No.: FAL-2024-03/OTL 2024-01 Date: April 5, 2024



This Financial Assistance/Other Transaction Letter is issued under the authority of the Senior Procurement Executives of DOE and NNSA.

Subject: Guidance for Addressing Interactions with Indian Tribes in Funding

Opportunity Announcements/Other Transaction Solicitations and

Financia. A sistance and Other Transaction Agreements

Polices and Statutes:

DOE American I dran and Alaska Natives Tribal Government Policy (2006)
Outlinest ring ples to government-to-government relationships with
American Indian and Alaska Native Tribal governments.

DOE O 144.1, Department of Avergy American Indian Tribal Government Interactions And Policy

Communicates Departmental, Log Immatic, and field responsibilities for interacting with American Indian Governments, including Alaska Native entities

Executive Order 13175 of November 6, 2000 A result tion and Coordination with Indian Tribal Government.

Establishes regular and meaningful consultation and pllaboration with Tribal officials in the development of F deral policies that have Tribal implications.

Executive Order 14112 of December 6, 2023, Reforming Federal Funding and Support for Tribal Nations To Better Embrace Our Trust

Responsibilities and Promote the Next Era of Tribal Self-Determination

Solidifies commitment to this next era of Tribal self-determination policies that are rooted in prioritizing partnerships with Tribal leaders, respect for Tribal sovereignty, trust in Tribal priorities, and dignity for Tribal Nations.

Memorandum on Tribal Consultation and Strengthening Nation-to-Nation Relationships | The White House

Re-emphasizes commitment to E.O 13175 and states administrative policies on government-to-government relationships.

<u>POTUS Tribal Consultation Memo - DOE Response and Action Plan -</u> FINAL.pdf (energy.gov)

Outlines DOE actions related to Tribal consultation and feedback from Indian Tribes.

Memorandum on Uniform Standards for Tribal Consultation | The White House

Requires agencies to submit detailed plans of action to implement policies related to E.O. 13175.

Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. § 1601, et seq.]

Resources:

Tribal They Righs Consultation Best Practices Flow Chart (bia.gov)

Quick gide or steps to follow to determine if Tribes could be affected and low a carry out consultation if needed.

Best Practices for Id Atifying and Protecting Tribal Treaty Rights, Reserved Rights, and Other Spailar Rights in Federal Regulatory Actions and Federal Decision-Making (biaster)

Tribal Treaties Database (okstate. d)

Search treaties by specific Tribes to evaluate if Tribal treaty rights are affected.

Bureau of Indian Affairs, U.S. Domesti, Sovereign Nations: Land Areas of Federally-Recognized Tribes Indian Lands (d. e.g. v)

GIS tool useful for identifying Indian and and Poservations which may be impacted. See the following for the parent rebsite <u>BOGS | Web Maps</u> (geoplatform.gov)

<u>DOI Tribal Leader Directory</u> – List of Tribal Leaders, addresses and contact information.

A regularly updated database useful for identifying who to contact for Tribal consultation and engagement.

Office of Indian Energy Past Funding Opportunities | Department of Energy The office's FOAs may contain language useful to other offices.

Best Practices Guide for Federal Agencies Regarding Tribal and Native Hawaiian Sacred Sites

Interagency guidance with best practices including safeguarding information on sacred sites from FOIA.

When is this Financial Assistance Letter (FAL)/Other Transaction Letter (OTL) effective?

The guidance provided in this FAL/OTL is effective on the date issued.

When does this FAL/OTL expire?

This FAL/OTL remains in effect until canceled or superseded.

Who is the point of contact?

For Department of Energy (DOE), contact the Contract and Financial Assistance Policy Division, Office of Policy in the Office of Acquisition Management at DOE OAPMPolicy and e.gov.

For National Nuclear Security Administration (NNSA) questions, contact NNSA Office of Policy and Oversight Division, Talia & Oversight Branch (NA-PAS-111), at (505) 845-5639 or Reina.Serino@nnsa.doc.gov.

DOE as used in this FAL/OTL includes NNSA,

Who is the intended audience?

DOE Grant Officers (GOs) and Agreement Officers (AOs

What is the purpose?

The purpose of this FAL/OTL is to provide guidance to GOs an AOs during the planning and issuance of Funding Opportunity Announcements (FOA) and the ayard of financial assistance and other transaction (OT) agreements to evaluate in projects may potentially impact Indian Tribes. Further, this FAL/OTL provides guidance or consultation and engagement with Indian Tribes if projects submitted to DOE are determined to potentially impact Indian Tribes. The requirements within this FAL/OTL also apply to applications submitted by Indian Tribes, both when an Indian Tribe's application impacts itself or when an Indian Tribe potentially impacts another Indian Tribe. This FAL/OTL does not apply to situations where state recognized Tribes are potentially impacted or are applicants.

What types of actions are affected by this FAL/OTL?

This FAL/OTL applies to all financial assistance and OT agreements, where the project may potentially impact Indian Tribes as defined in 25 U.S.C. § 5304 (e), the Indian Self Determination and Education Assistance Act (ISDEAA):

"Indian tribe" or "Indian Tribe" means any Indian tribe, band, nation, or other organized group or community, including an Alaska Native village or regional or

village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688)[43 U.S.C. 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

For purposes of this FAL/OTL, the term "Federally recognized Indian Tribe" means those tribes recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians, including Alaska Native villages.

For purposes of this FAL/OTL, the term "Alaska Native Corporation" means Alaska regional, or village corporations as defined in or established pursuant to the Alaska Native Claims Settlement Act.

The GO/AO should consult with legal counsel on any questions regarding the definition or applicability of the Ext./O7 L to an Indian Tribe.

When the Indian Tribe is the explicant or recipient/awardee, the GO/AO should use this guidance in conjunction with D E O 144.1 Department of Energy American Indian Tribal Government Interactions And P licy for existance in any required consultation(s).

What is the background?

Federally recognized Indian Tribes, including a deraily recognized Tribes in Alaska, are sovereign governments recognized by the Constitution of the United States, treaties, statutes, Executive Orders, and court decisions to this eral, all executive departments and agencies are expected to engage in regular, meaningful, and robust consultation with the governments of Federally recognized Indian Tribes whon undertaking Federal actions that may have Tribal impacts. In addition to Federally recognized Lata, Tribes, Alaska Native Corporations (ANCs) play a significant role in managing land and minerals on behalf of Alaska Native shareholders pursuant to the Alaska Native Chains Settle and Act. This FAL/OTL applies to both Federally recognized Indian Tribes and ANCs (as defined in 25 U.S.C. 5304 (e), and as appropriate herein referred to collectively as "Indian Tribes"). If there is any conflict between the governments of Federally recognized Indian Tribes and ANCs during financial assistance agreements, then special consideration should be given to the input of Federally recognized Indian Tribes and their roles as Tribal governments.

During the planning process, DOE must evaluate if projects proposed through a FOA/OT solicitation may have the potential to impact Indian Tribes, as described below. If a FOA/OT solicitation has potential impacts to Indian Tribes, then DOE must include appropriate language in the FOA/OT solicitation to address this matter. Indian Tribes are typically impacted through their resources and reserved rights, which often include impacts to Indian reservations, as well as extending beyond reservation boundaries pursuant to treaties, statutes, case law and other applicable requirements (herein collectively and alternately referred to as "impact(s) on" or "impacts to" Indian Tribes"). Tribal resources and reserved rights include, but are not limited to:

- Tribal land(s), including Indian Reservations and other Indian Land
- historic homelands from which Tribes were removed, including:
 - o cultural sites
 - sacred sites
 - o burial sites
- water rights
- mineral and other subsurface rights
- fishing rights
- hunting rights

Further, DOE O 144.1: Department of Energy American Indian Tribal Government Interactions And Policy (Order) provides requirements applicable to DOE employees on consultations and interactions with American Indian and Alaska Native Tribal governments. While the Cover is not directly applicable to recipients/awardees of financial assistance or other an action agreements, GOs, AOs, and other DOE employees involved in the award and administration on these agreements must comply with applicable requirements in the Order are with the guidance in this FAL/OTL. Further, GOs and AOs must operate consistent with the Covernment Indian and Alaska Natives Tribal Government Policy (2006 or the later version of the Policy). Formal government-to-government consultation with Indian Tribes is a DOE responsibility; applicant and recipient interactions with Indian Tribes do not constitute or substitute for such consultation.

This FAL/OTL is intended to compliment the consultation requirements of the National Environmental Policy Act (NEPA) and National Historic Preservation Act (NHPA) by ensuring applicants and recipients are proactively identifying and addressing Tribal impacts. If there are any conflicts or duplicative efforts between this FAL/OTL and NHPA, or this FAL/OTL and NHPA and NHPA shall take precedence.

What guidance is included in this FAL/OTL?

The following guidance is provided to GOs and AOs when planning soliciting, and awarding agreements.

Confidentiality of information

Special attention should be given to the timing of information shared with the potentially affected Indian Tribe(s). Any information provided to the potentially affected Indian Tribe(s), shall be clearly marked as "pre-decisional" or "selection information" or other appropriate designation. A nondisclosure agreement may be necessary from the Indian Tribe(s) prior to sharing any application information.

Similarly, DOE must provide special attention to the information it obtains from Indian Tribes. DOE may consider but is not required to sign a nondisclosure agreement if the Indian Tribe(s) requires such an agreement prior to sharing any information the Indian Tribe(s) considers business sensitive, trade secrets, proprietary, or otherwise confidential information. To the extent

practicable, DOE should ensure that notes, recordings, and transcriptions do not contain sensitive Indigenous Knowledge, as identified by Tribes, unless Tribes have consented to such documentation. Information documented by DOE may be subject to the Freedom of Information Act (FOIA), therefore DOE and Indian Tribes should expect that records created during or otherwise stemming from interactions may be required to be released if requested under the FOIA.

What to consider in planning

GOs/AOs should discuss with program officials, including any relevant Tribal liaison (see DOE O 144.1), and consider what, if any, Tribal resources or reserved rights (see examples above) could potentially be impacted. If projects supported under a FOA/OT solicitation are considered to be highly unlikely to impact Indian Tribes (e.g., have no substantial direct effect on Indian Tribes or otherwise do not impact an Indian Tribe's resources or reserved rights) then no additional language of said be needed in the FOA/OT solicitation (GO/AOs should still be cognizant of proposed projects which could impact Indian Tribes during evaluation, selection, and award in all FOAs/QT solicitations).

GOs and AOs are encouraged to consult with the Office of Congressional and Intergovernmental Affairs (CI) Tribal Liaison (<u>TribalCosultation@hq.doe.gov</u>) and the Office of Indian Energy (IE) (IndianEnergy@hq.doe.gov) it there is chcertainty as to potential impacts to Indian Tribes or to request assistance to determine the approxate action to be taken under this FAL/OTL (e.g., a project such as a national satellite image of a sy of colar installations that could have negligible impacts to numerous Indian Tribes, thus tendering consultation with all impacted Indian Tribes a significant burden.)

What to put in the FOA or OT solicitation

GOs/AOs must include requirements for applicants on their reconstituties, both pre- and post-award, regarding Tribal engagement, if there are potential it pack to Indian Tribes. These requirements are in addition to any requirements set out in the Community Senefit Plan (CBP). The GO/AO should review the technical application instructions and the CB (if included) for any necessary revisions. (example at end of FAL/OTL)

The FOA/OT solicitations must include:

- Guidance which helps the GOs/AOs to determine if the applicant's application could
 potentially impact Indian Tribes and therefore warrants DOE employees' engagement
 or Tribal consultation under DOE O 144.1. GOs/AOs are encouraged to tailor
 questions to be relevant in the context of the FOA/OT solicitation and to the types of
 eligible applicants. These questions are generally directed at entities other than Indian
 Tribes as the recipient or awardee.
- A requirement for applicants to document any efforts the applicant has taken to identify, and has or would take to identify, address, or mitigate any potential impacts to Indian Tribe(s). It is recommended that the documentation not be required at the time of application but should be available if DOE requests it.

- Instructions for Concept Papers must require the applicant to provide initial information on proposed project location, identify any potential impacts on Indian Tribes, and describe how the applicant would engage with a potentially impacted Indian Tribe(s).
- Requirements for applicants to document the awareness and support, if supporting documentation is required, of each Indian Tribe which is potentially impacted by the proposed project:
 - o For any project potentially impacting an Indian Tribe's resources or reserved rights, program offices must require documentation that an authorized representative of the Indian Tribe has been made aware of the application. The documentation must, at a minimum, demonstrate the authorized representative(s) is aware of the nature of the project and how it potentially impacts the Indian Tribe(s). The authorized representative must be an elected official or designated leader according to the traditions, constitution, or charter of the Indian Tribe, or someone with relevant delegated authority within the Tribal government (e.g., Chief, Chairman, Chairy, man, Governor, Nation Representative, President, Chief Executive Officer, Chief Financial Officer, Speaker of the Council, Speaker of the Congress) and hold their position at the time the application is submitted. This requirement still applies when the impacted Indian Tribe is the applicant.
 - o For any projection index to be sited on Tribal land, or intersecting with Tribal subsurface rights, local entation of Tribal support is required at the time of application. This requirement still applies when the impacted Indian Tribe is the applicant.
 - o For any projects not intended to be sited on Tribal land(s), or intersecting with Tribal subsurface rights, but that may have potential impacts to Tribal resources or reserved rights, documentation of Tribal support is strongly encouraged at the time of application of avering regotiation of award, and depending on the nature of the impact, may be repaired if selected for negotiation of an agreement.
 - Program offices may choose one or both of the following forms of documentation of Tribal support, if supporting for mentation is required, from a duly authorized Tribal government official or body:
 - A letter of support, signed by an authorized representative on behalf of the Indian Tribe. This option is recommended for short FOA/OT solicitation application windows. The authorized representative shall be an elected official or designated leader according to the traditions, constitution, or charter of the Indian Tribe, or someone with relevant delegated authority within the Tribal government (e.g., Chief, Chairman, Chairwoman, Governor, Nation Representative, President, Chief Executive Officer, Chief Financial Officer, Speaker of the Council, Speaker of the Congress, Tribal administrator) and shall hold their position at the time the application is submitted or open for negotiation. A Tribal Council Resolution, Board resolution (including the Board of

Directors of an ANC) or similar documentation passed by the legislative body of the Tribal government or Board of Directors of an ANC. This option is recommended when significant cost share is committed by an Indian Tribe, or when it is beneficial to demonstrate significant community support.

- All documents should expressly support the proposed projects. GOs/AOs may use judgement to resolve issues of conflicting support between Indian Tribes or when Tribal support is contingent on certain elements of the application.
- Applicants can find contact information for Tribal leaders in the BIA Tribal Leaders Directory (https://www.bia.gov/service/tribal-leaders-directory/federally-recognized-tribes).
- A notice that an application that may potentially impact Indian Tribe(s) may be shared with the extentially impacted Indian Tribe(s), and the applicants may include a totic of Restriction on Disclosure and Use of Data identifying any business sensitive, trace secrets, proprietary, or otherwise confidential information. Jucks aformation shall be used or disclosed only for evaluation of the application of to be nine whether the proposed project impacts an Indian Tribe(s). Further, the notice must state that when it is determined an Indian Tribe(s) would likely be impacted then a workplan must be submitted by the applicant outlining how the applicant or awardee, if an agreement is made, will conduct Tribal engagement.
- Information on how the information provided by the applicants will be evaluated and scored.

Noncompetitive actions should also consider the above items.

What to consider during evaluation and selection

The Evaluation and Selection Plan must describe how the semitted information will be evaluated and scored. This includes initial review, merit review and other reviews included for that FOA/OT solicitation. The GO/AO, with the assistance of program officials, will carefully review the application initially to:

- determine if Indian Tribe(s) are potentially impacted and if the application warrants Tribal support documentation,
- confirm that the application is compliant with the required information identified in the FOA/OT solicitation document,
- if the required information is not submitted, the GO/AO will consult with legal counsel for next steps. The applicant may be required to provide clarifying information before or after selection.

Note that many proposed projects may impact multiple Indian Tribes and the GO/AO should take care to determine that documentation has been gathered from all potentially impacted Indian Tribes.

If the merit review criteria include evaluation of the potential impacts on Indian Tribes, the GO/AO, the program official and the selection official must determine how that information will be evaluated. Subject matter experts may be used as peer reviewers and/or the merit reviewers may be briefed on how to evaluate potential Tribal impacts.

Selection notices

CI and IE must be notified (TribalConsultation@hq.doe.gov, IndianEnergy@hq.doe.gov) in advance of issuing any selection notices where the selected applications, if funded, would potentially impact one or more Indian Tribes. The GO/AO must coordinate with CI and IE, to determine the appropriate actions needed, if any, prior to any public announcement or applicant notification. Notification to the potentially impacted Indian Tribe(s) may be required in addition to the standard notifications to Congress prior to any public announcement or applicant notifications.

What to consider the ng legotiation of a selected application or a noncompetitive agreement

For any application that may importan Indian Tribe(s), GOs/AOs will work with CI and IE to ensure that the applicant has undertaken all required actions and to determine if any DOE actions are needed. DOE must engage in consult with potentially impacted Indian Tribe(s) in accordance with DOE C144.1 (or labest version of Order), unless the potentially impacted Indian Tribe is the applicant. If an Indian Tribe requests engagement or consultation, DOE will, to the maximum extent practical, accommodate the request in a timely matter.

Resource to conduct preliminary analysis can be sund here; https://www.bia.gov/sites/default/files/dup/inline-files/lest-tractives guide.pdf.

What to include in the agreement

The agreement should include a special term or condition that defines the recipient/awardees responsibility to engage with any potentially impacted Indian Tribe's) regarding their project.

• Sample agreement term/article

If any activities anticipated to take place under this agreement could potentially impact the resources or reserved rights of Indian Tribe(s), as defined in 25 U.S.C. § 5304 (e), then the recipient/awardee agrees to develop and maintain active and open communications with the potentially impacted Indian Tribe(s), during the period of performance of the agreement, and, if necessary, after the end of the agreement. Approval by DOE must be obtained before any activities take place that could impact Tribal resources or reserved rights, including but not limited to lands, cultural sites, sacred sites, water rights, mineral rights, fishing rights, and hunting rights. The recipient/awardee must coordinate with DOE on all Tribal interactions. DOE will determine if formal government-to-government consultation is needed, and DOE will conduct that consultation accordingly.

Definitions

- Tribal lands is as defined in 25 U.S.C. §§ 3501(2), (3), (4)(A) and (13).
- Indian Tribe is as defined in 25 U.S.C. § 5304 (e)).

Example Funding Opportunity Announcement/OT Solicitation Language

Impacted Indian Tribes Documentation (if applicable)

This section requires applicants to ascertain potential impacts to Indian Tribes¹, including Alaska Native village or regional or village corporations, other than the applicant. For any application that potentially impacts Indian Tribes, including when the potentially impacted Indian Tribe is the applicant, this section specifies the documentation required at the time of application, during negotiation and prior to award.

For any project that poentially impacts Indian Tribes, applicants are required to submit documentation deponstrating that an authorized representative² of each potentially impacted Indian Tribe is at a printipum, aware of the nature of the application and its potential impacts to the elegant Indian Tribes. The notified authorized representative must be holding their position while the award is open for applications, and documentation must demonstrate affirmative awareness of the application (e.g. a delivery record from certified mail, a reply), the authorized representative).

For any project intended to be sited on Zarbak and s)³ [or intersecting with Tribal subsurface rights], applicants are required a substit documentation demonstrating support from the relevant Indian Tribes at the time of application. Documentation of support submitted at the time of application with be constant d to also demonstrate awareness of an Indian Tribe (specified above). Documentation may include either:

- A letter of support from Tribal leadership. The letter must be signed by an authorized representative² of the Indian Tribe. The signer(s) has be holding their position while the award is open for applications or regotiations.
- A Tribal Council Resolution, Board resolution (included the Board of Directors of an Alaska Native Corporation (ANC)), or similar act passed by the legislative body of the Tribal government or Board of Directors of an ANC, expressing support for the project.

¹ Indian Tribe is as defined in 25 U.S.C. § 5304 (e)).

² An authorized representative must be an elected official or designated leader according to the traditions, constitution, or charter of the Indian Tribe, or someone with relevant delegated authority within the Tribal government. Examples include: Chief, Chairman, Chairwoman, Governor, Nation Representative, President, Chief Executive Officer, Chief Financial Officer, Speaker of the Council, Speaker of the Congress, Tribal administrator.

³ Tribal lands is as defined in 25 U.S.C. §§ 3501(2), (3), (4)(A) and (13).

Applicants are encouraged to reference or include any applicable community benefits agreements in the Tribal support documentation, and to integrate any Tribal support documentation in the community benefits plan as appropriate, see https://www.energy.gov/infrastructure/about-community-benefits-plans and https://www.energy.gov/management/financial-assistance-letter-2024-02-usecommunity-benefits-plan-cbp-financial-assistance. For projects not intended to be sited on Tribal land(s) [or intersecting with Tribal subsurface rights], but that may have other potential impacts on Tribal resources or reserved rights, letters of support or resolutions of support are strongly encouraged and, depending on the nature of the impact, may be required if selected for negotiation of an agreement. Applicants are encouraged to reach out to Indian Tribes as early as possible in the application process to give Indian Tribes ample time to evaluate and respond.

The following resources may be useful to help determine if a project may impact an Indian Tribe (rescurces or reserved rights and the appropriate contacts. These resources are not exhaustive and propy Indian Tribes have resources or reserved rights which extend beyond their Tobal reservation or land, or are covered within treaties, statutes, or case-law. Applicants are one graged to do additional research:

• Map of Ir lian Local: https://bia-geospatial-

- internal.g.cop/aform.gov/indianlands/
- Tribal Treaties Database; https://treaties.okstate.edu/
- Directory of the leading accognized Tribes and Tribal leaders: https://www.bia.gov/ser.ice/ty/bal-leaders-directory
- Best Practices for Identifying and Protecting Tribal Treaty Rights, Reserved Rights, and other signaturalists in federal regulatory actions: https://www.bia.gov/sites/d/ault//les/dup/inlinefiles/best practices guide.pdf

To help determine if an Indian Tribe's resources or received rights may be impacted by the project, applicants must address the following elements. If the oplicant is an Indian Tribe, these elements should be addressed to ascertain a pact to Indian Tribes other than the applicant. Applicants do not need to reveal specific det as about acred sites such as specific location or specific ceremonies:

- Program offices are encouraged to customize this section based on the nature of the FOA/OT solicitation, to determine if Indian Tribes are impacted by the proposed project]
- [For research and development FOAs/OT solicitations that quantify resource potential or model resource availability:] Identify any [specific resources] which will be [quantified/modeled] on or near Tribal land. traditional homelands, Tribal historic sites, sacred sites, or in areas where an Indian Tribe maintains rights to [specific resources]. Identify which Indian Tribe(s) may be impacted? Explain any instances of uncertainty or confidentiality."
- [For demonstration and deployment FOAs/OT solicitations, generally:] Identify any elements of the project that will occur on or near Indian land,

- Tribal historic sites, or sacred sites and describe its potential impacts to Indian Tribes. Identify the potentially impacted Indian Tribe(s).
- [For subsurface resource FOAs/OT solicitations, (e.g., carbon sequestration, oil & gas, geothermal, critical minerals, groundwater):] Identify any Tribal mineral rights, subsurface, or water rights at or near the proposed project location. Explain any relevant studies already performed, such as groundwater studies? Identify which Indian Tribe(s) might be impacted. Explain any instances of uncertainty and any potential for subsurface resource migration which has been considered.
- [For hydropower, offshore wind, or other water related projects:] Identify any Tribal resources or reserved rights (e.g., water, fishing, or other treaty rights) which could be impacted by the proposed project. Identify any Tribal historic sites, sacred sites, or Tribally relevant vistas, which could be impacted by the project. Identify the potentially impacted Indian (b) and explain any sources of uncertainty or confidentiality.
- [In finfractucture (e.g., transmission and pipeline projects):] Identify any Indian and (as defined in 25 U.S.C. § 3501), traditional homelands, or Triba historic and sacred sites which will be crossed, or adjacent to the proposed infrastructure. Identify which Indian Tribe(s) might be impacted and explain any instances of uncertainty or confidentiality.
- Identify any other] proposed actions which may impact an Indian Tribe(s) resources or recorded rights. Tribal resources and reserved rights include, and are not limited to, an Indian Reservation or Land (as defined in 25 U.S.C. § 3501) [or intersecting Tabal sub-surface rights], historic homelands from which they were removed, cultural sites, sacred sites, water rights, mineral and other subsurface rights, fishing rights, and hunting rights. Identify the Tribe s) perentially impacted and any sources of uncertainty or confidentiality.
- Explain any actions taken by the applicant to magate or address any potential impacts identified above, including engaging with the potentially impacted Indian Tribe(s), in the application.

Applicants are required to document any efforts taken to identify by potential impacts to Indian Tribes, Indian lands, Alaska Native regional and village land, traditional homelands, Tribal rights, or Tribal historic sites, or sacred sites. This includes any correspondence with Indian Tribes. These documents should be available on request to DOE.

An applicant's failure to submit documentation of an Indian Tribe's awareness, or a letter of support, when required as described above, may constitute grounds for determining an application ineligible, non-responsive to the FOA/OT solicitation, not subject to further review and/or not otherwise subject to selection or award.

Any application that may potentially impact Indian Tribe(s) may be shared with the potentially impacted Indian Tribe(s). Applicants should include a Notice of Restriction on Disclosure and Use of Data identifying any business sensitive, trade secrets, proprietary,

or otherwise confidential information. Such information shall be used or disclosed only for evaluation of the application or to determine whether the proposed project affects an Indian Tribe(s).

If an applicant determines an Indian Tribe(s) will be impacted, the applicant must provide information on the project location, potential impacts and how the applicant will engage with Indian Tribe(s), during the period of performance of the agreement, and, if necessary, after the end of the agreement. Approval by DOE must be obtained before any activities take place that could impact Tribal resources or reserved rights, including but not limited to lands, cultural sites, sacred sites, water rights, mineral rights, fishing rights, and hunting rights. DOE will determine if formal government-to-government consultation is needed, and DOE will conduct that consultation accordingly, in addition to any engagement by applicant.

