Advanced Depot Biomass Supply Systems for a Thermochemical Conversion Process and Biorefinery Sizing: Life-Cycle Assessment and Techno-Economic Analysis", 2014 AIChE Annual Meeting, Atlanta, GA, November 16-21, 2014.

Abbreviations and Acronyms





ANL: Argonne National Laboratory

- AOP: Annual operating plan
- ▶ BETO: Bioenergy Technologies Office
- GGE: Gasoline gallon equivalent
- INL: Idaho National Laboratory
- LCA: Life-cycle analysis
- MFSP: Minimum fuel selling price
- MYPP: Multi-year program plan
- NREL: National Renewable Energy Laboratory
- PMP: Project management plan
- PNNL: Pacific Northwest National Laboratory

April 1, 2015 27

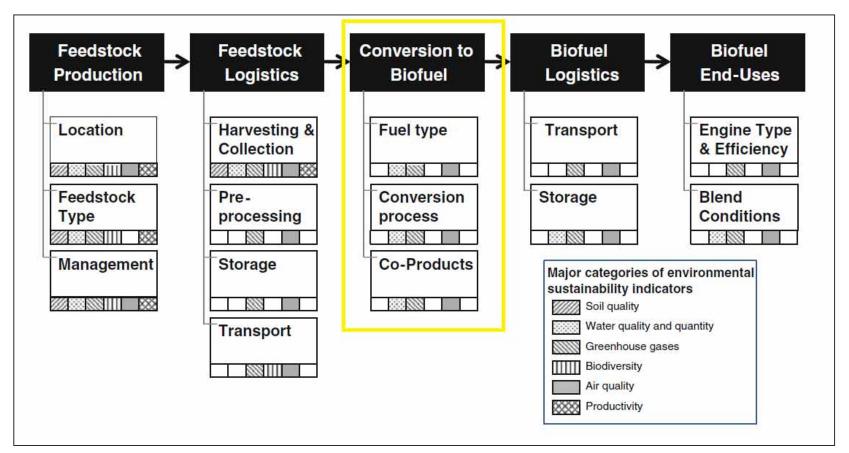
Sustainability Metrics Framework





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Conversion metrics were chosen in accordance with the framework documented by ORNL in McBride et al. (2011) and Efroymson et al. (2013)



From Efroymson et al., 2013. "Environmental Indicators of Biofuel Sustainability: What About Context?", Environmental Management, DOI 10.1007/s00267-012-9907-5. April 1, 2015

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Conversion Stage Sustainability Metrics: Catalytic Sugar Conversion





Sustainability Metric ¹	2014 SOT	2015 Projection	2016 Projection	2017 Design Case	2022 Projection ²
GHGs (g CO ₂ -e/MJ fuel) (fossil emissions)	39.8	42.7	45.8	49.2	-69.4
Fossil Energy Consumption (MJ fossil energy/MJ fuel)	0.73	0.75	0.78	0.82	0.3
Total Fuel Yield (GGE/dry ton)	50	59	68	78	76
Biomass Carbon-to-Fuel Efficiency (C in fuel/C in biomass)	29%	34%	39%	45%	41%
Total Carbon-to-Fuel Efficiency (C in fuel/C in biomass + NG)	25%	28%	32%	36%	33%
Water Consumption (m³/day; gal/GGE fuel)	5,038 m³/day (12.0 gal/GGE)	4,635 m³/day (9.4 gal/GGE)	4,269 m³/day (7.6 gal/GGE)	3,817 m³/day (5.8 gal/GGE)	3,496 m³/day (5.3 gal/GGE)
Net Electricity Export (KWh/GGE)	4.9	3.6	2.6	1.7	0.6

¹ Note, all cases based on external SMR H₂ sourcing basis

- Sustainability metrics above only consider conversion stage (not a full WTW LCA)
- Alternative H₂ scenarios in design report proved very useful in quantifying large differences between SMR H₂ sourcing and alternative scenarios via in situ reforming of sugars or biomass gasification
 - 3-6X lower GHG emissions from conversion stage for alternative (internal) H₂ scenarios vs SMR basis

² 2022 projection represents one possible scenario based on converting a fraction of lignin to adipic acid co-product

Conversion Stage Sustainability Metrics: Biological Sugar Conversion Atlanta Conversion



Sustainability Metric ¹	2014 SOT	2015 Projection	2016 Projection	2017 Design Case	2022 Projection ²
GHGs (g CO ₂ -e/MJ fuel) (fossil emissions)	-63.8	-58.0	-72.0	-78.6	-301
Fossil Energy Consumption (MJ fossil energy/MJ fuel)	-0.9	-0.8	-1.0	-1.1	-1.3
Total Fuel Yield (GGE/dry ton)	18	20	20	22	44
Biomass Carbon-to-Fuel Efficiency (C in fuel/C in biomass)	10%	11%	12%	13%	26%
Biomass Carbon-to-Coproduct Efficiency (C in succinic acid coproduct/C in biomass)	9%	9%	11%	12%	NA ²
Water Consumption (m³/day; gal/GGE fuel) ¹	6,294 m³/day (42 gal/GGE)	6,146 m³/day (48 gal/GGE)	5,817 m³/day (45 gal/GGE)	5,773 m³/day (42 gal/GGE)	4,553 m³/day (12 gal/GGE)
Net Electricity Import (KWh/GGE)	19.9	19.8	21.1	24.0	0.3

¹ Note, gal/GGE water metric is fully allocated to fuel product (not distributed to coproduct train), thus appears high in this format

- Sustainability metrics above only consider conversion stage (not a full Well-to-Wheel LCA)
- Demonstrated improvements in GHG emissions alongside TEA costs when routing lignin to select coproduct options in support of 2022 targets for \$3/GGE

² 2022 projection represents one possible scenario based on converting a fraction of lignin to adipic acid co-product; pathway reverts back to whole-hydrolysate conversion to fuels, thus removes C5 sugars-to-succinic acid process train

Conversion Stage Sustainability Metrics: Ex-Situ Pyrolysis





Sustainability Metric Trends	2014 SOT	2015 Projection	2016 Projection	2017 Projection	2022 Projection
Fossil GHG Emissions† (g CO2-e / MJ Fuel)	-41.5	-36.5	-27.9	-19.3	-1.2
Fossil Energy Consumption† (MJ FE / MJ Fuel)	-0.47	-0.41	-0.31	-0.22	-0.01
Total Fuel Yield (GGE / Ton)	42	44	50	56	78
Carbon Efficiency to Fuel Blendstock (%C in Feedstock)	23.5	25.0	27.6	30.6	41.5
Water Consumption (gal H2O / GGE Fuel Blend)	1.4	1.3	1.2	1.1	0.7
Electricity Production (kWh/GGE)	21.0	19.2	16.0	13.1	6.2
Electricity Consumption (for entire process, kWh/GGE)	12.7	12.0	10.4	9.1	5.7

[†] Includes electricity credit

Conversion Stage Sustainability Metrics: In-Situ Pyrolysis





Sustainability Metric Trends	2014 SOT	2015 Projection	2016 Projection	2017 Projection	2022 Projection
Fossil GHG Emissions† (g CO2-e / MJ Fuel)	-32.8	-28.6	-23.8	-16.1	-2.6
Fossil Energy Consumption† (MJ FE / MJ Fuel)	-0.37	-0.32	-0.27	-0.18	-0.03
Total Fuel Yield (GGE / Ton)	46	49	52	59	75
Carbon Efficiency to Fuel Blendstock (%C in Feedstock)	25.8	27.3	29.2	32.6	40.4
Water Consumption (gal H2O / GGE Fuel Blend)	1.3	1.2	1.1	0.9	0.8
Electricity Production (kWh/GGE)	18.5	16.8	14.9	12.2	7.0
Electricity Consumption (for entire process, kWh/GGE)	11.7	10.9	10.0	8.7	6.3

[†] Includes electricity credit

Conversion Stage Sustainability Metrics: Gasification





Sustainability Metric Trends	2014 SOT	2015 Projection	2016 Projection	2017 Projection	2022 Projection
Fossil GHG Emissions† (g CO2-e / MJ Fuel)	1.64	1.42	1.19	0.96	0.60
Fossil Energy Consumption† (MJ FE / MJ Fuel)	0.023	0.019	0.011	0.013	0.006
Total Fuel Yield (GGE / Ton)	57	57	59	61	62
Carbon Efficiency to Fuel Blendstock (%C in Feedstock)	28.2	28.7	29.9	31.0	31.2
Water Consumption (gal H2O / GGE Fuel Blend)	12.4	9.3	5.8	5.2	1.7
Electricity Production (kWh/GGE)	11.5	11.8	7.1	6.7	6.5
Electricity Consumption (for entire process, kWh/GGE)	11.4	11.7	7.1	6.7	6.5

[†] Includes electricity credit