

DEPARTMENT OF ENERGY (DOE) 1.3: Budgeting Records

DOE Records Disposition Schedules (RDS)											
New DOE 1.3 (DAA-0434-2021-0003)				Prior Approved DOE 1.3 (DAA-0434-2020-0008)				Old Authorities			
Schedule No.	Item No.	Retention	Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
DOE 1.3	010	Permanent	DAA-0434-2021-0003-0001	DOE 1.3	010	Permanent	DAA-0434-2020-0008-0001 (in part)	ADM 5	1a	Permanent	NC1-430-76-8, Item 1a(1) (in part)
								ADM 5	4.1a	Permanent	N1-434-98-8, Item 4.1a (in part)
								Staffing and Budget Submission Files		Permanent	NC1-434-83-4, Item 1 (in part)
DOE 1.3	015	Permanent	DAA-0434-2021-0003-0002	DOE 1.3	010	Permanent	DAA-0434-2020-0008-0001 (in part)	ADM 5	1a	Permanent	NC1-430-76-8, Item 1a(1) (in part)
								ADM 5	4.1a	Permanent	N1-434-98-8, Item 4.1a (in part)
								Staffing and Budget Submission Files		Permanent	NC1-434-83-4, Item 1 (in part)
DOE 1.3	020	Permanent	DAA-0434-2021-0003-0003	DOE 1.3	010	Permanent	DAA-0434-2020-0008-0001 (in part)	ADM 5	1a	Permanent	NC1-430-76-8, Item 1a(1) (in part)
								ADM 5	4.1a	Permanent	N1-434-98-8, Item 4.1a (in part)
								Staffing and Budget Submission Files		Permanent	NC1-434-83-4, Item 1 (in part)
DOE 1.3	025	6 years	DAA-0434-2021-0003-0004	DOE 1.3	020	6 years	DAA-0434-2020-0008-0002	ADM 5	4.1b	6 years	N1-434-98-8, Item 4.1b
DOE 1.3	030	10 years	DAA-0434-2021-0003-0005	DOE 1.3	030	10 years	DAA-0434-2020-0008-0003	ADM 16	1.4a	10 years	N1-434-98-19, Item 1.4a
								ADM 16	1.4b	10 years	N1-434-98-19, Item 1.4b
								Uranium Mill Tailings Remedial Action (UMTRA) Project		3 years	N1-434-98-1, Item 15a (in part)
										1 year	N1-434-98-1, Item 15b (in part)
DOE 1.3	040	10 years	DAA-0434-2021-0003-0006	DOE 1.3	040	10 years	DAA-0434-2020-0008-0004	ADM 16	5	1 year	NC1-64-77-8, Item 4b Rescinded by NARA Transmittal 29

DOE Records Disposition Schedules (RDS)											
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Schedule No.	Item No.	Retention	Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
								Uranium Mill Tailings Remedial Action (UMTRA) Project		3 years	N1-434-98-1, Item 15a (in part)
										1 year	N1-434-98-1, Item 15b (in part)
Rescinded								ADM 5	6a	3 years	II-NNA-340, item 1
								ADM 5	6b	5 years (HQ) 2 years (Field)	II-NNA-340, item 2

Epidemiological
Moratorium

General Record Schedules (GRS)							
Note: GRS items listed below may have been listed in the DOE Administrative Schedules, as a DOE schedule with a GRS authority.							
New GRS 1.3				Old Authorities			
Schedule No.	Item No.	Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
GRS 1.3	010	6 years	DAA-GRS-2015-0006-0001	GRS 5	2 (in part)	1 year	NC1-GRS-81-13 item 2
Utilize DOE 1.3, Item 010 and 015 for Budget Policy Records (Headquarters) and DOE 1.3, items 020 and 025 for Budget formulation, estimates, justifications, and submission records prior to fiscal year 2017.							
GRS 1.3	020	6 years	DAA-GRS-2015-0006-0002	GRS 5	4	2 years	GRS 5, 1952, item 6
GRS 1.3	030	5 years	DAA-GRS-2015-0006-0003	GRS 5	3a	5 years	GRS 5, 1952, item 5a
GRS 1.3	031	3 years	DAA-GRS-2015-0006-0004	GRS 5	3b	3 years	NC-64-75-2 item 5b
GRS 1.3	040	2 years	DAA-GRS-2015-0006-0005	GRS 5	2 (in part)	1 year	NC1-GRS-81-13 item 2
GRS 1.3	041	2 years	DAA-GRS-2015-0006-0006	GRS 5	2 (in part)	1 year	NC1-GRS-81-13 item 2
GRS 1.3	050	3 years	DAA-GRS-2015-0006-0007	GRS 5	1	2 years	GRS 5, 1952, items 1 and 3 NC1-430-76-8, item 1a(1) (ADM 5.1a) is a deviation from the GRS (ADM 5.1a; permanent).
Rescinded				GRS 16	5	1	NC1-64-77-8, item 4b
				See DOE 1.3, item 040, which replaced GRS 16.5 (ADM 16.5)			