PMC-ND

(1.08.09.13)

## U.S. DEPARTMENT OF ENERGY OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY NEPA DETERMINATION



**RECIPIENT:** Frauhofer USA Center for Manufacturing Innovation

PROJECT TITLE: Mass Customization of Prefabricated Panel Blocks for Deep Wall Insulation Retrofits

**Notice of Funding Opportunity Number** 

**Procurement Instrument Number** 

**NEPA Control Number** 

STATE: MA

**CID Number** 

DE-FOA-0002099

DE-EE0009066

GFO-0009066-004

GO9066

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

## CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and dissemination

B3.6 Small-scale research and development, laboratory operations, and pilot projects

B5.1 Actions to conserve energy or water

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

(a) Actions to conserve energy or water, demonstrate potential energy or water conservation, and promote energy efficiency that would not

have the potential to cause significant changes in the indoor or outdoor concentrations of potentially harmful substances. These actions may

involve financial and technical assistance to individuals (such as builders, owners, consultants, manufacturers, and designers), organizations

(such as utilities), and governments (such as state, local, and tribal). Covered actions include, but are not limited to weatherization

(such as insulation and replacing windows and doors); programmed lowering of thermostat settings; placement of timers on hot water heaters;

installation or replacement of energy efficient lighting, low-flow plumbing fixtures (such as faucets, toilets, and showerheads), heating,

ventilation, and air conditioning systems, and appliances; installation of drip-irrigation systems; improvements in generator efficiency and

appliance efficiency ratings; efficiency improvements for vehicles and transportation (such as fleet changeout); transportation management systems

(such as traffic signal control systems, car navigation, speed cameras, and automatic plate number recognition);

development of energy-efficient manufacturing, industrial, or building practices; and small-scale energy efficiency

and conservation research and development and small-scale pilot projects. Covered actions include building renovations or new structures,

provided that they occur in a previously disturbed or developed area. Covered actions could involve commercial, residential, agricultural,

academic, institutional, or industrial sectors. Covered actions do not include rulemakings, standard-settings, or proposed DOE legislation,

except for those actions listed in B5.1(b) of this appendix.

(b) Covered actions include rulemakings that establish energy conservation standards for consumer products and industrial equipment, provided that the actions would not:

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manufacturing plants with considerable associated ground disturbance);

- involve significant unresolved conflicts concerning alternative uses of available resources (such as rare or limited raw materials);
- have the potential to result in a significant increase in the disposal of materials posing significant risks to human health and the environment (such as RCRA hazardous wastes); or
- have the potential to cause a significant increase in energy consumption in a state or region.

## Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to Fraunhofer USA, Inc. (Fraunhofer) for the development and deployment of a suite of deep energy retrofit (DER) solutions to low-rise residential buildings in cold, very cold, and mixed humid climates.

This project would be completed over five Budget Periods (BPs). DOE previously completed three NEPA Determinations (ND) for this award (GFO-0009066-001: CX A9 and B3.6 issued 5/8/2020; GFO-0009066-002: CX A9 and B3.6 issued 9/7/2022; and GFO-0009066-003: CX A9, B3.6, and B5.1 issued 10/28/2024). This ND would cover the rest of the tasks in BP4 (Tasks 4.8, 4.9, 4.10, and 4.11) and all of BP5.

BP4 objectives would include further development of the novel insulated panel block (PB) wall retrofit system prototyped in Phase 1 and incorporate into an integrated DER process. BP5 objectives would include completing and evaluating DER performance, collecting lessons learns and stakeholder feedback, and creating an updated Technology-to-Market plan.

Demonstration of the entire retrofit process would occur on five occupied 1- to 5- family buildings in Massachusetts and Pennsylvania.

- 1. 8 Carlford Rd, Jamaica Plain, MA 02130
- 2. 12 Carlford Road, Jamaica Plain, MA 02130
- 3. 18-20 Monson St., Mattapan, MA 02126
- 4. 5021-5029 Chaplain Way, Pittsburgh, PA 15207
- 5. 5127 Lytle Street, Pittsburgh, PA 15207

Activities to be completed at demonstration locations:

- 1. Measurement of building dimensions and characteristics.
- 2. Deployment and maintenance of deep energy retrofits (exterior foam board retrofit insulation, insulated panel blocks, HVAC, water-heater equipment, secondary windows, air sealing, and electric system upgrade).
- 3. Deployment of data acquisition and monitoring equipment.

Ground disturbing activities would include the installation of equipment outdoors, including potential installation of concrete pads to support outdoor units of the mechanical systems. The largest pad would be 48 square inches by 4 inches thick. Each site would require approximately 2000 square feet of exterior wall insulation and approximately 1500 square feet of interior attic roof insulation. The heat pumps would be installed within the immediate footprint of each structure, within approximately three feet.

Window Energy Management System (WEMS) secondary window prototypes would be tested in select homes. The WEMS prototypes would be installed inside, attached to brackets over existing windows. Once tested, the WEMS prototypes would easily be removed without impacting the structure or aesthetic of the home. Deployment and installation of augmented reality (AR) and scan-to-BIM software, WEMS prototypes, pre-retrofit sensors, HVAC upgrades, water-heater equipment, air sealing, and electrical systems upgrades would be installed in the existing utility locations and would not have an impact to historical characteristics of the buildings.

No funds from DOE would be used for remediation work or window replacement.

Hazards would include risks pertaining to work with electricity and adding exterior insulation to buildings. Employee training, proper protective equipment, and internal safety reviews and assessments would be utilized to mitigate hazards. All project work would adhere to local, state, and federal safety regulations. DOE does not anticipate any impacts to resources of concern due to the proposed activities in BP4 and BP5. Local jurisdictions would issue building permits for all retrofits and conduct inspections if necessary.

The two Pittsburgh sites (Chaplain Way and Lytle Street) are potentially eligible for the National Register of Historic Places. Chaplain Way was built prior to 1910 and Lytle Street was built in 1920. Pursuant to Section 106 of the

National Historic Preservation Act, DOE submitted a Request for Review to the Pennsylvania State Historical Preservation Officer and received concurrence with the determination that proposed project activities would have no adverse effect to historic properties. DOE also conducted a review of potential issues related to other resources of concern and found no effects that would be expected to result from the proposed project activities.

EERE is aware of the November 12, 2024, decision in Marin Audubon Society v. FAA, No. 23-1067 (D.C. Cir. Nov. 12, 2024). To the extent that a court may conclude that the Council on Environmental Quality (CEQ) regulations implementing NEPA are not judicially enforceable or binding on this agency action, EERE has nonetheless elected to follow those regulations at 40 C.F.R. Parts 1500-1508, in addition to DOE's procedures/regulations implementing NEPA at 10 C.F.R. Part 1021, to meet the agency's obligations under NEPA, 42 U.S.C. §§ 4321 et seq.

NEPA	PRC	VISI	ON

DOE has made a final NEPA determination.

Notes:

Building Technologies Office (BTO) NEPA review completed by Alex Colling on 5/15/2025.

## FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

Field Office Manager's Signature:

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEP	A Compliance Officer Signature:	Signed By: Matthew Blevins	Date:	5/19/2025			
		NEPA Compliance Officer					
FIELD OFFICE MANAGER DETERMINATION							
<b>V</b>	Field Office Manager review not required Field Office Manager review required						
BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO:							

Field Office Manager