

Tribal Home Electrification and Appliance Rebates Program

Administrative and Legal Requirements Document (ALRD) Webinar
Inflation Reduction Act Provision 50122

April 4th, 2024



SCEP

STATE & COMMUNITY ENERGY PROGRAMS



Today's Speakers



Joe Nyangon
SCEP Deputy
Director of
Partnerships



Karen Zelmar
SCEP Rebates
Program Manager



Matt Dannenberg
DOE Senior Tribal Liaison



Tommy Jones
DOE Indian Energy



Lisa Serrano
SCEP Rebates
Tribal Outreach Specialist



Mary Hubbard
SCEP Rebates
Monitoring and
Delivery Supervisor



Adul-hasim Dawkins
SCEP Rebates
Project Officer

Webinar Agenda



Overview of Home Electrification and Appliance Rebates Program for Indian Tribes



Overview of the Administrative and Legal Requirements Document (ALRD)



Preparing and Submitting Your Application



Questions & Answers

Housekeeping

- All applicants are strongly encouraged to carefully read the entire ALRD and supporting documents and adhere to the Tribal submission requirements.
- This presentation summarizes the contents of ALRD package. If there are any inconsistencies between the ALRD package and this presentation or statements from DOE personnel, the ALRD package are the controlling documents. Applicants should rely on the ALRD language and **seek clarification from DOE through either 1) the IRA Home Rebates Programs website, or 2) the Home Rebates email IRAHomeRebates@hq.doe.gov.**



Everyone has been placed on mute.



This Webinar will be recorded and together with slides will be **posted on the DOE website.**

For today, **please provide your questions through the Q&A feature.** We will endeavor to answer questions at the end of the webinar.

All questions will go into the formal Q&A process and will be answered and publicly posted to the DOE Home Energy Rebates Program website.



Tribal Home Electrification and Appliance Rebates

Tribal nations are on the frontlines of climate change. SCEP is committed to expediting processing of funding awards to Indian tribes, enabling them to develop and implement rebate programs for high-efficiency electric homes.



Home Electrification and Appliance Rebates Program for Indian Tribes

Program Benefits

The Home Energy Rebates will help households...

- > Save money on energy bills
- > Upgrade to clean energy equipment
- > Improve energy efficiency
- > Improve their comfort
- > Support a stable power grid
- > Reduce indoor and outdoor air pollution

Additionally, these rebates provide specific opportunities to increase the install base of efficient, clean energy equipment in homes in underserved and underrepresented communities.

Overview of the Tribal Home Electrification and Appliance Rebates Program

Tribal Home Energy Rebates



Section 50122 of the Inflation Reduction Act

Amount: \$225M for Indian Tribes (by formula)

Apply Through: May 31, 2025

Recipients: Indian Tribes and Alaska Native Corporations

Goal: Develop, implement, and subsidize residential electrification and appliance upgrade projects for Tribal and Alaskan Native households.

Indian Tribes and Alaska Native Corporations may use funds to:

- ✓ Provide rebates to households, building owners, and contractors
- ✓ Work with trusted contractors & retailers to streamline rebate processing
- ✓ Verify quality installation and document home upgrades
- ✓ Help households bundle funds & financing to further reduce upfront costs



Eligible Upgrades Covered by Tribal Rebates Program Funding

Maximum Rebate Per Improvement	
Electric heat pump HVAC system*	up to \$8,000
Electric heat pump water heater*	up to \$1,750
Electric stove, cooktop, range, or oven*	up to \$840
Electric heat pump clothes dryer*	up to \$840
Electric circuit panel upgrade	up to \$4,000
Insulation, air sealing, and ventilation	up to \$1,600
Electric wiring upgrades	up to \$2,500
Total electrification rebates cannot exceed \$14,000 per household	

**Tribal home energy upgrade projects must also use equipment that is Energy Star certified.*

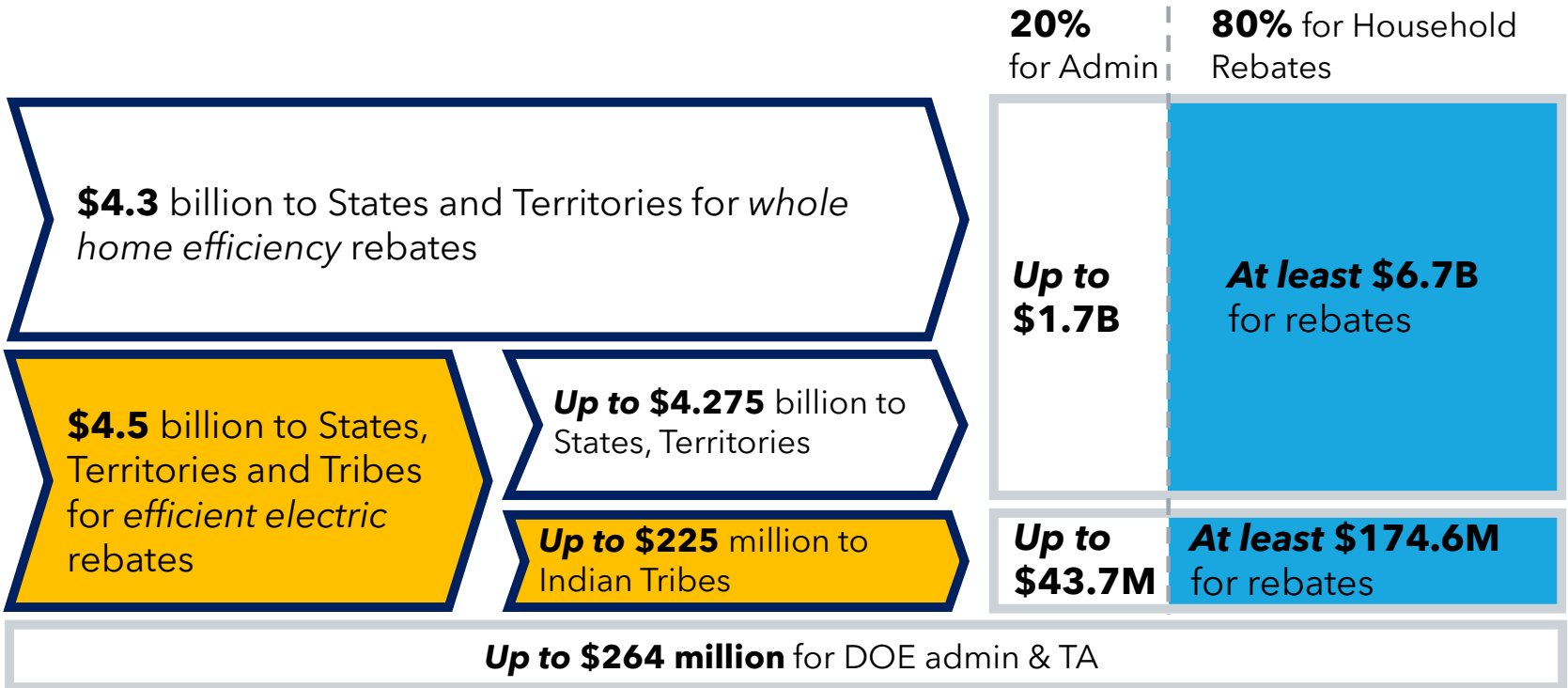
While subject to the caps noted in the chart, rebates can cover:

- > **Up to full costs for low-income households** (less than 80% Area Median Income)
- > **Up to half of project costs for moderate-income households** (80 - 150% Area Median Income)

Tribes can choose to restrict rebates to certain technologies for local needs, such as only using rebates for wiring of non-electrified tribal households.

Funding Overview

\$8.8 Billion in Home Energy Rebate Funds



The law authorizes up to 3% of total funds for DOE program administration & technical assistance as well as up to 20% of State & Indian Tribe funds for program administration.

Tribal Requirements Simplified Compared to State Program

Requirements Comparison	
<u>States & Territories</u>	<u>Indian Tribes</u>
Community Benefits Plan	Deleted: Tribal lands are DAC per WH guidance
Education & Outreach Strategy	Optional: shortened & revised as a Recommended Best Practice rather than a requirement
Consumer Protection/QA Plan	Shortened
Utility Data Access Plan	Deleted: less relevant for 50122
Privacy and Security Risk Assessment for State Data Systems	Deleted: less relevant where PNNL's rebate tracking tool may not be used; will provide TA on data protection
Market Transformation Plan	Deleted
Data & Tools Requirements Guide	Shortened and merged with the main document
Quick Start application	Deleted

Simplified requirements for Tribal Rebates designed to help Tribes more easily apply, follow EO 14112 goals of making federal programs more accessible, flexible, and equitable for Tribes.

Key Program Provisions: Eligibility

Eligibility for the Tribal Home Electrification and Appliance Rebates Program are limited by Income and Technology, and the Tribes have discretion to further restrict eligibility.

Eligible Income Limits

Rebate recipients must be low- or moderate-income residents

- “Low-income”: 80% AMI
- “Moderate-income”: 150% AMI
- “AMI”: Area Median Income, as determined by HUD

Tribal Discretion

Tribes can further restrict eligibility, for both income and technology.

Eligible Technology

Rebates are available only for certain technologies, such as:

- electric heat pump for space conditioning,
- electric heat pump water heaters,
- electric heat pump clothes dryer,
- stove (or cooktop or oven),
- insulation products,
- electrical wiring,
- electrical panel.

Appliances must be EnergyStar-certified.

Key Program Provisions: Program Design

Program Design should consider these four priorities for the Tribal Home Electrification and Appliance Rebates Program:

Point-of-sale or Point-of-Installation

Rebates are designed for point-of-sale (at retailer or distributor) or point-of-installation (at the dwelling unit/job site in the form of a discounted invoice or coupon redemption).

Qualified Contractors

Qualified contractors must perform the work to ensure good consumer experience.

Document Retention

Program administrator must collect and retain project-level documents (invoice, receipt, etc.) and must report project and program data to DOE per grant document for tracking.

Tribal Housing Entities

Tribal housing entities could play a key role as contractors & ease administrative burden

**ADMINISTRATIVE AND LEGAL REQUIREMENTS
DOCUMENT (ALRD)**

**Home Electrification and Appliance
Rebates Program for Indian Tribes**

What You Will Need...

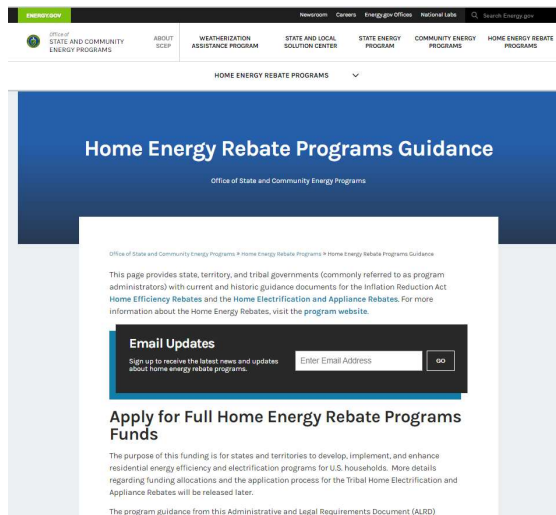
- Understand the program requirements.
- Find your funding allocation numbers. ([Tribal-Allocations-11.9-1.pdf](#) (energy.gov))
- Determine who will apply and identify points of contact.
- Register with the proper online application systems.
- Download the application materials or request printed application material.



Guide to the Websites

DOE SCEP Home Energy Rebates Program Website

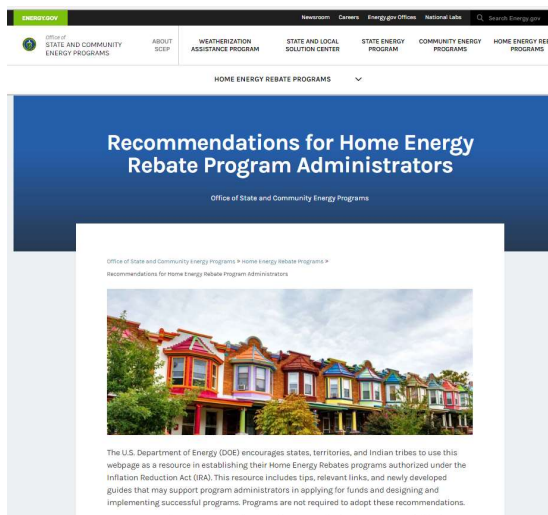
Latest news, the ALRD, Requirements & Application Instructions, Q&A, and more!



<https://www.energy.gov/scep/home-energy-rebate-programs-guidance>

DOE SCEP Home Energy Rebates Program Recommendations

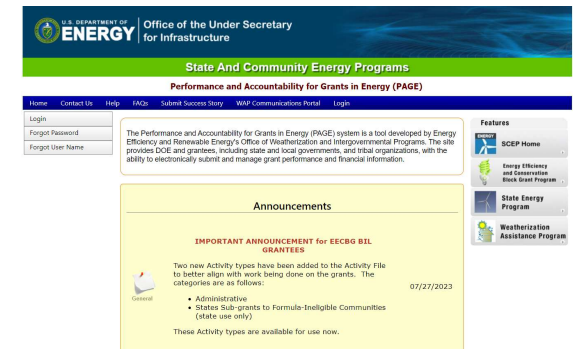
Include tips, relevant links, newly developed guides and more!



<https://www.energy.gov/scep/recommendations-home-energy-rebate-program-administrators>

DOE PAGE System

Applicants will use PAGE to submit their applications.



<https://www.page.energy.gov/default.aspx>

Where Can I Find Application Requirements?

Application Requirements can be found in **both**:

[Administrative and Legal Requirements Document \(ALRD\)](#)

and

[Program Requirements and Applications Instructions](#)

SCEP
STATE & COMMUNITY ENERGY PROGRAMS

ADMINISTRATIVE AND LEGAL REQUIREMENTS DOCUMENT (ALRD)

U.S. Department of Energy
State and Community Energy Program
Golden Field Office

State and Community Energy Programs (SCEP)
Inflation Reduction Act of 2022 Home Energy Rebates:
Home Electrification and Appliance Rebates Program for Indian Tribes

CFDA Number: 81.041

VERSION 1.1

Modifications to this document are as follows and will be highlighted in the text in **yellow**:

Version No.	Item No.	Description of Modification	Location
1.1	1	Inserts "completed pre-award information sheet"	p.12

Issue Date: 11/17/2023

VERSION 1.1 Issue Date: 3/25/2024

Applications will be reviewed on a rolling basis and remain open until May 31, 2025. To ensure timely processing of awards, Grantees must submit complete Applications by the above deadline.

Sign up for updates and learn more: energy.gov/scep

SCEP
STATE & COMMUNITY ENERGY PROGRAMS

INFLATION REDUCTION ACT
HOME ENERGY REBATES

Home Electrification and Appliance Rebates Program for Indian Tribes
(Sec. 50122)

PROGRAM REQUIREMENTS & APPLICATION INSTRUCTIONS
Applications will be reviewed on a rolling basis and remain open until May 31, 2025

U.S. Department of Energy
Office of State and Community Energy Programs
1000 Independence Avenue, SW Washington, DC 20585

VERSION 1.1

Modifications to this document are as follows and will be highlighted in the text in **yellow**:

Version No.	Item No.	Description of Modification	Location
1.1	1	Fixes the typo "8 weeks" to "12 weeks"	p.19
	2	Fixes two typos, "that" and "on"	p.22

Issue Date: November 17, 2023

VERSION 1.1 Issue Date: March 25, 2024

Sign up for updates and learn more: energy.gov/scep

How Do I Ask Questions?

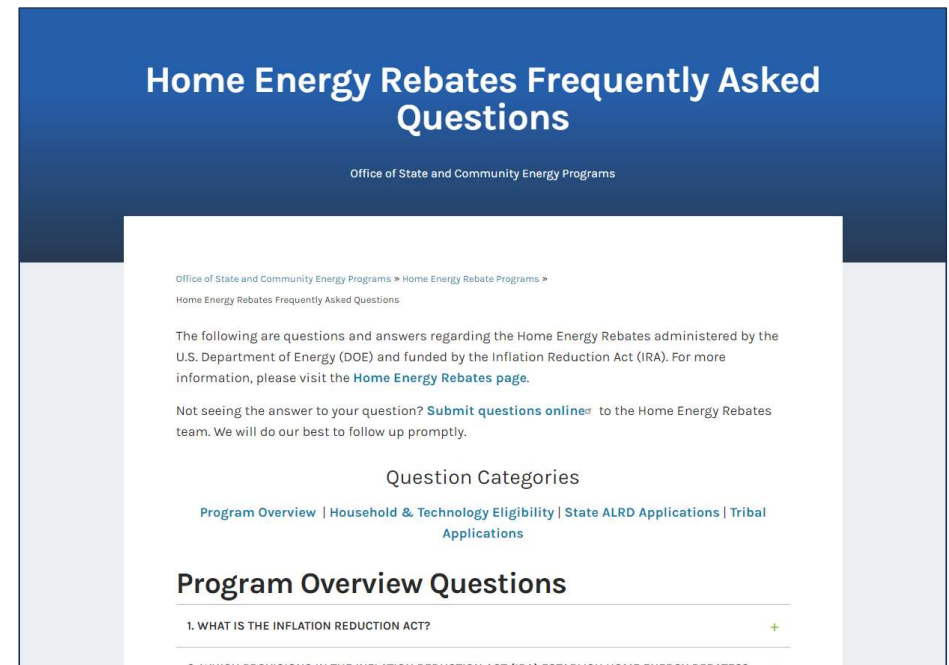
All questions must be submitted either to:

1. the IRA Home Rebates Programs website, or
2. the Home Rebates email
irahomerebates@hq.doe.gov.

Submitting questions through the Home Rebates website is preferred.

All questions will be responded to in a timely manner and will be **publicly posted** on the IRA Home Rebates website.

Any responses received from sources other than what is publicly posted by DOE are not binding.



Sign up for updates!

Application and Program Implementation Support

Tribal Consortium and Designated Third-Party Implementers:

- Multiple Tribes may submit a single application to run a joint program as a Tribal Consortium
 - *Tribes in a consortium can pool the 20% of their awards set aside for administration.*
- Tribes may also designate a 3rd party agent to apply on their behalf
- 3rd party program implementers may administer a program for a Tribe or a Consortium

Technical Assistance to be provided by DOE:

- HER Team will provide ongoing TA to tribal applicants, including assistance with completing various application forms and documents
- HER Team will provide a sample application and a sample QA plan that applicants can use as a guide (with different versions for small rural tribes, larger awards, etc.)

Project Tranche Allocations

Total Award Below \$500,000				
Tranche #	Portion of Awarded Grant Funds Released	Required Deliverable(s) or Milestone(s)	LI Target*	LI Minimum†
1	100% funds	Negotiated and Approved grant application		

Total Award Between \$500,000 and \$3,000,000				
Tranche #	Portion of Awarded Grant Funds Released	Required Deliverable(s) or Milestone(s)	LI Target*	LI Minimum†
1	35% funds	Negotiated and approved grant application		
2	65% funds	Approved Program Launch; Approved Implementation Blueprint; Approved review of consumer protection plan; Completed review of implementation	80%	40%

Total Award Exceeding \$3,000,000				
Tranche #	Portion of Awarded Grant Funds Released	Required Deliverable(s) or Milestone(s)	LI Target*	LI Minimum†
1	30% funds	Negotiated and approved grant application		
2	50% funds (80% total)	Approved Program Launch Approved Implementation Blueprint	50%	35%
3	20% funds (100% total)	Approved review of consumer protection plan Completed review of program implementation	80%	60%

Submission Requirements and Key Dates

Milestone	Date
<p>The Letter of Intent, attached with the signed Head of Government Letter or Tribal Council Resolution, submitted to DOE via email to IRAHomeRebates@hq.doe.gov</p> <p><i>*The Letter is NOT required and non-submission of a letter of intent will not affect a Tribe's ability to apply for an award.</i></p>	<p><i>On or Before</i> May 15, 2024</p>
<p>Application Deadline Full application must be submitted via the PAGE online system at https://www.page.energy.gov/default.aspx</p>	<p>May 31, 2025</p>

Preparing and Submitting Your Application

Pre-Application Requirements

Important! Allow at least 21 days to complete registrations.

Pre-Application Step	Notes
Obtain a Unique Entity Identifier (UEI)	Obtain a UEI number from the System for Award Management (SAM.gov) website. Subawardees at all tiers must obtain UEI numbers and provide the UEI to the prime awardee before the subaward can be issued.
Register in the System for Award Management (SAM)	Register at https://sam.gov . It is suggested that the process be started as soon as possible. Prime awardees must update their SAM registration annually.
Register in FedConnect	Register to receive and acknowledge your award at https://www.fedconnect.net/ .
Establish a Performance and Accountability for Grants in Energy (PAGE) Login	Register for a PAGE account to receive your PAGE login information. Tribe Energy Office designated points of contact will receive their PAGE login information via email from Page-Notification@hq.doe.gov.

Tribes should contact their respective DOE Project Officer on Tribe-specific questions. A list of Project Officers with contact information will be made available at <https://www.energy.gov/scep/home-energy-rebate-programs-guidance>

Pre-Application Recommended Step

Recommended timeline to submit a
letter of intent to apply:
May 15, 2024

If interested in applying for these funds, Indian Tribes are asked to submit a Letter of Intent to Apply.

Example provided in [Appendix C of the Program Requirements and Application Guidelines document](#)

The letter should express the applicants' intent to apply for these funds and whether they intend to apply individually or as a Tribal Consortium. The letter should identify the lead and member Tribes.

To: Home Electrification and Appliance Rebates Program for Indian Tribes
State and Community Energy Programs
Office of Infrastructure
U.S. Department of Energy
1000 Independence Avenue, S.W.
Washington, DC 20585
Email: IRAHomeRebates@hq.doe.gov

From: **[Name of Person Signing on Behalf of Tribal Entity]**
[Title]
[Tribal Entity, or Tribal Entities if applying as a Consortium (Lead Tribe Must Be Identified)]

Subject: Letter of Intent (LOI) to Reserve Formula Funds for the Home Electrification and Appliance Rebates Program for Indian Tribes.

RECOMMENDED BY: May 15, 2024

[Date of Letter]

Please check the box as applies.

1. **YES** **NO**

On behalf of *[Indian Tribe or Tribes if applying as a Consortium]*, I am writing to express our intent to reserve grant funds provided through the Inflation Reduction Act Home Electrification and Appliance Rebates Program for Indian Tribes.

We understand that this letter **DOES NOT** constitute the full application that is required, and that submission of this letter does not guarantee receipt of funds. *[Indian Tribe or Tribes if applying as a Consortium]* will submit a full application in accordance with the requirements specified in the Administrative and Legal Requirements Document by the May 31, 2025, deadline.

By submitting this letter, I attest that I have been conferred the authority to represent *[Indian Tribe or Tribes if applying as a Consortium]* for this Letter of Intent. An executed copy of the *[Head of Government Letter or Tribal Council Resolution or similar governmental instrument]* is attached. See below for the description of the instrument.

2. **YES** **NO**

We would like to request assistance with completing a full application.

Sincerely,

[Signature]

[Name of Person Signing on Behalf of Entity within Indian Tribe or Tribes if applying as a Consortium]

[Title]

[Indian Tribe or Tribes if applying as a Consortium]

Instructions for a "Head of Government Letter", "Tribal Council Resolution", or other similar instrument:

A "Head of Government Letter", "Tribal Council Resolution," or other similar instrument must be signed by a person or entity appointed by the Indian Tribe's legally authorized entity and consistent with the Indian Tribe's customs, documenting their appointment as the sole entity within the Indian Tribe to apply for, receive, and administer the award as authorized by Section 50122 of the Inflation Reduction Act of 2022 and as described in the Administrative and Legal Requirements Document.

If applying as a Tribal Consortium, the document must identify the Indian Tribe to act on its behalf as lead Indian Tribe of the Tribal Consortium and receive their allocations. The document should state that the lead Indian Tribe will apply for grant funding and administer the grant on behalf of all participating consortium Indian Tribes. Please note that only the eligible Indian Tribe or the lead Indian Tribe of the Tribal Consortium may be the awardee. The eligible Indian Tribe and/or the lead Indian Tribe of the Tribal Consortium, as applicable, will ultimately be responsible for satisfying all grant requirements.

Full Application Requirements

Tribes must address the application requirements specified. All requirements must be addressed to receive Federal funding and to launch Tribe programs.

However, DOE recognizes that the Tribe may not be equipped to adequately respond to all requirements and plans without program support from program implementers and experts.

Tribes are required to submit their responses to DOE through two documents:

- 1. Tribe Application.** Tribes may submit a single package of responses for all application requirements.
- 2. Tribe Implementation Blueprint.** For specific requirements identified by DOE, Tribes may elect to defer submission of those responses to their Tribe Implementation Blueprint.

Overview of Application Package Components

1. Completed SF424
2. Completed SF424A
3. Completed Budget Justification Workbook
4. Completed Planned Activities
5. Consumer Protection Plan
6. Completed Narrative Document
7. Pre-Award Information Sheet
8. Tribal Council Resolution, or other similar instrument
9. Any additional required documents as stated in ALRD or Program Requirements

Implementation Blueprint

An Implementation Blueprint must be submitted after receipt of the award and a minimum of **90 days** prior to planned program launch.

DOE will provide feedback and approval to proceed.

- **Tribes must receive DOE approval on the Implementation Blueprint before launching their program.**
- In addition to specified requirements, an Implementation Blueprint must include:
 - a) Consumer Protection Plan

Requirements that can be deferred in the Implementation Blueprint are marked with an "X" within the application requirements tables.

Application Submission

- The application must be submitted via the PAGE online system at <https://www.page.energy.gov/default.aspx>
- Grantee must check for successful transmission.
- DOE reserves the right to request additional or clarifying information for any reason deemed necessary. Applications will be reviewed for consistency with the program objectives.
- Application package requirements outside of PAGE shall be submitted as attachments to the SF-424 Application.
- For program requirements and funding allocations, please refer to <https://www.energy.gov/scep/tribal-home-electrification-and-appliance-rebates>

See ALRD, Page 1

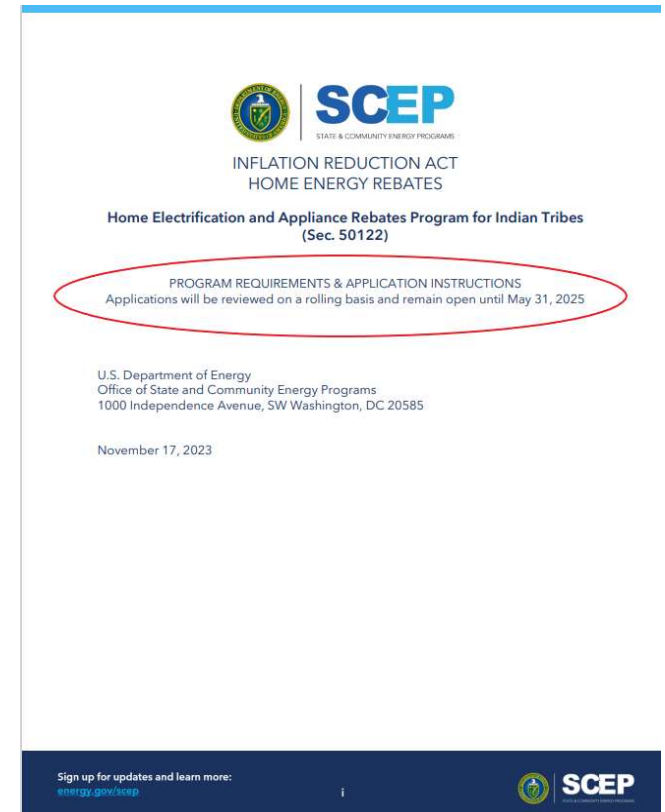
Requirements & Application Instructions Document

Section 1 of provides a brief overview of the appropriations and legal authorities.

Section 2 provides definitions and acronyms that will be used throughout the document.

Section 3 provides the requirements specific to a Home Electrification and Appliance Rebates Program for Indian Tribes.

Section 5 provides application instructions



How Can I Get Help?

The design and implementation of these rebate programs will be complex. To support Tribes, DOE will provide the following resources and support:



TA for Requirements

Technical assistance to Tribes in designing programs that fulfill these requirements.



Template and Guides

Templates that Tribes can tailor to effectively describe their approach to each of the requirements.



Centralized System

For rebate processing and tracking, a centralized system that Tribes can use to facilitate these processes as well as reporting.



Sample Frameworks

Sample frameworks that Tribes can provide to program implementers who can then tailor the information to reflect individual Tribe programs and priorities.

Technical information, best practices, and implementation recommendations will be made available at <https://www.energy.gov/scep/recommendations-program-administrators>.

Additional Resources

DOE Tribal Home Electrification and Appliance Rebates Website:
www.energy.gov/scep/tribal-home-electrification-and-appliance-rebates

Website has links to program requirements and funding allocations.

Email address for the DOE Home Energy Rebates Team:
irahomerebates@hq.doe.gov

Tribal Office Hours Coming Soon! First session on April 16th 4 pm ET.

Questions?

Please submit your question via the Q&A Feature!

Thank You!



SCEP
STATE & COMMUNITY ENERGY PROGRAMS