

DATE: September 28, 2010

TO: Procurement Directors

FROM: Office of Procurement and Assistance Policy, MA-61

Office of Procurement and Assistance Management

SUBJECT: Subaward Reporting For Federal Funding and Transparency Act of 2006

(FFATA)

SUMMARY: The Federal Funding and Transparency Act of 2006 (FFATA) requires full disclosure of information on entities which received Federal funding from any Department at a single searchable web site. The purpose of FFATA is to provide total transparency to the public on how appropriated dollars are spent by the Federal Government. FFATA was implemented for prime awardees in 2008 and is now being implemented for subawardees beginning with first-tier subawardees. Effective October 1, 2010, federal agencies must begin using new award terms which implement the following changes:

DUNS and CCR: Prime awardees must keep their data at CCR current. Subawardees at all tiers must obtain DUNS numbers and provide the DUNS to the prime awardee before the subaward can be issued. (http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf)

Subaward and Executive Reporting: Prime awardees must register with the new FSRS database and report the required data on their first tier subawardees. Prime awardees must report the executive compensation for their own executives as part of their registration profile in the CCR. (http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf)

The new award terms apply to all new and renewal awards for grants and cooperative agreements that are issued on or after October 1, 2010. There is also a new subsection referencing the new award terms to be included in all funding opportunities which are issued on or after October 1, 2010 or are for awards that will be made after October 1, 2010.

The subsection to be added to the FOA is at Attachment 1. The text of the award terms are in the Federal Register notices listed above. The award terms and new subsection will be added to the respective templates documents in STRIPES by October 1.

The subaward data will be collected at a new web site entitled Federal Funding and Transparency Act Subaward Reporting System (FSRS). Additional information, including FAQs and the FSRS Contractor Guide which contains detailed the reporting instructions is provided at the FSRS web site: http://www/fsrs.gov

A draft of the 'Reporting Requirements for FFATA Implementation – Data Model' containing the specific data elements which will be required to be reported at the FSRS web site is provided at Attachment 2.

When reviewing the information at the FSRS web site, note that the reporting thresholds are different depending on whether the prime award is a procurement or a financial assistance award.

This Flash will be available online at the following website: http://www.management.energy.gov/policy_guidance/policy_flashes.htm.

Questions concerning this policy flash should be directed to Ellen Colligan at 202-287-1776 or Ellen.Colligan@hq.doe.gov.

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