

DEPARTMENT OF ENERGY
Financial Assistance Regulations

No: FAL 2026-05
OTL 2026-03
Date: January 28, 2026



This Financial Assistance Letter and Other Transaction Letter is issued under the authority of the Senior Procurement Executives of DOE and NNSA. It is intended for use by the procurement professionals of DOE, primarily Grants, and Agreements Officers, and other officials of DOE that participate in the acquisition process. Other parties are welcome to its information, but definitive interpretation of its effect on DOE solicitations, Notice of Funding Opportunity Announcements, Awards, and other related procedures and actions may only be made by DOE Grants and Agreements Officers.

Subject: Financial Assistance Letters and Other Transaction Letters that remain in effect.

Financial Assistance Letters (FALs) that remain in effect are identified below. All other previously issued FALs have been superseded by a formal rulemaking, incorporating into other guidance, and/or discontinued as indicated.

FINANCIAL ASSISTANCE LETTERS REMAINING IN EFFECT

ID #	DATE	SUBJECT
FAL 2026-03/OTL 2026-01	12/8/2025	Guidance on Identification of Potential Impacts of DOE Funded Projects on Indian Tribes and Tribal Consultations
FAL 2026-02	12/3/2025	Biographical Sketch and Current and Pending (Other) Support Common Form Usage Requirements in Financial Assistance
FAL -2026-01	12/2/2025	Data Management and Sharing Plan Requirements
FAL 2025-08	9/2/2025	The Buy America Preference for Financial Assistance
FAL 2025-07	8/29/2025	Implementation of Executive Order 14173 - Ending Illegal Discrimination and Restoring Merit-Based Opportunity
FAL 2025-06	8/28/2025	Implementation of Presidential Memorandum – Simplifying the Funding of Energy Infrastructure and Critical Mineral and Material Projects
FAL 2025-04/OTL 2025-01	12/17/2024	Guidance for Implementation of Section 40322 of the Infrastructure Investment and Jobs Act, Pub. L. No. 117-58 in Notices of Funding Opportunities, Financial Assistance Awards, and Other Transaction Authority Agreements
FAL 2025-03	11/22/2024	Implementation of the Framework for Nucleic Acid Synthesis Screening

FAL 2025-02	10/7 2024	Research Security Training Requirements for all R&D Financial Assistance Awards
FAL 2024-06	11/22/24	Implementation of the Consolidated Appropriations Act, 2024, Division D (Energy and Water Development and Related Agencies Appropriations Act, 2024).
FAL 2024-05	08/08/2024	Digital Persistent Identifier Requirements for Certain Individuals for Research and Development
FAL 2024-04/OTL 2024-01	06/18/2024	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies
FAL 2022-06	9/27/2022	Implementation of the DOE Determination of Exceptional Circumstances under the Bayh-Dole Act to Further Promote Domestic Manufacture of DOE Science and Energy Technologies - Class Deviation
FAL 2022-05	9/23/2022	Continued Use of Real Property and Equipment After the Award Period of Performance Ends
FAL 2022-04	6/1/2022	Department of Energy Current and Pending Support Disclosure Requirements for Financial Assistance
FAL 2022-02	12/20/2021	Department of Energy Interim Conflict of Interest Policy Requirements for Financial Assistance
FAL 2021-01	11/13/2020	Implementation of Executive Order 13798 of May 4, 2017, Promoting Free Speech and Religious Liberty

FINANCIAL ASSISTANCE LETTERS DISCONTINUED WITH THIS FAL

ID #	DATE	SUBJECT	REASON FOR DISCONTINUANCE
FAL 2025-01	10/4/2024	The Required Use of Iron, Steel, Manufactured Products, and Construction Materials Produced in the United States in Federally Funded Awards that Contain Construction, Alteration, Maintenance, or Repair of Public Infrastructure in the United States.	Superseded by FAL 2025-08
FAL 2024-03/OTL 2024-01	4/5/2024	Guidance for Addressing Interactions with Indian Tribes in Funding Opportunity Announcements/Other Transaction Solicitations and Financial Assistance and Other Transaction Agreements	Superseded by FAL 2026-03/OTL 2026-01
FAL 2024-02	1/4/2024	Use of Community Benefits Plan (CBP) in Financial Assistance Programs. Funding Opportunity Announcements and Awards (Rescinded)	Discontinued - Cancelled
FAL 2024-01	11/22/2023	FALs Remaining in Effect	Superseded by this FAL

FAL 2023-04	5/31/2023	Two-Year Cost-Share Pilot Program Extension	Discontinued
FAL 2023-03R	7/25/2023	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2023, Division D, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years? Appropriations Acts	Superseded by FAL 2024-04
FAL 2023-02	03/23/2023	Implementation of the Consolidated Appropriations Act, 2023, Division D (Energy and Water Development and Related Agencies Appropriations Act, 2023). Title III and Title V; and Division E (Financial Services and General Government Appropriations Act, 2023), Title VII of Pub. L. No. 117-328	Superseded by FAL 2024-06
FAL 2023-01	11/1/2022	Buy America Requirement for Infrastructure Projects in Financial Assistance Awards	Superseded by FAL 2025-01
FAL 2016-03	5/5/2016	Pre-Award Risk Assessments and the utilization of the Federal Awardee Performance and Integrity Information System (FAPIIS).	Discontinued. Updates to 2 CFR 200

Other Transaction Letters (OTLs) that remain in effect are identified below. All other previously issued OTLs have been superseded by a formal rulemaking, incorporating into other guidance, and/or discontinued as indicated.

OTHER TRANSACTION LETTERS REMAINING IN EFFECT

ID #	DATE	SUBJECT
FAL 2026-03/OTL 2026-01	12/8/2025	Guidance on Identification of Potential Impacts of DOE Funded Projects on Indian Tribes and Tribal Consultations
FAL 2025-04/OTL 2025-01	12/17/2024	Guidance for Implementation of Section 40322 of the Infrastructure Investment and Jobs Act, Pub. L. No. 117-58 in Notices of Funding Opportunities, Financial Assistance Awards, and Other Transaction Authority Agreements
FAL 2024-04/OTL 2024-01	6/18/2024	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies

**OTHER TRANSACTION LETTERS DISCONTINUED WITH
THIS OTL**

ID #	DATE	SUBJECT	REASON FOR DISCONTINUANCE
FAL 2024-03/OTL 2024-01	4/5/2024	Guidance for Addressing Interactions with Indian Tribes in Funding Opportunity Announcements/Other Transaction Solicitations and Financial Assistance and Other Transaction Agreements	Superseded by FAL 2026-03/OTL 2026-01
FAL 2024-01	11/22/2023	FALs Remaining in Effect	Superseded by this OTL