

Grid Resilience State and Tribal Formula Grants

Formula Grants to States and Indian Tribes for Preventing Outages and Enhancing the Resilience of the Electric Grid

Bipartisan Infrastructure Law Section 40101(d)

Preparing and Submitting Your Application on FedConnect

January 2024



Content of this Recording

This recording will cover the following:

- What you need to know before applying for the Grid Resilience State and Tribal Formula Grant
- Registration and pre-application requirements
- How to submit an application using FedConnect
- Steps after submission
- Grant application overview videos:

https://www.energy.gov/gdo/application-guidance-videos-gridresilience-state-and-tribal-formula-grants-programs





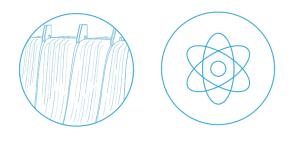
Overview Information

- The Grid Resilience State and Tribal Formula Grant program is designed to strengthen and modernize America's power grid against events such as:
 - wildfires,
 - extreme weather
 - other natural disasters that are exacerbated by the climate crisis.
- Eligible applicants include:
 - States,
 - Territories, and
 - Federally recognized Indian Tribes, including Alaska Native Regional Corporations and Alaska Native Village Corporations





DOE's Grid Deployment Office



The **Generation Credits Division** works with existing generation facilities to ensure resilience and reliability and works to improve electricity markets at the wholesale and distribution level.



The **Transmission Division** supports innovative efforts in transmission reliability and clean energy analysis and programs, and energy infrastructure and risk analysis in support of the Administration's priorities to enhance grid resilience.



The **Grid Modernization Division** oversees activities that prevent outages and enhance the resilience of the electric grid.





Grid Resilience State and Tribal Formula Grant: Before You Apply

Before you apply

- Understand the grant requirements.
- Find your funding allocation numbers.
- Determine who will apply for the grant and identify points of contact.
- Register with the proper online application systems.
- Download the application materials or request printed application material.

Access application materials: Energy.gov/gdo/gridresilience

Questions can be sent to:

GDOTribalAssistance@hq.doe.gov

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	FEDERAL FINANCING TOOLS V	
	Grid Resilience State/Tribal	
	Formula Grant Program	
	Grid Deployment Office	
Author Deploy	oyment Office » Federal Financing Tools » Grid Resilience State/Tribal Formula Grant Program ized by the Bipartisan Infrastructure Law and administered through the Grid ment Office, the Grid Resilience State and Tribal Formula Grants program is de	-
	ngthen and modernize America's power grid against wildfires, extreme weathe atural disasters that are exacerbated by the climate crisis.	r, and
Tribes,	ogram will distribute funding to States, Territories, and federally recognized Inc including Alaska Native Regional Corporations and Alaska Native Village ations, over five years based on a formula that includes factors such as popula nd area, probability and severity of disruptive events, and a locality's historica	ation

GRID DEPLOYMENT OFFIC



Guide to the Websites

Grid Deployment Office *Application Resources*

The most recent updates regarding the Grid Resilience State and Tribal Formula Grant, along with details about upcoming webinars, can be found on this page.



National Energy Technology Laboratory Application Forms and Documentation

On this page, you will be able to find how much funding is available for your entity, as well as the application forms and reporting documents.



FedConnect Application Submission

Applications should be submitted to FedConnect. Updates to the grant opportunity details are posted here.

Description		What do I do now?	Documentation
The purpose of this Administrative and L Requirements Docur (ALRD) is to provide to States and Indian preparation of Formu	nent guidance Tribes for	This is the opportunity summary page. To the left you will see a description and an overview of this opportunity. To the right you will use a list of the attached documentation. To view any of the attachments, simply click the attachment name.	Control DE-FOA-0002736 Contr
Overview		Registered Users	E DE-FOA-0002736
Reference number: Issue date: Response due:	DE-FOA- 0002736 03/20/2023 05/31/2023 11:59 AM US/F astern	To register interest in this opportunity or to electronically respond, you must first sign in. Click the Sign in button below.	Overview DE-FOA-0002736 Amendment 3 Overview DE-FOA-0002736
Set Aside: NAICS: PSC / FSC:		Non Registered Users You can view this or any other public opportunity. However, registered users have numerous added benefits including the ability to submit questions to the	Control Contr
Agency:	DOE	agency, receive emails concerning updates and amendments, create and manage a response team	DF-F0A-0002736
Contracting office:		and submit responses directly through this site.	C DE-FOX-0002736
National Energy Technology Lab U.S. Department of Energy Morgantown Campus 3610 Collins Ferry Road P.O. Box 880 Morgantown, WV 26507-0880		Becoming a registered user is fast, free and takes only a few minutes. To get started, click the Register Now button below. Register Now	

https://www.Fedconnect.net

Search Reference Number "DE-FOA-0002736" under Public Opportunities

Where can I find the application requirements?

Description		What do I do now?	Documentation
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Overview		Registered Users To register interest in this opportunity or to electronically respond, you must	E DE-FOA-000 🖾 🗆 🖾
Reference number: Issue date: Response due:	DE-FOA- 0002736 06/21/2023 08/31/2023 11:59 AM US/Eastern	first sign in. Click the Sign In button below. Sign In Non Registered Users	 Overview DE-FOA-000 DE-FOA-000 Amendment 3 Overview DE-FOA-000
Set Aside: NAICS: PSC / FSC:		You can view this or any other public opportunity. However, registered users have numerous added benefits including the ability to submit questions to the agency, receive emails concerning updates and amendments, create and manage a response team and submit responses directly through this site.	□ 🗀 Amendment 4 E Overview DE-FOA-000 □ 🗀 Amendment 5
Agency:	DOE	Becoming a registered user is fast, free and takes only a few minutes. To get	Overview DE-FOA-000
Contracting office: National Energy Te U.S. Department of Morgantown Camp 3610 Collins Ferry	f Energy us	started, click the Register Now button below. Register Now	Amendment 6 Overview DE-FOA-000 Amendment 7 Overview
P.O. Box 880 Morgantown, WV 2	6507-0880	Return to Public Opportunity List	DE-FOA-000

- Requirements can be found on FedConnect.net:
 - Administrative Legal Requirements Document (ALRD) Section IV – ALRD Response/Application Information
 - Make sure to access the most recent Amendment for the latest application requirements.



ADMINISTRATIVE AND LEGAL REQUIREMENTS DOCUMENT

How to navigate the ALRD

- The ALRD provides guidance for preparation of the formula grant application.
- Changes to the ALRD are highlighted in a different color.
- The GDO team is available to meet with grant applicants one-onone to discuss the grant.
- Please contact <u>GDOTribalAssistance@hq.doe.gov</u> to schedule a call.

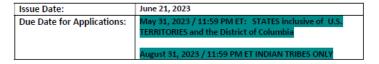


Department of Energy (DOE) Grid Deployment Office (GDO) National Energy Technology Laboratory (NETL)

BIL – PREVENTING OUTAGES AND ENHANCING THE RESILIENCE OF THE ELECTRIC GRID FORMULA GRANTS TO STATES AND INDIAN TRIBES

Announcement Number: DE-FOA-0002736 Announcement Type: Amendment 000007 Assistance Listing Number: 81.254, Grid Infrastructure Deployment and Resilience

The purpose of this Administrative and Legal Requirements Document (ALRD) is to provide guidance to States¹ and Indian Tribes² for preparation of Formula Grant applications submitted in response to Section 40101(d) of the Infrastructure Investment and Jobs Act (IIJA),³ also known as the Bipartisan Infrastructure Law (BIL).



¹ The term "States" includes herein all 50 States, U.S. Territories, and the District of Columbia.
² Pursuant to BlL Section 40001(2), "[t]he term 'Indian Tribe' has the meaning given the term in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304). See also 42 U.S.C. 18701(2).
³ Infrastructure Investment and Jobs Act (IIJA), Pub. L. No. 117-58 (Nov. 15, 2021), Sec. 40101(d) (codified at 42 U.S.C. 18711(d)), available at https://www.compress.gov/bill/117th-compress/house-bill/3684. This FOA uses the more compone as an effective transmission of the section of the section



Allocation of Funds

Allocations can be found on the National Energy Technology Laboratory (NETL) webpage.

- Allocations will be posted each year.
- Data used in the grant formula is updated yearly so allocations will not be the same each year.
- https://netl.doe.gov/bilhub/grid-resilience/formulagrants

Allocation of Funds



Grid Deployment Office

U.S. Department of Energy (DOE)

DOE's Allocation of Funds under the IIJA Section 40101(d) – Formula Grants to States and Indian Tribes for Preventing Outages and Enhancing the Resilience of the Electric Grid

ALRD Amendment 004

The U.S. Department of Energy (DOE) has determined the allocation of funds under Sec. 40101(d) of the Infrastructure and Jobs Act (42 U.S.C. 18711(d)) to States and Indian Tribes. The table below displays the total funding distribution for all eligible applicants listed alphabetically by entity grouping for Fiscal Year 2022 and Fiscal Year 2023.

Entity	Fiscal Year 2022 Fiscal Year 20
All	\$471,553,987 \$459,000,00
States + D.C.	
Alabama	\$6,732,655 \$6,712,268
Alaska	\$12,110,523 \$10,063,969
Arizona	\$6.677.864 \$6.609.969



Points of Contact

For your application, you will need to identify the:

- Sole authorized applicant on behalf of the State or Indian Tribe
- Principal Investigator/Technical Project Manager
- Business Point of Contact





Tribal Consortium Applications & Third-Party Agents

If your Tribe is interested in joining a tribal consortium

- Identify the lead Indian Tribe that will apply on behalf of the Tribal Consortium. They will need to identify the points of contact.
- Note: Tribal Consortium applications were added in Amendment 7 of the ALRD.

If your Tribe is interested in having a third-party representative

 Identify the third-party agent that will represent the Tribe or the Tribal Consortium.







Grid Resilience State and Tribal Formula Grant: Registration and Pre-Application Requirements

There are several one-time actions that must be completed before submitting an application in response to this ALRD:

- Register with System for Award Management (SAM)
- Obtain a Unique Entity Identifier (UEI)
- Register with FedConnect





System for Award Management (SAM.gov)

Applicants must register with the SAM at **https://www.sam.gov** prior to submitting an application in response to this ALRD.

- Designating an Electronic Business Point of Contact (EBiz POC) and obtaining a special password called an MPIN are important steps in SAM registration.
- The applicant must maintain an active SAM.gov registration with current information at all times during which they have an active Federal award or an application under consideration.
- It may take up to two weeks to register with SAM.

SAM, GOV®



Unique Entity Identifier (UEI)

- Applicants must obtain an UEI from the SAM to uniquely identify the entity. The UEI is available in the SAM entity registration record.
- Obtaining a UEI may take one day after entering the EBiz POC name and email in SAM.
- (The UEI replaces the DUNS number that was previously required for Federal grants)





FedConnect (www.fedconnect.net)

- Applicants must register with FedConnect to submit applications in response to the ALRD, and to receive the resulting grant award.
- Guide to FedConnect: FedConnect Ready, Set, Go! (https://www.fedconnect.net/FedConnect/Marketing/Docum ents/FedConnect_Ready_Set_Go.pdf)
- FedConnect Support: <u>support@fedconnect.net</u> or
- 1-800-899-6665, Option 2

Opportunity: BIL – PREVENTING OUTAGES AND ENHANCING THE RESILIENCE OF THE ELECTRIC GRID FORMULA GRANTS TO STATES AND INDIAN TRIBES

Description		What do I do now?	Documentation
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Set Aside: NAICS: PSC / FSC:		You can view this or any other public opportunity. However, registered users have numerous added benefits including the ability to submit questions to the agency, receive emails concerning updates and amendments, create and manage a response team and submit responses directly through this site.	Amendment 4 Overview DE-FOA-0002736 Amendment 5
Agency:	DOE	Becoming a registered user is fast, free and takes only a few	Overview DE-FOA-0002736
Contracting office: National Energy Technology Lab U.S. Department of Energy Morgantown Campus 3610 Collins Ferry Road P.O. Box 880 Morgantown, WV 26507-0680		Register Now Register Now Register Now Return to Public Opportunity List	Amendment 6 Overview DE-FOA-0002736 Amendment 7 Overview DE-FOA-0002736



Registration on FedConnect

- When you have your UEI and your SAM MPIN, you register in FedConnect.
- ► Go to www.fedconnect.net
 - Click the button labeled Register for Free Account.

► All the fields with the asterisk are required.

l, you can ee	FeedConnect The Government Acquisition & Gran Do you want the US federal government to buy your products or services? Or, are you seeking grants or assistance funding? FedConnect can help. Every day, FedConnect helps over 100,000 vendors and grant applicants, find, respond to and win opportunities for contracts, grants, and other types of assistance funding. To learn more about how FedConnect works, click here to review the tutorial. Do you work for a federal agency?	ts Portal	
FedConnect.	FedConnect is the perfect complement to FedBizOpps and Grants.gov. FedConnect goes beyond the basic features of those systems to provide	Contact Your Organization's FedConnect Act Contact FedConnect Support Help	dministrator
not have a FedConnect® account, a new company	ee. If your company already has a FedConnect® account, complete the requested information and account will need to be created. Only individuals who are designated as Points of Contact in SAM ster, you will receive further information. <u>Click here to review the terms and conditions.</u>	lick Register. If your company does an create a new company account.	
JEI SAM:			
extronic Funds Transfer (EFT) Indicator:	0		
irst name:	0 0 0		
he email address entered below will be your use ccount. mail:	ID for logging into the system. It will be also be the address we use to send you messages about (pportunities, awards, or your	GD
Register Cancel		* Require Field	GRID DEPLOYMENT C

Checking registration status and logging in

- After registering, you can check your registration status on the FedConnect landing page
- You can register as many people as needed for their own account.
- When the registration process is completed return to <u>www.fedconnect.net</u>.
 - Click Sign In Full Access
- The email address you used when you registered is what you put in the User ID field.
- Important! IF this is your very first sign in to FedConnect, then this is where you use that temporary password that expires 72 hours after it is emailed to you.
 - Once you sign in using the temporary password, FedConnect will prompt you to set a real password for your account.



The next thing you will see is the Sign In page.

Sign In FEDERAL COVERNMENT SYSTEM WARNING: This system provides access to data and information as part of US Government procurement opportunities and is to be used only in accordance with the "ten of user potentiation and outper provides access to data and information spant of US Government procurement opportunities and is to be used only in accordance with the "ten direct potentiation and outper provides access to data and information spant of US Government procurement opportunities and is to be used only in accordance with the "ten direct potentiation and outper provide advected on the spant of the potentiation and outper provide advected on the opportunities and is to be used only in accordance with the "ten direct the system corporation" (Ten System) (T
Jeer ID: *



Grid Resilience State and Tribal Formula Grant: Forms

Where can I find the grant application forms?

Application forms, templates and instructions are available here under *Applications Resources*, *Application Forms*



https://netl.doe.gov/bilhub/grid-resilience/formulagrants/forms-templates



New Notice of Intent (December 14, 2023): DE-POA-00003221 was insued The link to the NOI in <u>bars</u>. The Department of Samage aspects to open the FY 24 God Realismon States and Tabala Pormula Grann application and allocation sequent period in Jinuary 2024. The application period will open with the insume of an amended Administrative Legal Requirements Document providing instructions for FY 24 grant allocation requests. The FY 24 grant allocation requests are being accepted at this time.

Amendment 00⁺ of ALED DB-POA-0002754 (BIL – PREVENTING OUTAGES AND ENHANCING THE RESILIENCE OF THE ELECTRIC GRID FORMULA GRANTS TO STATES AND INDIAN TRIBES) was stread on june 21, 2023. The link to ALED Amendment 00⁺ (HoC) redConnect; in below, and and and a model in their to submit applications via Tabal Contortum. The submitsion deadline for indian tabes remains August 31, 2023. These and other admitture changes are summained on pages 2-3 of ALED Amendment 00⁺.

Managed by the US. Department of Energy's Goid Deployment Office and supported by the <u>Bipartian Infrastructure Law (BIL</u>), the Goid Resilience State and Thbal Formula Grants provide non-competitive finding to states (including US. Tenutories) and Indian tibles to improve the resilience of their electric gold. Administende by the National Energy Technology Laboratory and falling under BIL provision 40101(d), the program is designed to ittengthen and modernize America's power gold against validine, extreme weather, and other natural distates that are exaceholded by the climate crisis.

The program will distribute funding to states, territoties, and federally recognized Indian tribes, including Alasta Native Regional Corporations and Alasta Native Village Corporations, over five years based on a formula that includes factors such as population size, land area, probability and serveity of disruptive events, and a locality's historical expenditures on mitigation efforts. The states, territories, and Indian tubes will then arrand these funds to a diverse set of projects, with priority given to effort that generate community benefit providing clean, affordable, and reliable merge:



The Administrative and Legal Requirements Document (ALRD) and associated resources below provide eligible applicants with program details and requirements.

v Application Resources
Funding Allocations for FY24 (Updated December 2023)
Application forms Use these forms to build your application
Application Assistance Videos Watch these tradeos for detailed application instructions and tips
frequently Asked Questions (Updated December 20, 2023) Not sure about something? Cleack out our frequently asked questions



Required Application Documents

- Program Narrative
 - Public Notice & Hearing
- Designation Letter Head of Government Letter or Tribal Council Resolution or any other letter designating authority.
- SF-424 (Application for Federal Assistance)
- Environmental Questionnaire
- SF-LLL (Disclosure of Lobbying Activities)
- Pre-Award Information Sheet
- Cost Match Information

If Applicable

- Cost Match Commitment Letter
- FFRDC/NL Contracting Officer authorization

Refer to Section IV – ALRD Response/Application Information





Where can I find the grant application forms?

- Instructions on downloading files are listed on the Application Forms page
- Refer to Section IV ALRD Response/Application
 Information
- Forms can be used despite the expiration dates listed on forms found on this page.
- Application materials can be sent to Tribes, if requested through: <u>GDOTribalAssistance@hq.doe.gov</u>



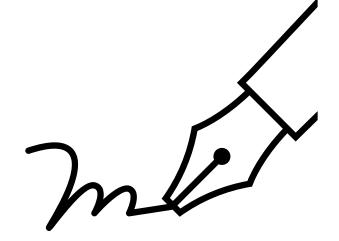
Download individual forms and templates for application

Application Document Type	File Name	Link to Form/Template	Information/References
Application for Federal Assistance (SF-424) The SF-424 is the financial form used when sequesting the federal allocation from the Grid Resilience State and Tribal Formula Grant.	Applicant Name SF424.pdf	<u>SF-424 Form</u>	SF-424 Instructions
Program Narrative The Program Narrative is the document that describes the plan for project development, including the objectives and metrics, cristeia, methoda, fonding distribution, equity approach, technical assistance and administration, and evidence of public notice and hearing	Applicant Name Program Narrative .doc or .pdf	Program Narrativa Template	Program Narrative Example Text from example can be copied into applications.
Environmental Questionnaire (NEPA) The environmental questionnaire included in the application is only for the technical assistance and administrative activities associated with the grant. Additional project- specific environmental questionnaires will be required when projects are approved.	Applicant Name Envpdf	Environmental Questionnaire Form	Fill in the first page and check the box for Group A. Then, proceed directly to Section III Certification by Propose.
SF-LLL Disclosure of Lobbying Activities This disclosure form should be completed by the grant applicant to disclose any lobbying activities. If there are no lobbying activities, please print, "No lobbying activities" in Section 10.a.	Applicant Name SF-LLL.pdf	SF-LLL Form	SF-LLL Instructions
Head of Government Letter or Tribal Council Resolution This document is required to venify that an application is submitted by the designated applicant.	Applicant Name Head of Government.pdf or Applicant Name Tribal Council Resolution.pdf	 Individual Head of Tubbal Government Lattary (Note this template is to be used if not applying as part of a consortium) Consortium Lead Tubb Head of Government Lettar Construium Participating Tubba Head of Government Lettar 	



Signatures on Forms

- ► The signatures on the application forms may be:
 - signed electronically
 - printed, signed by pen/ink and then scanned.
 - printed, signed by pen/ink and mailed in.
- Acknowledgement of award documents by the Grantee's authorized representative through electronic systems used by the Department of Energy constitutes the Grantee's acceptance of the terms and conditions of the award.
- Acknowledgement via FedConnect by the Grantee's authorized representative constitutes the Grantee's electronic signature.







Grid Resilience State and Tribal Formula Grant Forms - Program Narrative

Program Narrative

- GDO encourages applicants to describe the <u>strategy and</u> process for selecting and developing grid resilience projects in the application.
- Should be around 5 to 15 pages, depending upon the grant amount (allocation) and complexity of program.
- Sample Program Narrative is available on NETL website. If relevant, sections from the sample document can be directly used in applications.
- Save the information in a single file named (APPLICANT NAME PROGRAM NARRATIVE.pdf). Example: Wyoming Program Narrative.pdf

Bipartisan Infrastructure Law - Section 40101(d) PREVENTING OUTAGES AND ENHANCING THE RESILIENCE OF THE ELECTRIC GRID

> Tribe Name Applicant Name Submitted: November 18, 2022

Program Narrative

The Program Narrative is provided by [applicant name], as the officially designated applicant for [name] Indian Tribe, as part of the application for funding under the U.S. Department of Energy's IIJA Preventing Outages and Enhancing the Resilience of the Electric Grid Formula Grant Program.

1. Objectives and Metrics:

The Tribal Council, in consultation with its members, has established the following objectives and supporting metrics to guide decisions involving resilience investments made in conjunction with this grant.

Objective #1: Ensure that critical community facilities serving the Tribe's members are not impacted by events such as extreme weather conditions, fire or other events causing disruption to normal grid operations. Critical facilities include rural health care facilities, nursing home, dialysis center and community buildings.

Metrics (Provisional):

- Frequency (occurrences per year) of electricity service disruptions of one hour or more to critical facilities
- Number of hours/days critical facilities are closed due to lack of electricity
- Number of workers trained to operate and maintain the resilience project once completed

Objective #2: Address energy burden experienced by low-income Tribe members and disadvantaged Tribal communities that experience frequent disruptions to electricity service



Program Narratives should include several key items

Objectives and Metrics

3-5 objectives and metrics that are driving resilience investments in your application

Criteria

The criteria you will use for selecting and determining awards to eligible entities

Methods

A description of the process you will use to solicit, award and distribute the funds (and track the proposed metrics)

Funding Distribution

A description of the proposed funding distributions and categories of subgrant recipients

Benefits to all Tribes

(only for consortium applications) Statement on how the resilience projects will benefit all participating Tribes.

Equity Approach

A description of how the Tribe will ensure their proposal will incorporate: (a) quality jobs, prioritize the greatest community benefits, and (c) share or maximize project benefits across disadvantaged communities.

Technical Assistance & Administration

An explanation for how you plan to use the 5% of funds for TA and project administration

Public Notice & Hearing A description of public notice and hearing activities, as required by 40101(d)(2)(B)(ii)





Grid Resilience State and Tribal Formula Grant: Forms - Designation Letters

Designation Letter

- Verifies that the applicant is the sole authorized applicant on behalf of the State or Indian Tribe, or Tribal Consortium and has the authority to receive and administer the resulting grant agreement, including providing the required cost match.
 - Head of Government Letter,
 - Tribal Council Resolution,
 - Other instrument of appointment by the Indian Tribe or Alaska Native Corporation's legally authorized entity, consistent with their customs.
- Template for Designation Letter is available under forms.
- Save this document as APPLICANT NAME HEAD OF GOVERNMENT.pdf or APPLICANT NAME TRIBAL COUNCIL RESOLUTION.pdf. Examples:
 - Florida Head of Government Letter.pdf
 - Birch Creek Tribe Tribal Council Resolution.pdf





Designation letters for Tribal Consortiums

The consortium application package must include the following:

- Letter from each participating Indian Tribe designating the lead Indian Tribe to act on its behalf as lead Indian Tribe of the Tribal Consortium and receive their allocations;
- Lead Indian Tribe Letter accepting the designation as the lead for the Consortium. The letter should state that the lead Indian Tribe will apply for grant funding and administer the grant on behalf of all participating consortium Indian Tribes.





Grid Resilience State and Tribal Formula Grant: Forms - SF-424

- The SF-424 is the standard application used for all Federal grants and cooperative agreements.
- An instruction sheet is provided on the same web page where the form is posted.
- Recommended: Use the pre-filled form on the NETL webpage.
 - <u>https://netl.doe.gov/bilhub/grid-resilience/formula-grants/forms-templates</u>

View Burden Statement	OMB Number: 4040-0 Expiration Date: 12/31/2
Application for Federal A	ssistance SF-424
* 1. Type of Submission: Preapplication Application Changed/Corrected Applic	* 2. Type of Application: * If Revision, select appropriate letter(s): New Continuation * Other (Specify): cation
* 3. Date Received:	4. Applicant Identifier:
Sa. Federal Entity Identifier:	Sb. Federal Award Identifier.
State Use Only:	
6. Date Received by State:	7. State Application Identifier.
8. APPLICANT INFORMATION	
* a. Legal Name:	
* b. Employer/Taxpayer Identifica	don Number (EIN/TIN): * c. Organizational DUNS:
 b. Employer/Taxpayer Identification 	ton number (EIN TIN): "c. organizational DUNS:
d. Address:	
* Street1:	
Street2:	
* City:	
County/Parish:	
* State:	
Province:	
* Country: USA: UNI	TED STATES
* Zip / Postal Code:	
e. Organizational Unit:	
Department Name:	Division Name:
f. Name and contact informati	on of person to be contacted on matters involving this application:
Prefix:	First Name:
Middle Name:	
* Last Name:	
Suffix:	
Title:	
Organizational Affiliation:	
* Telephone Number:	Fax Number:



Section 8

8. APPLICANT INFORMATION:	
* a. Legal Name:	
* b. Employer/Taxpayer Identification Number (EIN/TIN):	* c. Organizational DUNS:
d. Address:	

- ▶ The Organizational DUNS number is no longer used. "8.c. Organizational DUNS" should include the UEI.
- If you are using an older version of the SF-424, the SF-424 might not accept the UEI number in the DUNS field (8c). If this happens you may either:
 - Use a more recent version of the form
 - Leave the field blank and include the UEI in the Pre-Award Information Sheet submitted with your application.



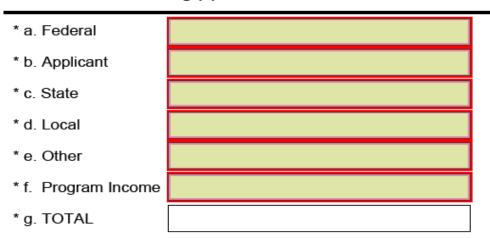
Section 17 – Proposed Project Start and End Dates

17. Proposed Project:	
* a. Start Date:	* b. End Date:

You may leave these fields blank. The project start date will be the date the DOE Contracting Officer executes the grant agreement, and the end date will be five years after the start date.



Section 18 – Estimated Funding



18. Estimated Funding (\$):

- The "a. Federal" funding should include the available allocation for your Indian Tribe or all Tribes within a Tribal Consortium.
- Funding allocations are listed under Application Resources here: <u>Grid Resilience State And Tribal</u> <u>Formula Grant | netl.doe.gov</u>
- The "b. Applicant" funding will be the 15% cost match on the Federal allocation
- All other funding fields (c, d, e and f) will be \$0

Note that the required cost match provided by eligible entities performing resilience projects will be captured when you provide the resilience project and subaward notification (post-award)

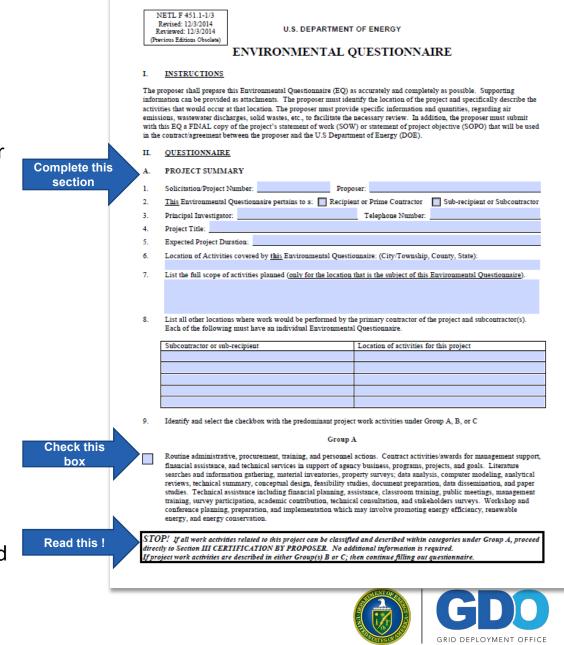




Grid Resilience State and Tribal Formula Grant: Forms - Environmental Questionnaire

Environmental Questionnaire

- The NEPA Environmental Questionnaire you submit with your grant application will address only the technical assistance and administrative activities.
 - The initial award will only authorize the technical assistance and administrative activities
 - Resilience projects and associated subawards must be approved individually post-award and will require separate Environmental Questionnaires.
- Technical assistance and administrative activities are "Group A" activities.
- For the initial grant application, once you complete Section II Questionnaire, you may check the Group A box, then proceed directly to Section III Certification by Proposer and sign the form.

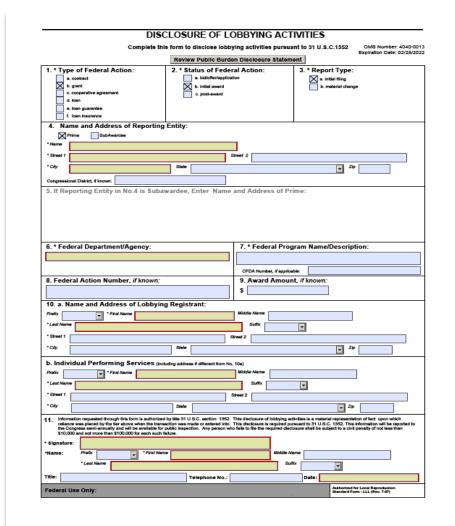




Grid Resilience State and Tribal Formula Grant: Forms - SF-LLL

SF-LLL (Disclosure of Lobbying Activities)

- Applicants may not use any federal funds to influence or attempt to influence, directly or indirectly, congressional action on any legislative or appropriation matters.
- Ensures that non-federal funds have not been paid and will not be paid to any person for influencing or attempting to influence any of the following in connection with the application:
 - An officer or employee of any federal agency;
 - A Member of Congress;
 - An officer or employee of Congress; or
 - An employee of a Member of Congress.
- If the applicant does not lobby and has no lobbyist, fields in Section 10 may be marked "not applicable"
- ► A SF-LLL is required by all Tribes in a Tribal Consortium.







Grid Resilience State and Tribal Formula Grant: Forms - Pre-award Information Sheet

Pre-Award Information Sheet

- The Pre-Award Information Sheet provides important business and financial information that will allow the DOE to process your application and establish the appropriate terms & conditions for the grant agreement.
- > All data elements within Pre-award Information Sheet are mandatory.





Pre-Award Information Sheet



Information for Determining Cognizant Agency/Office

- Department of Health & Human Services (DHHS) or the Office of Naval Research (ONR) is usually the CFA for Universities, Cities, States, and Counties.
- The CFA is the Federal agency (e.g., Department of Defense, Department of Energy, etc.) that provided the largest amount of funding for your awards, across all federal agencies.
- You may skip the tables in this section if DHHS/ONR is the cognizant agency.
- Information regarding the Grid Resilience Formula Grant does not need to be included in the tables.



NATIONAL ENERGY TECHNOLOGY LABORATORY Albany, OR • Morgantown, WV • Pittsburgh, PA Last Updated March 22, 2022

PRE-AWARD INFORMATION SHEET

THE USE OF THE PRE-AWARD INFORMATION SHEET TEMPLATE IS OPTIONAL, BUT ALL DATA ELEMENTS WITHIN THE PRE-AWARD INFORMATION SHEET ARE MANDATORY.

Name of Organization Street Address City and County State and Zip + 4 (matches the USPS database)	Name and address must match SAM registration.
ALRD/FOA Number	DE-FOA-0002736
Business Officer and contact information:	Please provide complete contact information including telephone number and email address and physical street address (if different than above) for the person who will be responsible for administering this award.
Technical Project Manager and contact information:	Please provide complete contact information including telephone number and email address and physical street address (if different than above) for the person who will be responsible for the technical aspects of this award.
Unique Entity Identifier	Unique Entity Identifier means the identifier assigned by SAM to uniquely identify business entities.
Organization's Fiscal Year End Date	

A. BUSINESS ASSURANCES AND PAYMENT INFORMATION

1. DISCLOSURE OF POTENTIAL IMPROPRIETIES

Below, please disclose if any of the following conditions exist. If the answer to any question (a) through (g) below is yes, provide a detailed explanation in an attachment to this document.

a. Is the proposed Recipient, Subrecipient(s), or any of the Recipient's or Subrecipient's principals¹ under investigation for or charged with a covered offense²?





Grid Resilience State and Tribal Formula Grant: Forms - Cost Match and Optional Forms

Cost Match Information

Applicants must provide the following information in support of their 15% cost match:

- Cost Match Value (\$)
- Identify the source/organization of the proposed cost match
- Type of Cost Match (cash or in-kind)
- Provide a description of their proposed cost match (e.g., cash would be used for personnel, fringe, supplies, etc. for technical assistance and administrative activities associated with the grant)

Save this information in a single file named APPLICANT NAME COST MATCH INFO.pdf).





Cost Match Requirements

When must the cost match be provided?

- States, Tribes and Territories must agree that they will provide cost match, as part of the terms of the grant agreement.
- However, cost match does not need to be provided up front.
- Cost match should be provided/disbursed as federal funds are expended during the course of project execution and drawdown of federal funds. States, Indian Tribes, and subrecipients may utilize in-kind contributions to meet cost match requirements, where applicable.



Cost Match Commitment Letter(s)

Only required if another entity is committed to funding the applicants cost match.

- Tribes participating in a consortium that will provide cost match should submit a cost match commitment letter.
- The letter should state which party is committed to providing a specific minimum dollar amount of cost match.
- Identifies the type of proposed cost match (e.g., cash, services, and/or property) to be contributed
- Signed by the person authorized to commit the expenditure of funds by the entity.
- The applicant should submit the letter(s) in PDF format. Save this information in a single file named "CMCL.pdf"





Authorization for DOE/NNSA FFRDC/NLs or non-DOE/NNSA FFRDCs/NLs

Only required if working with a Federally-Funded Research & Development Corporation (FFRDC) or National Laboratory (NL)

- Submit this only if the State or Indian Tribe proposes to use a FFRDC or NL to provide technical assistance for the grant.
- The assigned Federal Contracting Officer must authorize the contractor, in writing, the participation of the FFRDC or National Laboratory.
- Instructions for submitting this form are in ALRD Section IV. B. ii.9.







Grid Resilience State and Tribal Formula Grant: How to Submit an Application

How to Submit Your Application

- Submit your complete application through FedConnect in accordance with ALRD Section IV ALRD Response/Application Information. Follow submission instructions including the guidance pertaining to:
 - Required documents
 - Content and form of documents
 - File naming convention for documents
- DOE recommends submitting your application as soon as possible (prior to the application deadline) as funds are awarded on a rolling basis.
- FedConnect Ready, Set, Go! User guide for FedConnect.
- Send any questions to <u>GDOTribalAssistance@hq.doe.gov</u>

Applications can also be mailed to the following address:

FOA GD0002736

Energy Delivery & Security Directorate National Energy Technology Laboratory Building 39-214A, MS: P02A 3610 Collins Ferry Road Morgantown, WV 26505



Option 1: Apply directly from the opportunity before signing in

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			Registered Users Fo register interest in this opportunity or to electronically respond, you must first sign in. Click the Sign In	DE-FOA-0002736	
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E- FOA-0002736. egistered users can ick Sign In.	Reference number: Issue date: Response due:	DE-FOA- 0002736 06/21/2023 08/31/2023 11:59 AM US/Eastern	Sign In Non Registered Users You can view this or any other public opportunity. However, registered users have numerous added benefits include the table opportunity and the public opportunity.	 Overview DE-FOA-0002736 Amendment 3 Overview DE-FOA-0002736 Amendment 4 	
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	Agency: Contracting office:	DOE	Button below. Register Now	Overview DE-FOA-00 Amendment 6	

Option 2: Searching for the opportunity after signing in

> You can also search for the opportunity after signing into FedConnect using the Basic Search bar:

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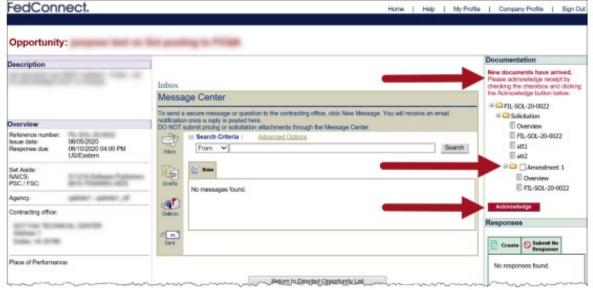
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Accepting Modifications and Creating Responses







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Confirming Grant Application Submission

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Grid Resilience State and Tribal Formula Grant Once You've Submitted: Next Steps

After Submission



- NETL will reach out to applicants if there are errors or missing information in the submission
- Grant recipients may, at any time <u>after they receive the grant</u>, provide notification and documentation to DOE for resilience projects and subawards. This process is described in ALRD Section V.G Resilience Project and Subaward/Subcontract Notification.
 - Be aware that review of the documentation and required written concurrence by DOE may take considerable time and will be impacted by factors such as National Environmental Policy Act (NEPA) considerations. Please allow sufficient time for this process from when you provide the required information to DOE.





Thank you!

Information on additional webinars and support for the BIL Section 40101(d) formula grant program will be posted on energy.gov/gdo/gridresilience as it becomes available.

Questions may be submitted through FedConnect or through <u>GDOTribalAssistance@hq.doe.gov</u>

Definitions

- ALRD Administrative Legal Requirements Document The guidance document that lists the requirements of the grant.
- Authorized Representative/Authorized Official The Authorized Representative or Authorized Official is the person with the official & documented authority to certify information and sign official documents, such as grant applications, on behalf of the applying entity.
- Principal Investigator/Technical Project Manager The Principal Investigator should be the applicant's technical project manager for the projects & technical activities performed under the grant and is responsible for reporting on all technical aspects of the work being performed.
- Business Point of Contact The Business Point of Contact should be the person who will be responsible for administering the financial aspects of the grant and completing and certifying financial reporting requirements.

