

# 2023 PROJECT FEW











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# INTRODUCTORY LETTER

Dear colleagues,

In the spring of 2023, the U.S. Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy (EERE) Bioenergy Technologies Office (BETO) continued its long-standing commitment to transparency by executing the 11<sup>th</sup> biennial external review since 2005 of its research, development, and demonstration (RD&D) portfolio. Conducted in accordance with EERE Peer Review guidelines, the review provides an external assessment of the projects in BETO's portfolio and recommendations on BETO's overall technology focus and strategic direction. Results of the Project Peer Review will be considered in programmatic and funding opportunity decision-making.

This review is critical to the success of BETO's mission to develop and demonstrate technologies to accelerate reduction of greenhouse gas (GHG) emissions through the cost-effective, sustainable use of renewable carbon resources across the U.S. economy. At BETO, we are committed to accountability in project management and our role as stewards of taxpayer dollars aimed at achieving high-impact results. The Peer Review is an invaluable opportunity for independent reviewers to rigorously evaluate the approach, impact, and progress and/or outcomes of projects in the BETO portfolio, as well as the program strategies that guide technology area development. Further, it is a unique opportunity for external stakeholders to hear, in a compact and consistent format, about achievements from every corner of the portfolio.

The 2023 Peer Review comprised two levels of review: (1) individual projects were scored based on approach, impact, and progress and outcomes; and (2) each technology area portfolio was evaluated for overall strategy and progress. This report contains the results of both levels of review and the inputs of approximately 400 participants in the Peer Review process, including principal investigators, reviewers, and BETO's staff and contractors.

BETO thanks all the reviewers who participated in this review, as well as the 586 attendees of the Project Peer Review event. Our reviewers include some of the most experienced and knowledgeable experts in the bioenergy community, and we appreciate their insights and recommendations. Achieving the objectives of BETO depends on the effective management of all projects in BETO's existing portfolio and on the appropriate focus and structure of future initiatives. BETO values the input of all stakeholders in the bioenergy sector and looks forward to working with them in the years ahead to continue progress on the path toward building a successful bioenergy industry.

Sincerely,

Valerie Reed

Valerie Reed

Director, Bioenergy Technologies Office Office of Energy Efficiency and Renewable Energy

U.S. Department of Energy

## **EXECUTIVE SUMMARY**

The Bioenergy Technologies Office (BETO) within the U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy supports the research, development, and demonstration (RD&D) of technologies aimed at mobilizing domestic renewable carbon resources for the reduction of greenhouse gas emissions across the U.S. economy. BETO systematically prioritizes RD&D into technology opportunities across a range of emerging scientific breakthroughs and technology readiness levels in the subprogram areas illustrated in Figure 1. This approach supports a diverse portfolio while developing the most promising and widely applicable technologies, testing technologies as integrated processes, and demonstrating integrated processes to support scale-up. These technologies will use a broad variety of renewable carbon resources to produce increasing volumes of biofuels and bioproducts. More information on BETO's mission, goals, and strategic approaches can be found in the Bioenergy Technologies Office Multi-Year Program Plan.<sup>1</sup>



Figure 1. Bioenergy RD&D technologies

The biennial Peer Review process enables external stakeholders to provide feedback on the responsible use of taxpayer funding and develop recommendations for the most efficient and effective ways to accelerate the development of a bioenergy industry. This report includes the results of the Project Peer Review meeting held on April 3–7, 2023, in Denver, Colorado.

<sup>&</sup>lt;sup>1</sup> BETO. 2023. *Bioenergy Technologies Office Multi-Year Program Plan.* Washington, D.C.: BETO. DOE/EE-2698. https://www.energy.gov/eere/bioenergy/articles/2023-multi-year-program-plan.

# ACRONYMS AND ABBREVIATIONS

2,3-BDO 2,3-butanediol 3HB 3-hydroxybutyrate 3-HP 3-hydroxypropionic acid hexamethylene diisocyanate 6HDI 7HDI heptamethylene diisocyanate arrested anaerobic digestion AAD AAS Advanced Algal Systems

Agile BioFoundry **ABF** 

Advanced Biofuels and Bioproducts Process Development Unit ABPDU

acrylonitrile butadiene styrene ABS

**ACSC** Advanced Catalyst Synthesis and Characterization

anaerobic digestion ADArcher Daniels Midland **ADM AFT** American Farmland Trust ΑI artificial intelligence

**AMMTO** Advanced Materials and Manufacturing Technologies Office

**AMP** antimicrobial peptide

Argonne National Laboratory ANL anaerobic membrane bioreactor AnMBR

ANOVA analysis of variance annual operating plan **AOP** 

advanced pretreatment and anaerobic digestion **APAD** 

Alder Renewable Crude ARC

Advanced Research Projects Agency – Energy ARPA-E

Agricultural Research Service **ARS** 

**ASEC** Affordable and Sustainable Energy Crops

anhydrous tetracycline aTc alcohol to diesel ATD

**ATEC** Algae Technology Educational Consortium

alcohol to jet ATJ

adenosine triphosphate **ATP** 

Arizona State University's direct air capture polymer-enhanced **AUDACity** 

cyanobacterial bioproductivity

American Value-Added Pulping **AVAP** 

Advanced Wet Oxidation and Steam Explosion **AWOEx** Arizona Center for Algae Technology and Innovation **AzCATI** 

**BAT Biomass Assessment Tool** 

**BDO** butanediol **BDT** bone dry ton **BEA** beta zeolite

**BEEPS** BioEnergy Engineering for Products Synthesis

**BEIOM** Bio-based circular carbon economy Environmentally-extended Input-

Output Model

beta-ketoadipic acid beta-KA

**BETO** Bioenergy Technologies Office **BFL** Bioenergy Feedstock Library

Biomass Feedstock National User Facility **BFNUF** 

**BHET** bis(2-hydroxyethyl terephthalate **Biofuels Information Center BIC** 

biomass with carbon removal and storage **BiCRS** 

Biofuel Infrastructure, Logistics, and Transportation **BILT** 

BioC2G Bio-Cradle-to-Grave

BIP Biofuels Infrastructure Partnership

ß-keto-d-lactone **BKDL** 

**BMP** best management practice Brookhaven National Laboratory **BNL** 

Biofuels National Strategic Benefits Analysis **BNSBA** 

Bio-Optimized Technologies to keep Thermoplastics out of Landfills and **BOTTLE** 

the Environment

BP budget period **BPA** bisphenol A bipolar membrane **BPM** 

Biomass Scenario Model **BSM BTG** Biomass Technology Group benzene, toluene, xylene BTXbest use of biomass BUoB

one-carbon C1 C2+two-carbon-plus

Catalytic Carbon Conversion Center of Piloting and Excellence C4PE

carbonic anhydrase CA capital expenditures CapEx

Combined Algal Processing for the Synthesis of Liquid Oleofuels and **CAPSLOC** 

**Products** 

**CAS** conventional activated sludge consolidated bioprocessing **CBP CCC** countercurrent chromatography carbon conversion efficiency **CCE** 

Consortium for Computational Physics and Chemistry **CCPC** 

CCS carbon capture and storage

carbon capture, utilization, and storage **CCUS** 

Catalyst Deactivation Mitigation for Biomass Conversion **CDM** 

continuous enzymatic hydrolysis CEH

Climate and Economic Justice Screening Tool **CEJST CELF** co-solvent enhanced lignin fractionation

carbon fiber CF

cloth filter anaerobic membrane bioreactor **CFAnMBR** 

circulating fluidized bed **CFB CFC** carbon fiber composite

**CFD** computational fluid dynamics

carbon-fiber-reinforced epoxy composite **CFEP** 

**CFP** catalytic fast pyrolysis

**CFRP** carbon-fiber-reinforced polymer

Chemical Catalysis for Bioenergy Consortium ChemCatBio

**CHJ** catalytic hydrothermolysis jet critical material attribute CMA compressed natural gas **CNG** carbon dioxide equivalent  $CO_2e$ CO<sub>2</sub>ER CO<sub>2</sub> electrochemical reduction

CO<sub>2</sub> Reduction and Upgrading for e-Fuels Consortium CO<sub>2</sub>RUe

coenzyme A CoA

chemical oxygen demand COD covalent organic framework **COF** 

Co-Optimization of Fuels & Engines Co-Optima

cobalt phthalocyanine CoPc

Carbon Offsetting and Reduction Scheme for International Aviation **CORSIA** 

Catalyst Property Database **CPD** critical process parameter **CPP** critical quality attribute **CQA** 

cooperative research and development agreement CRADA

chemical-recovery-free **CRF CRISPRa** CRISPR activation CRISPR interference **CRISPRi** 

continuous stirred-tank reactor CSTR **CSU** Colorado State University **CTT** cubical triaxial tester

**CUBI** Catalytic Upgrading of Biochemical Intermediates

Chemical Upcycling of Waste Plastics **CUWP** Central Valley Water Reclamation Facility **CVWRF** 

direct air capture DAC

Defense Advanced Research Projects Agency **DARPA** 

design-build-test-learn **DBTL** 

diversity, equity, and inclusion DEI

diversity, equity, inclusion, and accessibility DEIA

diversity, equity, and inclusion plan **DEIP** 

discrete element modeling **DEM** directed funding award **DFA** directed funding opportunity **DFO DFT** density functional theory dissolved inorganic carbon DIC

Development of Integrated Screening, Cultivar Optimization, and **DISCOVR** 

Verification Research

**D-LEWT** distributed low-energy wastewater treatment

data, modeling, and analysis **DMA** dimethyl cyclooctanes **DMCO** 

**DMR** deacetylation and mechanical refining

U.S. Department of Energy DOE

**DRIFTS** diffuse reflectance infrared Fourier transform spectroscopy

**EAST Emerging and Supporting Technologies** 

**Empire Comfort System ECS** 

ECO<sub>2</sub>R electrochemical reduction of CO2

Energy and Environment Diversity Internship Program **EEDIP** 

energy equity and environmental justice **EEEJ** 

**EERE** Office of Energy Efficiency and Renewable Energy

EJ environmental justice

**EJScreen** Environmental Justice Screening and Mapping Tool

Environmentally extended Multi-regional Projection of Lifecycle and **EMPLOY** 

Occupational energY futures

**EOL** end of life

U.S. Environmental Protection Agency **EPA** 

**EPSCoR** Established Program to Stimulate Competitive Research

energy return on investment **EROI** 

**ETAP Escaped Trash Assessment Protocol** 

**EtOH** ethanol

extended X-ray absorption fine structure **EXAFS** 

findable, accessible, interoperable, and reusable FAIR

fluid catalytic cracking FCC

Feedstock-Conversion Interface Consortium **FCIC** 

U.S. Food and Drug Administration **FDA** FD-CIC Feedstock Carbon Intensity Calculator

Fe-B iron-boride

finite element method **FEM** 

Fire Monitoring, Alerts, and Performance System Fire MAPS

failure mode and effect analysis **FMEA** funding opportunity announcement **FOA** 

**FOG** fats, oils, and greases

Feedstock Production Emissions to Air Model **FPEAM** 

**FPO** fast pyrolysis oil **FTC** freeze tape casting

Freight and Fuel Transportation Optimization Tool **FTOT** 

**FTS** Fischer-Tropsch synthesis

FY fiscal year

**GAI** Global Algae Innovations

General Algebraic Modeling System **GAMS** Global Change Analysis Model **GCAM** 

gas diffusion electrode GDE gasoline gallon equivalent **GGE** 

greenhouse gas **GHG** 

genetically modified organism **GMO** 

Gauging Reaction Effectiveness for the ENvironmental Sustainability of **GREENSCOPE** 

Chemistries with a Multi-Objective Process Evaluator

**GREET** Greenhouse Gases, Regulated Emissions, and Energy Use in Technologies

Global Thermostat GT

**GTAP** Global Trade Analysis Project GTI Gas Technology Institute

gas to liquid **GTL** 

**GWP** global warming potential

historically Black college or university **HBCU** 

hydrothermal cleanup **HCU** 

hydrotreated depolymerized cellulosic jet **HDCJ** 

HDO hydrodeoxygenation

hydroprocessed esters and fatty acids **HEFA** 

Host Onboarding Tool **HObT** 

HOD Host Onboarding & Development

HOG high-octane gasoline

high-performance computing **HPC** hyperspectral imaging HSI hydrothermal liquefaction HTL indole-3-acetic acid **IAA IAB** industry advisory board

Institute for Advanced Composites Manufacturing Innovation **IACMI** 

integrated biorefinery IBR

Integrated Biorefinery Research Facility **IBRF** International Civil Aviation Organization **ICAO** Industry Engagement and Outreach **IEO** 

**IFD** issued for design

 $IH^2$ Integrated Hydropyrolysis and Hydroconversion

induced land use change **ILUC** Idaho National Laboratory INL

Intervention Model for Air Pollution **InMAP** 

ΙP intellectual property **IPA** isopropyl alcohol internal rate of return **IRR** in situ product recovery **ISPR** 

Justice Underpinning Science and Technology Research JUST-R

Knowledge Discovery Framework **KDF** Los Alamos National Laboratory LANL

Lawrence Berkeley National Laboratory LBNL

LCA life cycle analysis

low-carbon fuel standard **LCFS** levelized cost of energy **LCOE** 

LIBS laser-induced breakdown spectroscopy Lubricating Oils From Upcycled Plastics **LOUP** 

land use change LUC

Macroalgae Research Inspiring Novel Energy Resources **MARINER** 

alpha-methylene butyrolactone **MBL** minimum biomass selling price **MBSP MEA** membrane electrode assembly

**MEG** monoethylene glycol MEK methyl ethyl ketone

MES microbial electrosynthesis
MESP minimum ethanol selling price
MFSP minimum fuel selling price

ML machine learning
MLP multilayer plastic
MMA methyl methacrylate
MMT million metric tons

MOOC massive open online course MOT mild oxidative treatment

MPa megapascals

**MRF** materials recovery facility minority-serving institution **MSI** minimum selling price **MSP** minimum sugar selling price **MSSP** Montana State University **MSU MSW** municipal solid waste methanol to olefins **MTO** methylene valerolactone MVL **MYPP** Multi-Year Program Plan

NADH nicotinamide adenine dinucleotide NGO nongovernmental organization

NIR near-infrared

NMR nuclear magnetic resonance

NMSW nonrecyclable municipal solid waste

NPV net present value

NRCS Natural Resources Conservation Service NREL National Renewable Energy Laboratory

NSF National Science Foundation

NTP nonthermal plasma

NZTT Net-Zero Carbon Fuels Technical Team

OEM original equipment manufacturer

OFS oleo-furan surfactant
OpEx operating expenditures

ORNL Oak Ridge National Laboratory
OSN organic solvent nanofiltration
OSRO organic solvent reverse osmosis

OSU Oregon State University
P3-HP poly(3-hydroxy)propionate

PA66 polyamide 66 PAA polyacrylic acid

PABP performance-advantaged bioproduct

PAM polyacrylamide

P&O progress and outcomes

PBAT polybutylene adipate terephthalate

PBR photobioreactor

PC phycocyanin

**PCA** principal component analysis post-consumer recycled PCR

PDO pentanediol

**PDU** process development unit

polyethylene PE

**PEM** polymer electrolyte membrane **PET** polyethylene terephthalate

**PFAS** per- and polyfluoroalkyl substances

**PFOS** perfluorooctane sulfonic acid

platinum group metal **PGM** polyhydroxyalkanoate PHA polyhydroxybutyrate PHB **PHU** polyhydroxyurethane

post-hydrothermal liquefaction wastewater **PHW** 

principal investigator PΙ

**PISU** Process Integration and Scale-Up

polyketide synthase **PKS** polylactic acid PLA PM particulate matter

poly(alpha-methylene butyrolactone) pMBL

polymethyl methacrylic acid pMMA

Pacific Northwest National Laboratory **PNNL** 

polyolefin plastic PO

**PolyID** Polymer Inverse Design

Policy Analysis System Model **POLYSYS** 

PP polypropylene

phase-transition sorbent **PTS** 

PTU polythiourethane PU polyurethane

polyurethane precursor **PUP PVC** polyvinyl chloride

polyvinylidene difluoride **PVDF** 

quality by design ObD **OEG** Quasar Energy Group

quantitative polymerase chain reaction qPCR

research and development R&D **RAB** Revolving Algal Biofilm

Rotating Algae Biofilm Reactor **RABR** Regional BioEconomy Model **RBEM** reductive catalytic fractionation **RCF RCFP** reactive catalytic fast pyrolysis

Renewable Carbon Resources subprogram **RCR** research, development, and demonstration RD&D **ReEDS** Regional Energy Deployment System

Reducing Embodied Energy and Decreasing Emissions **REMADE** 

Responsible Innovation for Highly Recyclable Plastics ResIn

**RFS** Renewable Fuel Standard

**RIN** renewable identification number

**RMP** risk management plan

Risk Management Plan Guidance **RMPG** 

renewable natural gas **RNG RPO** residual pyrolysis oil RTI Research Triangle Institute SAF sustainable aviation fuel

**SAFFiRE** Sustainable Aviation Fuel From [i] Renewable Ethanol

**SAMPE** Society for the Advancement of Material and Process Engineering

SBI Stove Builder International standard cubic feet per minute scfm supercritical carbon dioxide sCO<sub>2</sub>

single-cell protein **SCP** 

Systems Development and Integration SDI

South Dakota School of Mines and Technology **SDSMT** sorption-enhanced chemical looping gasification **SE-CLG** 

Bioprocessing Separations Consortium SepCon

specific, measurable, achievable, relevant, and time-bound **SMART** 

subject matter expert **SME** steam methane reforming **SMR** SNL Sandia National Laboratories single nucleotide polymorphism **SNP** 

state of the art **SOA** SOC soil organic carbon

Southern California Gas Company SoCalGas

solid oxide electrolysis cell **SOEC SOPO** statement of project objectives

state of technology **SOT** synthetic paraffinic diesel **SPD** 

**SPERLU** Selective Process for Efficient Removal of Lignin and Upgrading

syngas to hydrocarbons STH

solvent-targeted recovery and precipitation **STRAP** 

**SUP** Scale-Up Portfolio

Scaling Up PERennial Bioenergy Economics and Ecosystem Services **SUPERBEEST** 

Tool

**SUPF** single-use flexible plastic film

Soil and Water Assessment Tool – Carbon **SWAT-C** 

Single-Pass, Weather Independent Fractionation Technology **SWIFT** 

technical assistance TA TAL triacetic acid lactone

Thermal and Catalytic Process Development Unit **TCPDU** 

time-domain nuclear magnetic resonance TD-NMR

TDO thermal deoxygenation techno-economic analysis TEA

**TEG** thermoelectric generator **TEM** techno-economic model glass transition temperature Tg **THP** thermal hydrolysis processing

melting temperature Tm time on stream TOS terephthalic acid **TPA TPD** ton per day

TPU thermoplastic polyurethane technology readiness level TRL

titer, rate, and yield TRY

Tailorable Universal Feedstock for Forming **TuFF** 

vitrimer transition temperature Tv

**UD-CCM** University of Delaware Center for Composite Materials

unhydrolyzed solids **UHS** 

University of Illinois Urbana-Champaign **UIUC** 

**UMaine** University of Maine University of New Mexico **UNM** U.S. Department of Agriculture USDA

U.S. DRIVE Driving Research and Innovation for Vehicle Efficiency and Energy

Sustainability

U.S. Forest Service **USFS** VFA volatile fatty acid **VGO** vacuum gas oil

VOC volatile organic compound

VolCat volatile catalyst W2X waste to X

Water Analysis Tool for Energy Resources WATER

**WBS** Work Breakdown Structure

W-C tungsten-carbide

water resource recovery facility WRRF **WSU** Washington State University

**WTE** waste-to-energy

wastewater treatment facility **WWTF** 

**XANES** X-ray absorption near edge structure X-ray photoelectron spectroscopy XPS

X-ray fluorescent **XRF** 

ZSM-5 Zeolite Socony Mobil-5

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## INTRODUCTION

The Project Peer Review meeting took place April 3–7, 2023, in Denver, Colorado. The Peer Review brought together reviewers, project performers, Bioenergy Technologies Office (BETO) staff, and stakeholders along the entire bioenergy supply chain. Projects were systematically reviewed by 69 external subject matter experts from industry, academia, nonprofits, and government. BETO's funding portfolio was presented in 12 technology areas:

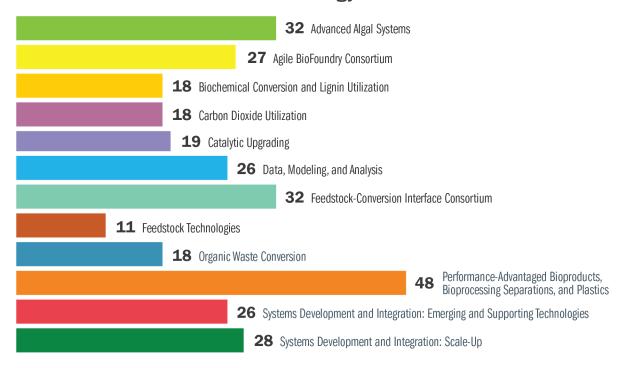
- Advanced Algal Systems
- Agile BioFoundry
- Biochemical Conversion and Lignin Utilization
- Carbon Dioxide Utilization
- Catalytic Upgrading
- Data, Modeling, and Analysis
- Feedstock-Conversion Interface Consortium
- Feedstock Technologies
- Performance-Advantaged Bioproducts, Bioprocessing Separations, and Plastics
- Organic Waste Conversion
- Systems Development and Integration: Emerging and Supporting Technologies
- Systems Development and Integration: Scale-Up.

Each review session included a technology area overview presentation that linked the projects in the portfolio to the technology area challenges and the program strategy for measuring progress and managing deliverables toward outcomes. A panel of independent reviewers reviewed and scored individual projects within each session and provided recommendations regarding the strategy and progress of the technology area. Results of the 2023 BETO Peer Review may be used to help inform programmatic decision-making, modify or discontinue existing projects, guide future funding opportunities, and support other budget and strategic planning objectives.

The 303 project presentations reviewed represent a total U.S. Department of Energy (DOE) investment of more than \$561 million and cover activities that incurred costs from fiscal years (FY) 2021–2023. Figures 2 and 3, respectively, depict the number of presentations reviewed by technology area session and the associated funding allocation.

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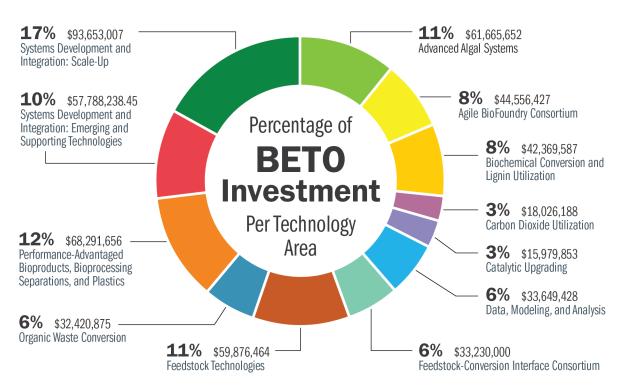
# **Number of Presentations Per Technology Area**



**Total Presentations: 303** 

Figure 2. Number of presentations by technology area session

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# Total BETO Investment Peer Reviewed in 2023: \$561,507,375.45

Figure 3. Total BETO funding of reviewed activities by technology area session

#### **ROLES AND RESPONSIBILITIES**

The BETO 2023 Peer Review was planned by an internal planning committee composed of BETO federal and contractor staff designated with the responsibility for developing and coordinating all aspects of the review process in compliance with EERE standards for conducting Project Peer Reviews. This committee included a federal lead and contractor support for each of the technology areas, as well as a federal Peer Review chair responsible for all aspects of the overall process, with a coordination and execution support team.

The reviews were conducted by individuals external to BETO with expertise in their fields and organized into review panels for each of the technology area sessions. The Advanced Algal Systems and Performance-Advantaged Bioproducts, Bioprocessing Separations, and Plastics technology areas hosted two sessions with separate panels due to their high number of projects. The review panels for each technology area consisted of four to seven external individuals selected based on technical expertise and professional qualifications in their designated technology area. Efforts were made to ensure experiential, institutional, and geographic diversity within each review panel by including a mix of reviewers from industry, academia, and federal agencies, with a range of expertise in relevant focus areas. Additionally, BETO proactively sought out expertise from outside of established networks with external calls for reviewers, and then made selections through a lens of improving diversity, equity, and inclusion in the makeup of the panels. Reviewers were required to sign legal agreements confirming an absence of a conflict of interest with the projects they reviewed. Final decisions on reviewer selection were made by the internal planning committee, with final approval by BETO's director. In addition, one reviewer on each panel was designated as the lead reviewer. In most cases, lead reviewers had previous experience participating as a reviewer in a prior BETO Peer Review. The extra responsibilities of the lead

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reviewer included gathering the individual reviewer comments and scores and synthesizing them into a summary report for inclusion in this document.

Table 1 lists the members and affiliations of the lead reviewers of each panel. Members of each technology area review panel are listed within each technology area session summary.

Table 1. Lead Reviewers

Review Session	Name	Affiliation	
Advanced Algal Systems	Lora Cameron-Landis	Lonza	
Advanced Algal Systems	Tyler Johannes	University of Tulsa	
Agile BioFoundry	Karen Draths	Michigan State University	
Biochemical Conversion and Lignin Utilization	Lisette Tenlep Akers	LignoBio	
Carbon Dioxide Utilization	Charles McCrory	University of Michigan	
Catalytic Upgrading	Cory Phillips	Air Company	
Data, Modeling & Analysis	Jason Jones	ICF	
Feedstock Technologies	Jingxin Wang	West Virginia University	
Feedstock-Conversion Interface Consortium	Phil Weathers	Weathers Associates Consulting	
Organic Waste Conversion	Samantha MacBride	New York Department of Environmental Protection	
Performance-Advantaged Bioproducts, Bioprocessing Separations, and Plastics	Sharon Haynie	Hypatia Technology Works	
Performance-Advantaged Bioproducts, Bioprocessing Separations, and Plastics	Michael Mang	Danimer Scientific	
Systems Development and Integration: Emerging and Supporting Technologies	Gene Petersen	Independent consultant	
Systems Development and Integration: Scale-Up	Ray Miller	Verdecute Consulting	

### PROJECT EVALUATION CRITERIA

Reviewers evaluated each project on the following criteria: approach, progress and outcomes, and impact. Reviewers provided a numeric score per criterion, as well as written comments to support their scoring.

- **Approach**—Projects were evaluated on the degree to which:
  - o The project performers have developed an approach with substantial merit to advance the state of the art, as relevant to the defined BETO program and technology area goals.
  - o The project performers have developed an approach with significant potential for innovation in its application.
  - o The project performers have a clear management plan and successful implementation strategy that includes risk identification and mitigation strategies.
  - The project provides routes for communication and collaboration with related projects and/or advisory boards, if appropriate.

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o If applicable, the project has an adequate approach to addressing diversity, equity, and inclusion in their project plan.

- **Progress and outcomes**—Projects were evaluated on the degree to which:
  - o The project has made appropriate progress toward addressing the project goal(s).
  - o The accomplishments have been achieved on schedule with the planned approach and, if relevant, the risk mitigation strategies have been employed to maintain project progress.
- Impact—Projects were evaluated on the degree to which:
  - The project demonstrated a clear connection of project approach to the potential for significant impact and outcomes.
  - The project has clear commercialization potential or has used or plans to use industry engagement to guide project deliverables, as relevant.

Scores ranged from 5 (outstanding) to 1 (unsatisfactory) per the rubric in Table 2.

Table 2. 2023 BETO Project Peer Review Scoring Rubric

Outstanding	Good	Satisfactory	Marginal	Unsatisfactory
5	4	3	2	1
All aspects of the criterion are comprehensively addressed. There are significant strengths and no more than a few—easily correctible—weaknesses.	All aspects of the criterion are adequately addressed. There are significant strengths and some weaknesses. The significance of the strengths outweighs most aspects of the weaknesses.	Most aspects of the criterion are adequately addressed. There are strengths and weaknesses. The significance of the strengths slightly outweighs aspects of the weaknesses.	Some aspects of the criterion are not adequately addressed. There are strengths and significant weaknesses. The significance of the weaknesses outweighs most aspects of the strengths.	Most aspects of the criterion are not adequately addressed. There may be strengths, but there are significant weaknesses. The significance of the weaknesses outweighs the strengths.

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#### FORMAT OF THE REPORT

Information in this report has been compiled as follows and is based on the following sources:

- 1. **Peer review report introduction:** This section contains overview information on the Peer Review process, roles and responsibilities, and project evaluation criteria.
- 2. **Technology area summaries:** This section contains 12 chapters that represent the comprehensive evaluation for each technology area reviewed. Each chapter includes:
  - A. **Introduction:** An overview of the technology area's project portfolio, including total funding of the projects reviewed and percentage of total BETO project portfolio.
  - B. **Review panel members:** A list of names and affiliations of the independent subject matter experts who provided project evaluations and contributed to the review panel summary report.
  - C. **Review panel summary report:** This summary of project evaluations provides insight regarding the technology area's overall strategy and progress. This section was drafted by the lead reviewer for each technology area in consultation with the full review panel. Consensus among the reviewers was not sought, and reviewers were asked to include any differences of opinion along with their recommendations.
  - D. **Technology area programmatic response:** Represents the program's official response to the recommendations provided in the review panel summary report.
  - E. **Project evaluations:** Includes the results of each project evaluation, including the following elements:
    - i. **Project name and the lead project performer organization:** The full project name is listed as the heading, followed by the lead project performer's organization.
    - ii. Average project score per review criterion: A bar chart depicts the average scores for each evaluation criterion, the range of scores per criterion given to the project by the individuals within the review panel, the average project score, and the average of all the projects in the technology area per criterion.
    - iii. **Summary table:** Reference information about the project, which includes the recipient organization, principal investigator (PI), project dates, and total DOE funding.
    - iv. **Project descriptions:** Project abstracts were submitted by each project performer.
    - v. Reviewer comments: Verbatim comments made by the review panel, edited only for grammar and clarity. Each comment response represents the opinion of one reviewer. Reviewers were not asked to develop consensus remarks, and in most cases the reviewers did not discuss their overall comments on each project with one another. In a limited number of cases, reviewer remarks deemed inappropriate or irrelevant were excluded from the final report.
    - vi. **PI response to reviewer comments:** The response to the reviewer comments provided by the project performers. Responding to reviewer comments was optional.