



FINANCIAL ASSISTANCE LETTER

This Financial Assistance Letter is issued under the authority of the Senior Procurement Executives of DOE and NNSA. It is intended for use by procurement professions of DOE, primarily Contracting and Grants Officers, and other officials of DOE that participate in the acquisition process. Other parties are welcome to its information, but definitive interpretations of its effect on DOE solicitations, Funding Opportunity Announcements, Awards, and related procedures, if any, may only be made by DOE Contracting and Grants Officers.

Subject: Financial Assistance Letters that remain in effect.

Financial Assistance Letters (FALs) that remain in effect are identified below. All other previously issued FALs have been superseded by a formal rulemaking, incorporated into other guidance, and/or discontinued as indicated.

FINANCIAL ASSISTANCE LETTERS REMAINING IN EFFECT

ID #	DATE	SUBJECT
FAL 2016-03	5/5/2016	Pre-Award Risk Assessments and the utilization of the Federal Awardee Performance and Integrity Information System (FAPIIS).
FAL 2021-01	11/13/2020	Implementation of Executive Order 13798 of May 4, 2017, Promoting Free Speech and Religious Liberty
FAL 2022-02	12/20/2021	Department of Energy Interim Conflict of Interest Policy Requirements for Financial Assistance
FAL 2022-04	6/1/2022	Department of Energy Current and Pending Support Disclosure Requirements for Financial Assistance
FAL 2022-05	9/23/2022	Continued Use of Real Property and Equipment After the Award Period of Performance Ends
FAL 2022-06	9/27/2022	Implementation of the DOE Determination of Exceptional Circumstances under the Bayh-Dole Act to Further Promote Domestic Manufacture of DOE Science and Energy Technologies - Class Deviation
FAL 2023-01	11/1/2022	Buy America Requirement for Infrastructure Projects in Financial Assistance Awards
FAL 2023-02	3/23/2023	Implementation of the Consolidated Appropriations Act, 2023, Division D (Energy and Water Development and Related Agencies Appropriations Act, 2023), Title III and Title V; and Division E (Financial Services and General Government Appropriations Act, 2023), Title VII of Pub. L. No. 117-328

FAL 2023-03R	7/25/2023	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2023, Division D, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years? Appropriations Acts
FAL 2023-04	5/31/2023	Two-Year Cost-Share Pilot Program Extension

FINANCIAL ASSISTANCE LETTERS DISCONTINUED WITH THIS FAL

ID #	DATE	SUBJECT	REASON FOR DISCONTINUANCE
FAL 2016-01	2/29/2016	Implementation of Division D, Titles III and V, and Division E, Title VII of the Consolidated Appropriations Act, 2016, Pub.L. No 114-113.	Superseded by FAL 2017-05
FAL 2017-03	6/22/2017	Congressional Notifications and Quarterly Reporting	Superseded by FAL 2018-03
FAL 2017-05	7/25/2017	Implementation of Division D, Titles III and V, and Division E, Title VII of the Consolidated Appropriations Act, 2017, Pub.L. No 115-31	Superseded by FAL 2018-04
FAL 2018-01	10/3/2017	STRIPES Mandatory Use Policy	Discontinued
FAL 2018-02	1/30/2018	FALs remaining in effect	Discontinued
FAL 2017-03	6/22/2017	Congressional Notifications and Quarterly Reporting	Superseded by FAL 2018-03
FAL 2018-03	5/16/2018	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2018, Division D, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years' Appropriations Acts.	Superseded by FAL 2019-02
FAL 2018-04	5/24/2018	Implementation of Division D, Title III and Title V, and Division E, Title VII of the Consolidated Appropriations Act, 2018, Pub. L. No. 115-141.	Superseded by FAL 2019-01
FAL 2019-01	12/10/2018	Implementation of Division A, Title III and Title V of the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019, Pub. L. No. 115-244.	Superseded by FAL 2020-01

FAL 2019-02	1/11/2019	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with Division A, Title III Section 301 of the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019	Superseded by FAL 2020-02
FAL 2020-01	1/27/2020	Implementation of Further Consolidated Appropriations Act, 2020, Division C (Energy and Water Development and Related Agencies Appropriations Act, 2020), Title III and Title V, Pub. L. No. 116-94; and Division C, Title VII of the Consolidated Appropriations Act, 2020, Pub. L. No. 116-93	Superseded by FAL 2021-03
FAL 2020-02	2/18/2020	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2020, Division C, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years' Appropriations Acts.	Superseded by FAL 2021-04
FAL 2020-03	3/11/2020	Implementation of Executive Order 13853, Establishing the White House Opportunity and Revitalization Council, to Encourage Public and Private Investment in Urban and Economically Distressed Areas, including Qualified Opportunity Zones, by using Public Funds	Discontinued - Cancelled/Revoked by EO 14091
FAL 2021-03	2/11/2021	Implementation of the Consolidated Appropriations Act, 2021, Division D (Energy and Water Development and Related Agencies Appropriations Act, 2021), Title III and Title V; Division E (Financial Services and General Government Appropriations Act, 2021), Title VII; and Division Z (Energy Act of 2020), Title IX, of Pub. L. No. 116-260.	Superseded by FAL 2022-03
FAL 2021-04	3/15/2021	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2021, Division D, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years' Appropriations Acts	Superseded by FAL 2023-03
FAL 2022-01	11/15/2021	Implementation of the DOE Determination of Exceptional Circumstances under the Bayh-Dole Act to Further Promote Domestic Manufacture of DOE Science and Energy Technologies - Class Deviation	Superseded by FAL 2022-06

FAL 2022-03	4/11/2022	Implementation of the Consolidated Appropriations Act, 2022, Division D (Energy and Water Development and Related Agencies Appropriations Act, 2022), Title III and Title V; and Division E (Financial Services and General Government Appropriations Act, 2022), Title VII of Pub. L. No. 117-103.	Superseded by FAL 2023-02
FAL 2023-03	4/5/2023	Congressional Notifications and Quarterly Reporting to the Appropriations Committees in Accordance with the Energy and Water Development and Related Agencies Appropriations Act, 2023, Division D, Title III, Section 301 for Contract, Financial Assistance, or Other Transaction Agreement Actions to Include Related Congressional Notifications for Prior Fiscal Years? Appropriations Acts	Superseded by FAL 2023-03R