Appendix C-1
Facility Design
SUMMARY OF CONCLUSIONS

U.S. Bureau of Land Management (BLM) and California Energy Commission staff (hereafter jointly referred to as staff) conclude that the design, construction, and eventual closure of the Ivanpah Solar Electric Generating System (ISEGS) project and its linear facilities would likely comply with applicable engineering laws, ordinances, regulations, and standards. The proposed conditions of certification, below, would ensure compliance with these laws, ordinances, regulations, and standards. Conditions of Certification referred to herein serve the purpose of both the Energy Commission’s Conditions of Certification for purposes of the California Environmental Quality Act (CEQA) and BLM’s Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).

INTRODUCTION

Facility design encompasses the civil, structural, mechanical, and electrical engineering design of the project. The purpose of this analysis is to:

• verify that the laws, ordinances, regulations, and standards (LORS) that apply to the engineering design and construction of the project have been identified;
• verify that both the project and its ancillary facilities are sufficiently described, including proposed design criteria and analysis methods, in order to provide reasonable assurance that the project will be designed and constructed in accordance with all applicable engineering LORS, in a manner that also ensures the public health and safety;
• determine whether special design features should be considered during final design to address conditions unique to the site that could influence public health and safety; and
• describe the design review and construction inspection process and establish the conditions of certification used to monitor and ensure compliance with the engineering LORS, in addition to any special design requirements.

Subjects discussed in this analysis include:
• identification of the engineering LORS that apply to facility design;
• evaluation of the applicant’s proposed design criteria, including identification of criteria essential to public health and safety;
• proposed modifications and additions to the application for certification (AFC) necessary for compliance with applicable engineering LORS; and
• conditions of certification proposed by staff to ensure that the project will be designed and constructed to assure public health and safety and comply with all applicable engineering LORS.
LAWS, ORDINANCES, REGULATIONS, AND STANDARDS (LORS)

Lists of LORS applicable to each engineering discipline (civil, structural, mechanical, and electrical) are described in the AFC (BSE 2007a, Appendix 2.0). Key LORS are listed in Facility Design Table 1 below.

**Facility Design Table 1**

**Key Engineering Laws, Ordinances, Regulations, and Standards (LORS)**

<table>
<thead>
<tr>
<th>Applicable LORS</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Federal</strong></td>
<td>Title 29 Code of Federal Regulations (CFR), Part 1910, Occupational Safety and Health standards</td>
</tr>
<tr>
<td><strong>State</strong></td>
<td>2007 California Building Standards Code (CBSC) (also known as Title 24, California Code of Regulations)</td>
</tr>
<tr>
<td><strong>Local</strong></td>
<td>San Bernardino County regulations and ordinances</td>
</tr>
</tbody>
</table>

SETTING

The ISEGS would be built on 4,073 acres located in Southern California’s Mojave Desert, in San Bernardino County, approximately 3.1 miles west of the California/Nevada border. The site lies in seismic zone 3. For more information on the site and related project description, please see the Project Description section of this document. Additional engineering design details are contained in the AFC, Appendix 2.0 (BSE 2007a; CH2ML2008g) and in the applicant’s updated Project Description (CH2ML2009f).

ASSESSMENT OF IMPACTS AND DISCUSSION OF MITIGATION

The purpose of this analysis is to ensure that the project would be built to applicable engineering codes and assure public health and life safety. This analysis verifies that applicable engineering LORS have been identified and that the project and its ancillary facilities have been described in adequate detail. It also evaluates the applicant’s proposed design criteria, describes the design review and construction inspection process, and establishes conditions of certification that would monitor and ensure compliance with engineering LORS and any other special design requirements. These conditions allow both the California Energy Commission (Energy Commission) compliance project manager (CPM) and the applicant to adopt a compliance monitoring scheme that will verify compliance with these LORS.
PROPOSED PROJECT

SITE PREPARATION AND DEVELOPMENT

Staff has evaluated the proposed design criteria for grading, flood protection, erosion control, site drainage, and site access, in addition to the criteria for designing and constructing linear support facilities such as natural gas and electric transmission interconnections. The applicant proposes the use of accepted industry standards (see BSE 2007a, Appendix 2.0, for a representative list of applicable industry standards), design practices, and construction methods in preparing and developing the site. Staff concludes that this project, including its linear facilities, would most likely comply with all applicable site preparation LORS, and proposes conditions of certification (see below and the Geology and Paleontology section of this document) to ensure that compliance.

MAJOR STRUCTURES, SYSTEMS, AND EQUIPMENT

Major structures, systems, and equipment are defined as structures and their associated components or equipment that are necessary for power production; are costly or time consuming to repair or replace; are used for the storage, containment, or handling of hazardous or toxic materials; or could become potential health and safety hazards if not constructed according to applicable engineering LORS. Major structures and equipment are identified in the proposed Condition of Certification GEN-2, below. Typically, Facility Design Table 2 in Condition of Certification GEN-2 lists the major structures and equipment identified in the AFC and other project related information available before project licensing; this list is based on the preliminary design of the project. The master drawing and master specifications lists described in Condition of Certification GEN-2, however, include the project-related documents based on the project’s detailed design and may include additional documents for structures and equipment not identified in Facility Design Table 2. (Detailed project design typically occurs after project licensing and is not available at this time.)

ISEGS would be designed and constructed to the 2007 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the California Building Code (CBC), California Building Standards Administrative Code, California Electrical Code, California Mechanical Code, California Plumbing Code, California Energy Code, California Fire Code, California Code for Building Conservation, California Reference Standards Code, and other applicable codes and standards in effect when the design and construction of the project actually begin. If the initial designs are submitted to the chief building official (CBO) for review and approval after the update to the 2007 CBSC takes effect, the 2007 CBSC provisions would be replaced with the updated provisions.

Certain structures in a power plant may be required, under the CBC, to undergo dynamic lateral force (structural) analysis; others may be designed using the simpler static analysis procedure. In order to ensure that structures are analyzed according to their appropriate lateral force procedure, staff has included Condition of Certification...
STRUC-1, below, which, in part, requires the project CBO’s review and approval of the owner’s proposed lateral force procedures before construction begins.

PROJECT QUALITY PROCEDURES

The project’s AFC (BSE 2007a, § 2.3.2.5) describes a quality program intended to inspire confidence that its systems and components will be designed, fabricated, stored, transported, installed, and tested in accordance with all appropriate power plant technical codes and standards. Compliance with design requirements would be verified through specific inspections and audits. Implementation of this quality assurance/quality control (QA/QC) program would ensure that ISEGS is actually designed, procured, fabricated, and installed as described in this analysis.

COMPLIANCE MONITORING

Under section 104.1 in Appendix Chapter 1 of the CBC, the CBO is authorized and directed to enforce all provisions of the CBC. The Energy Commission itself serves as the building official and has the responsibility to enforce the code for all of the energy facilities it certifies. In addition, the Energy Commission has the power to interpret the CBC and adopt and enforce both rules and supplemental regulations that clarify application of the CBC’s provisions.

The Energy Commission’s design review and construction inspection process conforms to CBC requirements and ensures that all facility design conditions of certification are met. As provided by section 103.3 in Appendix Chapter 1 of the CBC, the Energy Commission appoints experts to perform design review and construction inspections and act as delegate CBOs on behalf of the Energy Commission. These delegates typically include the local building official and/or independent consultants hired to provide technical expertise that is not provided by the local official alone. The applicant, through permit fees provided by the CBC, section 108 in Appendix Chapter 1, pays the cost of these reviews and inspections. While building permits in addition to Energy Commission certification are not required for this project, the applicant, consistent with CBC section 108, would pay in lieu of CBC permit fees to cover the costs of these reviews and inspections.

Engineering and compliance staff would invite San Bernardino County or a third-party engineering consultant to act as CBO for this project. When an entity has been assigned CBO duties, Energy Commission staff would complete a memorandum of understanding (MOU) with that entity to outline both its roles and responsibilities and those of its subcontractors and delegates.

Staff has developed proposed conditions of certification to ensure public health and safety and compliance with engineering design LORS. Some of these conditions address the roles, responsibilities, and qualifications of the engineers who would design and build the proposed project (Conditions of Certification GEN-1 through GEN-8). These engineers must be registered in California and sign and stamp every submittal of design plans, calculations, and specifications submitted to the CBO. These conditions require that every element of the project’s construction (subject to CBO review and approval) be approved by the CBO before it is performed. They also require that
qualified special inspectors perform or oversee special inspections required by all applicable LORS.

While the Energy Commission and delegate CBO have the authority to allow some flexibility in scheduling construction activities, these conditions are written so that no element of construction (of permanent facilities subject to CBO review and approval) which could be difficult to reverse or correct could proceed without prior CBO approval. Elements of construction that are not difficult to reverse would be able to proceed without approval of the plans. The applicant would bear the responsibility to fully modify construction elements in order to comply with all design changes resulting from the CBO's subsequent plan review and approval process. Closure and Decommissioning Impacts and Mitigation The removal of a facility from service (decommissioning) when it reaches the end of its useful life ranges from mothballing, to the removal of all equipment and appurtenant facilities and subsequent restoration of the site. Future conditions that could affect decommissioning are largely unknown at this time.

In order to ensure that decommissioning would be completed in a manner that is environmentally sound and safe and would protect the public health and safety, the applicant would submit a decommissioning plan to the Energy Commission for review and approval before the project's decommissioning begins. The plan would include a discussion of:

- proposed decommissioning activities for the project and all appurtenant facilities that were constructed as part of the project;
- all applicable LORS, local/regional plans, and proof of adherence to those applicable LORS and local/regional plans;
- the activities necessary to restore the site if the plan requires removal of all equipment and appurtenant facilities; and
- decommissioning alternatives other than complete site restoration.

Satisfying the above requirements should serve as adequate protection, even in the unlikely event that the project is abandoned. Staff has proposed general conditions (see General Conditions) to ensure that these measures are included in the Facility Closure Plan.

**NO PROJECT / NO ACTION ALTERNATIVE**

In the No Project / No Action Alternative, the proposed action would not be undertaken. The BLM land on which the project is proposed would continue to be managed within BLM's framework of a program of multiple use and sustained yield, and the maintenance of environmental quality [43 U.S.C. 1781 (b)] in conformance with applicable statutes, regulations, policy and land use plan.

The results of the No Project / No Action Alternative would be the following:
The impacts of the proposed project would not occur. However, the land on which the project is proposed would become available to other uses that are consistent with BLM's land use plan, including another solar project.

The benefits of the proposed project in reducing greenhouse gas emissions from gas-fired generation would not occur. Both State and Federal law support the increased use of renewable power generation.

If this project is not approved, renewable projects would likely be developed on other sites in the Mojave Desert or in adjacent states as developers strive to provide renewable power that complies with utility requirements and State/Federal mandates. For example, there are three large solar projects proposed on BLM land in Nevada within a few miles of the Ivanpah site. In addition, there are currently 66 applications for solar projects covering 611,692 acres pending with BLM in the California Desert District. The No Project / No Action Alternative would not cause any impacts associated with Facility Design.

RESPONSE TO AGENCY AND PUBLIC COMMENTS

No comments have been received from agencies or the public.

CONCLUSIONS AND RECOMMENDATIONS

The laws, ordinances, regulations, and standards (LORS) identified in the AFC and supporting documents directly apply to the project.

Staff has evaluated the proposed engineering LORS, design criteria, and design methods in the record, and concludes that the design, construction, and eventual closure of the project will likely comply with applicable engineering LORS.

The proposed conditions of certification will ensure that ISEGS is designed and constructed in accordance with applicable engineering LORS. This would be accomplished through design review, plan checking, and field inspections that would be performed by the CBO or other Energy Commission delegate. Staff would audit the CBO to ensure satisfactory performance.

Though future conditions that could affect decommissioning are largely unknown at this time, it can reasonably be concluded that if the project owner submits a decommissioning plan as required in the General Conditions portion of this document prior to decommissioning, decommissioning procedures would comply with all applicable engineering LORS.

Energy Commission staff recommends that:

1. the proposed conditions of certification be adopted to ensure that the project is designed and constructed in a manner that protects the public health and safety and complies with all applicable engineering LORS;
2. the project be designed and built to the 2007 CBSC (or successor standards, if in effect when initial project engineering designs are submitted for review); and

3. the CBO reviews the final designs, checks plans, and performs field inspections during construction and that BLM’s Authorized Officer and Energy Commission staff audit and monitor the CBO to ensure satisfactory performance.

**MITIGATION MEASURES/PROPOSED CONDITIONS OF CERTIFICATION**

**GEN-1**

The project owner shall design, construct, and inspect the project in accordance with the 2007 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the California Building Code (CBC), California Administrative Code, California Electrical Code, California Mechanical Code, California Plumbing Code, California Energy Code, California Fire Code, California Code for Building Conservation, California Reference Standards Code, and all other applicable engineering LORS in effect at the time initial design plans are submitted to the chief building official (CBO) for review and approval (the CBSC in effect is the edition that has been adopted by the California Building Standards Commission and published at least 180 days previously). The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving, demolition, repair, or maintenance of the completed facility (2007 CBC, Appendix Chapter 1, section 101.2, Scope). All transmission facilities (lines, switchyards, switching stations, and substations) are covered in the conditions of certification in the Transmission System Engineering section of this document.

In the event that the initial engineering designs are submitted to the CBO when the successor to the 2007 CBSC is in effect, the 2007 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction, or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern.

The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.

**Verification:** Within 30 days following receipt of the certificate of occupancy, the project owner shall submit to BLM’s Authorized Officer and the Compliance Project Manager (CPM) a statement of verification, signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission’s decision have been met in the area of facility design. The project owner shall provide BLM’s Authorized Officer and the CPM a copy of the certificate of occupancy within 30 days of receipt from the CBO (2007 CBC, Appendix Chapter 1, section 110, Certificate of Occupancy).
Once the certificate of occupancy has been issued, the project owner shall inform BLM’s Authorized Officer and the CPM at least 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance to be performed on any portion(s) of the completed facility that requires CBO approval for compliance with the above codes. BLM’s Authorized Officer and the CPM will then determine if the CBO needs to approve the work.

**GEN-2** Before submitting the initial engineering designs for CBO review, the project owner shall furnish BLM’s Authorized Officer, the CPM and the CBO with a schedule of facility design submittals and master drawing and master specifications lists. The schedule shall contain a list of proposed submittal packages of designs, calculations, and specifications for major structures and equipment. To facilitate audits by BLM’s Authorized Officer and/or Energy Commission staff, the project owner shall provide specific packages to BLM’s Authorized Officer and/or the CPM upon request.

**Verification:** At least 60 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO, BLM’s Authorized Officer and to the CPM the schedule, the master drawing and master specifications lists of documents to be submitted to the CBO for review and approval. These documents shall be the pertinent design documents for the major structures and equipment listed in **Facility Design Table 2**, below. Major structures and equipment shall be added to or deleted from the table only with BLM’s Authorized Officer and CPM approval. The project owner shall provide schedule updates in the monthly compliance report.
## Facility Design Table 2
### Major Structures and Equipment List

<table>
<thead>
<tr>
<th>Equipment/System</th>
<th>Quantity (Plant)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Turbine Generator Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Boiler Structure, Foundation and Connections</td>
<td>10</td>
</tr>
<tr>
<td>Air Cooled Condenser Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Feed Water Preheater Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Deaerator Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Steam Distributor Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Water Treatment Plant, Administration and Electrical Building Structure, Foundation and Connections</td>
<td>4</td>
</tr>
<tr>
<td>Water Storage Tanks Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Maintenance Wing Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Turbine Lubrication System Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Emergency Generator Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Diesel Fire Pump Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Reheat Tower Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Emergency Generator Exhaust Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Pipe Bridge Structure, Foundation and Connections</td>
<td>3</td>
</tr>
<tr>
<td>Solar Fields and Towers Structures, Foundations and Connections</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Evaporation Pits</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Drainage Systems (including sanitary drain and waste)</td>
<td>3 Lots</td>
</tr>
<tr>
<td>High Pressure and Large Diameter Piping and Pipe Racks</td>
<td>3 Lots</td>
</tr>
<tr>
<td>HVAC and Refrigeration Systems</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Temperature Control and Ventilation Systems (including water and sewer connections)</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Building Energy Conservation Systems</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Switchyard, Buses, and Towers</td>
<td>3 Lots</td>
</tr>
<tr>
<td>Substation</td>
<td>1 Lot</td>
</tr>
<tr>
<td>Electrical Duct Banks</td>
<td>3 Lots</td>
</tr>
</tbody>
</table>

**GEN-3** The project owner shall make payments to the CBO for design review, plan checks, and construction inspections, based upon a reasonable fee schedule to be negotiated between the project owner and the CBO. These fees may be consistent with the fees listed in the 2007 CBC (2007 CBC, Appendix Chapter 1, section 108, Fees; Chapter 1, section 108.4, Permits, Fees, Applications and Inspections), adjusted for inflation and other appropriate adjustments; may be based on the value of the facilities reviewed; may be based on hourly rates; or may be otherwise agreed upon by the project owner and the CBO.
Verification: The project owner shall make the required payments to the CBO in accordance with the agreement between the project owner and the CBO. The project owner shall send a copy of the CBO’s receipt of payment to BLM’s Authorized Officer and the CPM in the next monthly compliance report indicating that applicable fees have been paid.

GEN-4 Prior to the start of rough grading, the project owner shall assign a California-registered architect, structural engineer, or civil engineer, as the resident engineer (RE) in charge of the project (2007 California Administrative Code, section 4-209, Designation of Responsibilities). All transmission facilities (lines, switchyards, switching stations, and substations) are addressed in the conditions of certification in the Transmission System Engineering section of this document.

The RE may delegate responsibility for portions of the project to other registered engineers. Registered mechanical and electrical engineers may be delegated responsibility for mechanical and electrical portions of the project, respectively. A project may be divided into parts, provided that each part is clearly defined as a distinct unit. Separate assignments of general responsibility may be made for each designated part.

The RE shall:

1. Monitor progress of construction work requiring CBO design review and inspection to ensure compliance with LORS;
2. Ensure that construction of all facilities subject to CBO design review and inspection conforms in every material respect to applicable LORS, these conditions of certification, approved plans, and specifications;
3. Prepare documents to initiate changes in approved drawings and specifications when either directed by the project owner or as required by the conditions of the project;
4. Be responsible for providing project inspectors and testing agencies with complete and up-to-date sets of stamped drawings, plans, specifications, and any other required documents;
5. Be responsible for the timely submittal of construction progress reports to the CBO from the project inspectors, the contractor, and other engineers who have been delegated responsibility for portions of the project; and
6. Be responsible for notifying the CBO of corrective action or the disposition of items noted on laboratory reports or other tests when they do not conform to approved plans and specifications.

The RE shall have the authority to halt construction and to require changes or remedial work if the work does not meet requirements.

If the RE or the delegated engineers are reassigned or replaced, the project owner shall submit the name, qualifications, and registration number of the
newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer.

**Verification:** At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, the resume and registration number of the RE and any other delegated engineers assigned to the project. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approvals of the RE and other delegated engineer(s) within 5 days of the approval.

If the RE or the delegated engineer(s) is subsequently reassigned or replaced, the project owner has 5 days to submit the resume and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer within 5 days of the approval.

**GEN-5** Prior to the start of rough grading, the project owner shall assign at least one of each of the following California registered engineers to the project: a civil engineer; a soils, geotechnical, or civil engineer experienced and knowledgeable in the practice of soils engineering; and an engineering geologist. Prior to the start of construction, the project owner shall assign at least one of each of the following California registered engineers to the project: a design engineer who is either a structural engineer or a civil engineer fully competent and proficient in the design of power plant structures and equipment supports; a mechanical engineer; and an electrical engineer. (California Business and Professions Code section 6704 et seq., and sections 6730, 6731, and 6736 require state registration to practice as a civil engineer or structural engineer in California.) All transmission facilities (lines, switchyards, switching stations, and substations) are handled in “Conditions of Certification” in the Transmission System Engineering section of this document.

The tasks performed by the civil, mechanical, electrical, or design engineers may be divided between two or more engineers, as long as each engineer is responsible for a particular segment of the project (for example, proposed earthwork, civil structures, power plant structures, equipment support). No segment of the project shall have more than one responsible engineer. The transmission line may be the responsibility of a separate California registered electrical engineer.

The project owner shall submit, to the CBO for review and approval, the names, qualifications, and registration numbers of all responsible engineers assigned to the project (2007 CBC, Appendix Chapter 1, section 104, Duties and Powers of Building Official).

If any one of the designated responsible engineers is subsequently reassigned or replaced, the project owner shall submit the name, qualifications and registration number of the newly assigned responsible
engineer to the CBO for review and approval. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approval of the new engineer.

A. The civil engineer shall:

1. Review the foundation investigations, geotechnical, or soils reports prepared by the soils engineer, the geotechnical engineer, or by a civil engineer experienced and knowledgeable in the practice of soils engineering;

2. Design (or be responsible for the design of), stamp, and sign all plans, calculations, and specifications for proposed site work, civil works, and related facilities requiring design review and inspection by the CBO. At a minimum, these include: grading, site preparation, excavation, compaction, construction of secondary containment, foundations, erosion and sedimentation control structures, drainage facilities, underground utilities, culverts, site access roads and sanitary sewer systems; and

3. Provide consultation to the RE during the construction phase of the project and recommend changes in the design of the civil works facilities and changes to the construction procedures.

B. The soils engineer, geotechnical engineer, or civil engineer experienced and knowledgeable in the practice of soils engineering, shall:

1. Review all the engineering geology reports;

2. Prepare the foundation investigations, geotechnical, or soils reports containing field exploration reports, laboratory tests, and engineering analysis detailing the nature and extent of the soils that could be susceptible to liquefaction, rapid settlement, or collapse when saturated under load (2007 CBC, Appendix J, section J104.3, Soils Report; Chapter 18, section 1802.2, Foundation and Soils Investigations);

3. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with requirements set forth in the 2007 CBC, Appendix J, section J105, Inspections, and the 2007 California Administrative Code, section 4-211, Observation and Inspection of Construction (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both); and

4. Recommend field changes to the civil engineer and RE.

This engineer shall be authorized to halt earthwork and to require changes if site conditions are unsafe or do not conform to the predicted conditions used as the basis for design of earthwork or foundations (2007 CBC, Appendix Chapter 1, section 114, Stop Orders).

C. The engineering geologist shall:
1. Review all the engineering geology reports and prepare a final soils grading report; and

2. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with the requirements set forth in the 2007 California Administrative Code, section 4-211, Observation and Inspection of Construction (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both).

D. The design engineer shall:

1. Be directly responsible for the design of the proposed structures and equipment supports;

2. Provide consultation to the RE during design and construction of the project;

3. Monitor construction progress to ensure compliance with engineering LORS;

4. Evaluate and recommend necessary changes in design; and

5. Prepare and sign all major building plans, specifications, and calculations.

E. The mechanical engineer shall be responsible for, and sign and stamp a statement with, each mechanical submittal to the CBO, stating that the proposed final design plans, specifications, and calculations conform to all of the mechanical engineering design requirements set forth in BLM’s Right-of-Way Decision and the Energy Commission’s decision.

F. The electrical engineer shall:

1. Be responsible for the electrical design of the project; and

2. Sign and stamp electrical design drawings, plans, specifications, and calculations.

Veriﬁcation: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible civil engineer, soils (geotechnical) engineer, and engineering geologist assigned to the project.

At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of construction, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible design engineer, mechanical engineer, and electrical engineer assigned to the project. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approvals of the responsible engineers within 5 days of the approval.
If the designated responsible engineer is subsequently reassigned or replaced, the project owner has 5 days in which to submit the resume and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approval of the new engineer within 5 days of the approval.

**GEN-6** Prior to the start of an activity requiring special inspection, the project owner shall assign to the project a qualified and certified special inspector(s) who shall be responsible for the special inspections required by the 2007 CBC, Chapter 17, section 1704, Special Inspections; Chapter 17A, section 1704A, Special Inspections; and Appendix Chapter 1, Section 109, Inspections. All transmission facilities (lines, switchyards, switching stations, and substations) are handled in “Conditions of Certification” in the Transmission System Engineering section of this document.

A certified weld inspector, certified by the American Welding Society (AWS), and/or American Society of Mechanical Engineers (ASME) as applicable, shall inspect welding performed on site requiring special inspection (including structural, piping, tanks, and pressure vessels).

The special inspector shall:

1. Be a qualified person who shall demonstrate competence, to the satisfaction of the CBO, for inspection of the particular type of construction requiring special or continuous inspection;
2. Observe the work assigned for conformance with the approved design drawings and specifications;
3. Furnish inspection reports to the CBO and RE. All discrepancies shall be brought to the immediate attention of the RE for correction, then, if uncorrected, to the CBO, BLM’s Authorized Officer and the CPM for corrective action (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements); and
4. Submit a final signed report to the RE, CBO, BLM’s Authorized Officer and CPM, stating whether the work requiring special inspection was, to the best of the inspector’s knowledge, in conformance with the approved plans, specifications, and other provisions of the applicable edition of the CBC.

**Verification:** At least 15 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of an activity requiring special inspection, the project owner shall submit to the CBO for review and approval, with a copy to BLM’s Authorized Officer and the CPM, the name(s) and qualifications of the certified weld inspector(s) or other certified special inspector(s) assigned to the project to perform one or more of the duties set forth above. The project owner shall also submit to BLM’s Authorized Officer and the CPM a copy of the CBO’s approval of the qualifications of all special inspectors in the next monthly compliance report.
If the special inspector is subsequently reassigned or replaced, the project owner has 5 days in which to submit the name and qualifications of the newly assigned special inspector to the CBO for approval. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approval of the newly assigned inspector within 5 days of the approval.

GEN-7 If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend required corrective actions (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required; Chapter 17, section 1704.1.2, Report Requirements). The discrepancy documentation shall be submitted to the CBO for review and approval. The discrepancy documentation shall reference this condition of certification and, if appropriate, applicable sections of the CBC and/or other LORS.

Verification: The project owner shall transmit a copy of the CBO’s approval of any corrective action taken to resolve a discrepancy to BLM’s Authorized Officer and the CPM in the next monthly compliance report. If any corrective action is disapproved, the project owner shall advise BLM’s Authorized Officer and the CPM, within 5 days, of the reason for disapproval and the revised corrective action to obtain CBO’s approval.

GEN-8 The project owner shall obtain the CBO’s final approval of all completed work that has undergone CBO design review and approval. The project owner shall request the CBO to inspect the completed structure and review the submitted documents. The project owner shall notify BLM’s Authorized Officer and the CPM after obtaining the CBO’s final approval. The project owner shall retain one set of approved engineering plans, specifications, and calculations (including all approved changes) at the project site or at an alternative site approved by BLM’s Authorized Officer and the CPM during the operating life of the project (2007 CBC, Appendix Chapter 1, section 106.3.1, Approval of Construction Documents). Electronic copies of the approved plans, specifications, calculations, and marked-up as-builts shall be provided to the CBO for retention by BLM’s Authorized Officer and the CPM.

Verification: Within 15 days of the completion of any work, the project owner shall submit to the CBO, with a copy to BLM’s Authorized Officer and the CPM, in the next monthly compliance report, (a) a written notice that the completed work is ready for final inspection, and (b) a signed statement that the work conforms to the final approved plans. After storing the final approved engineering plans, specifications, and calculations described above, the project owner shall submit to BLM’s Authorized Officer and the CPM a letter stating both that the above documents have been stored and the storage location of those documents.

Within 90 days of the completion of construction, the project owner shall provide to the CBO three sets of electronic copies of the above documents at the project owner’s expense. These are to be provided in the form of “read only” (Adobe .pdf 6.0) files, with restricted (password-protected) printing privileges, on archive quality compact discs.
CIVIL-1 The project owner shall submit to the CBO for review and approval the following:

1. Design of the proposed drainage structures and the grading plan;
2. An erosion and sedimentation control plan;
3. Related calculations and specifications, signed and stamped by the responsible civil engineer; and

Verification: At least 15 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of site grading, the project owner shall submit the documents described above to the CBO for design review and approval. In the next monthly compliance report following the CBO’s approval, the project owner shall submit a written statement certifying that the documents have been approved by the CBO.

CIVIL-2 The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project owner shall obtain approval from the CBO before resuming earthwork and construction in the affected area (2007 CBC, Appendix Chapter 1, section 114, Stop Work Orders).

Verification: The project owner shall notify BLM’s Authorized Officer and the CPM within 24 hours, when earthwork and construction is stopped as a result of unforeseen adverse geologic/soil conditions. Within 24 hours of the CBO’s approval to resume earthwork and construction in the affected areas, the project owner shall provide to BLM’s Authorized Officer and the CPM a copy of the CBO’s approval.

CIVIL-3 The project owner shall perform inspections in accordance with the 2007 CBC, Appendix Chapter 1, section 109, Inspections, and Chapter 17, section 1704, Special Inspections. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO.

If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, BLM’s Authorized Officer and the CPM (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements). The project owner shall prepare a written report, with copies to the CBO, BLM’s Authorized Officer and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.

Verification: Within 5 days of the discovery of any discrepancies, the resident engineer shall transmit to the CBO, BLM’s Authorized Officer and the CPM a non-conformance report (NCR) and the proposed corrective action for review and approval.
Within 5 days of resolution of the NCR, the project owner shall submit the details of the corrective action to the CBO, BLM’s Authorized Officer and the CPM. A list of NCRs for the reporting month shall also be included in the following monthly compliance report.

**CIVIL-4** After completion of finished grading and erosion and sedimentation control and drainage work, the project owner shall obtain the CBO’s approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans (2007 CBC, Chapter 17, section 1703.2, Written Approval).

**Verification:** Within 30 days (or a project owner- and CBO-approved alternative time frame) of the completion of the erosion and sediment control mitigation and drainage work, the project owner shall submit to the CBO, for review and approval, the final grading plans (including final changes) and the responsible civil engineer’s signed statement that the installation of the facilities and all erosion control measures were completed in accordance with the final approved combined grading plans and that the facilities are adequate for their intended purposes, along with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM. The project owner shall submit a copy of the CBO's approval to BLM’s Authorized Officer and the CPM in the next monthly compliance report.

**STRUC-1** Prior to the start of any increment of construction of any major structure or component listed in **Facility Design Table 2** of Condition of Certification **GEN-2**, above, the project owner shall submit to the CBO for design review and approval the proposed lateral force procedures for project structures and the applicable designs, plans, and drawings for project structures. Proposed lateral force procedures, designs, plans, and drawings shall be those for the following items (from **Table 2**, above):

1. Major project structures;
2. Major foundations, equipment supports, and anchorage; and
3. Large field-fabricated tanks.

Construction of any structure or component shall not begin until the CBO has approved the lateral force procedures to be employed in designing that structure or component.

The project owner shall:

1. Obtain approval from the CBO of lateral force procedures proposed for project structures;
2. Obtain approval from the CBO for the final design plans, specifications, calculations, soils reports, and applicable quality control procedures. If there are conflicting requirements, the more stringent shall govern (for example, highest loads, or lowest allowable stresses shall govern). All plans, calculations, and specifications for foundations that support structures shall be filed concurrently with the structure plans, calculations,
and specifications (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required);
3. Submit to the CBO the required number of copies of the structural plans, specifications, calculations, and other required documents of the designated major structures prior to the start of on-site fabrication and installation of each structure, equipment support, or foundation (2007 California Administrative Code, section 4-210, Plans, Specifications, Computations and Other Data);
4. Ensure that the final plans, calculations, and specifications clearly reflect the inclusion of approved criteria, assumptions, and methods used to develop the design. The final designs, plans, calculations, and specifications shall be signed and stamped by the responsible design engineer (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge); and
5. Submit to the CBO the responsible design engineer’s signed statement that the final design plans conform to applicable LORS (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge).

**Verification:** At least 60 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of any increment of construction of any structure or component listed in Facility Design Table 2 of Condition of Certification GEN-2, above, the project owner shall submit to the CBO the above final design plans, specifications, and calculations, with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM.

The project owner shall submit to BLM’s Authorized Officer and the CPM, in the next monthly compliance report, a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.

**STRUC-2** The project owner shall submit to the CBO the required number of sets of the following documents related to work that has undergone CBO design review and approval:

1. Concrete cylinder strength test reports (including date of testing, date sample taken, design concrete strength, tested cylinder strength, age of test, type and size of sample, location and quantity of concrete placement from which sample was taken, and mix design designation and parameters);
2. Concrete pour sign-off sheets;
3. Bolt torque inspection reports (including location of test, date, bolt size, and recorded torques);
4. Field weld inspection reports (including type of weld, location of weld, inspection of non-destructive testing [NDT] procedure and results, welder qualifications, certifications, qualified procedure description or number [ref: AWS]); and
5. Reports covering other structural activities requiring special inspections shall be in accordance with the 2007 CBC, Chapter 17, section 1704, Special Inspections, and Section 1709.1, Structural Observations.

**Verification:** If a discrepancy is discovered in any of the above data, the project owner shall, within 5 days, prepare and submit an NCR describing the nature of the discrepancies and the proposed corrective action to the CBO, with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements). The NCR shall reference the condition(s) of certification and the applicable CBC chapter and section. Within 5 days of resolution of the NCR, the project owner shall submit a copy of the corrective action to the CBO and the CPM.

The project owner shall transmit a copy of the CBO’s approval or disapproval of the corrective action to BLM’s Authorized Officer and the CPM within 15 days. If disapproved, the project owner shall advise BLM’s Authorized Officer and the CPM, within 5 days, the reason for disapproval, and the revised corrective action to obtain CBO’s approval.

**STRUC-3** The project owner shall submit to the CBO design changes to the final plans required by the 2007 CBC, including the revised drawings, specifications, calculations, and a complete description of, and supporting rationale for, the proposed changes and shall give to the CBO prior notice of the intended filing (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents; section 106.4, Amended Construction Documents; 2007 California Administrative Code, section 4-215, Changes in Approved Drawings and Specifications).

**Verification:** On a schedule suitable to the CBO, the project owner shall notify the CBO of the intended filing of design changes and shall submit the required number of sets of revised drawings and the required number of copies of the other above-mentioned documents to the CBO, with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM. The project owner shall notify BLM’s Authorized Officer and the CPM, via the monthly compliance report, when the CBO has approved the revised plans.

**STRUC-4** Tanks and vessels containing quantities of toxic or hazardous materials exceeding amounts specified in the 2007 CBC, Chapter 3, Table 307.1(2), shall, at a minimum, be designed to comply with the requirements of that chapter.

**Verification:** At least 30 days (or within a project owner- and CBO-approved alternate time frame) prior to the start of installation of the tanks or vessels containing the above-specified quantities of toxic or hazardous materials, the project owner shall submit to the CBO for design review and approval final design plans, specifications, and calculations, including a copy of the signed and stamped engineer’s certification. The project owner shall send copies of the CBO approvals of plan checks to BLM’s Authorized Officer and the CPM in the following monthly compliance report. The project owner shall also transmit a copy of the CBO’s inspection approvals to BLM’s Authorized
Officer and the CPM in the monthly compliance report following completion of any inspection.

**MECH-1** The project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations for each plant major piping and plumbing system listed in **Facility Design Table 2**, Condition of Certification **GEN-2**, above. Physical layout drawings and drawings not related to code compliance and life safety need not be submitted. The submittal shall also include the applicable QA/QC procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO’s inspection approval of that construction (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents; section 109.5, Inspection Requests; section 109.6, Approval Required; 2007 California Plumbing Code, section 301.1.1, Approvals).

The responsible mechanical engineer shall stamp and sign all plans, drawings, and calculations for the major piping and plumbing systems, subject to CBO design review and approval, and submit a signed statement to the CBO when the proposed piping and plumbing systems have been designed, fabricated, and installed in accordance with all of the applicable laws, ordinances, regulations and industry standards (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge), which may include, but are not limited to:

- American National Standards Institute (ANSI) B31.1 (Power Piping Code);
- ANSI B31.2 (Fuel Gas Piping Code);
- ANSI B31.3 (Chemical Plant and Petroleum Refinery Piping Code);
- ANSI B31.8 (Gas Transmission and Distribution Piping Code);
- Title 24, California Code of Regulations, Part 5 (California Plumbing Code);
- Title 24, California Code of Regulations, Part 6 (California Energy Code, for building energy conservation systems and temperature control and ventilation systems);
- Title 24, California Code of Regulations, Part 2 (California Building Code);
- and
- San Bernardino County codes.

The CBO may deputize inspectors to carry out the functions of the code enforcement agency (2007 CBC, Appendix Chapter 1, section 103.3, Deputies).

**Verification:** At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of any increment of major piping or plumbing construction listed in **Facility Design Table 2**, Condition of Certification **GEN-2**, above, the project owner shall submit to the CBO for design review and approval the final plans, specifications, and calculations, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS, and shall send BLM’s Authorized Officer and the CPM a copy of the transmittal letter in the next monthly compliance report.
The project owner shall transmit to BLM’s Authorized Officer and the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO’s inspection approvals.

**MECH-2**  For all pressure vessels installed in the plant, the project owner shall submit to the CBO and California Occupational Safety and Health Administration (Cal/OSHA), prior to operation, the code certification papers and other documents required by applicable LORS. Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal/OSHA inspection of that installation (2007 CBC, Appendix Chapter 1, section 109.5, Inspection Requests).

The project owner shall:

1. Ensure that all boilers and fired and unfired pressure vessels are designed, fabricated, and installed in accordance with the appropriate section of the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, or other applicable code. Vendor certification, with identification of applicable code, shall be submitted for prefabricated vessels and tanks; and
2. Have the responsible design engineer submit a statement to the CBO that the proposed final design plans, specifications, and calculations conform to all of the requirements set forth in the appropriate ASME Boiler and Pressure Vessel Code or other applicable codes.

**Verification:** At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of on-site fabrication or installation of any pressure vessel, the project owner shall submit to the CBO for design review and approval the above-listed documents, including a copy of the signed and stamped engineer’s certification, with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM.

The project owner shall transmit to BLM’s Authorized Officer and the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO’s and/or Cal/OSHA inspection approvals.

**MECH-3**  The project owner shall submit to the CBO for design review and approval the design plans, specifications, calculations, and quality control procedures for any heating, ventilating, air conditioning (HVAC), or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer’s data sheets.

The project owner shall design and install all HVAC and refrigeration systems within buildings and related structures in accordance with the CBC and other applicable codes. Upon completion of any increment of construction, the project owner shall request the CBO’s inspection and approval of that construction. The final plans, specifications, and calculations shall include approved criteria, assumptions, and methods used to develop the design. In addition, the responsible mechanical engineer shall sign and stamp all plans,
drawings, and calculations and submit a signed statement to the CBO that the proposed final design plans, specifications, and calculations conform with the applicable LORS (2007 CBC, Appendix Chapter 1, section 109.3.7, Energy Efficiency Inspections; section 106.3.4, Design Professionals in Responsible Charge).

**Verification:** At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of construction of any HVAC or refrigeration system, the project owner shall submit to the CBO the required HVAC and refrigeration calculations, plans, and specifications, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with the CBC and other applicable codes, with a copy of the transmittal letter to BLM’s Authorized Officer and the CPM.

**ELEC-1** Prior to the start of any increment of electrical construction for all electrical equipment and systems 480 volts or higher (see a representative list, below), with the exception of underground duct work and any physical layout drawings and drawings not related to code compliance and life safety, the project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents). Upon approval, the above-listed plans, together with design changes and design change notices, shall remain on the site or at another accessible location for the operating life of the project. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required; section 109.5, Inspection Requests). All transmission facilities (lines, switchyards, switching stations, and substations) are handled in "Conditions of Certification" in the *Transmission System Engineering* section of this document.

A. Final plant design plans shall include:

1. One-line diagrams for the 13.8-kV, 4.16-kV, and 480-volt systems; and
2. System grounding drawings.

B. Final plant calculations must establish:

1. Short-circuit ratings of plant equipment;
2. Ampacity of feeder cables;
3. Voltage drop in feeder cables;
4. System grounding requirements;
5. Coordination study calculations for fuses, circuit breakers and protective relay settings for the 13.8-kV, 4.16-kV, and 480-volt systems;
6. System grounding requirements; and
7. Lighting energy calculations.
C. The following activities shall be reported to BLM’s Authorized Officer and the CPM in the monthly compliance report:

1. Receipt or delay of major electrical equipment;
2. Testing or energization of major electrical equipment; and
3. A signed statement by the registered electrical engineer certifying that the proposed final design plans and specifications conform to requirements set forth in the Energy Commission decision.

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of each increment of electrical construction, the project owner shall submit to the CBO for design review and approval the above-listed documents. The project owner shall include in this submittal a copy of the signed and stamped statement from the responsible electrical engineer attesting compliance with the applicable LORS and shall send BLM’s Authorized Officer and the CPM a copy of the transmittal letter in the next monthly compliance report.

REFERENCES


Appendix C-2
Power Plant Efficiency
SUMMARY OF CONCLUSIONS

The Ivanpah Solar Electric Generating System (ISEGS), if constructed and operated as proposed, would generate 400 megawatts (MW) (maximum net output) of electricity. This project would consist of two 100 MW plants (Ivanpah 1 and Ivanpah 2) and one 200 MW plant (Ivanpah 3), employing advanced solar power and modern steam turbine technologies. The ISEGS would use solar energy to generate up to 95 percent of its capacity, and natural gas to generate up to five percent of its capacity.

The project would decrease reliance on fossil fuel, and would increase reliance on renewable energy resources. It would not create significant adverse effects on fossil fuel energy supplies or resources, would not require additional sources of energy supply, and would not consume fossil fuel energy in a wasteful or inefficient manner. No efficiency standards apply to this project. U.S. Bureau of Land Management (BLM) and Energy Commission staff (hereafter jointly referred to as staff) therefore conclude that this project would present no significant adverse impacts on fossil fuel energy resources.

The ISEGS, if constructed and operated as proposed would occupy over nine acres per MW of power output, a figure about double that of some other solar power technologies. Employing a less land-intensive solar technology, such as the Compact Linear Fresnel Reflector technology or linear parabolic trough technology, would potentially reduce land-related impacts by approximately 50 percent. However, staff recognizes there is a wide range of environmental issues to analyze to compare the merits and impacts of one technology compared to another. This is done in more detail in the Alternatives section of this document. In conclusion, ISEGS would utilize solar energy potential from a site that is currently not being harnessed for power production. Thus from an efficiency perspective, ISEGS would not result in a less efficient utilization of the site’s solar energy potential than is occurring currently.

Conditions of Certification referred to herein serve the purpose of both the Energy Commission’s Conditions of Certification for purposes of the California Environmental Quality Act (CEQA) and BLM’s Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).

INTRODUCTION

FOSSIL FUEL USE EFFICIENCY

One of the responsibilities of the California Energy Commission (Energy Commission) is to make findings on whether the energy use by a power plant, including the proposed ISEGS power plant, would result in significant adverse impacts on the environment, as
defined in CEQA. If the Energy Commission finds that the ISEGS’ energy consumption creates a significant adverse impact, it must further determine if feasible mitigation measures could eliminate or minimize that impact. In this analysis, staff addresses the inefficient and unnecessary consumption of energy. Power plant efficiency is not normally considered under NEPA.

In order to support the Energy Commission’s findings, this analysis will:

• examine whether the facility would likely present any adverse impacts upon energy resources;
• examine whether these adverse impacts are significant; and if so,
• examine whether feasible mitigation measures or alternatives could eliminate those adverse impacts or reduce them to a level of insignificance.

SOLAR LAND USE EFFICIENCY

Solar thermal power plants typically consume much less fossil fuel (usually in the form of natural gas) than other types of thermal power plants. Therefore, common measures of power plant efficiency such as those described above are less meaningful. So far as staff can determine, methods for determining the efficiency of a solar power plant have yet to be standardized; research has uncovered no meaningful attempt to quantify efficiency. The solar power industry appears to have begun discussing the issue, but a consensus is forthcoming (CEC 2008n). In the absence of accepted standards, staff proposes the following approach.

Solar thermal power plants convert the sun’s energy into electricity in three basic steps:

• Mirrors and/or collectors capture the sun’s rays.
• This solar energy is converted into heat.
• This heat is converted into electricity, typically in a heat engine such as a steam turbine generator or a Stirling Engine-powered generator.

The effectiveness of each of these steps depends on the specific technology employed; the product of these three steps determines the power plant’s overall solar efficiency. The greater the project’s solar efficiency, the less land the plant must occupy to produce a given power output.

The most significant environmental impacts caused by solar power plants result from occupying large expanses of land. Even in a desert environment, disturbing and shading hundreds or thousands of acres of land can impact biological, cultural and paleontological resources, and can affect drainage, runoff and percolation of rainfall. The extent of these impacts is likely in direct proportion to the number of acres affected. For this reason, staff will evaluate the land use efficiency of proposed solar power plant projects. This efficiency will be expressed in terms of power produced, or MW per acre, and in terms of energy produced, or MW-hours per acre-year. Specifically:
• Power-based solar land use efficiency is calculated by dividing the maximum net power output in MW by the total number of acres impacted by the power plant, including roads and electrical switchyards and substations.

• Energy-based solar land use efficiency is calculated by dividing the annual net electrical energy production in MW-hours per year by the total number of acres impacted by the power plant. Since different solar technologies consume differing quantities of natural gas for morning warm-up, cloudy weather output leveling and heat transfer fluid freeze protection (and some consume no gas at all), this effect will be accounted for. Specifically, gas consumption will be backed out by reducing the plant’s net energy output by the amount of energy that could have been produced by consuming the project’s annual gas consumption in a modern combined cycle power plant. (See EFFICIENCY Appendix A, immediately following.) This reduced energy output will then be divided by acres impacted.

LAWS, ORDINANCES, REGULATIONS, AND STANDARDS

No federal, state, or local/county laws, ordinances, regulations, and standards (LORS) apply to the efficiency of this project.

SETTING

The applicant proposes to build and operate the ISEGS, a solar thermal power plant facility, comprised of Ivanpah 1, Ivanpah 2, and Ivanpah 3, producing a total of 400 MW (maximum net output), and employing BrightSource’s Distributed Power Tower (DPT) advanced solar power technology (BSE 2007a, AFC §§1.1, 1.2, 2.1). Ivanpah 1 and Ivanpah 2 would each consist of a heliostat solar field, a solar receiver boiler, a reheat steam turbine generator, an air-cooled condenser, and associated equipment. Ivanpah 3 would consist of a heliostat solar field, five solar receiver boilers, a reheat steam turbine generator, a solar reheater boiler, an air-cooled condenser, and associated equipment (CH2ML2009f).

The project’s power cycle would be based on a steam cycle (also known as the Rankine cycle) with three pressure stages. Each plant would include a small package natural gas-fired start-up boiler to provide heat for plant start-up and during temporary cloud cover. The heliostat mirrors are arranged around each solar receiver boiler. Each mirror tracks the sun throughout the day and reflects the solar energy to the receiver boiler. Steam is generated in the boilers and is expanded through the steam turbine to generate electricity. No intermediate fluid is used.

The solar field and power generation equipment are started each morning after sunrise once solar radiation builds up, and are shut down in the evening when solar radiation drops below the level required for keeping the steam turbines online. As explained above, natural gas-fired boilers would be used to bring the system up to operating temperature in the morning and periodically to keep system temperatures up when clouds briefly block sunlight. Natural gas would be delivered to the ISEGS via a new 6-
mile-long, 4- to 6-inch diameter natural gas distribution pipeline that would provide natural gas from the Kern River Gas Transmission (KRGT) line to the project (BSE 2007a, AFC §§1.2, 2.1, 2.2.6).

**ASSESSMENT OF IMPACTS — FOSSIL FUEL ENERGY USE**

**METHOD AND THRESHOLD FOR DETERMINING THE SIGNIFICANCE OF FOSSIL FUEL ENERGY RESOURCES**

CEQA guidelines state that the environmental analysis “…shall describe feasible measures which could minimize significant adverse impacts, including where relevant, inefficient and unnecessary consumption of energy” (Title 14 CCR §15126.4[a][1]). Appendix F of the guidelines further suggests consideration of such factors as the project’s energy requirements and energy use efficiency; its effects on local and regional energy supplies and energy resources; its requirements for additional energy supply capacity; its compliance with existing energy standards; and any alternatives that could reduce the wasteful, inefficient, and unnecessary consumption of energy (Title 14, CCR §15000 et seq., Appendix F).

The inefficient and unnecessary consumption of energy, in the form of non-renewable fuels such as natural gas and oil, constitutes an adverse environmental impact. An adverse impact can be considered significant if it results in:

- adverse effects on local and regional energy supplies and energy resources;
- a requirement for additional energy supply capacity;
- noncompliance with existing energy standards; or
- the wasteful, inefficient, and unnecessary consumption of fuel or energy.

**PROJECT ENERGY REQUIREMENTS AND ENERGY USE EFFICIENCY**

The ISEGS would burn natural gas at a nominal rate of approximately 833 million British thermal units (MMBtu) per hour, LHV, during maximum load operation, for a total annual consumption of 432,432 MMBtu LHV (BSE 2007a, AFC Tables 5.1-13, 5.1-15). Compared to a typical natural gas-fired power plant of equal capacity, this rate is very low. Further, average daily operation of the natural gas boilers would be limited to one hour. Therefore, staff considers the impact of the project’s fuel consumption on energy supplies and energy efficiency to be less than significant.

**ADVERSE EFFECTS ON ENERGY SUPPLIES AND RESOURCES**

The applicant has described its sources of natural gas for the project (BSE 2007a, AFC §§1.2, 2.1, 2.2.6). Natural gas would be delivered to the ISEGS via a new 6-milelong, 4-to 6-inch diameter natural gas distribution pipeline that would provide natural gas from the Kern River Gas Transmission (KRGT) line to the project site. Natural gas would be used to generate only up to five percent of the project’s capacity. The KRGT system is
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Final Environmental Impact Statement

capable of delivering the gas that the ISEGS would require; this natural gas supply constitutes a reliable source of natural gas for this project. Therefore, it appears highly unlikely that the project would create a substantial increase in natural gas demand.

**ADDITIONAL ENERGY SUPPLY REQUIREMENTS**

Natural gas fuel would be supplied to the project by the KRGT via a new pipeline connection (BSE 2007a, AFC §§1.2, 2.1, 2.2.6). There appears to be little likelihood that the ISEGS would require additional supply.

**COMPLIANCE WITH ENERGY STANDARDS**

No standards apply to the efficiency of the ISEGS or other non-cogeneration projects.

**ALTERNATIVES TO REDUCE WASTEFUL, INEFFICIENT, AND UNNECESSARY ENERGY CONSUMPTION**

The ISEGS could create significant adverse impacts on energy resources if alternatives reduced the project's fuel use. The evaluation of alternatives to the project (that could reduce wasteful, inefficient, or unnecessary energy consumption) requires the examination of the project’s energy consumption. Even though staff does not believe the project’s fuel consumption would be significant, staff evaluates alternatives that could reduce or eliminate the use of natural gas.

**Efficiency of Alternatives to the Project**

The Ivanpah SEGS' objectives include the generation of electricity using BrightSource’s DPT solar power technology (BSE, 2007a, AFC §6.6).

**Alternative Generating Technologies**

Alternative generating technologies for the ISEGS are considered in the AFC (BSE 2007a, AFC §6.6). For purposes of this analysis, natural gas, oil, coal, nuclear, biomass, hydroelectric, and wind technologies are all considered. Given the project objectives, location, air pollution control requirements, and the commercial availability of the above technologies, staff agrees with the applicant that the selected solar thermal technology is a feasible selection.

**Alternative Heat Rejection System**

The applicant proposes to employ a dry cooling system (air-cooled condensers) as the means for rejecting power cycle heat from the steam turbines (BSE 2007a, AFC §§1.2, 2.1). An alternative heat rejection system would utilize evaporative cooling towers.

The local climate in the project area is characterized by high temperatures and low relative humidity (low wet-bulb temperature). In low temperatures and high relative humidity (low dry-bulb temperature), the air-cooled condenser performs relatively efficiently compared to the evaporative tower. However, at the project area (low wet-bulb temperature and high dry-bulb temperature) the air-cooled condenser performance is relatively poor compared to that of an evaporative cooling tower. Furthermore, the performance of the heat rejection system affects the performance of the steam turbine,
impacting turbine efficiency. However, to conserve water in the project site’s desert environment, the applicant proposes to employ dry cooling. Even though evaporative cooling can offer a higher efficiency rating for this project, staff believes the applicant’s selection of dry cooling is a reasonable tradeoff as it would prevent potentially significant environmental impacts that could result from consumption of the large quantities of water required by wet cooling. Staff, therefore, believes that the ISEGS would not constitute a significant adverse impact on fossil fuel energy resources compared to feasible alternatives.

**ASSESSMENT OF IMPACTS — SOLAR LAND USE**

The solar insolation falling on the earth’s surface can be regarded as an energy resource. Since this energy is inexhaustible, its consumption does not present the concerns inherent in fossil fuel consumption. What is of concern, however, is the extent of land area required to capture this solar energy and convert it to electricity. Setting aside hundreds or thousands of acres of land for solar power generation removes it from alternative uses. Constructing buildings, solar collector foundations and roads can disturb and destroy cultural and paleontological resources. Shading large tracts of land can destroy its use as habitat for flora and fauna. Finally, the earthwork involved in leveling large areas for optimum solar energy collection can disturb the drainage, runoff and percolation of rainfall.

As discussed above, staff is unaware of any accepted standard for evaluating the efficiency of a solar power plant such as ISEGS. Accordingly, staff proposes to tabulate the land use efficiency of the project (described above) and compare it to similar measures for other solar power plant projects that have passed through, or are passing through, the Energy Commission’s siting process.

**METHOD AND THRESHOLD FOR DETERMINING THE SIGNIFICANCE OF SOLAR LAND USE ENERGY RESOURCES**

Staff proposes to compare the land use of a solar power plant project to that of other solar projects in the Energy Commission’s siting process. It has not been determined how great a difference in land use would constitute a significant difference; staff proposes to compare the five solar projects currently in the process.

As this is written, there are currently five solar power plant projects in the Energy Commission siting process. These projects’ power and energy output, and the extent of the land occupied by them, are summarized in **EFFICIENCY Table 1**, below. The solar land use efficiency for a typical natural gas-fired combined cycle power plant is shown only for comparison.

**Adverse Effects on Land Use**

While the Energy Commission customarily requires full mitigation for such impacts, such mitigation is generally regarded as less effective in protecting resources than avoiding
the impact entirely. A solar power project that occupies twice as much land as another project holds the potential to produce twice the environmental impacts.

**PROPOSED PROJECT – LAND USE**

The ISEGS would produce power at the rate of 400 MW net, and would generate energy at the rate of 960,000 MW-hours net per year, while occupying 3,744 acres (CH2ML2008g, pp. 2-3). It would consume 432,432 MMBtu LHV of natural gas annually. Staff calculates power-based land use efficiency thus:

**Power-based efficiency**: \( \frac{400 \text{ MW}}{3,744 \text{ acres}} = 0.11 \text{ MW/acre or 9.4 acres/MW} \)

Staff calculates energy-based land use efficiency thus:

**Energy-based efficiency**: \( \frac{960,000 \text{ MWh/year}}{3,744 \text{ acres}} = 256 \text{ MWh/acre-year} \)

Natural gas proxy: \( \frac{432,432 \text{ MMBtu/year}}{3,413 \text{ Btu/kWh}} = 126,701 \text{ MWh/year} \)
\( 126,701 \text{ MWh/year} \times 53.7\% = 68,039 \text{ MWh/year} \)
\( 960,000 \text{ MWh/year} - 68,039 \text{ MWh/year} = 891,961 \text{ MWh/year} \)

**Energy-based efficiency (net of natural gas use)**:
\( \frac{891,961 \text{ MWh/year}}{3,744 \text{ acres}} = 238 \text{ MWh/acre-year} \)

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1 See EFFICIENCY Appendix A, immediately following
EFFICIENCY Table 1 — Solar Land Use Efficiency

<table>
<thead>
<tr>
<th>Project</th>
<th>Generating Capacity (MW net)</th>
<th>Annual Energy Production (MWh net)</th>
<th>Annual Fuel Consumption (MMBtu LHV)</th>
<th>Footprint (Acres)</th>
<th>Land Use Efficiency (Power-Based) (MW/acre)</th>
<th>Land Use Efficiency (Energy – Based) (MWh/acre-year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ivanpah SEGS (07-AFC-5)</td>
<td>400</td>
<td>960,000</td>
<td>432,432</td>
<td>3,744</td>
<td>0.11</td>
<td>256</td>
</tr>
<tr>
<td>Carrizo Energy (07-AFC-8)</td>
<td>177</td>
<td>375,000</td>
<td>0</td>
<td>640</td>
<td>0.28</td>
<td>586</td>
</tr>
<tr>
<td>Beacon Solar (08-AFC-2)</td>
<td>250</td>
<td>600,000</td>
<td>36,000</td>
<td>1,321</td>
<td>0.19</td>
<td>454</td>
</tr>
<tr>
<td>SES Solar Two (08-AFC-5)</td>
<td>750</td>
<td>1,620,000</td>
<td>0</td>
<td>6,500</td>
<td>0.12</td>
<td>249</td>
</tr>
<tr>
<td>SES Solar One (08-AFC-13)</td>
<td>850</td>
<td>1,840,000</td>
<td>0</td>
<td>8,200</td>
<td>0.11</td>
<td>224</td>
</tr>
<tr>
<td>Avenal Energy (08-AFC-1)²</td>
<td>600</td>
<td>3,023,388</td>
<td>24,792,786</td>
<td>25</td>
<td>24.0</td>
<td>120,936</td>
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<td></td>
<td>N/A</td>
</tr>
</tbody>
</table>

¹ Net energy output is reduced by natural gas-fired combined cycle proxy energy output; see EFFICIENCY Appendix A.

² Example natural gas-fired combined cycle plant.
As seen in EFFICIENCY Table 1, ISEGS, employing solar power tower technology, is roughly half as efficient in use of land as the Compact Linear Fresnel Reflector technology and the linear parabolic trough technology. The Stirling Energy Systems Solar One and Two projects match Ivanpah SEGS in solar land use efficiency.

Proposed Project – Closure and Decommissioning and Mitigation

The closure or decommissioning of the ISEGS project would not maintain utilization of a solar renewable energy resource and could cause an increase in the reliance on fossil fuel. While this would not be the case if another solar power generation project were to follow in the place of ISEGS, this potential outcome is not assured at this time. Therefore, the closure and decommissioning of ISEGS could result in a potentially negative impact in discontinuing to utilize renewable solar resources for power production. However, this impact would not be the responsibility of the project owner to mitigate.

TECHNOLOGIES THAT WOULD REDUCE SOLAR LAND USE IMPACTS

While building and operating a natural gas-fired combined cycle power plant would yield a much higher land use efficiency than any solar power plant (see EFFICIENCY Table 1), it would not achieve the basic project objective, to generate electricity from the renewable energy of the sun. While building a solar power plant employing a different technology, such as the Compact Linear Fresnel Reflector technology or the linear parabolic trough technology, would appear to nearly double the solar land use efficiency of the ISEGS site or approximately halve the land use to accomplish the same generation capacity, there is a wide range of environmental issues to analyze to compare the merits and impacts of one technology compared to another. This is done in more detail in the Alternatives section of this document.

The applicant expressed concern in its Preliminary Staff Assessment (PSA) comments that staff presented a comparative measure of land use efficiency with other representative generation technologies as shown in EFFICIENCY Table 1. The applicant expressed that a comparison of efficiency based solely on capacity is misleading as it ignores energy production and site-specific conditions that affect the capacity factor of a project and thus the potential energy production potential (CH2ML2009a, Page 6.3-2 and 6.3-99, Solar Land Use Efficiency).

Staff has presented the relative comparison of land use efficiency from the perspective of both capacity and annual energy production, and believes that while this is a gross indicator, it is still a telling one. Staff has also explained that so far as staff can determine, methods for determining the efficiency of a solar power plant have yet to be standardized; research has uncovered no meaningful attempt to quantify efficiency. The solar power industry appears to have begun discussing the issue, but a consensus is forthcoming. In the absence of accepted standards, staff has proposed an approach it believes is reasonable and necessary for its Efficiency analysis. ISEGS would utilize solar energy potential from a site that is currently not being harnessed for power.
production. Thus from an efficiency perspective, ISEGS would not result in a less efficient utilization of the site’s solar energy potential than is occurring currently.

**No Project / No Action Alternative**

In the No Project / No Action Alternative, the proposed action would not be undertaken. The BLM land on which the project is proposed would continue to be managed within BLM’s framework of a program of multiple use and sustained yield, and the maintenance of environmental quality [43 U.S.C. 1781 (b)] in conformance with applicable statutes, regulations, policy and land use plan.

The results of the No Project / No Action Alternative would be the following:

- The impacts of the proposed project would not occur. However, the land on which the project is proposed would become available to other uses that are consistent with BLM’s land use plan, including another solar project.

- The benefits of the proposed project in reducing greenhouse gas emissions from gas-fired generation would not occur. Both State and Federal law support the increased use of renewable power generation.

If this project is not approved, renewable projects would likely be developed on other sites in the Mojave Desert or in adjacent states as developers strive to provide renewable power that complies with utility requirements and State/Federal mandates. For example, there are three large solar projects proposed on BLM land in Nevada within a few miles of the Ivanpah site. In addition, there are currently 66 applications for solar projects covering 611,692 acres pending with BLM in the California Desert District.

**CUMULATIVE IMPACTS**

A project may result in a significant adverse cumulative impact where its effects are cumulatively considerable. "Cumulatively considerable" means that the incremental effects of an individual project are significant when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects (California Code Regulation, Title 14, section 15130). NEPA states that cumulative effects can result from individually minor but collectively significant actions taking place over a period of time" (40 CFR §1508.7).

There is the potential for substantial future development in the Ivanpah Valley area and throughout the southern California desert region. Analysis of cumulative impacts is based on data provided in the following maps and tables (see **Cumulative Scenario** section of this document):

- Cumulative Impacts Figure 1, Regional Renewable Applications;
- Cumulative Impacts Figure 2, Regional Renewable Applications (Detail);
Cumulative Impacts Figure 3, Ivanpah Valley Existing and Future/Foreseeable Projects;

Cumulative Impacts Table 1, Regional Renewable Energy Projects;

Cumulative Impacts Table 2, Existing Development in the Ivanpah Valley; and

Cumulative Impacts Table 3, Future Foreseeable Projects in the Ivanpah Valley Area.

Nearby power plant projects include the existing gas-fired, combined cycle Big Horn Generating Station near Primm, and the foreseeable potential for four proposed power plants consisting of the 300 MW GEN-3 photovoltaic (PV) solar energy project that would be immediately east of ISEGS on 4,160 acres, the 500 MW gas-fired combined cycle Ivanpah Energy Center near Primm, and two wind energy projects on Mountain Pass.

Staff believes that the construction and operation of ISEGS would not create indirect impacts (in the form of additional fuel consumption) that would not have otherwise occurred without this project. Because the ISEGS would consume significantly less natural gas than a typical natural gas-fired power plant, it should compete favorably in the California power market and replace fossil fuel burning power plants. The project would therefore not impact the cumulative amount of natural gas consumed for power generation. ISEGS would also utilize solar energy from a site that is currently not being harnessed for power production, as would the proposed GEN-3 PV project. Thus from an efficiency perspective, ISEGS would not contribute to a cumulatively considerable impact resulting in a less efficient utilization of the site’s solar energy potential than is occurring currently.

NOTEWORTHY PUBLIC BENEFITS

The ISEGS would employ an advanced solar thermal technology. Solar energy is renewable and unlimited. The project would have less than significant adverse impact on nonrenewable energy resources (natural gas). Consequently, the project would help in reducing California’s dependence on fossil fuel-fired power plants.

RESPONSES TO AGENCY AND PUBLIC COMMENTS

Staff has received the following agency and public comments regarding power plant efficiency.

Comments from Jenny Wilder (letter dated January 14, 2009): Where is the demand/need for the power to be produced by this project? How much electrical power is 400 megawatts? How many homes would that serve and where are those homes/businesses located? Can that amount of electric power (which requires water and natural gas) be produced more efficiently and without destroying habitat in some other way such as at the place of demand (houses or businesses)?
Staff’s Response: One of the project objectives is to assist in increasing electrical generation from renewable energy in conformance with the state’s policy. 400 MW of electricity can serve approximately 320,000 homes. Approximately five percent would be produced from natural gas. The quantities of natural gas used to generate this capacity would be insignificant compared to a typical natural gas plant of 400 MW. A solar power plant uses the renewable energy of sun. For the most part (95 percent of its capacity), ISEGS would use the sun’s energy. Therefore, from an energy resources perspective, ISEGS would offer one the most efficient power plant technologies available. Placing the plant near the demand center may mean placing it in populated areas, where the large open lands necessary for a solar power plant may not be available.

Comment from Basin Range and Watch (letter dated January 31, 2009): For the natural gas-fired start-up boiler—What percentage of the megawatts would be from natural gas?

Staff’s Response: Approximately five percent of ISEGS annual generation would be produced from natural gas.

CONCLUSIONS AND RECOMMENDATIONS

FOSSIL FUEL ENERGY USE

The Ivanpah SEGS, if constructed and operated as proposed, would use solar energy to generate up to 95 percent of its capacity, and natural gas to generate up to five percent of its capacity. The project would decrease reliance on fossil fuel, and would increase reliance on renewable energy resources. It would not create significant adverse effects on energy supplies or resources, would not require additional sources of energy supply, and would not consume energy in a wasteful or inefficient manner. No energy standards apply to this project. Staff therefore concludes that this project would present no significant adverse impacts on energy resources.

No cumulative impacts on energy resources are likely. Facility closure would not likely present significant impacts on electric system efficiency.

LAND USE AND SOLAR RESOURCE UTILIZATION

The ISEGS, if constructed and operated as proposed, would occupy over nine acres per MW of power output, a figure about double that of some other solar power technologies. Employing a less land-intensive solar technology, such as the Compact Linear Fresnel Reflector technology or linear parabolic trough technology, would potentially reduce land-related impacts by approximately 50 percent. However, staff recognizes there is a wide range of environmental issues to analyze to compare the merits and impacts of one technology compared to another. This is done in more detail in the Alternatives section of this document. In conclusion, ISEGS would utilize solar energy potential from a site that is currently not being harnessed for power production. Thus from an
efficiency perspective, ISEGS would not result in a less efficient utilization of the site's solar energy potential than is occurring currently.

MITIGATION MEASURES/PROPOSED CONDITIONS OF CERTIFICATION

No conditions of certification are proposed.

REFERENCES


EFFICIENCY Appendix A
Solar Power Plant Efficiency Calculation
Gas-Fired Proxy

In calculating the efficiency of a solar power plant, it is desired to subtract the effect of natural gas burned for morning startup, cloudy weather augmentation and Therminol freeze protection. As a proxy, we will use an average efficiency based on several recent baseload combined cycle power plant projects in the Energy Commission siting process. Baseload combined cycles were chosen because their intended dispatch most nearly mirrors the intended dispatch of solar plants, that is, operate at full load in a position high on the dispatch authority’s loading order.

The most recent such projects are:

Colusa Generating Station (06-AFC-9) Nominal 660 MW 2-on-1 Combined Cycle with GE Frame 7FA CGTs Air cooled condenser, evaporative inlet air cooling Efficiency with duct burners on: 666.3 MW @ 52.5% LHV Efficiency with duct burners off: 519.4 MW @ 55.3% LHV Efficiency (average of these two): 53.9% LHV

San Gabriel Generating Station (07-AFC-2) Nominal 696 MW 2-on-1 Combined Cycle with Siemens 5000F CGTs Air cooled condenser, evaporative inlet air cooling Efficiency with duct burners on: 695.8 MW @ 52.1% LHV Efficiency with duct burners off: 556.9 MW @ 55.1% LHV Efficiency (average of these two): 53.6% LHV

KRCD Community Power Plant (07-AFC-7) Nominal 565 MW 2-on-1 Combined Cycle with GE or Siemens F-class CGTs Evaporative cooling, evaporative or fogging inlet air cooling Efficiency with GE CGTs: 497 MW @ 54.6% LHV Efficiency with Siemens CGTs: 565 MW @ 56.1% LHV Efficiency (average of these two): 55.4% LHV

Avenal Energy (08-AFC-1) Nominal 600 MW 2-on-1 Combined Cycle with GE Frame 7FA CGTs Air cooled condenser, inlet air chillers Efficiency with duct burners on: 600.0 MW @ 50.5% LHV Efficiency with duct burners off: 506.5 MW @ 53.4% LHV Efficiency (average of these two): 52.0% LHV

Average of these four power plants: 53.7% LHV
Appendix C-3
Power Plant Reliability
SUMMARY OF CONCLUSIONS

The applicant predicts an equivalent availability factor of 92 to 98 percent, which U.S. Bureau of Land Management (BLM) and California Energy Commission staff (hereafter jointly referred to as staff) believe is achievable. (The availability factor of a power plant is the percentage of time it is available to generate power; both planned and unplanned outages subtract from this availability.) Based on a review of the proposal, staff concludes that the Ivanpah Solar Electric Generating System (ISEGS) would be built and would operate in a manner consistent with industry norms for reliable operation. This should provide an adequate level of reliability. Conditions of Certification referred to herein serve the purpose of both the Energy Commission’s Conditions of Certification for purposes of the California Environmental Quality Act and BLM’s Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).

INTRODUCTION

In this analysis, staff addresses the reliability issues of the ISEGS project to determine if the power plant is likely to be built in accordance with typical industry norms for reliable power generation. Staff uses this norm as a benchmark because it ensures that the resulting project would not be likely to degrade the overall reliability of the electric system it serves (see the “Setting” subsection, below).

The scope of this power plant reliability analysis covers:

• equipment availability;
• plant maintainability;
• fuel and water availability; and
• power plant reliability in relation to natural hazards.

Staff examined the project design criteria to determine if the project is likely to be built in accordance with typical industry norms for reliable power generation. While the applicant has predicted an equivalent availability factor of 92 to 98 percent for the ISEGS (see below), staff uses typical industry norms as the benchmark, rather than the applicant’s projection, to evaluate the project’s reliability.

LAWS, ORDINANCES, REGULATIONS, AND STANDARDS

No federal, state, or local/county laws, ordinances, regulations, or standards (LORS) apply to the reliability of this project. Power plant reliability is not normally considered under NEPA.
SETTING

In the restructured competitive electric power industry, the responsibility for maintaining system reliability falls largely to the state’s control area operators, such as the California Independent System Operator (California ISO), that purchase, dispatch, and sell electric power throughout the state. Determining how the California ISO and other control area operators would ensure system reliability has been an ongoing effort. Protocols have been developed and put in place that allow sufficient reliability to be maintained under the competitive market system. “Must-run” power purchase agreements and “participating generator” agreements are two mechanisms that have been employed to ensure an adequate supply of reliable power.

In September 2005, California AB 380 (Núñez, Chapter 367, Statutes of 2005) became law. This modification to the Public Utilities Code requires the California Public Utilities Commission to consult with the California ISO to establish resource adequacy requirements for all load-serving entities (basically, publicly and privately owned utility companies). These requirements include maintaining a minimum reserve margin (extra generating capacity to serve in times of equipment failure or unexpected demand) and maintaining sufficient local generating resources to satisfy the load-serving entity’s peak demand and operating reserve requirements.

In order to fulfill this mandate, the California ISO has begun to establish specific criteria for each load-serving entity under its jurisdiction. These criteria guide each load-serving entity in deciding how much generating capacity and ancillary services to build or purchase, after which the load-serving entity issues power purchase agreements to satisfy these needs. According to the applicant, the ISEGS is currently in negotiation with Southern California Edison to secure a power purchase agreement.

The California ISO’s mechanisms to ensure adequate power plant reliability apparently were devised under the assumption that the individual power plants that compete to sell power into the system will each exhibit a level of reliability similar to that of power plants of past decades. However, there has been valid cause to believe that, under free market competition, financial pressures on power plant owners to minimize capital outlays and maintenance expenditures may act to reduce the reliability of many power plants, both existing and newly constructed (McGraw-Hill 1994). It is possible that, if significant numbers of power plants were to exhibit individual reliability sufficiently lower than this historical level, the assumptions used by California ISO to ensure system reliability would prove invalid, with potentially disappointing results. Accordingly, staff has recommended that power plant owners continue to build and operate their projects to the level of reliability to which all in the industry are accustomed.

As part of its plan to provide needed reliability, the applicant proposes to operate the 400-megawatt (MW) (net power output) ISEGS, a solar thermal power plant facility, comprised of two 100-MW plants (Ivanpah 1 and Ivanpah 2) and one 200-MW plant (Ivanpah 3), employing advanced solar power technology. This project, using renewable solar energy, would provide dependable power to the grid, generally during the hours of
peak power consumption by the interconnecting utility(s) (BSE 2007a, AFC §§1.1, 1.2, 2.1, 2.2). This project would help serve the need for renewable energy in California, as 95 percent of the generated electricity would be produced by solar energy, a reliable source of energy that is available during the hot summer afternoons, when power is needed most. Small natural gas-fired boilers would be used to bring the system up to operating temperature in the morning and periodically to keep system temperatures up when clouds briefly block the sunlight. These boilers are expected to be in use to produce only 5 percent of the average annual energy.

The project is expected to achieve an equivalent availability factor in the range of 92 to 98 percent (BSE 2007a, AFC §2.3.2.1). The project is anticipated to normally operate at high average annual capacity factors during periods of sunlight (BSE 2007a, AFC §2.3.21).

**ASSESSMENT OF IMPACTS**

**METHOD FOR DETERMINING RELIABILITY**

The Energy Commission must make findings as to how a project is designed, sited, and operated in order to ensure its safe and reliable operation (Title 20, CCR §1752[c]). Staff takes the approach that a project is acceptable if it does not degrade the reliability of the utility system to which it is connected. This is likely the case if a project is at least as reliable as other power plants on that system.

The availability factor of a power plant is the percentage of time it is available to generate power; both planned and unplanned outages subtract from this availability. Measures of power plant reliability are based upon both the plant’s actual ability to generate power when it is considered to be available and upon starting failures and unplanned (or forced) outages. For practical purposes, reliability can be considered a combination of these two industry measures, making a reliable power plant one that is available when called upon to operate. Throughout its intended 50-year life, the ISEGS is expected to operate reliably. Power plant systems must be able to operate for extended periods without shutting down for maintenance or repairs. Achieving this reliability requires adequate levels of equipment availability, plant maintainability with scheduled maintenance outages, fuel and water availability, and resistance to natural hazards. Staff examines these factors for a project and compares them to industry norms. If the factors compare favorably for this project, staff will then conclude that the ISEGS would be as reliable as other power plants on the electric system and would not degrade system reliability.
PROPOSED PROJECT

Equipment Availability

Equipment availability would be ensured by adoption of appropriate quality assurance/quality control (QA/QC) programs during the design, procurement, construction, and operation of the plant and by providing for the adequate maintenance and repair of the equipment and systems discussed below.

Quality Control Program

The applicant describes a QA/QC program (BSE 2007a, AFC §2.3.2.5) that is typical of the power industry. Equipment would be purchased from qualified suppliers based on technical and commercial evaluations. Suppliers’ personnel, production capability, past performance, QA programs, and quality history would be evaluated. The project owner would perform receipt inspections, test components, and administer independent testing contracts. Staff expects that implementation of this program would result in standard reliability of design and construction. To ensure this implementation, staff has proposed appropriate conditions of certification in the section of this document entitled Facility Design.

Plant Maintainability

Equipment Redundancy

The project, as proposed in the AFC, would be able to operate only when the sun is shining. Maintenance or repairs could be done when the plant is shut down at night. This would help to enhance the project’s reliability. Also, the applicant proposes to provide redundant pieces of equipment for those that are most likely to require service or repair. This redundancy would allow service or repair to be done during sunny days when the plant is in operation, if required.

The applicant plans to provide an appropriate redundancy of function for the project (BSE 2007a, AFC §2.3.2.2, Table 2.3-1). Because the project consists of three independent steam turbine generators, it is inherently reliable. A single equipment failure could not disable more than one plant, which would allow the other two plants to continue to generate at their full output. All other major plant systems are also designed with adequate redundancy to ensure their continued operation if equipment fails. Staff believes that this project’s proposed equipment redundancy would be sufficient for its reliable operation.

Maintenance Program

Equipment manufacturers provide maintenance recommendations for their products, and the applicant is expected to base the project’s maintenance program on those recommendations. The program would encompass both preventive and predictive maintenance techniques. Maintenance outages would probably be planned for periods of low electricity demand. Staff expects that the project would be adequately maintained to ensure an acceptable level of reliability.
Fuel and Water Availability

The long-term availability of fuel and of water for cooling or process use is necessary to ensure the reliability of any power plant. The need for reliable sources of fuel and water is obvious; lacking long-term availability of either source, the service life of the plant could be curtailed, threatening both the power supply and the economic viability of the plant.

Fuel Availability

Natural gas would be delivered to the project site through a new 6-mile, 4- to 6-inch diameter gas pipeline connected to the existing Kern River Gas Transmission Pipeline owned by Kern River Gas Transmission Company (KRGT). The natural gas service would be provided to ISEGS by Southwest Gas Company. The ISEGS would connect to the KRGT pipeline 0.5 miles north of Ivanpah 3 (BSE 2007a, AFC §§1.2, 4.1). The KRGT pipeline system is a vital artery bringing natural gas into Utah, Nevada, and California. This system extends from the oil and gas producing fields of southwestern Wyoming through Utah and Nevada to the San Joaquin Valley near Bakersfield, California. According to KRGT, the pipeline currently has a design capacity of more than 1.7 billion cubic feet per day (KRGT 2007). The ISEGS would be a solar thermal power plant and the use of natural gas would be limited to unit warm up and brief periods of cloud cover. The use of natural gas is not anticipated to exceed 4 hours per day maximum and an average of 1 hour per day on average, and would not contribute to more than 5 percent of the average annual energy. The very limited use of fuel would have minimal impact on gas supplies. Staff believes that there will be adequate natural gas supply and pipeline capacity to meet the project’s needs.

Water Supply Reliability

The ISEGS would use well water for domestic and industrial water needs. Two 100-percent capacity wells would be located at the northwest corner of Ivanpah 1, just outside the perimeter fence but within the construction logistics area and would supply water to all three plants. The wells would be connected to the project via a 570-foot water line to Ivanpah 2, from which the line would be extended to each plant (BSE 2007a, AFC §§1.2, 2.1, 2.2.7, 2.3.2.4). To minimize process water use associated with cooling, air-cooled condensers would be used. Package treatment plants would be used to provide potable water for drinking and sanitary uses. Staff believes these sources represent a reliable supply of water for the project. For further discussion of water supply, see the Soil and Water Resources section of this document.

Power Plant Reliability In Relation To Natural Hazards

Natural forces can threaten the reliable operation of a power plant. High winds, tsunamis (tidal waves), and seiches (waves in inland bodies of water) are not likely to present hazards for this project, but seismic shaking (earthquakes) and flooding could present credible threats to the project’s reliable operation.
Seismic Shaking
The site lies within Seismic Zone 3 (BSE 2007a, AFC §2.3.1.1.1); see the “Faulting and Seismicity” portion of the Geology, Paleontology & Minerals section of this document. The project will be designed and constructed to the latest appropriate LORS (BSE 2007a, AFC Appendix 2). Compliance with current seismic design LORS represents an upgrading of performance during seismic shaking compared to older facilities since these LORS have been continually upgraded. Because it would be built to the latest seismic design LORS, this project would likely perform at least as well as, and perhaps better than, existing plants in the electric power system. Staff has proposed conditions of certification to ensure this; see the section of this document entitled Facility Design. In light of the general historical performance of California power plants and the electrical system in seismic events, staff has no special concerns with the power plant’s functional reliability during seismic events.

Flooding
The project site elevation is approximately 2,765 feet above mean sea level (BSE 2007a, AFC §5.8.3.1). According to the Federal Emergency Management Agency, the site is not within either the 100- or 500-year flood plain (BSE 2007a, AFC §§ 2.3.1.1.1, 5.15.3.1.3). Staff believes there are no special concerns with power plant functional reliability due to flooding. For further discussion, see Soil and Water Resources and Geology, Paleontology & Minerals.

Comparison with Existing Facilities
The North American Electric Reliability Corporation (NERC) maintains industry statistics for availability factors (as well as other related reliability data). The NERC regularly polls North American utility companies on their project reliability through its Generating Availability Data System and periodically summarizes and publishes those statistics on the Internet <http://www.nerc.com>. Because solar technology is relatively new, no statistics are available for solar power plants. The project’s power cycle is based on steam cycle. Because natural gas is the primary type of fossil fuel used in California, staff finds it reasonable to compare the project’s availability factor to the average availability factor of natural gas-fired fossil fuel units. Also, because the project’s total net power output would be 400 MW, staff uses the NERC statistics for 400–599 MW units. The NERC reported an availability factor of 85.07 percent as the generating unit average for the years 2002 through 2006 for natural gas units of 400–599 MW (NERC 2007).

The project would use triple-pressure, condensing steam turbine technology. Steam turbines incorporating this technology have been on the market for many years now and are expected to exhibit typically high availability. Also, because solar-generated steam is cleaner than burnt fossil fuel (i.e., natural gas), the ISEGS steam cycle units would likely require less frequent maintenance than units that burn fossil fuel. Therefore, the applicant’s expectation of an annual availability factor of 92 to 98 percent (BSE 2007a, AFC §2.3.2.1) appears reasonable when compared with the NERC figures throughout North America (see above). In fact, these machines can well be expected to outperform the fleet of various turbines (mostly older and smaller) that make up NERC statistics.
Additionally, because the plant would consist of three independent steam turbine generators, maintenance could be scheduled during times of the year when the full power output is not required to meet market demand, which is typical of industry standard maintenance procedures. The applicant’s estimate of plant availability, therefore, appears to be realistic. Stated procedures for assuring the design, procurement, and construction of a reliable power plant appear to be consistent with industry norms, and staff believes they are likely to ultimately produce an adequately reliable plant.

CLOSURE AND DECOMMISSIONING AND MITIGATION

The closure or decommissioning of the ISEGS project would not maintain utilization of a solar renewable energy resource and could cause an increase in the reliance on fossil fuel. While this would not be the case if another solar power generation project were to follow in the place of ISEGS, this potential outcome is not assured at this time.

Therefore, the closure and decommissioning of ISEGS could result in a potentially negative impact in discontinuing to utilize renewable solar resources for power production compared to when ISEGS would be operating. However, this impact would not be the responsibility of the project owner to mitigate.

NO PROJECT / NO ACTION ALTERNATIVE

In the No Project / No Action Alternative, the proposed action would not be undertaken. The BLM land on which the project is proposed would continue to be managed within BLM’s framework of a program of multiple use and sustained yield, and the maintenance of environmental quality [43 U.S.C. 1781 (b)] in conformance with applicable statutes, regulations, policy and land use plan.

The results of the No Project / No Action Alternative would be the following:

• The impacts of the proposed project would not occur. However, the land on which the project is proposed would become available to other uses that are consistent with BLM’s land use plan, including another solar project.

• The benefits of the proposed project in reducing greenhouse gas emissions from gas-fired generation would not occur. Both State and Federal law support the increased use of renewable power generation.

If this project is not approved, renewable projects would likely be developed on other sites in the Mojave Desert or in adjacent states as developers strive to provide renewable power that complies with utility requirements and State/Federal mandates. For example, there are three large solar projects proposed on BLM land in Nevada within a few miles of the Ivanpah site. In addition, there are currently 66 applications for solar projects covering 611,692 acres pending with BLM in the California Desert District.
NOTEWORTHY PROJECT BENEFITS

This project would help serve the need for renewable energy in California, as 95 percent of the generated electricity would be produced by a reliable source of solar energy that is available during the hot summer afternoons, when power is needed most. Small natural gas-fired boilers would be used to bring the system up to operating temperature in the morning and periodically to keep system temperatures up when clouds briefly block the sunlight. These boilers are expected to contribute to no more than 5 percent of ISEGS’ average annual energy.

CONCLUSION

The applicant predicts an equivalent availability factor of 92 to 98 percent, which staff believes is achievable. Based on a review of the proposal, staff concludes that the plant would be built and operated in a manner consistent with industry norms for reliable operation. This should provide an adequate level of reliability. No conditions of certification are proposed.

RESPONSES TO AGENCY AND PUBLIC COMMENTS

Staff has not received any agency or public comments regarding power plant reliability.

MITIGATION MEASURES/PROPOSED CONDITIONS OF CERTIFICATION

No conditions of certification are proposed.

REFERENCES


Appendix C-4
Transmission System Engineering
SUMMARY OF CONCLUSIONS

The proposed Ivanpah Solar Electric Generating System (ISEGS or “Project”) outlet lines and termination are acceptable and would comply with all applicable laws, ordinances, regulations, and standards (LORS). The analysis of project transmission lines and equipment, both from the three power plants up to the point of interconnection with the existing transmission network as well as upgrades beyond the interconnection that are attributable to the project, have been evaluated by U.S. Bureau of Land Management (BLM) and California Energy Commission staff (hereafter jointly referred to as staff) and are included in the environmental sections of this Final Staff Assessment/Draft Environmental Impact Statement (FSA/DEIS). Staff’s conclusions with respect to Transmission System Engineering result in the need for Ivanpah to provide the following mitigation measures:

- Mitigation of base case thermal overloads caused by Ivanpah #1 and #2 power plants, would require the replacement of the existing 115/220 kV transformer bank at the Eldorado substation and the upgrade from 115 to 220 kV of a 36 mile long segment of Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass transmission line between the new Ivanpah and existing Eldorado Substations. Ivanpah #3 would require the addition of a 115/220 kV transformer at the new Ivanpah substation.

- Mitigation of thermal overloads caused by the Ivanpah #3 under N-1 contingency analysis, would require modification of the existing Special Protection System (SPS) to reflect the topology change associated with the additional facility upgrades triggered by the Ivanpah #3 power plant.

Conditions of Certification referred to herein serve the purpose of both the Energy Commission’s Conditions of Certification for purposes of the California Environmental Quality Act (CEQA) and BLM’s Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).

INTRODUCTION

STAFF ANALYSIS

This transmission system engineering (TSE) analysis examines whether this project’s proposed interconnection conforms to all LORS required for safe and reliable electric power transmission. Additionally, under CEQA, the Energy Commission must conduct an environmental review of the “whole of the action,” which may include facilities not licensed by the Energy Commission (Title 14, California Code of Regulations §15378). The BLM and California Energy Commission must therefore identify the system impacts and necessary new or modified transmission facilities downstream of the proposed
interconnection that are required for interconnection and that represent the whole of the action.

Staff relies upon the responsible interconnecting authority for analysis of impacts on the transmission grid, as well as for the identification and approval of new or modified facilities required downstream from the proposed interconnection for mitigation purposes. The proposed project would connect to SCE’s 115-kV transmission network and requires both analysis by SCE and the approval of the California Independent System Operator (California ISO).

**SCE’S ROLE**

SCE is responsible for ensuring electric system reliability in its service territory for proposed transmission modifications. For the Ivanpah project, SCE performed the System Impact Study (SIS) used to determine whether or not the proposed transmission modifications conform to reliability standards. Because the project would be connected to the California ISO controlled transmission grid, the California ISO’s role is to review and approve the SIS and its conclusions.

**CALIFORNIA ISO’S ROLE**

The California ISO is responsible for ensuring electric system reliability for all participating transmission owners and is also responsible for developing the standards necessary to achieve system reliability. The project power will be dispatched to the California ISO grid via SCE’s newly built Ivanpah 115/220-kV substation. Therefore, California ISO will review the studies of the SCE system to ensure adequacy of the proposed transmission interconnection. The California ISO determines the reliability impacts of the proposed transmission modifications on the SCE transmission system in accordance with all applicable reliability criteria. According to the California ISO tariffs, the California ISO will determine the “need” for transmission additions or upgrades downstream from the interconnection point to insure reliability of the transmission grid. The California ISO reviewed the SIS performed by SCE and issued a preliminary approval to SCE. On completion of the SCE Facility Study, the California ISO will review the study results and provide its conclusions and recommendations. The California ISO may provide written and verbal testimony on its findings at the Energy Commission hearings.

**LAWS, ORDINANCES, REGULATIONS, AND STANDARDS**

- California Public Utilities Commission (CPUC) General Order 95 (GO-95), *Rules for Overhead Electric Line Construction*, sets forth uniform requirements for the construction of overhead lines. Compliance with this order ensures both adequate service and the safety of both the public and the people who build, maintain, and operate overhead electric lines.

- CPUC General Order 128 (GO-128), *Rules for Construction of Underground Electric Supply and Communications Systems*, sets forth uniform requirements and minimum standards for underground supply systems to ensure adequate service and the safety
of both the public and the people who build, maintain, and operate underground electric lines.

• The *National Electric Safety Code*, 1999, provides electrical, mechanical, civil, and structural requirements for overhead electric line construction and operation.

• The combined NERC/WECC (North American Electric Reliability Corporation/Western Electricity Coordinating Council) planning standards provide system performance standards for assessing the reliability of the interconnected transmission system. These standards require continuity of service as their first priority and the preservation of interconnected operation as their second. Some aspects of NERC/WECC standards are either more stringent or more specific than the either agency’s standards alone. These standards are designed to ensure that transmission systems can withstand both forced and maintenance outage system contingencies while operating reliably within equipment and electric system thermal, voltage, and stability limits. These standards include reliability criteria for system adequacy and security, system modeling data requirements, system protection and control, and system restoration. Analysis of the WECC system is based to a large degree on Section I.A of WECC standards, *NERC and WECC Planning Standards with Table I and WECC Disturbance-Performance Table*, and on Section I.D, *NERC and WECC Standards for Voltage Support and Reactive Power*. These standards require that power flows and stability simulations verify defined performance levels. Performance levels are defined by specifying allowable variations in thermal loading, voltage and frequency, and loss of load that may occur during various disturbances. Performance levels range from no significant adverse effects inside and outside a system area during a minor disturbance (such as the loss of load from a single transmission element) to a catastrophic loss level designed to prevent system cascading and the subsequent blackout of islanded areas and millions of consumers during a major transmission disturbance (such as the loss of multiple 500-kV lines along a common right-of-way, and/or of multiple large generators). While the controlled loss of generation or system separation is permitted under certain specific circumstances, this sort of major uncontrolled loss is not permitted (WECC, 2002).

• NERC’s reliability standards for North America’s electric transmission system spell out the national policies, standards, principles, and guidelines that ensure the adequacy and security of the nation’s transmission system. These reliability standards provide for system performance levels under both normal and contingency conditions. While these standards are similar to the combined NERC/WECC standards, certain aspects of the combined standards are either more stringent or more specific than the NERC performance standards alone. *NERC’s reliability standards apply to both interconnected system operations and to individual service areas* (NERC, 2006).

• California ISO planning standards also provide the standards and guidelines that ensure the adequacy, security, and reliability of the state’s member grid facilities. These standards also incorporate the combined NERC/WECC and NERC standards. These standards are also similar to the NERC/WECC or NERC standards for transmission system contingency performance. However, the *California ISO standards also provide additional requirements that are not found in either the*
WECC/NERC or NERC standards. The California ISO standards apply to all participating transmission owners interconnecting to the California ISO-controlled grid. They also apply to non-member facilities that impact the California ISO grid through their interconnections with adjacent control grids (California ISO, 2002a).


PROJECT DESCRIPTION

The applicant proposes to interconnect the 400 megawatt (MW) Ivanpah to SCE’s proposed newly built 220 kV Ivanpah substation near Nevada border, San Bernardino County, California. The proposed Ivanpah project would develop in three phases, two 100 MW phases known as Ivanpah #1 and #2, and one 200 MW phase known as Ivanpah #3. Construction is planned to take place over approximately 48 months, with the applicant’s desire that it could begin during the first quarter of 2010 and be completed during the fourth quarter 2013. Assuming the construction of Ivanpah 1, 2 and 3 were to begin in a sequential fashion during the first quarter of 2010 and be completed during the fourth quarter of 2013, the applicant would expect to commence commercial operation in the fourth quarter for each of the power plants beginning in 2011 at Ivanpah 1, in 2012 at Ivanpah 2, and in 2013 at Ivanpah 3.

Ivanpah is a solar concentrating thermal power plant, based on distributed power tower and heliostat mirror technology. The heliostat fields focus solar energy on the power tower receivers near the center of each of the heliostat arrays. The heliostat mirrors would be asymmetrically arranged around each solar power tower. Each mirror will track the sun throughout the day and reflect the solar energy to the receiver boiler within the power tower. In each plant, one Rankine-cycle reheat steam turbine receives live steam from the solar boilers and reheat steam from one solar reheater-located in the power block at the top of its own power tower. The solar field and power generation equipment would be started each morning after sunrise and insolation build-up, and shut down in the evening when insolation drops below the level required to maintain the turbine connected. Electricity would be produced by each plant’s solar receiver boiler and the steam turbine generator. Each of the three ISEGS projects would connect to its own 115 kV switchyard and from there to a proposed SCE Ivanpah 115/220 kV substation which would connect to the SCE system by looping an existing transmission line into the new substation (CH2ML2008g).

SWITCHYARD AND INTERCONNECTION FACILITIES

Each of the Project’s three generating units (1, 2, and 3) would be connected to the low side of its dedicated 13.8/115 kV generator step-up (GSU) transformer through 25 kV, 7,000-ampere gas-insulated (SF6) breaker. The high side of the generator step-up transformer would be connected to the project’s switchyard via 115 kV, 1200-ampere disconnect switch. The step-up transformer for the steam turbine generating unit would
be rated at 13.8/115 kV and 72/96/120 megavolt ampere (MVA). Each project switchyard bay will consist of a 115 kV, 1200A single circuit breaker and two 1200A disconnect switches. The switchyard circuit breaker would interconnect to an overhead 115kV single circuit transmission line via 1200A disconnect switch. Each of the three phases will connect to a new Ivanpah substation via its own dedicated 115 kV generator tie line. The Ivanpah #1 115 kV generator tie line would be approximately 5,800 feet long, built with 477 kmil ACSR conductors and supported by single-pole structures. The Ivanpah #2 and #3 generator tie lines would share the same poles for the last 1,400 feet of their routes before they interconnect to SCE’s Ivanpah Substation. The Ivanpah #2 generator would connect to the Ivanpah Substation through 115kV, 3,900 feet-long single circuit generator tie line built with the last 1,400 feet merged with the Ivanpah #3 generator tie line to create a 1,400 feet long, overhead double circuit line prior to entering the Ivanpah Substation. The Ivanpah #3 generator tie line would be an approximately 14,100 feet long, single circuit, 115 kV line built with 1510 kmil ACSR and would merge into a 115kV double circuit with the Ivanpah #2 generator tie line.

SCE’s Ivanpah Substation would use a double-bus breaker-and-a-half configuration with 3 bays and 5 positions for outgoing transmission lines. The Ivanpah Substation would consists of 115kV, 1200A circuit breakers, 115kV disconnect switches and other switching gear that will allow delivery of the project’s output to the SCE grid. The existing Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass 115kV line would loop in and out through the newly built Ivanpah Substation to interconnect the project to the SCE transmission grid. (Ivanpah #2, 2007b section 3.2.2 pages 3-4 to 3-6 and Figure 01-PB-E-D-201).

ASSESSMENT OF IMPACTS AND DISCUSSION OF MITIGATION

For the interconnection of this proposed project to the grid, the interconnecting utility (SCE) and the control area operator (California ISO) are responsible for ensuring grid reliability. These two entities determine the transmission system impacts of the proposed project and any mitigation measures needed to ensure system conformance with utility reliability criteria, NERC planning standards, WECC reliability criteria, and California ISO reliability criteria. System impact and facilities studies are used to determine the impacts of the proposed project on the transmission grid. Staff relies on these studies and any review conducted by the California ISO to determine the effect of the project on the transmission grid and to identify any necessary downstream facilities or indirect project impacts required to bring the transmission network into compliance with applicable reliability standards. System impact and facilities studies analyze the grid both with and without the proposed project, under conditions specified in the planning standards and reliability criteria. The standards and criteria define the assumptions used in the study and establish the thresholds through which grid reliability is determined. The studies analyze the impact of the project for the proposed first year of operation, and are based on a forecast of loads, generation, and transmission. Load forecasts are developed by the interconnected utility. Generation and transmission forecasts are established by an interconnection queue. The studies focus on thermal overloads, voltage deviations, system stability (excessive oscillations in generators and transmission system, voltage
collapse, loss of loads, or cascading outages), and short circuit duties. If the studies show that the interconnection of the project causes the grid to be out of compliance with reliability standards, then the study will identify mitigation alternatives or ways in which the grid could be brought into compliance with reliability standards.

When a project connects to the California ISO-controlled grid, both the studies and mitigation alternatives must be reviewed and approved by the California ISO. If either the California ISO or interconnecting utility determines that the only feasible mitigation includes transmission modifications or additions requiring CEQA review, the Energy Commission must analyze those modifications or additions according to CEQA requirements.

PROPOSED PROJECT - SCOPE OF SYSTEM IMPACT STUDIES

The system impact studies were performed by SCE at the request of Bright Source Energy, Inc, to identify the transmission system impacts of Ivanpah’s #1, #2 and #3 on SCE’s 115/220/500-kV system. The studies included power flow, sensitivity, and short circuit studies, and transient and post-transient analyses (Ivanpah #1, #2 and #3, 2008a, System Impact Studies). The studies modeled the proposed project for a net output of 100 MW for Ivanpah #1 and #2, 200 MW for Ivanpah #3. The base cases included all California ISO approved major SCE transmission projects, the transmission system for the Los Angeles Department of Water and Power, and major path flow limits of Southern California Import Transmission, East-Of-River, and West-of-River. The studies considered light load conditions with generation patterns and Path 46 imports maximized to identify the extent of potential congestion and fully stress the SCE system in the area where the Ivanpah project phases are interconnecting. The detailed study assumptions are described in the studies. The power flow studies were conducted with and without Ivanpah phases connected to SCE’s grid at the newly built Ivanpah Substation, using 2013 heavy summer and 2013 light spring base cases. The power flow study assessed the project’s impact on thermal loading of the transmission lines and equipment. Transient and post-transient studies were conducted for Ivanpah phases of the project using the 2013 heavy summer base case to determine whether the project would create instability in the system following certain selected outages. Short Circuit studies were conducted to determine if Ivanpah phases would overstress existing substation facilities.

Power Flow Study Results and mitigation measures (Ivanpah #1 and #2)

The study determined that the system between Mountain Pass and Eldorado substation is inadequate to accommodate the full output of all generation projects queued ahead of the Ivanpah #1 and #2 power plants.

Base Case Conditions (N-0):
Under base case conditions, a portion of the Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass 115 kV line as well as the existing 115/220 kV transformer at Eldorado were found to be loaded beyond the maximum allowable limits.
Mitigation:

- Removal of approximately 36 miles of a portion of the Eldorado – Ivanpah leg of the existing Eldorado-Baker-Cool Water–Dunn Siding-Mountain Pass 115 kV line and construction of a new 36 mile long, 220 kV double circuit line, with 1590 kcmil ACSR conductors. (The circuit would initially energized at 115 kV)
- Replacement of the existing 115/220 kV, 102 MVA transformer bank at the Eldorado Substation with 115/220 KV, 280 MVA bank.

**Power Flow Study Results and mitigation measures (Ivanpah #3)**

The study determined that the system between Ivanpah and Eldorado substation is inadequate to accommodate the full output of all generation projects queued ahead of the Ivanpah #3 power plant.

Base Case Conditions (N-0): Under the base case conditions, the study determined that the modified Eldorado 115/220 kV transformer bank is insufficient to accommodate Ivanpah #3. The existing Eldorado substation design does not provide the ability to install an additional 115/220 kV transformer bank without causing significant changes at the site. Adding a second transformer bank at the Eldorado substation is not a viable alternative.

Mitigation: Therefore, an additional transformer bank should be installed at proposed Ivanpah substation to increase the operating voltage from 115 kV to 220 kV of the Eldorado-Ivanpah 220 kV transmission line. This will also require the construction of two new 220 kV line positions on the west side of Eldorado substation within the existing fence line.

With the additional upgrades triggered by the Ivanpah #3, the study identified the continued need for a Special Protection System (SPS) in order to mitigate thermal overloads identified under N-1 contingency analysis. The study did not identify any N-2 thermal overloads.

Single Outage Contingency (N-1): The loss of the new 36 mile Eldorado-Ivanpah 220 kV transmission line under N-1 contingency conditions would disconnect the Ivanpah and Mountain Pass areas from the Eldorado substation thereby triggering voltage collapse and thermal overload problems.

Mitigation: To mitigate this criteria violation, a previously implemented SPS will need to be modified to reflect the changes associated with the facility upgrades triggered by the Ivanpah #3. The SPS should be capable of tripping Mountain Pass 115 kV line, the new Ivanpah substation, the new Ivanpah 220 kV transmission line and the Ivanpah #3.
Single Outage Contingency (N-1):
Loss of one Ivanpah 115/220kV transformer bank results in loading the remaining transformer bank beyond its maximum emergency capability.

Mitigation: To mitigate this criteria violation, a previously implemented SPS will need to be modified. The SPS should be capable of tripping Mountain Pass 115 kV new Ivanpah substation, New Ivanpah 220 kV transmission line or the Ivanpah #3 of the project under loss of one Ivanpah 115/220 kV transformer bank by opening the corresponding unit circuit breaker.

Transient Stability Results
Transient stability studies identified that the Ivanpah #1, #2 and #3 power plants of the project steam generators experience transient instability under 15 cycle closed in (three-phase-to-ground) system faults located at or near the proposed Ivanpah 115kV substation. To mitigate the transient stability problem, the following up grades are proposed;

• Upgrade SCE 115 kV relay protection near the proposed Ivanpah substation to provide for primary protection fault clearing time of less than 8 cycles.
• Ensure project developer installs out-of step protection on the Ivanpah #1, #2 and #3 steam turbine-generators.

Post-Transient Stability Results
Depending on the amount of generation resource on line, loss of either Eldorado-Ivanpah transmission line or loss of the 115/220 kV transformer at Eldorado resulted in a significant voltage deviation including a voltage collapse, in the Dunn Siding and Baker substation areas. To mitigate this problem, the following reliability upgrades are proposed.

• Install a Special Protection System (SPS) that trips the Ivanpah #1, #2 and #3 projects under outages of transmission facilities connecting the proposed Ivanpah substation to the Eldorado substation (transmission line and transformer bank at Eldorado substation).

Short-Circuit Duty Study Results
Short circuit studies were performed to determine the degree to which the addition of Ivanpah project increases fault duties at SCE substations, and other 115 kV, 220 kV, and 220 kV busses within the study area. The busses at which faults were simulated, the maximum three-phase and single-line-to-ground fault currents at these busses both with and without the project, and information on the breaker duties at each location are summarized in the Short Circuit Study results tables of the System Impact Study Report (Ivanpah #3, 2008b, SIS, tables 2-3, Pages 38 and 39).
The results of the three-phase-to-ground and single-phase-to-ground short-circuit duty studies identified that three 220kV 50kA circuit breakers at the Lugo Substation will need to be replaced and that two 220 kV 50kA circuit breakers also at the Lugo Substation will need to be upgraded to 63 kA rating by installing Transient Recovery Voltage (TRV) capacitor banks. Additionally, the Eldorado 220 kV substation will needed to be upgraded to 80 kA design standard as the current 63 KA capability was identified to be exceeded by a queued ahead generation projects. The breaker upgrades would occur within the fence line of existing substations and would not trigger CEQA review. Detailed Short Circuit study results will be provided as a part of the Facilities Study.

Closure and Decommissioning Impacts and Mitigation

Closure and decommissioning activities associated with Ivanpah would involve the removal of the three power plants (Ivanpah # 1, 2 and 3), their switchyards and generation tie lines from the respective switchyards to the Ivanpah Substation. Ivanpah Substation would not be affected, and thus the integrity of the Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass transmission line would not be affected. Therefore, there would not be any significant adverse environmental effects or LORS conformance issues associated with the Ivanpah closure and decommissioning.

NO PROJECT/NO ACTION ALTERNATIVE

In the No Project / No Action Alternative, the proposed action would not be undertaken. The BLM land on which the project is proposed would continue to be managed within BLM’s framework of a program of multiple use and sustained yield, and the maintenance of environmental quality [43 U.S.C. 1781 (b)] in conformance with applicable statutes, regulations, policy and land use plan.

The results of the No Project / No Action Alternative would be the following:

- The impacts of the proposed project would not occur. However, the land on which the project is proposed would become available to other uses that are consistent with BLM’s land use plan, including another solar project.
- The benefits of the proposed project in reducing greenhouse gas emissions from gas-fired generation would not occur. Both State and Federal law support the increased use of renewable power generation.

If this project is not approved, renewable projects would likely be developed on other sites in the Mojave Desert or in adjacent states as developers strive to provide renewable power that complies with utility requirements and State/Federal mandates. For example, there are three large solar projects proposed on BLM land in Nevada within a few miles of the Ivanpah site. In addition, there are currently 66 applications for solar projects covering 611,692 acres pending with BLM in the California Desert District.

CUMULATIVE IMPACTS AND MITIGATION
Staff has reviewed the lists of existing and foreseeable projects as presented in the Cumulative Scenario section of this FSA/DEIS. Staff’s review considers whether the interconnection of Ivanpah to SCE’s transmission system along with other existing and foreseeable generation projects would conform to all LORS required for safe and reliable electric power transmission. The analysis described above under the heading Proposed Project – Scope of System Impact Studies is conducted in coordination with, and the approval of, California ISO to consider existing and proposed generator interconnections to the transmission grid and their potential safety and reliability impacts under a number of conservative contingency conditions. The results of this study conclude that with implementation of the mitigation measures recommended by staff and required by California ISO as a condition of Ivanpah interconnection, Ivanpah will not contribute to a cumulative impact to the safety and reliability of the transmission system.

**COMPLIANCE WITH LORS**

The studies indicate that the three phases of the project would comply with NERC/WECC planning standards and California ISO reliability criteria. The applicant will design and fund construction of the proposed 220 kV Ivanpah substation and a new 36-mile long segment of Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass transmission line between Eldorado and Ivanpah Substations. Staff concludes that, assuming the proposed conditions of certification are met, the project would likely meet the requirements and standards of all applicable LORS.

**NOTEWORTHY PUBLIC BENEFITS**

Staff has not identified any noteworthy public benefits associated with Transmission System Engineering.

**RESPONSE TO AGENCY AND PUBLIC COMMENTS ON THE PSA**

No agency or public comments related to the TSE discipline have been received.

**CONCLUSIONS AND RECOMMENDATIONS**

The proposed Ivanpah outlet lines and termination are acceptable and would comply with all applicable laws, ordinances, regulations, and standards (LORS). The analysis of project transmission lines and equipment, both from the power plant up to the point of interconnection with the existing transmission network as well as upgrades beyond the interconnection that are attributable to the project have been evaluated by staff and are included in the environmental sections of this staff assessment. Staff’s conclusions with respect to Transmission System Engineering result in the need for Ivanpah to provide the following mitigation measures:

- Mitigation of base case thermal overloads caused by Ivanpah #1 and Ivanpah #2 of the project, would require the replacement of the existing 115/220 kV transformer
bank at the Eldorado substation and the upgrade of a 36 mile long segment of Eldorado-Baker-Cool Water-Dunn Siding-Mountain Pass transmission line between Eldorado and Ivanpah Substations. Ivanpah #3 would require the addition of a 115/220 kV transformer at the new Ivanpah substation.

- Mitigation of thermal overloads caused by the Ivanpah #3 under N-1 contingency analysis, would require modification of the existing Special Protection System (SPS) to reflect the topology change associated with the additional facility upgrades triggered by the Ivanpah #3 power plant.

MITIGATION MEASURES/PROPOSED CONDITIONS OF CERTIFICATION

If BLM grants a Right-of-Way and the Energy Commission approves this project, staff recommends that the following conditions of certification be met to ensure both system reliability and conformance with LORS.

**TSE-1** The project owner shall furnish to BLM’s Authorized Officer and the Compliance Project Manager (CPM) and to the Chief Building Official (CBO) a schedule of transmission facility design submittals, a Master Drawing List, a Master Specifications List, and a Major Equipment and Structure List. The schedule shall contain a description and list of proposed submittal packages for design, calculations, and specifications for major structures and equipment. To facilitate audits by BLM and Energy Commission staff, the project owner shall provide designated packages to BLM’s Authorized Officer and the CPM when requested.

**Verification:** At least 60 days prior to the start of construction (or a lesser number of days mutually agreed to by the project owner and the CBO), the project owner shall submit the schedule, a Master Drawing List, and a Master Specifications List to the CBO, BLM’s Authorized Officer and to the CPM. The schedule shall contain a description and list of proposed submittal packages for design, calculations, and specifications for major structures and equipment (see a list of major equipment in Table 1: Major Equipment List below). Additions and deletions shall be made to the table only with CPM, BLM’s Authorized Officer and CBO approval. The project owner shall provide schedule updates in the Monthly Compliance Report.
TRANSMISSION SYSTEM ENGINEERING Table 1
Major Equipment List

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TSE-2  Prior to the start of construction, the project owner shall assign an electrical engineer and at least one of each of the following to the project: A) a civil engineer; B) a geotechnical engineer or a civil engineer experienced and knowledgeable in the practice of soils engineering; C) a design engineer who is either a structural engineer or a civil engineer fully competent and proficient in the design of power plant structures and equipment supports; or D) a mechanical engineer. (Business and Professions Code Sections 6704 et seq. require state registration to practice as a civil engineer or structural engineer in California.

The tasks performed by the civil, mechanical, electrical, or design engineers may be divided between two or more engineers, as long as each engineer is responsible for a particular segment of the project (e.g., proposed earthwork, civil structures, power plant structures, equipment support). No segment of the project shall have more than one responsible engineer. The transmission line may be the responsibility of a separate California-registered electrical engineer. The civil, geotechnical or civil, and design engineer assigned in conformance with Facility Design condition GEN-5, may be responsible for design and review of the TSE facilities.

The project owner shall submit to the CBO for review and approval, the names, qualifications, and registration numbers of all engineers assigned to the project. If any one of the designated engineers is subsequently reassigned or replaced, the project owner shall submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approval of the new engineer. This engineer shall be authorized to halt earthwork and to require changes if site conditions are unsafe or do not conform with predicted conditions used as a basis for design of earthwork or foundations. The electrical engineer shall:
1. Be responsible for the electrical design of the power plant switchyard, outlet and termination facilities; and

2. Sign and stamp electrical design drawings, plans, specifications, and calculations.

Verification: At least 30 days prior to the start of rough grading (or a lesser number of days mutually agreed to by the project owner and the CBO), the project owner shall submit to the CBO for review and approval, the names, qualifications, and registration numbers of all the responsible engineers assigned to the project. The project owner shall notify BLM’s authorized officer and the CPM of the CBO’s approvals of the engineers within five days of the approval.

If the designated responsible engineer is subsequently reassigned or replaced, the project owner has five days in which to submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM’s Authorized Officer and the CPM of the CBO’s approval of the new engineer within five days of the approval.

TSE-3 If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend corrective action (California Building Code, 1998, Chapter 1, Section 108.4, Approval Required; Chapter 17, Section 1701.3, Duties and Responsibilities of the Special Inspector; Appendix Chapter 33, Section 3317.7, Notification of Noncompliance). The discrepancy documentation shall become a controlled document and shall be submitted to the CBO for review and approval and shall reference this condition of certification.

Verification: The project owner shall submit a copy of the CBO’s approval or disapproval of any corrective action taken to resolve a discrepancy to BLM’s Authorized Officer and the CPM within 15 days of receipt. If disapproved, the project owner shall advise BLM’s Authorized Officer and the CPM, within five days, the reason for disapproval, and the revised corrective action required obtaining the CBO’s approval.

TSE-4 For the power plant switchyard, outlet line, and termination, the project owner shall not begin any increment of construction until plans for that increment have been approved by the CBO. These plans, together with design changes and design change notices, shall remain on the site for one year after completion of construction. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS. The following activities shall be reported in the Monthly Compliance Report:

1. Receipt or delay of major electrical equipment;
2. Testing or energization of major electrical equipment; and
3. The number of electrical drawings approved, submitted for approval, and still to be submitted.
Verification: At least 30 days prior to the start of each increment of construction (or a lesser number of days mutually agreed to by the project owner and the CBO), the project owner shall submit to the CBO for review and approval the final design plans, specifications, and calculations for equipment and systems of the power plant switchyard, outlet line, and termination, including a copy of the signed and stamped statement from the responsible electrical engineer attesting to compliance with the applicable LORS, and send BLM's Authorized Officer and the CPM a copy of the transmittal letter in the next Monthly Compliance Report.

TSE-5 The project owner shall ensure that the design, construction, and operation of the proposed transmission facilities will conform to all applicable LORS, including the requirements listed below. The project owner shall submit the required number of copies of the design drawings and calculations as determined by the CBO.

1. The Ivanpah 1 will be interconnected to the SCE grid via a segment of 115kV, 477 kcmil-ACSR, approximately 5,800 feet long single circuit.

The Ivanpah #2 will be interconnected to the SCE grid via a segment of 115-kV, 477 kcmil-ACSR, approximately 3900 feet long single circuit and a segment of 115kV, 477- kcmil, approximately 1400 feet long double circuit generator tie-line.

The Ivanpah #3 generator tie line would be approximately 14,100 feet long, single circuit, 115kV line built with 1510 kcmil ACSR and would merge into a 115kV double circuit with the Ivanpah #2 generator tie line.

The proposed Ivanpah substation would use a double bus breaker- and-a half configuration with 3-bays and 5 positions.

2. The power plant outlet line shall meet or exceed the electrical, mechanical, civil, and structural requirements of CPUC General Order 95 and General Order 98 or National Electric Safety Code (NESC), Title 8 of the California Code and Regulations (Title 8), Articles 35, 36, and 37 of the “High Voltage Electric Safety Orders”, California ISO standards, National Electric Code (NEC), and related industry standards.

3. Breakers and busses in the power plant switchyard and other switchyards, where applicable, shall be sized to comply with a short-circuit analysis.

4. Outlet line crossings and line parallels with transmission and distribution facilities shall be coordinated with the transmission line owner and comply with the owner’s standards.

5. The project conductors shall be sized to accommodate the full output from the project.
6. Termination facilities shall comply with applicable SCE interconnection standards.

7. The project owner shall provide to BLM’s Authorized Officer and the CPM:
   a. The final Detailed Facility Study (DFS) including a description of facility upgrades, operational mitigation measures, and/or Special Protection System (SPS) sequencing and timing if applicable,
   b. Executed project owner and California ISO Facility Interconnection Agreement.

Verification: At least 60 days prior to the start of construction of transmission facilities (or a lessor number of days mutually agree to by the project owner and CBO), the project owner shall submit to the CBO for approval:

1. Design drawings, specifications, and calculations conforming with CPUC General Order 95 and General Order 98 or NESC; Title 8, California Code of Regulations, Articles 35, 36, and 37 of the “High Voltage Electric Safety Orders”; NEC; applicable interconnection standards, and related industry standards for the poles/towers, foundations, anchor bolts, conductors, grounding systems, and major switchyard equipment.

2. For each element of the transmission facilities identified above, the submittal package to the CBO shall contain the design criteria, a discussion of the calculation method(s), a sample calculation based on “worst-case conditions,”¹ and a statement signed and sealed by the registered engineer in responsible charge, or other acceptable alternative verification, that the transmission element(s) will conform with CPUC General Order 95 or NESC; Title 8, California Code of Regulations, Articles 35, 36 and 37 of the “High Voltage Electric Safety Orders”; NEC; applicable interconnection standards, and related industry standards.

3. Electrical one-line diagrams signed and sealed by the registered professional electrical engineer in responsible charge, a route map, and an engineering description of equipment and the configurations covered by requirements TSE-5 1) through 5) above.

4. The final Detailed Facility Study, including a description of facility upgrades, operational mitigation measures, and/or SPS sequencing and timing if applicable, shall be provided concurrently to BLM’s Authorized Officer and the CPM.

TSE-6 The project owner shall provide the following Notice to the California Independent System Operator (California ISO) prior to synchronizing the facility with the California transmission system:

1. At least one week prior to synchronizing the facility with the grid for testing, provide the California ISO a letter stating the proposed date of synchronization; and

¹ Worst-case conditions for the foundations would include for instance, a dead-end or angle pole.
2. At least one business day prior to synchronizing the facility with the grid for testing, provide telephone notification to the California ISO Outage Coordination Department.

**Verification:** The project owner shall provide copies of the California ISO letter to BLM’s Authorized Officer and the CPM when it is sent to the California ISO one week prior to initial synchronization with the grid. A report of the conversation with the California ISO shall be provided electronically to BLM’s Authorized Officer and the CPM one day before synchronizing the facility with the California transmission system for the first time.

**TSE-7** The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent BLM authorized officer, CPM and CBO approved changes thereto, to ensure conformance with CPUC GO-95 or NESC; Title 8, CCR, Articles 35, 36 and 37 of the “High Voltage Electric Safety Orders”; applicable interconnection standards; NEC; and related industry standards. In case of non-conformance, the project owner shall inform BLM’s Authorized Officer, the CPM and CBO in writing, within 10 days of discovering such non-conformance and describe the corrective actions to be taken.

**Verification:** Within 60 days after first synchronization of the project, the project owner shall transmit to BLM’s Authorized Officer, the CPM and CBO:

1. “As built” engineering description(s) and one-line drawings of the electrical portion of the facilities signed and sealed by the registered electrical engineer in responsible charge. A statement attesting to conformance with CPUC GO-95 or NESC; Title 8, California Code of Regulations, Articles 35, 36 and 37 of the “High Voltage Electric Safety Orders”; applicable interconnection standards; NEC; and related industry standards, and these conditions shall be provided concurrently.

2. An “as built” engineering description of the mechanical, structural, and civil portion of the transmission facilities signed and sealed by the registered engineer in responsible charge or acceptable alternative verification. “As built” drawings of the electrical, mechanical, structural, and civil portion of the transmission facilities shall be maintained at the power plant and made available, if requested, for BLM’s Authorized Officer or CPM audit as set forth in the “Compliance Monitoring Plan.”

3. A summary of inspections of the completed transmission facilities, and identification of any nonconforming work and corrective actions taken, signed and sealed by the registered engineer in charge

**REFERENCES**


Ivanpah #1 and 2 (Ivanpah Solar Energy Generating Station 1 and 2). 2008a. Bright Source Energy, Inc. DPT1 and DPT2 project (System Impact Study) submitted to the California Energy Commission.


**DEFINITION OF TERMS**

AAC - All aluminum conductor

ACSR - Aluminum conductor steel-reinforced

ACSS - Aluminum conductor steel-supported

Ampacity - Current-carrying capacity, expressed in amperes, of a conductor at specified ambient conditions, at which damage to the conductor is nonexistent or deemed acceptable based on economic, safety, and reliability considerations.

Ampere - The unit of current flowing in a conductor.

Bundled - Two wires, 18 inches apart.

Bus - Conductors that serve as a common connection for two or more circuits.

Conductor - The part of the transmission line (the wire) that carries the current.

Congestion management – A scheduling protocol, which provides that dispatched generation and transmission loading (imports) will not violate criteria.

Emergency overload – See “Single Contingency.” This is also called an L-1.

Kcmil or KCM – Thousand circular mil. A unit of the conductor’s cross sectional area. When divided by 1,273, the area in square inches is obtained.
Kilovolt (kV) - A unit of potential difference, or voltage, between two conductors of a circuit, or between a conductor and the ground.

Loop - An electrical cul de sac. A transmission configuration that interrupts an existing circuit, diverts it to another connection, and returns it back to the interrupted circuit, thus forming a loop or cul de sac.

Megavar - One megavolt ampere reactive.

Megavars - Mega-volt-Ampere-Reactive. One million Volt-Ampere-Reactive. Reactive power is generally associated with the reactive nature of motor loads that must be fed by generation units in the system.

Megavolt ampere (MVA) – A unit of apparent power. It equals the product of the line voltage in kilovolts, current in amperes, and the square root of 3, divided by 1,000.

Megawatt (MW) – A unit of power equivalent to 1,341 horsepower.

Normal operation/normal overload – The condition arrived at when all customers receive the power they are entitled to, without interruption and at steady voltage, and with no element of the transmission system loaded beyond its continuous rating.

N-1 condition – See “single contingency.”

Outlet - Transmission facilities (circuit, transformer, circuit breaker, etc.) linking generation facilities to the main grid.

Power flow analysis – A forward-looking computer simulation of essentially all generation and transmission system facilities that identifies overloaded circuits, transformers, and other equipment and system voltage levels.

Reactive power – Generally associated with the reactive nature of motor loads that must be fed by generation units in the system. An adequate supply of reactive power is required to maintain voltage levels in the system.

Remedial action scheme (RAS) – An automatic control provision, which, for instance, will trip a selected generating unit upon a circuit overload.

SF6 (sulfur hexafluoride) – An insulating medium.

Single contingency – Also known as “emergency” or “N-1 condition,” the occurrence when one major transmission element (circuit, transformer, circuit breaker, etc.) or one generator is out of service.

Solid dielectric cable – Copper or aluminum conductors that are insulated by solid polyethylene type insulation and covered by a metallic shield and outer polyethylene jacket.
Switchyard - An integral part of a power plant and used as an outlet for one or more electric generators.

Thermal rating – See “ampacity.”

TSE - Transmission system engineering.

Tap - A transmission configuration creating an interconnection through a sort single circuit to a small or medium sized load or a generator. The new single circuit line is inserted into an existing circuit by utilizing breakers at existing terminals of the circuit, rather than installing breakers at the interconnection in a new switchyard.

Undercrossing – A transmission configuration where a transmission line crosses below the conductors of another transmission line, generally at 90 degrees.

Underbuild - A transmission or distribution configuration where a transmission or distribution circuit is attached to a transmission tower or pole below (under) the principle transmission line conductors.
Appendix C-5
Energy Commission General Conditions
GENERAL CONDITIONS
INCLUDING
COMPLIANCE MONITORING AND CLOSURE PLAN
Prepared by Steve Munro

INTRODUCTION

The project’s General Compliance Conditions of Certification, including Compliance Monitoring and Closure Plan (Compliance Plan) have been established as required by Public Resources Code section 25532. The plan provides a means for assuring that the facility is constructed, operated, and closed in compliance with public health and safety, environmental, and other applicable regulations, guidelines, and conditions adopted or established by the California Energy Commission and specified in the written decision on the Application for Certification or otherwise required by law. The Compliance Plan will be integrated with a U.S. Bureau of Land Management (BLM) Compliance Monitoring Plan (hereafter referred to as the Compliance Plan) to assure compliance with the terms and conditions of any approved Right-of-Way (ROW) grant including the approved Plan of Development (POD).

The Compliance Plan is composed of elements that:

- set forth the duties and responsibilities of BLM’s Authorized Officer, the Compliance Project Manager (CPM), the project owner, delegate agencies, and others;
- set forth the requirements for handling confidential records and maintaining the compliance record;
- state procedures for settling disputes and making post-certification changes;
- state procedures for requesting and approving ROW Grant or POD changes;
- state the requirements for periodic compliance reports and other administrative procedures that are necessary to verify the compliance status for all BLM and Energy Commission approved conditions of certification/mitigation measures;
- establish requirements for modifications or amendments to facility Closure, Revegetation, and Restoration Plans; and
- specify conditions of certification for each technical area containing the measures required to mitigate any and all potential adverse project impacts associated with construction, operation and closure below a level of significance. Each specific condition of certification also includes a verification provision that describes the method of assuring that the condition has been satisfied.

Conditions of Certification referred to herein serve the purpose of both the Energy Commission’s Conditions of Certification for purposes of the California Environmental Quality Act (CEQA) and BLM’s Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).
DEFINITIONS

The following terms and definitions are used to establish when Conditions of Certification are implemented.

BLM AUTHORIZED OFFICER:

The BLM Authorized Officer for the Project is the BLM Needles Field Manager or his designated Compliance Inspector that is responsible for oversight and inspection of all construction and operational related activities on public land.

PRE-CONSTRUCTION SITE MOBILIZATION

Site mobilization is limited preconstruction activities at the site to allow for the installation of fencing, construction trailers, construction trailer utilities, and construction trailer parking at the site. Limited ground disturbance, grading, and trenching associated with the above mentioned pre-construction activities is considered part of site mobilization. Walking, driving or parking a passenger vehicle, pickup truck and light vehicles is allowable during site mobilization.

CONSTRUCTION

Onsite work to install permanent equipment or structures for any facility.

Ground Disturbance

Construction-related ground disturbance refers to activities that result in the removal of top soil or vegetation at the site beyond site mobilization needs, and for access roads and linear facilities.

Grading, Boring, and Trenching

Construction-related grading, boring, and trenching refers to activities that result in subsurface soil work at the site and for access roads and linear facilities, e.g., alteration of the topographical features such as leveling, removal of hills or high spots, moving of soil from one area to another, and removal of soil.

Notwithstanding the definitions of ground disturbance, grading, boring and trenching above, construction does not include the following:

1. the installation of environmental monitoring equipment;

2. a soil or geological investigation;

3. a topographical survey;

4. any other study or investigation to determine the environmental acceptability or feasibility of the use of the site for any particular facility; and

5. any work to provide access to the site for any of the purposes specified in “Construction” 1, 2, 3, or 4 above.
START OF COMMERCIAL OPERATION

For compliance monitoring purposes, “commercial operation” begins after the completion of start-up and commissioning, when each of the power plants has reached reliable steady-state production of electricity at the rated capacity. At the start of commercial operation, plant control is usually transferred from the construction manager to the plant operations manager.

BLM’S AUTHORIZED OFFICER AND COMPLIANCE PROJECT MANAGER RESPONSIBILITIES

BLM’s Authorized Officer and the Compliance Project Manager (CPM) shall oversee the compliance monitoring and is responsible for:

1. Ensuring that the design, construction, operation, and closure of the project facilities are in compliance with the terms and conditions of BLM’s ROW Grant and the Energy Commission Decision

2. Resolving complaints

3. Processing post-certification changes to the conditions of certification, project description (petition to amend), and ownership or operational control (petition for change of ownership) (See instructions for filing petitions)

4. Documenting and tracking compliance filings

5. Ensuring that compliance files are maintained and accessible

BLM’s Authorized Officer is the contact person for BLM and will consult with appropriate responsible agencies, Energy Commission, and Energy Commission staff when handling disputes, complaints, and amendments. The CPM is the contact person for the Energy Commission and will consult with appropriate responsible agencies, BLM, Energy Commission, and Energy Commission staff when handling disputes, complaints, and amendments.

All project compliance submittals are submitted to BLM’s Authorized Officer and the CPM for processing. Where a submittal required by a condition of certification requires BLM’s Authorized Officer and/or CPM approval, the approval will involve all appropriate BLM personnel, Energy Commission staff and management. All submittals must include searchable electronic versions (pdf or word files).

CHIEF BUILDING OFFICIAL RESPONSIBILITIES

The Chief Building Official (CBO) shall serve as BLM's and the Energy Commission's delegate to assure the project is designed and constructed in accordance with BLM’s Right-of-Way Grant, the Energy Commission's Decision including Conditions of Certification, California Building Standards Code, local building codes and applicable laws, ordinances, regulations and standards to ensure health and safety. The CBO is
typically made-up of a team of specialists covering civil, structural, mechanical and electrical disciplines whose duties include the following:

1. Performing design review and plan checks of all drawings, specifications and procedures;
2. Conducting construction inspection;
3. Functioning as BLM’s and the Energy Commission’s delegate including reporting noncompliance issues or violations to the BLM Authorized Officer for action and taking any action allowed under the California Code of Regulations, including issuing a Stop Work Order, to ensure compliance;
4. Exercising access as needed to all project owner construction records, construction and inspection procedures, test equipment and test results; and
5. Providing weekly reports on the status of construction to BLM's Authorized Officer and the CPM.

PRE-CONSTRUCTION AND PRE-OPERATION COMPLIANCE MEETING

BLM’s Authorized Officer and the CPM shall schedule pre-construction and pre-operation compliance meetings prior to the projected start-dates of construction, plant operation, or both. The purpose of these meetings is to assemble technical staff from BLM, the Energy Commission, the project owner and construction contractor to review the status of all pre-construction or pre-operation requirements, contained in BLM’s and the Energy Commission’s conditions of certification. This is to confirm that all applicable conditions of certification have been met, or if they have not been met, to ensure that the proper action is taken. In addition, these meetings ensure, to the extent possible, that BLM and Energy Commission conditions will not delay the construction and operation of the plant due to oversight and to preclude any last minute, unforeseen issues from arising. Pre-construction meetings held during the certification process must be publicly noticed unless they are confined to administrative issues and processes.

BLM AND ENERGY COMMISSION RECORD

BLM and the Energy Commission shall maintain the following documents and information as a public record, in either the Energy Commission’s Compliance file or Dockets file, for the life of the project (or other period as required):

- All documents demonstrating compliance with any legal requirements relating to the construction and operation of the facility;
- All monthly and annual compliance reports filed by the project owner;
- All complaints of noncompliance filed with BLM and the Energy Commission; and
- All petitions/requests for project or condition of certification changes and the resulting BLM, Energy Commission staff or Energy Commission action.
PROJECT OWNER RESPONSIBILITIES

The project owner is responsible for ensuring that the compliance conditions of certification and all other conditions of certification that appear in BLM's ROW Grant and the Energy Commission Decision are satisfied. The compliance conditions regarding post-certification changes specify measures that the project owner must take when requesting changes in the project design, conditions of certification, or ownership. Failure to comply with any of the conditions of certification or the compliance conditions may result in reopening of the case and revocation of the Energy Commission certification; an administrative fine; or other action as appropriate. A summary of the Compliance Conditions of Certification is included as Compliance Table 1 at the conclusion of this section.

The BLM ROW grant holder will comply with the terms, conditions, and special stipulations of the ROW grant. Failure to comply with applicable laws or regulations or any of the terms and conditions of a BLM ROW grant may result in the suspension or termination of the ROW grant (43 CFR 2807.17). Prior to suspending or terminating a ROW grant, BLM will provide written notice to the holder stating it intends to suspend or terminate and will provide reasonable opportunity to correct any noncompliance.

COMPLIANCE MITIGATION MEASURES/CONDITIONS OF CERTIFICATION

Unrestricted Access (COMPLIANCE-1)

BLM's Authorized Officer, responsible BLM staff, the CPM, responsible Energy Commission staff, and delegated agencies or consultants shall be guaranteed and granted unrestricted access to the power plant site, related facilities, project-related staff, and the records maintained on-site, for the purpose of conducting audits, surveys, inspections, or general site visits. Although BLM's Authorized Officer and the CPM will normally schedule site visits on dates and times agreeable to the project owner, BLM's Authorized Officer and the CPM reserve the right to make unannounced visits at any time.

Compliance Record (COMPLIANCE-2)

The project owner shall maintain project files on-site or at an alternative site approved by BLM's Authorized Officer and the CPM for the life of the project, unless a lesser period of time is specified by the conditions of certification. The files shall contain copies of all “as-built” drawings, documents submitted as verification for conditions, and other project-related documents. As-built drawings of all facilities including linear facilities shall be provided to the BLM Authorized Officer for inclusion in the BLM administrative record within 90-days of completion of that portion of the facility or project.

BLM and Energy Commission staff and delegate agencies shall, upon request to the project owner, be given unrestricted access to the files maintained pursuant to this condition.
Compliance Verification Submittals (COMPLIANCE-3)

Each condition of certification is followed by a means of verification. The verification describes the Energy Commission’s procedure(s) to ensure post-certification compliance with adopted conditions. The verification procedures, unlike the conditions, may be modified as necessary by BLM’s Authorized Officer and the CPM.

Verification of compliance with the conditions of certification can be accomplished by the following:

1. Monthly and/or annual compliance reports, timely filed by the project owner or authorized agent, reporting on work done and providing pertinent documentation, as required by the specific conditions of certification;

2. Appropriate letters from delegate agencies verifying compliance;

3. BLM and Energy Commission staff audits of project records; and/or

4. BLM and Energy Commission staff inspections of work, or other evidence that the requirements are satisfied.

Verification lead times associated with start of construction may require the project owner to file submittals during the certification process, particularly if construction is planned to commence shortly after certification.

A cover letter from the project owner or authorized agent is required for all compliance submittals and correspondence pertaining to compliance matters. The cover letter subject line shall identify the project by AFC number, the appropriate condition(s) of certification by condition number(s), and a brief description of the subject of the submittal. The project owner shall also identify those submittals not required by a condition of certification with a statement such as: “This submittal is for information only and is not required by a specific condition of certification.” When submitting supplementary or corrected information, the project owner shall reference the date of the previous submittal and BLM/CEC submittal number.

The project owner is responsible for the delivery and content of all verification submittals to the BLM’s Authorized Officer and CPM, whether such condition was satisfied by work performed by the project owner or an agent of the project owner.

All hardcopy submittals shall be addressed to each of the following:

BLM’s Authorized Officer
(CACA-48668, 49502, 49503, and 49504)
U.S. Bureau of Land Management
1303 South Highway 95
Needles, CA 92363

Compliance Project Manager
(07-AFC-5C)
California Energy Commission
1516 Ninth Street (MS-2000)
Sacramento, CA 95814

Those submittals shall be accompanied by a searchable electronic copy, on a CD or by e-mail, as agreed upon by BLM’s Authorized Officer and the CPM.
If the project owner desires BLM and/or Energy Commission staff action by a specific date, that request shall be made in the submittal cover letter and shall include a detailed explanation of the effects on the project if that date is not met.

**Pre-Construction Matrix and Tasks Prior to Start of Construction (COMPLIANCE-4)**

Prior to commencing construction, a compliance matrix addressing only those conditions that must be fulfilled before the start of construction shall be submitted by the project owner to BLM's Authorized Officer and the CPM. This matrix will be included with the project owner's first compliance submittal or prior to the first pre-construction meeting, whichever comes first. It will be submitted in the same format as the compliance matrix described below. In order to begin any on-site mobilization or surface disturbing activities on public land, the BLM Authorized Officer must approve a written Notice to Proceed (NTP). NTPs will be phased as appropriate to facilitate timely implementation of construction.

Construction shall not commence until the pre-construction matrix is submitted, all pre-construction conditions have been complied with, and BLM’s Authorized Officer and the CPM have issued a letter and BLM has issued a NTP to the project owner authorizing construction. Various lead times for submittal of compliance verification documents to BLM’s Authorized Officer and the CPM for conditions of certification are established to allow sufficient BLM and Energy Commission staff time to review and comment and, if necessary, allow the project owner to revise the submittal in a timely manner. This will ensure that project construction may proceed according to schedule.

Failure to submit compliance documents within the specified lead-time may result in delays in authorization to commence various stages of project development.

If the project owner anticipates commencing project construction as soon as the project is certified, it may be necessary for the project owner to file compliance submittals prior to project certification. Compliance submittals should be completed in advance where the necessary lead time for a required compliance event extends beyond the date anticipated for start of construction. The project owner must understand that the submittal of compliance documents prior to project certification is at the owner's own risk. Any approval by Energy Commission staff is subject to change, based upon BLM’s ROW Grant and the Energy Commission Decision.

**Compliance Reporting**

There are two different compliance reports that the project owner must submit to assist BLM’s Authorized Officer and the CPM in tracking activities and monitoring compliance with the terms and conditions of BLM’s ROW Grant and the Energy Commission Decision. During construction, the project owner or authorized agent will submit Monthly Compliance Reports. During operation, an Annual Compliance Report must be submitted. These reports, and the requirement for an accompanying compliance matrix, are described below. The majority of the conditions of certification require that compliance submittals be submitted to BLM’s Authorized Officer and the CPM in the monthly or annual compliance reports.
Compliance Matrix (COMPLIANCE-5)

A compliance matrix shall be submitted by the project owner to BLM’s Authorized Officer and the CPM along with each monthly and annual compliance report. The compliance matrix is intended to provide BLM’s Authorized Officer and the CPM with the current status of all conditions of certification in a spreadsheet format. The compliance matrix must identify:

1. the technical area;
2. the condition number;
3. a brief description of the verification action or submittal required by the condition;
4. the date the submittal is required (e.g., 60 days prior to construction, after final inspection, etc.);
5. the expected or actual submittal date;
6. the date a submittal or action was approved by the Chief Building Official (CBO), BLM’s Authorized Officer, CPM, or delegate agency, if applicable; and
7. the compliance status of each condition, e.g., “not started,” “in progress” or “completed” (include the date).
8. if the condition was amended, the date of the amendment.

Satisfied conditions shall be placed at the end of the matrix.

Monthly Compliance Report (COMPLIANCE-6)

The first Monthly Compliance Report is due one month following the Energy Commission business meeting date upon which the project was approved, unless otherwise agreed to by BLM’s Authorized Officer and the CPM. The first Monthly Compliance Report shall include the AFC number and an initial list of dates for each of the events identified on the Key Events List. The Key Events List Form is found at the end of this section.

During pre-construction and construction of each power plant, the project owner or authorized agent shall submit an original and an electronic searchable version of the Monthly Compliance Report within 10 working days after the end of each reporting month. Monthly Compliance Reports shall be clearly identified for the month being reported. The reports shall contain, at a minimum:

1. A summary of the current project construction status, a revised/updated schedule if there are significant delays, and an explanation of any significant changes to the schedule;
2. Documents required by specific conditions to be submitted along with the Monthly Compliance Report. Each of these items must be identified in the transmittal letter,
as well as the conditions they satisfy and submitted as attachments to the Monthly Compliance Report;

3. An initial, and thereafter updated, compliance matrix showing the status of all conditions of certification (fully satisfied conditions do not need to be included in the matrix after they have been reported as completed);

4. A list of conditions that have been satisfied during the reporting period, and a description or reference to the actions that satisfied the condition;

5. A list of any submittal deadlines that were missed, accompanied by an explanation and an estimate of when the information will be provided;

6. A cumulative listing of any approved changes to conditions of certification;

7. A listing of any filings submitted to, or permits issued by, other governmental agencies during the month;

8. A projection of project compliance activities scheduled during the next two months. The project owner shall notify BLM’s Authorized Officer and the CPM as soon as any changes are made to the project construction schedule that would affect compliance with conditions of certification;

9. A listing of the month’s additions to the on-site compliance file; and

10. A listing of complaints, notices of violation, official warnings, and citations received during the month, a description of the resolution of the resolved actions, and the status of any unresolved actions.

All sections, exhibits, or addendums shall be separated by tabbed dividers or as acceptable by BLM’s Authorized Officer and the CPM.

**Annual Compliance Report (COMPLIANCE-7)**

After construction of each power plant is complete or when a power plant goes into commercial operation, the project owner shall submit Annual Compliance Reports instead of Monthly Compliance Reports. The reports are for each year of commercial operation and are due to BLM’s Authorized Officer and the CPM each year at a date agreed to by BLM’s Authorized Officer and the CPM. Annual Compliance Reports shall be submitted over the life of the project unless otherwise specified by BLM’s Authorized Officer and the CPM. Each Annual Compliance Report shall include the AFC number, identify the reporting period and shall contain the following:

1. An updated compliance matrix showing the status of all conditions of certification (fully satisfied conditions do not need to be included in the matrix after they have been reported as completed);

2. A summary of the current project operating status and an explanation of any significant changes to facility operations during the year;
3. Documents required by specific conditions to be submitted along with the Annual Compliance Report. Each of these items must be identified in the transmittal letter, with the condition it satisfies, and submitted as attachments to the Annual Compliance Report;

4. A cumulative listing of all post-certification changes by the Energy Commission or changes to the BLM ROW grant or approved POD by BLM, or cleared by BLM’s Authorized Officer and the CPM;

5. An explanation for any submittal deadlines that were missed, accompanied by an estimate of when the information will be provided;

6. A listing of filings submitted to, or permits issued by, other governmental agencies during the year;

7. A projection of project compliance activities scheduled during the next year;

8. A listing of the year’s additions to the on-site compliance file;

9. An evaluation of the on-site contingency plan for unplanned facility closure, including any suggestions necessary for bringing the plan up to date [see Compliance Conditions for Facility Closure addressed later in this section]; and

10. A listing of complaints, notices of violation, official warnings, and citations received during the year, a description of the resolution of any resolved matters, and the status of any unresolved matters.

Confidential Information (COMPLIANCE-8)

Any information that the project owner deems confidential shall be submitted to the Energy Commission’s Dockets Unit with an application for confidentiality pursuant to Title 20, California Code of Regulations, section 2505(a). Any information that is determined to be confidential shall be kept confidential as provided for in Title 20, California Code of Regulations, section 2501 et. seq. Any information the ROW holder deems confidential shall be submitted to the BLM Authorized Officer with a written request for said confidentiality along with a justification for the request. All confidential submissions to BLM should be clearly stamped “proprietary information” by the holder when submitted.

Annual Energy Facility Compliance Fee (COMPLIANCE-9)

Pursuant to the provisions of Section 25806(b) of the Public Resources Code, the project owner is required to pay the Energy Commission an annual compliance fee, which is adjusted annually. The amount of the fee for FY2009-2010 was $19,823. The initial payment is due on the date the Energy Commission adopts the final decision. You will be notified of the amount due. All subsequent payments are due by July 1 of each year in which the facility retains its certification. The payment instrument shall be made payable to the California Energy Commission and mailed to: Accounting Office MS-02, California Energy Commission, 1516 9th St., Sacramento, CA 95814.
Reporting of Complaints, Notices, and Citations (COMPLIANCE-10)

Prior to the start of construction, the project owner must send a letter to property owners living within one mile of the project notifying them of a telephone number to contact project representatives with questions, complaints or concerns. If the telephone is not staffed 24 hours per day, it shall include automatic answering with date and time stamp recording. All recorded complaints shall be responded to within 24 hours. The telephone number shall be posted at the project site and made easily visible to passersby during construction and operation. The telephone number shall be provided to BLM’s Authorized Officer and the CPM who will post it on the Energy Commission’s web page at:

http://www.energy.ca.gov/sitingcases/power_plants_contacts.html

Any changes to the telephone number shall be submitted immediately to BLM’s Authorized Officer and the CPM, who will update the web page.

In addition to the monthly and annual compliance reporting requirements described above, the project owner shall report and provide copies to BLM’s Authorized Officer and the CPM of all complaint forms, including noise and lighting complaints, notices of violation, notices of fines, official warnings, and citations, within 10 days of receipt. Complaints shall be logged and numbered. Noise complaints shall be recorded on the form provided in the NOISE conditions of certification. All other complaints shall be recorded on the complaint form (Attachment A).

FACILITY CLOSURE

At some point in the future, the project will cease operation and close down. At that time, it will be necessary to implement the Closure, Revegetation and Restoration Plan to ensure that the closure occurs in such a way that public health and safety and the environment are protected from adverse impacts. Although the project setting for this project does not appear, at this time, to present any special or unusual closure problems, it is impossible to foresee what the situation will be in 30 years or more when the project ceases operation. Therefore, provisions must be made that provide the flexibility to deal with the specific situation and project setting that exist at the time of closure. Laws, Ordinances, Regulations and Standards (LORS) pertaining to facility closure are identified in the sections dealing with each technical area. Facility closure will be consistent with LORS in effect at the time of closure. Closure would be conducted in accordance with Condition of Certification BIO-14 that requires the project owner to develop and implement a Closure, Revegetation and Rehabilitation Plan.

There are at least three circumstances in which a facility closure can take place: planned closure, unplanned temporary closure and unplanned permanent closure.
CLOSURE DEFINITIONS

Planned Closure
A planned closure occurs when the facility is closed in an anticipated, orderly manner, at the end of its useful economic or mechanical life, or due to gradual obsolescence.

Unplanned Temporary Closure
An unplanned temporary closure occurs when the facility is closed suddenly and/or unexpectedly, on a short-term basis, due to unforeseen circumstances such as a natural disaster or an emergency. Short-term is defined as cessation of construction activities or operations of a power plant for a period less than 6 months long. Cessation of construction of operations for a period longer than 6 months in considered a permanent closure.

Unplanned Permanent Closure
An unplanned permanent closure occurs if the project owner closes the facility suddenly and/or unexpectedly, on a permanent basis. This includes unplanned closure where the owner implements the on-site contingency plan. It can also include unplanned closure where the project owner fails to implement the contingency plan, and the project is essentially abandoned.

COMPLIANCE CONDITIONS FOR FACILITY CLOSURE

Planned Closure (COMPLIANCE-11)
In order to ensure that a planned facility closure does not create adverse impacts, a closure process that provides for careful consideration of available options and applicable laws, ordinances, regulations, standards, and local/regional plans in existence at the time of closure, will be undertaken. To ensure adequate review of a planned project closure, the project owner shall submit a revision or update to the approved Closure, Revegetation and Rehabilitation Plan to BLM and the Energy Commission for review and approval at least 12 months (or other period of time agreed to by BLM’s Authorized Officer and the CPM) prior to commencement of closure activities. The project owner shall file 50 copies and 50 CDs with the Energy Commission and 10 copies and 10 CDs with BLM (or other number of copies agreed upon by BLM’s Authorized Officer and the CPM) of a proposed facility closure plan/Closure, Revegetation and Rehabilitation Plan.

The plan shall:
1. identify and discuss any impacts and mitigation to address significant adverse impacts associated with proposed closure activities and to address facilities, equipment, or other project related materials that must be removed from the site;

2. identify a schedule of activities for closure of the power plant site, transmission line corridor, and all other appurtenant facilities constructed as part of the project;
3. address conformance of the plan with all applicable laws, ordinances, regulations, standards, and local/regional plans in existence at the time of facility closure, and applicable conditions of certification; and.

4. Address any changes to the site revegetation, rehabilitation, monitoring and long-term maintenance specified in the existing plan that are needed for site revegetation and rehabilitation to be successful.

Prior to submittal of an amended or revised Closure, Revegetation and Restoration Plan, a meeting shall be held between the project owner, BLM’s Authorized Officer and the Energy Commission CPM for the purpose of discussing the specific contents of the plan.

In the event that there are significant issues associated with the proposed facility Closure, Revegetation and Restoration plan’s approval, or the desires of local officials or interested parties are inconsistent with the plan, BLM’s Authorized Officer the CPM shall hold one or more workshops and/or BLM and the Energy Commission may hold public hearings as part of its approval procedure.

As necessary, prior to or during the closure plan process, the project owner shall take appropriate steps to eliminate any immediate threats to public health and safety and the environment, but shall not commence any other closure activities until BLM and the Energy Commission approves the facility Closure, Revegetation and Restoration plan.

**Unplanned Temporary Closure/On-Site Contingency Plan (COMPLIANCE-12)**

In order to ensure that public health and safety and the environment are protected in the event of an unplanned temporary facility closure, it is essential to have an On-Site Contingency Plan in place. The On-Site Contingency Plan will help to ensure that all necessary steps to mitigate public health and safety impacts and environmental impacts are taken in a timely manner.

The project owner shall submit an On-Site Contingency Plan for BLM’s Authorized Officer and CPM review and approval. The plan shall be submitted no less than 60 days (or other time agreed to by BLM’s Authorized Officer and the CPM) after approval of any NTP or letter granting approval to commence construction for each phase of construction. A copy of the approved plan must be in place during commercial operation of the facility and shall be kept at the site at all times.

The project owner, in consultation with BLM’s Authorized Officer and the CPM, will update the On-Site Contingency Plan as necessary. BLM’s Authorized Officer and the CPM may require revisions to the On-Site Contingency Plan over the life of the project. In the annual compliance reports submitted to the Energy Commission, the project owner will review the On-Site Contingency Plan, and recommend changes to bring the plan up to date. Any changes to the plan must be approved by BLM’s Authorized Officer and the CPM.
The On-Site Contingency Plan shall provide for taking immediate steps to secure the facility from trespassing or encroachment. In addition, for closures of more than 90 days, unless other arrangements are agreed to by BLM’s Authorized Officer and the CPM, the plan shall provide for removal of hazardous materials and hazardous wastes, draining of all chemicals from storage tanks and other equipment, and the safe shutdown of all equipment. (Also see specific conditions of certification for the technical areas of Hazardous Materials Management and Waste Management.)

In addition, consistent with requirements under unplanned permanent closure addressed below, the nature and extent of insurance coverage, and major equipment warranties must also be included in the On-Site Contingency Plan. In addition, the status of the insurance coverage and major equipment warranties must be updated in the annual compliance reports.

In the event of an unplanned temporary closure, the project owner shall notify BLM’s Authorized Officer and the CPM, as well as other responsible agencies, by telephone, fax, or e-mail, within 24 hours and shall take all necessary steps to implement the On-Site Contingency Plan. The project owner shall keep BLM’s Authorized Officer and the CPM informed of the circumstances and expected duration of the closure.

If BLM’s Authorized Officer and the CPM determine that an unplanned temporary closure is likely to be permanent, or for a duration of more than 6 months, a Closure Plan consistent with the requirements for a planned closure shall be developed and submitted to BLM’s Authorized Officer and the CPM within 90 days of BLM’s Authorized Officer and the CPM’s determination (or other period of time agreed to by BLM’s Authorized Officer and the CPM).

**Unplanned Permanent Closure/On-Site Contingency Plan (COMPLIANCE-13)**

The On-Site Contingency Plan required for unplanned temporary closure shall also cover unplanned permanent facility closure. All of the requirements specified for unplanned temporary closure shall also apply to unplanned permanent closure.

In addition, the On-Site Contingency Plan shall address how the project owner will ensure that all required closure steps will be successfully undertaken in the event of abandonment.

In the event of an unplanned permanent closure, the project owner shall notify BLM’s Authorized Officer and the CPM, as well as other responsible agencies, by telephone, fax, or e-mail, within 24 hours and shall take all necessary steps to implement the On-Site Contingency Plan. The project owner shall keep BLM’s Authorized Officer and the CPM informed of the status of all closure activities.
To ensure that public health and safety and the environment are protected in the event of an unplanned temporary closure, the project owner shall submit an On-Site Contingency Plan no less than 60 days after a NTP is issued for each phase of development.

Post Certification Changes to BLM’s ROW Grant and/or the Energy Commission Decision: Amendments, Ownership Changes, Insignificant Project Changes and Verification Changes (COMPLIANCE-14)

The project owner must petition the Energy Commission pursuant to Title 20, California Code of Regulations, section 1769, in order to modify the project (including linear facilities) design, operation or performance requirements, and to transfer ownership or operational control of the facility. The BLM ROW holder must file a written requests in the form an an application to the BLM Authorized Officer in order to change the terms and conditions of their ROW grant or POD. Written requests will be in a manner prescribed by the BLM Authorized Officer.

It is the responsibility of the project owner to contact BLM’s Authorized Officer and the CPM to determine if a proposed project change should be considered a project modification pursuant to section 1769. Implementation of a project modification without first securing BLM and either Energy Commission or Energy Commission staff approval, may result in enforcement action in accordance with section 25534 of the Public Resources Code.

A Petition to Amend is required for changes to the project as specified below. For verification changes, a letter from the project owner is sufficient. In all cases, the petition or letter requesting a change should be submitted to BLM’s Authorized Officer and the CPM, who will file it with the Energy Commission’s Dockets Unit in accordance with Title 20, California Code of Regulations, section 1209.

The criteria that determine which type of approval and the process that applies are explained below. They reflect the provisions of Section 1769 at the time this condition was drafted. If the Commission’s rules regarding amendments are amended, the rules in effect at the time an amendment is requested shall apply.

Amendment

The project owner shall petition the Energy Commission, pursuant to Title 20, California Code of Regulations, Section 1769(a), when proposing modifications to the project (including linear facilities) design, operation, or performance requirements. If a proposed modification results in deletion or change of a condition of certification, or makes changes that would cause the project not to comply with any applicable laws, ordinances, regulations or standards, the petition will be processed as a formal amendment to the Energy Commission’s final decision, which requires public notice and review of the BLM-Energy Commission staff analysis, and approval by the full Energy Commission. The petition shall be in the form of a legal brief and fulfill the requirements.
of Section 1769(a). Upon request, the CPM will provide you with a sample petition to use as a template.

The ROW holder shall file an application to amend the BLM ROW grant for any substantial deviation or change in use. The requirements to amend a ROW grant are the same as when filing a new application including paying processing and monitoring fees and rent.

**Change of Ownership**

Change of ownership or operational control also requires that the project owner file a petition pursuant to section 1769(b). This process requires public notice and approval by the full Commission and BLM. The petition shall be in the form of a legal brief and fulfill the requirements of Section 1769(b). Upon request, the CPM will provide you with a sample petition to use as a template. The transfer of ownership of a BLM ROW grant must be through the filing of an application for assignment of the grant.

**Insignificant Project Change**

Modifications that do not result in deletions or changes to conditions of certification, and that are compliant with laws, ordinances, regulations and standards may be authorized by BLM’s Authorized Officer and the CPM as an insignificant project change pursuant to section 1769(a) (2). This process usually requires minimal time to complete, and it requires a Energy Commission 14-day public review of the Notice of Insignificant Project Change that includes the BLM and Energy Commission staff’s intention to approve the modification unless substantive objections are filed. These requests must also be submitted in the form of a “Petition to Amend” as described above. BLM and the Energy Commission intend to integrate a process to jointly approve insignificant project changes to avoid duplication of approval processes and ensure appropriate documentation for the public record.

**Verification Change**

A verification may be modified by BLM’s Authorized Officer and the CPM without requesting an amendment to the ROW Grant or Energy Commission decision if the change does not conflict with the conditions of certification and provides an effective alternate means of verification.

**CBO DELEGATION AND AGENCY COOPERATION**

In performing construction and operation monitoring of the project, BLM and Energy Commission staff act as, and have the authority of, the Chief Building Official (CBO). BLM and Energy Commission staff may delegate CBO responsibility to either an independent third party contractor or the local building official. BLM and the Energy Commission intend to avoid duplication by integrating the responsibilities of the CBO with those of a BLM compliance inspector and will work jointly in the selection of a CBO. BLM and Energy Commission staff retain CBO authority when selecting a delegate.
CBO, including enforcing and interpreting federal, state and local codes, and use of
discretion, as necessary, in implementing the various codes and standards.

BLM and Energy Commission staff may also seek the cooperation of state, regional and
local agencies that have an interest in environmental protection when conducting
project monitoring.

ENFORCEMENT

BLM’s legal authority to enforce the terms and conditions of its ROW Grant is specified
in 43 CFR 2807.16 to 2807.19. BLM may issue an immediate temporary suspension of
activities if they determine a holder has violated one or more of the terms, conditions, or
stipulation of the grant. BLM may also suspend or terminate a ROW grant if a holder
does not comply with applicable laws and regulation or any terms, conditions, or special
stipulations contained in the grant. Prior to suspending or terminating a ROW grant,
BLM will provide written notice to the holder stating it intends to suspend or terminate
and will provide reasonable opportunity to correct any noncompliance.

The Energy Commission’s legal authority to enforce the terms and conditions of its
Decision is specified in Public Resources Code sections 25534 and 25900. The Energy
Commission may amend or revoke the certification for any facility, and may impose a
civil penalty for any significant failure to comply with the terms or conditions of the
Energy Commission Decision. The specific action and amount of any fines the Energy
Commission may impose would take into account the specific circumstances of the
incident(s). This would include such factors as the previous compliance history, whether
the cause of the incident involves willful disregard of LORS, oversight, unforeseeable
events, and other factors the Energy Commission may consider.

ENERGY COMMISSION NONCOMPLIANCE COMPLAINT
PROCEDURES

Any person or agency may file a complaint alleging noncompliance with the conditions
of certification. Such a complaint will be subject to review by the Energy Commission
pursuant to Title 20, California Code of Regulations, section 1237, but in many
instances the noncompliance can be resolved by using the informal dispute resolution
process. Both the informal and formal complaint procedure, as described in current
State law and regulations, are described below. They shall be followed unless
superseded by future law or regulations.

Informal Dispute Resolution Process

The following procedure is designed to informally resolve disputes concerning the
interpretation of compliance with the requirements of this compliance plan. The project
owner, the Energy Commission, or any other party, including members of the public,
may initiate an informal dispute resolution process. Disputes may pertain to actions or
decisions made by any party, including the Energy Commission’s delegate agents.
This process may precede the more formal complaint and investigation procedure specified in Title 20, California Code of Regulations, section 1237, but is not intended to be a substitute for, or prerequisite to it. This informal procedure may not be used to change the terms and conditions of certification as approved by the Energy Commission, although the agreed upon resolution may result in a project owner, or in some cases the Energy Commission staff, proposing an amendment.

The process encourages all parties involved in a dispute to discuss the matter and to reach an agreement resolving the dispute. If a dispute cannot be resolved, then the matter must be brought before the full Energy Commission for consideration via the complaint and investigation procedure.

**Request for Informal Investigation**

Any individual, group, or agency may request the Energy Commission to conduct an informal investigation of alleged noncompliance with the Energy Commission’s terms and conditions of certification. All requests for informal investigations shall be made to the designated CPM.

Upon receipt of a request for informal investigation, the CPM shall promptly notify the project owner of the allegation by telephone and letter. All known and relevant information of the alleged noncompliance shall be provided to the project owner, BLM and to the Energy Commission staff. The CPM will evaluate the request and the information to determine if further investigation is necessary. If the CPM find that further investigation is necessary, the project owner will be asked to promptly investigate the matter. Within seven working days of the CPM’s request, provide a written report to the CPM of the results of the investigation, including corrective measures proposed or undertaken. Depending on the urgency of the noncompliance matter, the CPM may conduct a site visit and/or request the project owner to also provide an initial verbal report, within 48 hours.

**Request for Informal Meeting**

In the event that either the party requesting an investigation or the Energy Commission staff is not satisfied with the project owner’s report, investigation of the event, or corrective measures proposed or undertaken, either party may submit a written request to the CPM for a meeting with the project owner. Such request shall be made within 14 days of the project owner’s filing of its written report. Upon receipt of such a request, the CPM shall:

1. immediately schedule a meeting with the requesting party and the project owner, to be held at a mutually convenient time and place;

2. secure the attendance of appropriate Energy Commission staff and staff of any other agencies with expertise in the subject area of concern, as necessary;

3. conduct such meeting in an informal and objective manner so as to encourage the voluntary settlement of the dispute in a fair and equitable manner;
4. After the conclusion of such a meeting, promptly prepare and distribute copies to all in attendance and to the project file, a summary memorandum that fairly and accurately identifies the positions of all parties and any understandings reached. If an agreement has not been reached, the CPM shall inform the complainant of the formal complaint process and requirements provided under Title 20, California Code of Regulations, section 1230 et seq.

Formal Dispute Resolution Procedure-Complaints and Investigations

Any person may file a complaint with the Energy Commission's Dockets Unit alleging noncompliance with a Commission decision adopted pursuant to Public Resources Code section 25500. Requirements for complaint filings and a description of how complaints are processed are in Title 20, California Code of Regulations, section 1237.
# KEY EVENTS LIST

**PROJECT/POWER PLANT:**

**DOCKET #:**

**BLM’S AUTHORIZED OFFICER:**

**COMPLIANCE PROJECT MANAGER:**

<table>
<thead>
<tr>
<th>EVENT DESCRIPTION</th>
<th>DATE</th>
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<tbody>
<tr>
<td>Certification Date</td>
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<tr>
<td>Obtain Site Control</td>
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<td>Online Date</td>
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**POWER PLANT SITE ACTIVITIES**

- Start Site Mobilization
- Start Ground Disturbance
- Start Grading
- Start Construction
- Begin Pouring Major Foundation Concrete
- Begin Installation of Major Equipment
- Completion of Installation of Major Equipment
- First Roll of Steam Turbine
- Obtain Building Occupation Permit
- Start Commercial Operation
- Complete All Construction

**GENERATION TIE LINE ACTIVITIES**

- Start Generation Tie Line Construction
- Synchronization with Grid and Interconnection
- Complete Generation Tie Line Construction

**FUEL SUPPLY LINE ACTIVITIES**

- Start Gas Pipeline Construction and Interconnection
- Complete Gas Pipeline Construction

**WATER SUPPLY LINE ACTIVITIES**

- Start Water Supply Line Construction
- Complete Water Supply Line Construction
<table>
<thead>
<tr>
<th>CONDITION NUMBER</th>
<th>SUBJECT</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPLIANCE-1</td>
<td>Unrestricted Access</td>
<td>The project owner shall grant BLM and Energy Commission staff and delegate agencies or consultants unrestricted access to the power plant site.</td>
</tr>
<tr>
<td>COMPLIANCE-2</td>
<td>Compliance Record</td>
<td>The project owner shall maintain project files on-site. BLM and Energy Commission staff and delegate agencies shall be given unrestricted access to the files.</td>
</tr>
<tr>
<td>COMPLIANCE-3</td>
<td>Compliance Verification Submittals</td>
<td>The project owner is responsible for the delivery and content of all verification submittals to BLM’s Authorized Officer and the CPM, whether such condition was satisfied by work performed or the project owner or his agent.</td>
</tr>
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</table>
| COMPLIANCE-4     | Pre-construction Matrix and Tasks Prior to Start of Construction | Construction shall not commence until the all of the following activities/submittals have been completed:  
- property owners living within one mile of the project have been notified of a telephone number to contact for questions, complaints or concerns,  
- a pre-construction matrix has been submitted identifying only those conditions that must be fulfilled before the start of construction,  
- all pre-construction conditions have been complied with,  
- BLM’s Authorized Officer and the CPM have issued a letter to the project owner authorizing construction. |
<p>| COMPLIANCE-5     | Compliance Matrix                          | The project owner shall submit a compliance matrix (in a spreadsheet format) with each monthly and annual compliance report which includes the status of all compliance conditions of certification. |
| COMPLIANCE-6     | Monthly Compliance Report including a Key Events List | During construction, the project owner shall submit Monthly Compliance Reports (MCRs) which include specific information. The first MCR is due the month following the Energy Commission business meeting date on which the project was approved and shall include an initial list of dates for each of the events identified on the Key Events List. |</p>
<table>
<thead>
<tr>
<th>CONDITION NUMBER</th>
<th>SUBJECT</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPLIANCE-7</td>
<td>Annual Compliance Reports</td>
<td>After construction ends and throughout the life of the project, the project owner shall submit Annual Compliance Reports instead of Monthly Compliance Reports.</td>
</tr>
<tr>
<td>COMPLIANCE-8</td>
<td>Confidential Information</td>
<td>Any information the project owner deems confidential shall be submitted to BLM and the Energy Commission’s Dockets Unit with a request for confidentiality.</td>
</tr>
<tr>
<td>COMPLIANCE-9</td>
<td>Annual fees</td>
<td>Payment of Annual Energy Facility Compliance Fee to the Energy Commission;</td>
</tr>
<tr>
<td>COMPLIANCE-10</td>
<td>Reporting of Complaints, Notices and Citations</td>
<td>Within 10 days of receipt, the project owner shall report to BLM’s Authorized Officer and the CPM, all notices, complaints, and citations.</td>
</tr>
<tr>
<td>COMPLIANCE-11</td>
<td>Planned Facility Closure</td>
<td>The project owner shall submit any revisions or changes to the Closure, Revegetation and Restoration Plan to BLM’s Authorized Officer and the CPM at least 12 months prior to commencement of a planned closure.</td>
</tr>
<tr>
<td>COMPLIANCE-12</td>
<td>Unplanned Temporary Facility Closure</td>
<td>To ensure that public health and safety and the environment are protected in the event of an unplanned temporary closure, the project owner shall submit an On-Site Contingency Plan no less than 60 days after a NTP is issued for each power plant.</td>
</tr>
<tr>
<td>COMPLIANCE-13</td>
<td>Unplanned Permanent Facility Closure</td>
<td>To ensure that public health and safety and the environment are protected in the event of an unplanned temporary closure, the project owner shall submit an On-Site Contingency Plan no less than 60 days after a NTP is issued for each power plant.</td>
</tr>
<tr>
<td>COMPLIANCE-14</td>
<td>Post-certification changes to the ROW Grant and/or Decision</td>
<td>The project owner must petition the Energy Commission and file an application to amend the ROW grant to delete or change a condition of certification, modify the project design or operational requirements and/or transfer ownership of operational control of the facility.</td>
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</table>
### ATTACHMENT A
COMPLAINT REPORT/RESOLUTION FORM

<table>
<thead>
<tr>
<th>PROJECT NAME:</th>
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<tbody>
<tr>
<td>AFC Number:</td>
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</table>

| COMPLAINT LOG NUMBER __________ |
| Complainant's name and address: |

| Phone number: _____ |

| Date and time complaint received: |
| Indicate if by telephone or in writing (attach copy if written): |
| Date of first occurrence: |

| Description of complaint (including dates, frequency, and duration): |

| Findings of investigation by plant personnel: |

| Indicate if complaint relates to violation of the ROW Grant: |
| Indicate if complaint relates to violation of a CEC requirement: |
| Date complainant contacted to discuss findings: _____ |

| Description of corrective measures taken or other complaint resolution: |

| Indicate if complainant agrees with proposed resolution: |
| If not, explain: |

| Other relevant information: |

| If corrective action necessary, date completed: _____ |
| Date first letter sent to complainant: _____ (copy attached) |
| Date final letter sent to complainant: _____ (copy attached) |

This information is certified to be correct.
Plant Manager's Signature: _____ Date:  

(Attach additional pages and supporting documentation, as required.)