



U.S. Department of Energy

Categorical Exclusion Determination Form

Proposed Action Title:

Program or Field Office:

Location(s) (City/County/State):

Proposed Action Description:

Categorical Exclusion(s) Applied:

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer:

Date Determined:



U.S. Department of Energy

Categorical Exclusion Determination Form

Submit by E-mail

Proposed Action Title: FLExible Carbon Capture and Storage (FLECCS) (FOA No. DE-FOA-0002220) Program

Program or Field Office: Advanced Research Projects Agency - Energy (ARPA-E)

Location(s) (City/County/State): CA; CO; DE; GA; MA; MN; MO; NC; ND; NY; OH; PA; TX; VA; WA; WV

Proposed Action Description:

The FLECCS Program seeks to develop carbon capture and storage (CCS) technologies that enable power generators to be responsive to grid conditions in a high variable renewable energy (VRE) penetration environment. FLECCS project teams will strive to provide flexible and economical assets for future low- and zero-carbon electricity systems. If successful, FLECCS technologies would (1) enable the continued use of low-cost domestic fuel for electricity generation and increase the reliability of a deeply decarbonized electricity system; (2) achieve high CO₂ capture rates from flue gas; and (3) enable a power generator and CCS plant to operate under steady-state conditions with reduced emissions.

The FLECCS Program is composed of 12 small-scale research and development projects that will be conducted by universities, non-profit entities, for-profit entities, and federal laboratories. This Determination covers all of the 12 projects (listed in Attachment A). All 12 projects fit within the class of actions identified under the DOE Categorical Exclusion identified below and do not involve any extraordinary circumstances that may affect the significance of the environmental effects of the projects. This assessment was based on a review of the proposed scope of work and the potential environmental impacts of each project. All project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and Local regulatory requirements.

Categorical Exclusion(s) Applied:

A9 - Information gathering, analysis, and dissemination

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

B3.15 - Small-scale indoor research and development projects using nanoscale materials

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

☒ The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

☒ There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

☒ The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: **Geoffrey Goode** Digitally signed by Geoffrey Goode
Date: 2020.10.20 04:35:48 -05'00'

Date Determined:

Attachment A: Projects in the FLECCS (FOA No. DE-FOA-0002220) Program

Prime Recipient (Control No.)	Project Title	Categorical Exclusion
GE Research (2220-1501)	Flexible Oxy-Fuel Combustion for High-Penetration Variable Renewables	A9
8 Rivers Capital, LLC (2220-1503)	Enhancing responsiveness of Gas Turbine Generators through retrofitting with Exhaust Gas Recycle and a Phase-change CO2 Capture Process	B3.6
Colorado State University (2220-1509)	Synergistic Heat Pumped Thermal Storage and Flexible Carbon Capture System	A9
Research Triangle Institute (2220-1516)	Advanced CO2 Capture Solvent Systems for Dynamic Power Generation	B3.6
University Of Pittsburgh (2220-1523)	Investigation of a Hybrid Carbon Capture System at a NGCC Power Plant that Performs Direct Air Capture During Off-Peak Hours	A9
Georgia Tech Research Corporation (2220-1527)	Positive power with negative emissions: Flexible NGCC enabled by modular direct air capture	A9; B3.6; B3.15
Linde Gas North America LLC (2220-1542)	Process integration and optimization of an NGCC power plant with CO2 capture, hydrogen production and storage	A9
Massachusetts Institute Of Technology (2220-1544)	Power plant CO2 capture integrated with lime-based direct air capture	A9
Susteon Inc. (2220-1550)	A Rapid Temperature Swing Adsorption Carbon Capture Technology for Optimal Operation of a Fossil Power Plant	A9
Southwest Research Institute (2220-1568)	Oxygen Storage Incorporated into the Allam Oxy-Fuel Power Cycle	A9
Evergex, LLC (2221-1520)	Flexible Low Temperature CO2 Capture System, E-CACHYS	A9; B3.6
Luna Innovations Inc. (2221-1501)	Flexible FlueCO2	A9; B3.6