

Department of Energy
DISPOSITION AUTHORITIES FROZEN UNDER
EPIDEMIOLOGICAL MORATORIUM
(1989-2023)

The following is the complete list of NARA-approved Records Disposition Schedules currently under the epidemiological moratorium on the destruction of health-related records as of July 2022. All records scheduled under these authorities must be preserved past their normal retention periods unless a waiver is obtained for the Office of Worker Screening and Compensation Support.

Questions about the moratorium and what records must be preserved can be directed to Gregory Lewis at gregory.lewis@hq.doe.gov or to the DOERM mailbox at doerm@hq.doe.gov.

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Schedule	Item	Series Title	Disposition Authority	Schedule	Item	Series Title	Disposition Authority
			DOE Authority				DOE Authority
ADM 1	1.1	Official personnel Files of Contractor Employees	N1-434-89-1 item 1	DOE 2.2	030	Official Personnel Files (Contractor)	DAA-0434-2020-0010-0003
ADM 1	2	Service Record Cards for Federal Employees – on or after January 1, 1948	N1-434-98-4 item 2b	DOE 2.2	010	Employee Cards – Legacy Records ONLY	DAA-0434-2020-0010-0001
ADM 1	6	Employee record cards	N1-434-98-4 item 6	DOE 2.2	010	Employee Cards – Legacy Records ONLY	DAA-0434-2020-0010-0001
ADM 1	7.1b	Contractor Job Classification Manuals - For all other jobs	N1-434-89-1 item 3b	DOE 2.1	010	Contractor Employee Job Classification Manuals	DAA-0434-2020-0009-0001
ADM 1	19	Individual Non-occupational health records	N1-434-98-4 item 19	DOE 2.7	020	Individual Medical Case File (Federal and Contractor)	DAA-0434-2020-0015-0001
ADM 1	20.1a	Contractor Health Unit Control Files – summarized on statistical report	N1-434-89-1 item 4c1	DOE 2.7	010	Clinic Scheduling Records	DAA-GRS-2017-0010-0001
GRS 1	21a2	Employee Medical Folder (EMF) - Long-term separated employees	N1-GRS-86-4 item 21a2	GRS 2.7	060	Occupational individual medical case files NOTE: DOE Records Disposition Schedule pending NARA approval	DAA-GRS-2017-0010-0009
ADM 1	21b	Employee Medical Folder (EMF) - Temp EMF records	N1-434-98-4 item 21.b	DOE 2.7	020	Individual Medical Case File (Federal and Contractor)	DAA-0434-2020-0015-0001
GRS 1	21c	Employee Medical Folder (EMF) - Individual employee health file pre-EMF system	N1-GRS-86-4 item 21c	GRS 2.7	062	Occupational individual medical case files: Individual employee health case files created prior to establishment of the EMF system in 1986 NOTE: DOE Records Disposition Schedule pending NARA approval	DAA-GRS-2017-0010-0011
ADM 1	21.1a	Contractor Employee Medical Folder (EMF) – Individual Health Case Files – forms, correspondence	N1-434-89-1 item 4a	DOE 2.7	020	Individual Medical Case File (Federal and Contractor)	DAA-0434-2020-0015-0001
ADM 1	21.1b	Contractor Employee Medical Folder (EMF) – Individual Health Case Files – cards with date of visit, diagnosis, treatment	N1-434-98-4 item 21.1b	DOE 2.7	020	Individual Medical Case File (Federal and Contractor)	DAA-0434-2020-0015-0001
ADM 1	21.2b	Records Concerning Personnel Exposure to Hazardous Materials - operating plans	NC1-430-76-9 item 5b				
ADM 1	21.2c	Records Concerning Personnel Exposure to Hazardous Materials - Records and	NC1-430-76-9 item 5c	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006 (in part)
				DOE 2.7	140	Radiation, Industrial Hygiene and Bioassay Summaries and Investigations	DAA-0434-2020-0015-0007 (in part)
ADM 1	21.3a(3)	Radiation Contamination Control Program Records - Worksheets, requests for analysis, chart records	NC1-430-76-9 item 4a(3)	DOE 2.7	140	Radiation, Industrial Hygiene and Bioassay Summaries and Investigations	DAA-0434-2020-0015-0007
ADM 1	21.3b(2)	Radiation Contamination Control Program Records - Detail plans for specific jobs	NC1-430-76-9 item 4c(2)				
ADM 1	21.3c	Radiation Contamination Control Program Records Logbooks (chronological history)	NC1-430-76-9 item 4d				
ADM 1	21.3e(2)	Radiation Contamination Control Program Records - Other records	NC1-430-76-9 item 4f				
ADM 1	21.4a	Employee Health Hazard Case Files - Records of skin and nasal contamination incidents, including decontamination details	NC1-430-76-9 item 4b(1)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006

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Schedule	Item	Series Title	Disposition Authority	Schedule	Item	Series Title	Disposition Authority
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ADM 1	21.4b	Employee Health Hazard Case Files - Incidents involving contaminated injuries	NC1-430-76-9 item 4b(2)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006
ADM 1	21.4c	Employee Health Hazard Case Files - Results of direct measurement	NC1-430-76-9 item 4b(3)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006
ADM 1	21.4d	Employee Health Hazard Case Files - Investigations listed in ADM schedule as (1)-(4)	NC1-430-76-9 item 4b(4)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006
ADM 1	21.4e(1)	Employee Health Hazard Case Files - Records of bioassay data - positive laboratory results	NC1-430-76-9 item 4b(5)(a)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006
ADM 1	21.4e(2)	Employee Health Hazard Case Files - Records of bioassay data - laboratory results indicating no assimilation or radiation	NC1-430-76-9 item 4b(5)(b)	DOE 2.7	130	Individual Employee Health Hazard Case File (Employee Exposure Records)	DAA-0434-2020-0015-0006
ADM 1	21.5	Personnel Radiation Exposure Dose Records (Film Badges)	N1-434-96-4 item 1	DOE 2.7	120	Personnel Radiation Exposure Film Badge Records (LEGACY ONLY)	DAA-0434-2020-0015-0005
GRS 1	26a	Personnel Counseling Records - Counseling files	NC1-64-77-10 item 27a	GRS 2.7	091	Employee Assistance Program (EAP) counseling records: Records not related to performance or conduct	DAA-GRS-2017-0010-0015
GRS 1	29a1	Training Records - General, in-house, excluding curriculum	NC1-64-77-10 item 30b1	GRS 2.6	010	Non-mission employee training program records.	DAA-GRS-2016-0014-0001
ADM 1	29b	Training Records - Training by outside opportunities	N1-434-98-4 item 29b	DOE 2.6	010	Individual Mission Related Employee Training Records (Federal and Contractor)	DAA-0434-2020-0014-0001 (in part)
				DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.1a(1)	Contractor Employee Individual Training Folders – on the job training checklist	N1-434-88-1 item 1a	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.1a(2)	Contractor Employee Individual Training Folders – Checklist completed for certification	N1-434-88-1 item 1b	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.1a(3)	Contractor Employee Individual Training Folders – Documentation of qualification	N1-434-88-1 item 1c	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.1a(4)	Contractor Employee Individual Training Folders – Results of medical examination	N1-434-88-1 item 1d	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.1b	Contractor Employee Individual Training Folders – Individual Folders	N1-434-88-1 item 2	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training) - Contractor Employees	DAA-GRS-2016-0019-0004-0002 (in part)
ADM 1	29.2c	Administrative Training Records - Correspondence on the establishment, administration, and availability of course	N1-434-88-1 item 5	GRS 2.6	010	Non-mission employee training program records.	DAA-GRS-2016-0014-0001
ADM 1	29.2d	Administrative Training Records - Course training aids	N1-434-88-1 item 6	GRS 2.6	010	Non-mission employee training program records.	DAA-GRS-2016-0014-0001

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ADM 1	31	Personal Injury Files	N1-434-98-4 item 31	DOE 2.4	100	Workers Compensation (Personal Injury Compensation) Records	DAA-0434-2020-0013-0002 (in part)
				DOE 2.7	110	Individual Employee Occupational Injury/Illness Case File	DAA-0434-2020-0015-0004 (in part)
GRS 1	33a	Examining and Certification Records - Delegated agreements	N1-GRS-86-1 item 34	GRS 2.1	150	Records of delegation of authority for examination and certification	DAA-GRS-2014-0002-0021
GRS 1	33f	Examining and Certification Records - Examination announcements	N1-GRS-02-1 item 33f	GRS 2.1	050	Job vacancy case files: Records of onetime competitive and Senior Executive Service announcements/ selections	DAA-GRS-2017-0011-0001
				GRS 2.1	051	Job vacancy case files: Records of standing register competitive files for multiple positions filled over a period of time.	DAA-GRS-2017-0011-0002
GRS 2	1a	Individual Employee Pay Record - Database version	N1-GRS-92-4 item 1a	Rescinded - GRS Transmittal 28 NOTE: Will be unscheduled until a new item is created OR the moratorium is lifted			
				DOE 2.4	010	Employee Payroll Record for each Pay Period	DAA-0434-2020-0013-0001
GRS 2	1b	Individual Employee Pay Record - Individual Pay Record, non-electronic	N1-GRS-92-4 item 1b	DOE 2.4	010	Employee Payroll Record for each Pay Period	DAA-0434-2020-0013-0001
ADM 2	1c	Individual Employee Pay Record - Contractor Employee Pay Record, paper or microform but not machine readable	N1-434-98-5 item 1c	DOE 2.4	010	Employee Payroll Record for each Pay Period	DAA-0434-2020-0013-0001
GRS 2	7	Time and Attendance Source Records	N1-GRS-92-4 item 7	DOE 2.4	020	Time and Attendance Source and Input Records - Detailed records that include work locations	DAA-GRS-2019-0004-0002
				DOE 2.4	025	Time and Attendance Source and Input Records - Records that do not contain work locations (Federal)	DAA-GRS-2019-0004-0002
				DOE 2.4	030	Time and Attendance Source and Input Records - Records that do not contain work locations (Contractor)	DAA-GRS-2019-0004-0002
GRS 2	8	Time and Attendance Input Records	N1-GRS-92-4 item 8	DOE 2.4	020	Time and Attendance Source and Input Records - Detailed records that include work locations	DAA-GRS-2019-0004-0002
				DOE 2.4	025	Time and Attendance Source and Input Records - Records that do not contain work locations (Federal)	DAA-GRS-2019-0004-0002
				DOE 2.4	030	Time and Attendance Source and Input Records - Records that do not contain work locations (Contractor)	DAA-GRS-2019-0004-0002
ADM 3	3.1b	Pension Case Files - Cases DOE has no continuing obligation	N1-434-98-6 item 3.1b	DOE 1.1	020	Contractor Pension Case Files - No continuing obligation	DAA-0434-2020-0006-0002
ADM 3	3.2b	Casualty Insurance Case Files - cases for which DOE has no obligation or liability	N1-434-98-6 item 3.2b	DOE 1.1	030	Casualty Insurance Case File - No obligation or liability	DAA-0434-2022-0006-0003
ADM 4	7	Records of the Transfer of Nuclear Materials for Research	NC-326-75-1 item 2				
ADM 14	52e	Litigation Files – other	NC1-430-78-1 item 1e				
ADM 16	1.5b	Administrative Management – Administrative Correspondence	N1-434-98-19 item 1.5b				
ADM 16	8b1	Committee and Conferences – agenda, minutes, final reports and relating records documenting accomplishments	N1-434-98-19 item 8b1				

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ADM 17	13a	Synoptic Meteorology Records - Wind Logs	II-NNA-2144 item a				
ADM 17	13b	Synoptic Meteorology Records - summary sheets	II-NNA-2144 item b				
ADM 17	13c	Synoptic Meteorology Records - reports of synoptic wind observations	II-NNA-2144 item c				
ADM 17	13d	Synoptic Meteorology Records - soil and air temperature logs	II-NNA-2144 item d				
ADM 17	13e	Synoptic Meteorology Records - solar and radiation logs	II-NNA-2144 item e				
ADM 17	13f	Synoptic Meteorology Records - precipitation measurements	II-NNA-2144 item f				
ADM 17	13g	Synoptic Meteorology Records - pressure logs	II-NNA-2144 item g				
ADM 17	13h	Synoptic Meteorology Records - surface weather observation data	II-NNA-2144 item h				
ADM 17	13i	Synoptic Meteorology Records - summary records	II-NNA-2144 item i				
ADM 17	13j	Synoptic Meteorology Records - precipitation measurements	II-NNA-2144 item j				
ADM 17	13k	Synoptic Meteorology Records - Recorder Charts	II-NNA-2144 item k				
ADM 17	13l	Synoptic Meteorology Records - Pilot Balloon ascension reports	II-NNA-2144 item l				
ADM 17	13m	Synoptic Meteorology Records - weather maps and charts	II-NNA-2144 item m				
ADM 17	13n	Synoptic Meteorology Records - background recorder charts	II-NNA-2144 item n				
ADM 17	14	Management of Production Records	II-NNA-1837 item 1				
ADM 17	16a1	Progress Reports – weekly reports – substantive	II-NNA-1941 item 1a				
ADM 17	16a2	Progress Reports – weekly reports – administrative housekeeping	II-NNA-1941 item 1b				
ADM 17	16a3	Progress Reports – daily output reports	II-NNA-1941 item 1c				
ADM 17	16b	Progress Reports – Administrative, progress, production and quality control reports and records	II-NNA-2838 item 1				
ADM 17	17a	Production Management Records - Project History Files	II-NNA-1941 item 2				
ADM 17	17b	Production Management Records - Run Books	II-NNA-2536 item 2				
ADM 17	18a	Industrial Methods Records - Procedures	II-NNA-2257 item 13				
ADM 17	21a	Reactor Operations Records - control room logs	II-NNA-3002 item 1				
ADM 17	21b	Reactor Operations Records - shift supervisors' logbooks	II-NNA-3002 item 2				
ADM 17	21c	Reactor Operations Records - daily operations summary reports	II-NNA-3002 item 3				
ADM 17	21d1	Reactor Operations Records - reactor temperature control records - graphite datasheets	II-NNA-2088 item 2a				
ADM 17	21d2	Reactor Operations Records - reactor temperature control records - temperature and flow maps	NN-170-57 item 3				
ADM 17	21d3	Reactor Operations Records - reactor temperature control records - temperature cards and tape recordings	II-NNA-1527 item 1				
ADM 17	21e1	Reactor Operations Records - source records	NN-170-57 item 5a				

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Schedule	Item	Series Title	Disposition Authority	Schedule	Item	Series Title	Disposition Authority
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ADM 17	21e2	Reactor Operations Records - generator sheets	NN-170-57 item 5b				
ADM 17	22a1	Materials Processing Records - Laboratory Manuals	II-NNA-2256 item 1				
ADM 17	22a2	Materials Processing Records - Laboratory Notebooks	II-NNA-2256 item 2				
ADM 17	22f	Process transfer records of metals, solids	II-NNA-2258 item 11				
ADM 17	24a	Special Research and Reactor Materials Allocations Files-Files of Offices	II-NNA-1735 item 3a				
ADM 17	24b	Special Research and Reactor Materials Allocations Files-Files of Operations Offices	II-NNA-1735 item 3b				
ADM 17	24c	Special Research and Reactor Materials Allocations Files-Files of Requesting Agencies	II-NNA-1735 item 3c				
ADM 17	24d	Special Research and Reactor Materials Allocations Files-Files of Approved Allocations	II-NNA-1735 item 3d				
ADM 17	24e	Special Research and Reactor Materials Allocations Files-Essential Materials Reports	II-NNA-2257 item 9				
ADM 17	24f	Special Research and Reactor Materials Allocations Files-Accountability Reports	II-NNA-2257 item 15				
ADM 17	24g	Special Research and Reactor Materials Allocations Files-Essential (non-SS) Materials Accountability	II-NNA-2838 item 2				
ADM 17	24h	Special Research and Reactor Materials Allocations Files-SS Material Accountability and Transfer Files	N1-434-98-21 item 56				
ADM 17	24i	Special Research and Reactor Materials Allocation files – Daily Line Operations Reports	II-NNA-962 item 3				
ADM 17	24j	Special Research and Reactor Materials Allocation Files - feed and waste reports	II-NNA-1598 item 2				
ADM 17	24k	Special Research and Reactor Materials Allocation Files - Product Withdrawal Sheets	NN-170-21 item 1				
ADM 17	24l1	Special Research and Reactor Materials Allocations Files - SS Material Shipping Form Files	II-NN-3225 item 1a				
ADM 17	24l2	Special Research and Reactor Materials Allocations Files - Copies Filed in Shipping and Receiving	II-NN-3225 item 1b				
ADM 17	24m1	Special Research and Reactor Materials Allocations Files-Copies Forwarded to Staff Division	II-NNA-1735 item 7a				
ADM 17	30c1	Project Planning and Design files – projects costing more than \$750,000	NC1-434-78-2 item 1c(1)				
ADM 17	30c2	Project Planning and Design Files – projects costing less than \$750,000	NC1-434-78-2 item 1c(2)				
ADM 17	31a1	Project Construction Files- for completed projects	NC1-434-78-2 item 2a(1)				
ADM 17	31b1	Project Construction Files - Unique or Special Interest	NC1-434-78-2 item 2b(1)				
ADM 17	31b2	Project Construction Files – Other Projects	NC1-434-78-2 item 2b(2)				
ADM 17	32a	Quality Assurance Records – significant value – safe operations	NC1-434-82-6 item 1				

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GRS 18	11	Investigative Files for fire, explosions and accidents	GRS 18, 1960, item 12	GRS 5.6	100	Accident and incident records	DAA-GRS-2017-0006-0013
ADM 18	11.1b	Occurrence Reporting - Records relating to occurrences – maintained by other offices	NC-326-75-2 item 1b				
ADM 18	11.1c	Security, Emergency Planning and Safety Records – Correspondence files for facility safety program	II-NNA-1023 item 2				
ADM 18	11.1e	Security, Emergency Planning and Safety Records – reports, statistics and correspondence on traffic and property damage accidents	II-NNA-1023 item 3				
GRS 18	19a	Guard Service Control Files - Control center key or code records, emergency call cards, and building record and employee identification cards	GRS 18, 1960, item 20a	GRS 5.6	090	Records of routine security operations	DAA-GRS-2017-0006-0012
ADM 18	35.1a	Records that Demonstrate the Capability for Safe Operations – Individuals	N1-434-98-21 item 35.1a	DOE 2.6	030	Individual Technical Qualification Program (TQP)/electronic Technical Qualification Program (eTQP) Records	none, filing instructions only
ADM 18	35.1b	Records that Demonstrate the Capability for Safe Operations - Items	N1-434-98-21 item 35.1b				
ADM 18	48	Hazardous Materials	NC1-430-76-7 item 1				
ADM 18	49	Requests for Materials	II-NNA-1735 item 2				
ADM 18	50b	Materials Allotment files	II-NNA-1735 item 1b				
ADM 18	51a	Materials Allotment Files – offices with authority	II-NNA-1735 item 3a				
ADM 18	51b	Materials Allotment Files – operations offices	N1-434-98-21 item 51b				
ADM 18	51c	Materials Allotment Files – area offices	N1-434-98-21 item 51c				
ADM 18	52a(1)	Accountability Reports – June - December	NN-166-78 items 1a(1) - 1a(3)				
ADM 18	52b(1)	Accountability Reports – Materials Leasing Office – June – December	NN-166-78 item 1b(1)				
ADM 18	53b	Reports of Apparent Losses - other	NC-430-76-3 item 5b				
ADM 18	55	SS Transfer Journal	II-NNA-1735 item 4				
ADM 18	56	SS Material Transfer Files	N1-434-98-21 item 56				
ADM 18	57a	SS Material Shipping Form Files- DOE Offices	II-NN-3225 item 1a				
ADM 18	57b	SS Material Shipping Form Files – Shipping/Receiving Contractor	II-NN-3225 item 1b				
ENV 1	b(2)	Safety and Health Records - Tier Two Emergency and Hazardous Chemical Inventory Reports	N1-434-98-28 item 1b(2)				
ENV 1	b(3)	Safety and Health Records - Toxic Chemical Release Inventory Report	N1-434-98-28 item 1b(3)				
ENV 1	b(4)(b)	Safety and Health Records - other Safety Analysis	N1-434-98-28 item 1b(4b)				
ENV 1	b(5)(a)	Safety and Health Records - Safety and Health CERCLA/RCRA Documents	N1-434-98-28 item 1b(5a)				
ENV 1	b(5)(b)	OSHA, NIOSH Records	N1-434-98-28 item 1b(5)(b)				
ENV 1	d(10)(c)	Environmental Administration Reports - compliance reports	N1-434-98-28 item 1d(10)(c)				
ENV 1	d(11)	Environmental Administration Reports - Reports of Noncompliance	N1-434-98-28 item 1d(11)				

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ENV 1	f(1)	Planning Records - Environmental Monitoring Plan Records	N1-434-98-28 item 1f(1)				
ENV 1	f(3)	Planning Records - Waste Minimization and Pollution Prevention Plan Records	N1-434-98-28 item 1f(3)				
ENV 1	f(4)	Planning Records - Waste Stream Identification Plan Records	N1-434-98-28 item 1f(4)				
ENV 1	g(5)(a)	Computer Model Radiation Calculation Records - methodology calculations	N1-434-98-28 item 1g(5)(a)				
ENV 1	j(2)	Remediation Management Records - Expedited Response Action	N1-434-98-28 item 1j(2)				
ENV 2	b(1)	Leak Inspection Files	N1-434-98-28 item 2b(1)				
ENV 2	b(2)	Spill Records	N1-434-98-28 item 2b(2)				
ENV 2	b(3)	Polychlorinated Biphenyl Spill and Cleanup Records	N1-434-98-28 item 2b(3)				
ENV 2	b(4)	Spill Response and Remediation Plan Files	N1-434-98-28 item 2b(4)				
ENV 2	b(5)	Spill Prevention Control and Counter-Measures (SPCC)	N1-434-98-28 item 2b(5)				
ENV 2	c(1)	In-Use Chemical Inventory Files	N1-434-98-28 item c(1)				
ENV 2	c(2)	Storage Inventory Files	N1-434-98-28 item 2c(2)				
ENV 2	c(3)	Low-Level Radioactive and Mixed Waste Inventory Files	N1-434-98-28 item 2c(3)				
ENV 2	c(4)	Hazardous Waste Inventory Files	N1-434-98-28 item 2c(4)				
ENV 2	c(5)	Transuranic Waste Inventory Files	N1-434-98-28 item 2c(5)				
ENV 2	d(1)	Hazardous Substance Transfer files	N1-434-98-28 item 2d(1)				
ENV 2	d(2)	Low-Level Radioactive Waste Management Disposal Requests and Shipment Records	N1-434-98-28 item d(2)				
ENV 2	d(4)	Transuranic Shipment Records	N1-434-98-28 item d(4)				
ENV 2	e(1)	Waste Stream Identification and/or Characterization Studies	N1-434-98-28 item 2e(1)				
ENV 2	j(1)	Underground Storage Tank Files - subject to excavation	N1-434-98-28 item 2j(1)				
ENV2	j(2)	Underground Storage Tank File s- subject to remediation	N1-434-98-28 item 2j(2)				
ENV 3	b(1)(d)	RCRA Part A and B Permit - Required Monitoring Data	N1-434-98-28 item 3b(1)(d)				
ENV 3	b(3)(a)	Air Emissions Permit - supporting documentation	N1-434-98-28 item 3b(3)(a)				
ENV 3	c	Permit Petitions and/or Waivers	N1-434-98-28 item 3c				
ENV 3	e	Pesticide/Herbicide Records	N1-434-98-28 item 3d				
ENV 4	b(4)	Monitoring Baseline Study Report	N1-434-98-28 item 4b(4)				
ENV 4	b(5)	Hazardous Air Pollutant Baseline Study Records	N1-434-98-28 item 4b(5)				
ENV 4	b(7)	Stratospheric Ozone Protection Records	N1-434-98-28 item 4b(7)				
ENV 4	b(8)(a)	Criteria Pollutant Release Records	N1-434-98-28 item 4b(8)(a)				
ENV 4	b(8)(b)	Criteria Pollutant Source Inventory	N1-434-98-28 item 4b(8)(b)				

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Department of Energy
DISPOSITION AUTHORITIES FROZEN UNDER
EPIDEMIOLOGICAL MORATORIUM
(1989-2023)

Previous or Current				New Note: if this column is shaded, current schedule is still under the moratorium.			
Schedule	Item	Series Title	Disposition Authority	Schedule	Item	Series Title	Disposition Authority
			DOE Authority				DOE Authority
ENV 4	c	Meteorological Monitoring Records	N1-434-98-28 item 4c				
ENV 6	c	Waste Disposal Characterization Records - supporting documentation	N1-434-98-28 item 6c				
Web-Based Training and Tracking system		Course Content/Course Uploads	DAA-0434-2015-0003-0002	GRS 2.6	010	Non-mission employee training program records	DAA-GRS-2016-0014-0001
				Remaining portion will crosswalk to an IT schedule which is not under EPI and will be published in a future DOE Transmittal			
Las Vegas Contractor Schedule 1		Service Record Cards	N1-434-92-4 item 11				
Las Vegas Contractor Schedule 1		Health Unit Control Files - summarized on statistical report	N1-434-92-4 item 17a	DOE 2.7	010	Clinic Scheduling Records	DAA-GRS-2017-0010-0001
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee - on-the-job training checklist completed during qualification	N1-434-92-4 item 24a(1)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-0014-0003
				Remainder crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee - checklists completed for recertification	N1-434-92-4 item 24a(2)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-0014-0003
				Part crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee - documentation of qualification for one-time on special tests and operations	N1-434-92-4 item 24a(3)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-
				Part crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee- results of medical examinations	N1-434-92-4 item 24a(4)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-0014-0003
				Part crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee - attendance/completion records of training courses or sessions	N1-434-92-4 item 24b(1)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-0014-0003
				Part crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Training Records - Individual - Contract employee - correspondence, memoranda, agreements, authorizations, reports	N1-434-92-4 item 24b(2)	DOE 2.6	016	Individual Employee Administrative and Ethics Training Records (Federal and Contractor) (Excludes Mission Related Training)	DAA-GRS-2016-0014-0002 and DAA-GRS-2016-0014-0003
				Part crosswalks to GRS 2.6, item 010 which is not under EPI			
Las Vegas Contractor Schedule 1		Personal Injury Files (Workers Compensation)	N1-434-92-4 item 26	DOE 2.4	100	Workers Compensation (Personal Injury Compensation) Records	DAA-0434-2020-0013-0002 (in part)
				DOE 2.7	110	Individual Employee Occupational Injury/Illness Case File	DAA-0434-2020-0015-0004 (in part)
Uranium Mill Tailings Remedial Action (UMTRA) Project		Subcontract Records and Files, including payroll and employee time	N1-434-98-1 item 20a(1)	DOE 2.4	020	Time and Attendance Source and Input Records - Detailed records that include work locations	DAA-GRS-2019-0004-0002
				DOE 2.4	030	Time and Attendance Source and Input Records - Records that do not contain work locations (Contractor)	DAA-GRS-2019-0004-0002
				Remaining portion crosswalks to GRS 1.1 (subcontractor procurement records) and DOE 2.4 (Davis Bacon payroll records), which are not EPI. DOE 2.4 will be published once NARA approves in a future Transmittal			

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Previous or Current				New Note: if this column is shaded, current schedule is still under the moratorium.			
Schedule	Item	Series Title	Disposition Authority	Schedule	Item	Series Title	Disposition Authority
			DOE Authority				DOE Authority
Uranium Mill Tailings Remedial Action (UMTRA) Project	Subcontract Records and Files, including payroll and employee time		N1-434-98-1 item 20a(2)	DOE 2.4	020	Time and Attendance Source and Input Records - Detailed records that include work locations	DAA-GRS-2019-0004-0002
				DOE 2.4	030	Time and Attendance Source and Input Records - Records that do not contain work locations (Contractor)	DAA-GRS-2019-0004-0002
				Remaining portion crosswalks to GRS 1.1 (subcontractor procurement records) and DOE 2.4 (Davis Bacon payroll records), which are not EPI. DOE 2.4 will be published once NARA approves in a future Transmittal			
Uranium Mill Tailings Remedial Action (UMTRA) Project	Subcontract Records and Files, including access logs, subcontractor health and safety training rosters and incident and safety reports/logs		N1-434-98-1 item 20b	DOE 2.7	100	Occupational Injury and Illness Program Records	DAA-GRS-2017-0010-0002 (in part)
				DOE 2.7	110	Individual Employee Occupational Injury/Illness Case File	DAA-0434-2020-0015-0004 (in part)
				Only injury and illness records crosswalk to DOE 2.7, item 100; remaining crosswalk to DOE 2.7, item 110.			

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