



**Department of Energy**  
Washington, DC 20585

**WEATHERIZATION PROGRAM NOTICE 19-6**  
**EFFECTIVE DATE: September 9, 2019**

**SUBJECT: U.S. DEPARTMENT OF ENERGY GUIDANCE FOR COMPLETING  
RECIPIENTS' ANNUAL HISTORIC PRESERVATION REPORT**

**INTENDED AUDIENCE:** Weatherization Grantee Program Managers, Weatherization Subgrantee Program Managers

**PURPOSE:** To provide clarification concerning the content of Grantee responses to each section of the Historic Preservation Report under the Department of Energy (DOE) Weatherization Assistance Program (WAP).

**SCOPE:** The provisions of this Guidance apply to all Grantees applying for financial assistance under DOE's WAP.

**LEGAL AUTHORITY:** Title IV, Energy Conservation and Production Act, as amended, authorizes the DOE to administer the WAP. (42 U.S.C. § 6861, *et. seq.*) All grant awards made under this Program shall comply with applicable law and regulations including the WAP regulations contained in [Code of Federal Regulations \(CFR\) Title 10, Chapter II, Subchapter D, Part 440](#).

**BACKGROUND:** The U.S. Department of Energy's (DOE) Weatherization Assistance Program (WAP), State Energy Program (SEP), and Energy Efficiency and Conservation Block Grant (EECBG) recipients are required, in accordance with their award terms and conditions, to provide information regarding their Section 106 compliance in an annual Historic Preservation Report. The purpose of this Guidance is to provide those recipients with clarification concerning the content of their responses to each section of the report. The following questions and format follow the current Historic Preservation Report configuration and provide guidance for its proper completion.

**GUIDANCE:** In 2010, as the result of unprecedented funding and projects instituted under the American Recovery and Reinvestment Act (the Recovery Act), the DOE, Advisory Council on Historic Preservation (ACHP), and National Conference of State Historic Preservation Officers (NCSHPO) developed a first-of-its-kind Prototype Programmatic Agreement (Prototype PA). The intent of the Prototype PA was to provide DOE's WAP, SEP, and EECBG recipients with a streamlined method for complying with

DOE's responsibilities under Section 106 of the National Historic Preservation Act. Using DOE's approved Prototype PA, as of May 31, 2019, 47 States and U.S. Territories have U.S. DOE-executed Historic Preservation PAs, which establish categories of exempt activities and processes for otherwise complying with Section 106 requirements.

Recipients can review U.S. DOE-executed Historic Preservation PAs at:  
<https://www.energy.gov/eere/wipo/historic-preservation-executed-programmatic-agreements>.

\* \* \* \* \*

*Clarification on responses is in blue below.*

Historic Preservation Reporting Period (Drop down box at login) \_\_\_\_\_

Grant Number: (Auto-filled from login) \_\_\_\_\_

1a. State where recipient is located: (Auto-filled from login) \_\_\_\_\_

1b. Name of Recipient: (Auto-filled from login) \_\_\_\_\_

1c. Have you utilized a U.S. DOE-executed Historic Preservation Programmatic Agreement (PA) between DOE, State, and State Historic Preservation Office in order to comply with National Historic Preservation Act requirements for all or some of your U.S. DOE-funded EECBG, WAP, or SEP activities?

Yes, my state or territory has a U.S. DOE-executed Historic Preservation PA: \_\_\_\_\_

No, my state or territory does not have a U.S. DOE-executed Historic Preservation PA:  
\_\_\_\_\_

1d. If your answer to 1c above is no, then for any activities for which you did NOT utilize a U.S. DOE-executed Historic Preservation PA for historic preservation review have you otherwise complied with National Historic Preservation Act requirements for those activities? DOE may contact you for additional information and clarification to your responses below.

Yes, my state or territory has addressed National Historic Preservation Act requirements by submitting projects to DOE for review.

No, my state or territory has not addressed National Historic Preservation Act requirements.

N/A, my state or territory has a state interagency agreement or similar agreement for historic preservation.

1e. Total number of activities being undertaken with DOE funds [whether your state does or does not have a U.S. DOE-executed Historic Preservation PA or a state interagency agreement or similar agreement for historic preservation](#): \_\_\_\_\_

Note: The term “activities” (e.g., weatherized units, buildings retrofitted, renewable energy systems installed) refers to projects funded in whole or in part by DOE through EECBG, WAP or SEP.

2a. Identify the number of activities that were exempt from further historic preservation review under the [U.S. DOE-executed Historic Preservation PA](#) due to property age. \_\_\_\_\_

2b. Identify the number of activities exempt ([per Appendices A and B](#)) from further historic preservation review per the [U.S. DOE-executed Historic Preservation PA](#) list of exempt activities except for the age-related exempt activities you identified in 2a: \_\_\_\_\_

2c. Identify the number of activities exempt from further historic preservation review under the [U.S. DOE-executed Historic Preservation PA](#), due to a prior review under a Section 106 Agreement for Community Development Block Grants (CDBG):

3. For any activities that you did not identify as exempt from further review under questions 2a, 2b, 2c, or 2d, (Note-there is no 2d question) please list the following:

3a. Number of Section 106 reviews completed under the [U.S. DOE-executed Historic Preservation PA](#): \_\_\_\_\_

[This is the total number of activities that underwent a full Section 106 of the National Historical Preservation Act review as outlined under Stipulations VI to X of the U.S. DOE-executed Historic Preservation PA and terms and conditions for state interagency agreement or similar agreement for historic preservation.](#)

Note: The numbers entered in 2a+2b+2c+3a, should equal 1e.

**CONCLUSION:** If you have questions concerning this Guidance or the proper completion of the Historic Preservation Report, please contact [GoNEPA@ee.doe.gov](mailto:GoNEPA@ee.doe.gov), with a copy to your Project Officer. DOE may contact you for additional information and clarification to your responses below.



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