



Department of Energy

Washington, DC 20585

September 4, 2024

Mr. Eric Wollerman
President & Chief Executive Officer
Honeywell Federal Manufacturing & Technologies, LLC
14520 Botts Road
Kansas City, Missouri 64147

Dear Mr. Wollerman:

This letter serves as notification of the Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with three incidents of unauthorized disclosure of classified information to personnel without the proper access authorization or a need-to-know at the Department of Energy's (DOE) National Nuclear Security Administration, Kansas City National Security Complex in Kansas City, Missouri. These incidents occurred in June 2023, September 2023, and February 2024. Honeywell Federal Manufacturing & Technologies, LLC (HFM&T) identified the security incidents and reported the incident closures in the DOE's Safeguards and Security Information Management System in January 2024, March 2024, and April 2024, respectively.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 Code of Federal Regulations (C.F.R.) § 824.5, *Investigations*. Although investigating the instances of unauthorized disclosure of classified information will be the general purpose of this investigation, additional issues relating to the scope, nature, and extent of compliance by HFM&T with DOE's information security requirements specified in 10 C.F.R. Part 824, *Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations*, may be pursued as issues arise during the investigation. The investigation will include an onsite visit and interviews with contractor personnel.

Any requests for documents to support this investigation will be provided separately via one or more e-mails. Your cooperation to ensure timely submission of all documents by the requested date is appreciated.

For covered contracts according to 41 United States Code (U.S.C.) § 4301 and under the Major Fraud Act (MFA), as amended (41 U.S.C. § 4310), this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, any costs incurred that are directly attributable to supporting DOE's investigation should be tracked, subsequent to receipt of this letter, and segregated from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707, or your staff may contact Ms. Carianne Zimmerman, Director, Office of Security Enforcement, at (301) 351-1186.

Sincerely,

A handwritten signature in black ink, appearing to read 'Anthony C. Pierpoint'.

Anthony C. Pierpoint
Director
Office of Enforcement
Office of Enterprise Assessments

cc: Scott Pohlman, Honeywell Federal Manufacturing & Technologies, LLC
Jeffery Shoulta, NA-KC