DOE 2.7 Records Disposition Schedule Issue Date: October 2021

Updated: December 2023

DEPARTMENT OF ENERGY (DOE) 2.7: Employee Health and Safety Records

Records documenting employee health and safety functions, including non-occupational health records, medical records, health unit records, radiation exposure, and hazardous concentrations of toxic chemical exposure.

Note: Refer to GRS 2.7 for all other Employee Health and Safety records not addressed in this schedule.

Item	Records Description	Disposition	Disposition
		Instruction	Authority
Me	dical		
010	Clinic Scheduling Records	Temporary. Destroy	DAA-GRS-2017-
	Becards of clinic visits, both assumational and non-assumational generated by an on-site OB outside	when 75 years old.	0010-0001
EPI	Records of clinic visits, both occupational and non-occupational generated by an on-site OR outside health unit when performing medical services on behalf of the government under contract services.		
	meater and when performing meatear services on behalf of the government and electrices.		
	Records include, but are not limited to:		
	 patient's name, time of appointment, and type of work to be performed 		
	 details for pending, confirmed, and upcoming appointments, including date, time, clinic, 		
	care team and reason for visit		
	 notifications about appointment updates 		
	 patient visit and other scheduling-related statistics (e.g., logs and registers reflecting daily 		
	number of visits to dispensaries, first aid rooms and health units, regardless if summarized)		
	Note: GRS 2.7, item 010 authorizes use of longer retention; records are needed to support various		
	departmental Health and Safety Programs that require records to be maintained for a longer period		
	of time.		
020	Individual Medical Case File (Federal and Contractor)	Temporary. Destroy	DAA-0434-2020-
	All occupational and/or non-occupational health records (see note 3), including those generated by	250 years after employee separation	0015-0001
EPI	an on-site OR outside health unit when performing medical services on behalf of the government	or transfer.	
	under contract services.		

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Item	Records Description	Disposition Instruction	Disposition Authority
	 forms, correspondence, and other records relating to an employee's medical history occupational injuries or illness physical examinations work related / non-occupational visits and all treatment received in the health unit pre-placement physical examinations and disability retirement and fitness for duty examinations medical and diagnostic laboratory records, including, but not limited to x-ray (digital) and x-ray interpretations/reports records that contain date of employee's visit, diagnosis, and treatment may also include records of exposure to chemical, physical, biological, safety workplace hazards and radiation Note 1: These include individual employee Health Case Files (Federal) created prior to establishment of the EMF system that have been retired to a NARA records storage facility. Note 2: GRS 2.7, items 060, 061, 062 and 070, authorizes use of longer retention, as these records 	IIISTIUCTIOII	Authority
	are needed to support various departmental Health and Safety Programs that require records to be maintained for a longer period of time. Note 3: If non-occupational are maintained separately from occupational health records, utilize GRS 2.7, item 070, for non-occupational health records.		
030	Deteriorating X-rays X-rays that have deteriorated and become unidentifiable due to advanced states of decomposition that can neither be interpreted nor copied and may be hazardous to human health.	Temporary. Destroy immediately upon proper notification.	DAA-0434-2020- 0015-0002
	Note: Requires notification to NARA, by the Department Records Officer (DRO) in accordance with 36 CFR 1229.10.		

Item	Records Description	Disposition Instruction	Disposition Authority
040	Individual Employee Medical X-rays Film x-rays maintained separate from the Individual Medical Case File AND where the interpretation/report is documented and filed in the Individual Medical Case File (see DOE 2.7, item 020).	Temporary. Destroy 10 years after employee separation or transfer.	DAA-0434-2020- 0015-0003
Oc	cupational Health		
100	Occupational Injury and Illness Program Records Records documenting the planning, management, reporting, and routine operations undertaken by occupational health and safety organizations. Includes: • miscellaneous reports, annual summaries, or reports to the Secretary of Labor • correspondence with internal agency offices and Occupational Safety and Health Administration (OSHA) • OSHA 300 Log • OSHA 301 Incident Report • OSHA 301 Incident Report • DOE Computerized Accident Incident Reporting System (CAIRS) Exclusion: Workers' Compensation (personnel injury compensation) records are covered in DOE 2.4, item 100 Note: GRS 2.7, item 020 authorizes use of longer retention; are needed to support various departmental Health and Safety Programs that require records to be maintained for a longer period of time. Citations: 29 CFR Occupational Safety and Health Administration • 29 CFR 1904 Subpart C – Recordkeeping Forms and Recording Criteria	Temporary. Destroy when 75 years old.	DAA-GRS-2017- 0010-0002

Item	Records Description	Disposition Instruction	Disposition Authority
	 29 CFR 1904 Subpart D – Other OSHA Injury and Illness Recordkeeping Requirements 29 CFR 1904 Subpart E – Reporting Fatality, Injury and Illness Information to the Government 		
110	Individual Employee Occupational Injury/Illness Case File	Temporary. Destroy	DAA-0434-2020
EPI	Personal injury or illness case files, regardless if recordable or if worker's compensation claims was filed. Records can include, but are not limited to:	250 years after employee separation or transfer.	0015-0004
	 DOE forms and reporting systems, OSHA forms/reports (also maintained in Occupational Injury and illness program records, DOE 2.7, item 100) 		
	 forms/reports related to accident, injuries, illnesses, etc. that occur during DOE Operations correspondence 		
	Exclusion 1: Workers' Compensation (personnel injury compensation) records are covered under item 100 of DOE 2.4, Employee Compensation and Benefits Records.		
	Exclusion 2: Copies filed in the Employee Medical Folder (See DOE 2.7, item 020, Employee Medical Folder)		
	Citations:		
	29 CFR Occupational Safety and Health Administration		
	 29 CFR 1904 Subpart C – Recordkeeping Forms and Recording Criteria 		
	29 CFR 1904 Subpart D – Other OSHA Injury and Illness Recordkeeping Requirements		
	 29 CFR 1904 Subpart E – Reporting Fatality, Injury and Illness Information to the Government 		
	10 CFR Worker Safety and Health Program		
	 10 CFR 851.26 – Recordkeeping and reporting 		
120	Personnel Radiation Exposure Film Badge Records (LEGACY ONLY)	Temporary. Destroy	DAA-0434-2020
FDI		when 100 years old.	0015-0005

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Disposition Instruction	Disposition Authority
y year and ne).	
Separation is defined as en separation OR separation of exposure program. dy rol guides on cals and	
ti di	Temporary. Destroy 250 years after employee separation or transfer. Separation OR separation of

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Item	Records Description	Disposition Instruction	Disposition Authority
	10 CFR 851.26 – Recordkeeping and reporting		
140 EPI	Records include, but are not limited to: • statistical summaries, monthly, quarterly, yearly reports, worksheets, data packages and other related papers pertaining to employee exposure to radiation, toxic chemicals, etc. (not maintained by individual employees) • worksheets, requests for analysis, chart records recording information which must be interpreted or further modified before use, other information used in interim calculations or to verify that recorded data is correct (such as check sheets), and statistical summaries duplicating exposure data • records and investigations establishing the extent of employee(s) exposure to toxic chemicals and materials by area or facility (not maintained by individual employees) Exclusions:	Temporary. Destroy when 75 years old.	DAA-0434-2020- 0015-0007
	 Records organized or sorted by "individual employee" - see DOE 2.7, Item 130 for the Individual Employee Health Hazard Case File (Employee Exposure Records). 		

General Records Schedule (GRS) 2.7 Issue Date: October 2021

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GENERAL RECORDS SCHEDULE (GRS) 2.7: Employee Health and Safety Records

This schedule covers records about employee health and safety functions within federal agencies.

Conditions and Exclusions

The following conditions and exclusions apply to all disposition authorities in this schedule.

- 1. Agencies must offer any records created prior to January 1, 1921, to the National Archives and Records Administration (NARA) before applying disposition instructions in this schedule.
- 2. This schedule does not apply to mission-related federal research, monitoring, standard-setting and enforcement activities to ensure environmental protection, occupational safety, and public health. Such records are covered by agency-specific schedules.
- 3. The terms "non-occupational" and "patient" are used in this schedule to refer to nonwork-required health services and to health conditions not causally connected to the employee's work or workplace. The terms "workplace" and "safety" refer to occupational records.

Item	Records Description	Disposition	Disposition
		Instruction	Authority
010	Clinic scheduling records.	Temporary. Destroy	DAA-GRS-
	Scheduling records of clinic visits, both occupational and non-occupational. Includes:	when 3 years old,	2017-0010-
	 patient's name, time of appointment, and type of work to be performed 	but longer retention	0001
	 details for pending, confirmed, and upcoming appointments, including date, time, clinic, care team 	is authorized if	
	and reason for visit	needed for business	
	 notifications about appointment updates 	use .	
	patient visit and other scheduling related statistics	Utilize DOE 2.7, Item 010, Scheduling Records (Occu Non-Occupational).	
Occ	upational Health Records		
020	Occupational injury and illness program records.	Temporary. Destroy	DAA-GRS-
	Records documenting the planning, management, reporting, and routine operations undertaken by	when 6 years old,	2017-0010-
	occupational health and safety organizations. Includes:	but longer retention	0002
	 miscellaneous reports, annual summaries or reports to the Secretary of Labor 	is authorized if	
	 correspondence with internal agency offices and Occupational Safety and Health Administration (OSHA) 	needed for business	
	● OSHA 300 Log	use.	

Item	Records Description			Disposition Instruction	Disposition Authority
	OSHA 301 Incident Report				
	OSHA 300A Summary or equivalent			Utilize DOE 2.7, Item 10 Occupational Injury an	
	and 101 of GRS 2.4, Employee Com		npensation) records are covered under items 100 ts Records.	Program Records.	
	Legal Citations: 29 CFR Part 1904.3	3 and 29 CFR Part 19	60.69.		
030	Occupational health and safety train Records of health and safety-related	-	uch as cardiopulmonary resuscitation (CPR),	Temporary. Destroy 5 years after training participation or	DAA-GRS- 2017-0010- 0003
	1	ED), personal protecti	ve equipment (PPE) use, safe sampling techniques,	when superseded, whichever is	0003
	Exclusion 1: Records appropriate for long-term retention in an Official Personnel Folder, such as academic transcripts and professional licenses. GRS 2.2, Employee Management Records, item 040 covers these.			applicable, but longer retention is authorized if required for	
	Exclusion 2: Training records relate health. Items 060 and 061 of this s	•	ities or that may impact individual occupational	business use .	
	Legal Citation: 29 CFR Part 1910.12	20 App E(9)	Exclusion 2: Utilize current schedules for Individual Mission Related Employee Training Records until superseded.		
040	Workplace environmental	OSHA-regulated sub	ostance monitoring and exposure records.	Temporary. Destroy	DAA-GRS-
	monitoring and exposure records.	Area/general occu	pational exposure records and select carcinogen	no sooner than 30	2017-0010-
	Results or measurements of	•	from hazardous chemical use in laboratories. Includes	years after	0004
	monitoring workplace air,	the Chemical Hygi	ene Plan.	monitoring is	
	toxic substances, or harmful			conducted, but	
	physical agents, including		ee-specific occupational exposure records appropriate	longer retention is	
	personal, area, grab, wipe, or other methods of sampling	for individual occu	pational medical case files are covered by item 060.	authorized if needed for business use.	
	results.	Legal Citations: 29 1910.1020(d)(1)(iii	9 CFR Part 1910.1020(d)(1)(ii) and 29 CFR Part i)	Utilize DOE 2.7, Item 130, Inc Employee Health Hazard Cas Exposure Records).	

Item	Records Description		Disposition Instruction	Disposition Authority
041	Note 1: Biological monitoring results, such as blood and urine analysis results, designated as exposure records by specific Occupational Safety and Health Administration (OSHA) standards are maintained as	Occupational noise monitoring and exposure records. Exclusion: Employee specific occupational exposure records appropriate for individual occupational medical case files are covered by item 060. Legal Citation: 29 CFR Part-1910.95(m)(3)(i)	Temporary. Destroy no sooner than 2 years after monitoring is conducted, but longer retention is authorized if needed for business use.	DAA-GRS- 2017-0010- 0005
	required by the specific standard governing their use. For more information, refer to 29 CFR 1910.1020(c)(5) –		Utilize DOE 2.7, Item 130 Employee Health Hazard (Employee Exposure Reco	Case File
042	Employee exposure records and 29 CFR 1910.1020(c)(5)(ii). Note 2: These items are intended for agencies subject to Executive Order 12196.	Lead (Pb), Coke Oven emissions, Dibromochloropropane (DBCP), Acrylonitrile, and Inorganic Arsenic monitoring and exposure records. Exclusion: Employee specific occupational exposure records appropriate for individual occupational medical case files are covered by item 060.	Temporary. Destroy no sooner than 40 years after monitor- ing is conducted, but longer retention is authorized if needed	DAA-GRS- 2017-0010- 0006
	Occupational Safety and Health Programs for Federal Employees. Entities excluded from these requirements may use these items or agency-	Legal Citation: 29 CFR Part 1910.1045 App A(vi)(C)	for business use. Utilize DOE 2.7, Item 130 Employee Health Hazard (Employee Exposure Reco	Case File
043	specific schedules.	Background data. Records, such as consensus standards or other regulatory/non-regulatory documents, associated with related data. Note: Use of this item requires that the agency retains the sampling results, the collection methodology (sampling plan), a description of the analytical and mathematical methods used, and a summary of other	Temporary. Destroy no sooner than 1 year after monitoring is conducted, but longer retention is	DAA-GRS- 2017-0010- 0007

Item	Records Description			Disposition Instruction	Disposition Authority
		ound data relevant to interpro t thirty (30) years.	tation of the results obtained, for	authorized if needed for business use.	
	Legal	Citation: 29 CFR Part 1910.102	0(d)(1)(ii)(A)	Utilize DOE 2.7, Item 130 Employee Health Hazard (Employee Exposure Rec	Case File
050	Safety Data Sheets (SDS). Includes other specified records concerning the called Material Safety Data Sheets (MSDS).	e identity of a substance or ag	gent. These records were formerly	Temporary. Destroy when business use ceases.	DAA-GRS- 2017-0010- 0008
	Exclusion: Copies placed in individual occupat		ument substances or agents to	DOE Business Use: Destroy 75 years after su	bstance
	which employees are exposed are covered un	der item 060 of this schedule.	Exclusion: Utilize DOE 2.7, Item 130, Individual Employee Health Hazard Case File (Employee Exposure Records).	removed from service.	
	Note: Based on OSHA requirements in 29 CFF discard safety data sheets if "some record of twhere it was used, and when it was used is rediscard the original data sheet and retain only maintained.	ne identity (chemical name if k ained for at least thirty (30) ye	known) of the substance or agent, ears." Then, an employer may		
	Legal citation: 29 CFR Part 1910.1020(d)(1)(ii	(B)			
060	Occupational individual medical case files. These records are also referred to as Employee Medical Folders (EMFs), Occupational Safety and Health Administration (OSHA) medical records,	Exclusion: Individual no covered by item 070.	n-occupational medical records are	Temporary. Destroy 30 years after employee separation or when the Official	DAA-GRS- 2017-0010- 0009
	and medical surveillance records. Includes personal and occupational health histories	to an employee are not	required to be included as a record cupational medical case files, under	Personnel Folder (OPF) is destroyed, whichever is longer.	

Item	Records Description		Disposition Instruction	Disposition Authority
061	 opinions and written evaluations generated in the course of diagnosis and employment-related treatment/ examination by medical health care professionals and technicians employee specific occupational exposure records, which include employee specific occupational records (exposures include, but are not limited to, gases, liquids, vapors, mists, dust particles and noise) employee audiometric testing records Note: For those entities subject to OPM's requirements, OPM determines which of these records are long term and which are short term records. For guidance on which records qualify for this category, follow OPM guidance. Other entities should follow agency policy. Exclusion: Records of claims filed under the Federal Employees Compensation Act (FECA) are covered under GRS 2.4, items 100 and 101. 	certain conditions, copies of such records are occupationally related and, in those cases, may be included in the individual occupational medical case files. (5 CFR Part 293, Subpart E, Part 504) Note 2: For transferred employees subject to OPM's requirements, see 5 CFR Part 293, Subpart E, Employee Medical File System Records, for implementing instructions. Note 3: For separated employees subject to OPM's requirements, see 5 CFR Part 293, Subpart E, Employee Medical File System Records, for implementing instructions. Legal Citations: 5 CFR Part 293.511(b), 29 CFR 1910.1020(d)(1)(i), and 29 CFR Part 1910.95(m)(3)(ii). Short-term records.	Utilize DOE 2.7, item 020 Medical Case File (Federa Contractor). Temporary. Destroy 1 year after employee separation or transfer. Utilize DOE 2.7, item 020 Medical Case File (Federa Contractor).	DAA GRS-2017-0010-0010

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Item	Records Description		Disposition	Disposition
			Instruction	Authority
062		Individual employee health case files created prior to	Temporary. Destroy	DAA-GRS-
		establishment of the Employee Medical File system in 1986.	60 years after	2017-0010-
			retirement to the	0011
			NARA records	
			storage facility.	
			,	
			Utilize DOE 2.7, item 020 Medical Case File (Federa Contractor).	
063	Vaccination attestations and proof of vaccination records.	Federal employees and contractors. Applies only to records related to federal employees and	Temporary. Destroy when 3 years old.	DAA-GRS- 2021-0003-
EPI	Records attesting to an individuals' current vaccination status and providing proof or	contractors of the agency collecting the records.	when o years ora.	0001
	certification of vaccination.	Note: If agencies file these records in occupational health files, use the disposition authority for occupational health files instead.		
064		Visitors. Includes federal employees and contractors visiting from other agencies.	Temporary. Destroy when 30 days old.	DAA-GRS- 2021-0003- 0002
065	Symptom screening and testing records.	Federal Employees.	Temporary. Destroy	DAA-GRS-
	Records related to screening and testing intended to detect a possible communicable or infectious disease, if collected. Includes:	Applies only to records related to federal employees of the agency collecting the records.	when 1 year old.	2021-0003- 0003

Item	Records Description		Disposition Instruction	Disposition Authority	
066	symptom check/screening datatesting records/results	Contractors and visitors. Includes federal employees that are visiting from another agency.	Temporary. Destroy when 30 days old.	DAA-GRS- 2021-0003- 0004	
	-Occupational Health Records		T	T	
070	Non-occupational individual medical case files. Records of treatment or examination created and maintained by a health care facility or dispensary documenting an individual's medical history, physical condition, vaccinations, and first-aid visits for nonwork-related purposes. Also referred to as "patient records" in Title 5 Part 293 Subpart E.		Temporary. Destroy 10 years after the most recent encounter, but longer retention is authorized if needed for business use.	DAA-GRS- 2017-0010- 0012	
Standards [Appendix D from the 2011 update] and 31 U.S.C. 3731(b), False Claims Act. non-occutilize I Medica			non-occupational health utilize DOE 2.7, item 020	ccupational are maintained with -occupational health records, ze DOE 2.7, item 020, Individual dical Case File (Federal and tractor).	
080	statistical summaries, and routine operations un	nt, reporting, correspondence with internal agency offices, ndertaken by employee health service organizations involving programs, such as nursing mothers, Automated External	Temporary. Destroy 3 years after the project/activity/ or transaction is completed or superseded, but longer retention is authorized if needed for business use.	DAA-GRS- 2017-0010- 0013	

Item	Records Description		Disposition Instruction	Disposition Authority
	preventive servicesfitness programs			
090	Employee Assistance Program (EAP) counseling records. Records of individuals who have sought or been referred to counseling services provided through the Employee Assistance Program (EAP). May include records of family members and dependents.	Records related to employee performance or conduct. Records of counseling services provided through the EAP for performance or conduct reasons. Records include documentation of: I leave and attendance performance alleged inappropriate behavior or workplace violence reason for referral management interventions illegal drug or alcohol use test results for use of illegal drugs test results for alcohol consumption on the job substance abuse assessment, treatment, aftercare, and monitoring records Note: GRS 2.3, Employee Relations Records, covers adverse action files under item 061 and performance-based action files under item 062.	Temporary. Destroy once employee has met condition(s) specified by agreement or adverse action or performance-based action case file has been initiated.	DAA-GRS- 2017-0010- 0014
091		Records not related to performance or conduct. Records documenting nature of an individual's problem and participation	Temporary. Destroy 7 years after	DAA-GRS- 2017-0010-
EPI		 in a treatment or rehabilitation program. Records may include documentation of treatment by a private therapist or a therapist at a Federal, State, local government, or private institution. Includes: Privacy Act and signed written consent forms psychosocial history and assessments medical records correspondence with the client clinical and education interventions records of attendance at treatment, kinds of treatment, and counseling programs 	termination of counseling for adults or 3 years after a minor reaches the age of majority, or when the state-specific statute of limitations has expired for contract providers subject to	0015

Item	Records Description	Disposition Instruction	Disposition Authority
	 identity and contact information of treatment providers name, address, and phone number of treatment facilities notes and documentation of internal EAP counselors insurance data intervention outcomes 	state requirements, but longer retention is authorized if needed for business use.	DAA-GRS- 2017-0010- 0015
Dru	ıg-free Workplace Program Records		
100	 Employee drug test plans, procedures, and scheduling records. Drug testing program records pertaining to the development of procedures, such as the determination of testing incumbents in designated positions and selection of specific employees/applicants for testing. Includes: agency copies of plans and procedures, with related drafts, correspondence, and memoranda lists of selectees notification letters testing schedules Exclusion 1: Documents filed in record sets of formally issued documents, such as directives, procedure handbooks, and operating manuals. Schedule these on agency-specific schedules. Exclusion 2: Consolidated statistical and narrative reports concerning the operation of agency programs, including annual reports to Congress, as required by Pub. L. 100-71, 503(f), are covered in GRS 5.7, Agency Accountability Records. Exclusion 3: Oversight program records of the Department of Health and Human Services, the Office of Personnel Management, the Office of Management and Budget, the Office of National Drug Control Policy, 	Temporary. Destroy when 3 years old or when superseded or obsolete.	DAA-GRS- 2017-0010- 0016
110	and the Department of Justice. Schedule these on agency-specific schedules. Employee drug test acknowledgment of notice forms. Forms completed by employees whose positions are designated sensitive for drug testing purposes, acknowledging they have received notice and they may be tested.	Temporary. Destroy when employee separates from testing-designated position.	DAA-GRS- 2017-0010- 0017

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Item	Records Description			Disposition Instruction	Disposition Authority
120	Employee drug testing specimen records. Identifying data on each specimen, recorded at each collection site in the order in which the specimen was collected. Includes records used to maintain control and accountability of specimens from the point of collection to final disposition, <i>e.g.</i> , chain-of-custody records.			Temporary. Destroy 3 years after date of last entry or when 3 years old, whichever is later.	DAA-GRS- 2017-0010- 0018
130	Employee drug test results. Records documenting individual test results, including testing reports, notification of employees and employing offices, and documents relating to follow-up testing. Exclusion: Drug test results of applicants for employment are covered by GRS 2.1,	Positive results.	DOE is creating a new schedule to ensure records are retained for 5 years. Do not destroy until updated schedule is published. Citation: 10 CFR 707.16	Temporary. Destroy when employee leaves the agency or when 3 years old, whichever is later.	DAA-GRS- 2017-0010- 0019
131	Employee Acquisition Records, items 050 and 051.	Negative results.		Temporary. Destroy when 3 years old.	DAA-GRS- 2017-0010- 0020