

CONFIDENTIAL FINANCIAL DISCLOSURE REPORT (OGE FORM 450)
EXEMPTED POSITIONS

Regardless of whether an employee's position is otherwise exempt, an employee holding a contracting officer's warrant, serving as a contracting officer's representative, or contracting officer's technical representative, a purchase card holder with a transactional limit exceeding \$3,500.00, the micro-purchase limit as provided in FAR Part 2, or any employee who DOE deems to be a Confidential filer pursuant to the regulatory provisions at 5 C.F.R. 2634.904 must file an OGE Form 450.

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EXEMPTED POSITIONS

All exempted positions are listed below in numerical order by occupational series, with the respective exempted grade levels. For example; (1) all employees in the occupational series 0017 at grade 13 and below are exempt from filing a Report; and (2) all employees in Engineering and Scientific (NN) pay band, occupational series 801, at pay band 3 or lower are exempt from filing a Report.

GS Employees			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
0017	13	0203	15
0018	13	0241	15
0019	15	0243	15
0020	13	0244	15
0023	15	0260	15
0028	13	0299	15
0029	15	0301	13
0080	13	0302	15
0081	15	0303	15
0084	15	0304	15
0085	15	0305	15
0086	15	0306	13
0089	13	0308	13
0099	15	0313	15
0101	13	0318	15
0110	13	0319	15
0119	13	0322	15
0130	14	0326	15
0131	14	0332	15
0132	14	0335	15
0134	15	0340	13
0142	13	0341	13
0150	15	0342	13
0170	15	0343	13
0180	13	0344	15
0193	13		

GS Employees (continued)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
0199	15	0346	15
0201	15	0350	15
0360	15	0601	13
0361	15	0602	13
0382	15	0603	13
0390	15	0610	15
0391	13	0621	15
0392	15	0670	13
0394	15	0671	13
0399	15	0690	13
0401	13	0699	15
0403	13	0801	13
0408	13	0802	13
0415	13	0803	13
0430	13	0804	13
0440	13	0806	13
0457	13	0807	13
0460	13	0808	13
0462	15	0809	13
0480	13	0810	13
0482	13	0817	15
0486	13	0819	13
0499	15	0830	13
0501	13	0840	13
0503	15	0850	13
0505	13	0854	13
0510	11	0855	13
0511	No Grades Exempt	0856	15
0525	15	0861	13
0540	15	0880	13
0544	15	0881	13
0560	13	0893	13
0561	15	0896	13
0599	15	0899	15

GS Employees (continued)

Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
0901	12	1130	12
0904	15	1140	12
0905	12	1150	12
0930	12	1152	12
0935	12	1160	12
0950	15	1163	12
0963	15	1165	No Grades Exempt
0965	12	1170	12
0986	15	1171	12
0999	15	1176	12
1001	13	1199	15
1008	13	1202	15
1015	13	1220	12
1016	15	1221	12
1020	15	1222	12
1035	13	1299	15
1060	15	1301	13
1071	13	1306	13
1082	13	1310	13
1083	13	1311	15
1084	13	1313	13
1087	15	1315	13
1099	15	1316	15
1101	12	1320	13
1102	11	1321	13
1103	12	1340	13
1104	12	1341	15
1105	12	1350	13
1106	15	1370	13
1107	15	1371	15
1109	11	1372	13

GS Employees (continued)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
1373	13	1801	12
1374	15	1805	All Grades Exempt
1399	15	1810	No Grades Exempt
1410	15	1811	No Grades Exempt
1411	15	1822	12
1412	15	1825	12
1420	15	1849	12
1421	15	1860	12
1499	15	1899	15
1501	13	1910	12
1510	13	1999	15
1515	13	2001	13
1520	13	2003	13
1521	15	2005	15
1529	13	2010	13
1530	13	2030	15
1531	15	2099	15
1550	13	2101	13
1560	13	2102	15
1599	15	2130	13
1601	13	2131	13
1603	13	2150	13
1640	13	2151	13
1654	13	2181	15
1667	13	2199	15
1670	13	2210	13
1699	15	2299	15
1701	12	2502	15
1702	15	2601 and higher	All Grades Exempt
1712	13		
1720	12		
1750	13		
1799	15		

NNSA PAY BAND EMPLOYEES

Engineering and Scientific (NN)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
801	3	893	3
803	3	896	3
804	3	899	4
806	3	1301	3
807	3	1306	3
808	3	1310	3
810	3	1313	3
819	3	1315	3
830	3	1320	3
840	3	1321	3
850	3	1340	3
854	3	1350	3
855	3	1370	3
861	3	1372	3
880	3	1373	3
881	3	1399	4
892	3		

Professional, Technical and Administrative (NQ)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
18	2	342	2
19	4	343	2
20	2	346	4
23	4	360	4
28	2	390	4
29	4	391	2
80	2	399	4
81	4	401	2
89	2	403	2
99	4	408	2
101	2	415	2
110	2	430	2
119	2	440	2
130	3	457	2
131	3	460	2
132	3	462	4
150	4	480	2
170	4	482	2
180	2	486	2
193	2	499	4
199	4	501	2
201	4	505	2
241	4	510	1
243	4	511	No Grades Exempt
244	4	560	2
249	4	599	4
260	4	601	2
299	4	602	2
301	2	603	2
306	2	610	4
308	2	621	4
340	2	671	2
341	2	690	2

Professional, Technical and Administrative (NQ) (continued)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
699	4	1171	2
802	2	1176	2
817	4	1199	4
856	4	1202	4
899	4	1220	2
901	2	1221	2
904	4	1222	2
905	2	1299	4
930	2	1301	2
950	4	1311	4
999	4	1316	4
1001	2	1341	4
1015	2	1371	4
1016	4	1374	4
1035	2	1399	4
1060	4	1410	4
1071	2	1412	4
1082	2	1420	4
1083	2	1421	4
1084	2	1499	4
1087	4	1510	2
1099	4	1515	2
1101	2	1520	2
1102	1	1521	4
1103	2	1529	2
1104	2	1530	2
1109	2	1531	4
1130	2	1550	2
1140	2	1599	4
1150	2	1601	2
1160	2	1640	2
1163	2	1654	2
1170	2	1670	2
		1699	4

Professional, Technical and Administrative (NQ) (continued)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
1701	2	2010	2
1712	2	2030	4
1750	2	2050	4
1799	4	2099	4
1801	2	2101	2
1810	no exemptions	2130	2
1811	no exemptions	2131	2
1825	2	2150	2
1899	4	2181	4
1910	2	2199	4
1999	4	2210	2
2001	2	2299	4
2003	2		

Technician and Administrative Support (NU)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
19	3	313	3
29	3	318	3
81	3	319	3
85	3	322	3
86	3	326	3
99	3	332	3
119	3	335	3
134	3	344	3
199	3	350	3
203	3	361	3
299	3	382	3
302	3	390	3
303	3	392	3
304	3	394	3
305	3	399	3
312	3	462	3

Technician and Administrative Support (NU) (continued)			
Occupational Series	This grade and lower grades are exempt	Occupational Series	This grade and lower grades are exempt
462	3	1202	3
499	3	1299	3
503	3	1311	3
525	3	1316	3
540	3	1341	3
544	3	1371	3
561	3	1374	3
599	3	1399	3
621	3	1411	3
699	3	1421	3
802	3	1499	3
809	3	1521	3
817	3	1531	3
856	3	1599	3
899	3	1603	3
963	3	1699	3
986	3	1702	3
999	3	1799	3
1001	3	1899	3
1016	3	1999	3
1020	3	2001	3
1060	3	2005	3
1087	3	2099	3
1099	3	2102	3
1101	3	2131	3
1105	3	2151	3
1106	3	2181	3
1107	3	2199	3
1152	3	2299	3
1199	3		

Nuclear Material Courier (NV):	
Occupational Series	This grade and lower grades are exempt
0084	04

ARPA-E PAY BAND EMPLOYEES

Scientific, engineering and professional (ER):
No Grades Exempt

Program Directors (ET):
No Grades Exempt

EXCEPTED SERVICE POSITIONS

Employees occupying an Excepted Service position might be exempt from filing a Confidential Financial Disclosure Report, depending on their pay band and occupational series. Using the charts below, identify an Excepted Service employee's equivalent GS grade for financial disclosure purposes. (For example, the chart below shows that an EJ employee in pay band III has an equivalent grade of 12.) Then use the Excepted Service employee's occupational series and the equivalent grade level on the above list of Exempt Positions for GS employees. (Continuing the example, if the same EJ employee at pay band III is in occupational series 00850, she would be exempt from filing this Report because the Exempt List shows that this occupational series to be exempt at grade 13 and below.)

EJ and EK Pay Bands

EJ or EK Pay Band	GS Equivalent Grade for Financial Disclosure Purposes
I	GS-7
II	GS-9
III	GS-12
IV	GS-14
V	All file a Public Financial Disclosure Report (OGE Form 278e)

EN Pay Bands

EN Pay Band	GS Equivalent Grade for Financial Disclosure Purposes
0 (Developmental)	No filing required
III	GS-13
IV	GS-15
V	All file a Public Financial Disclosure Report (OGE Form 278e)