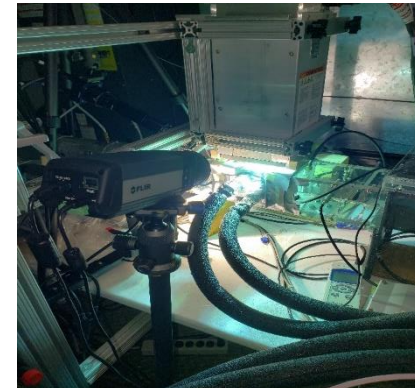
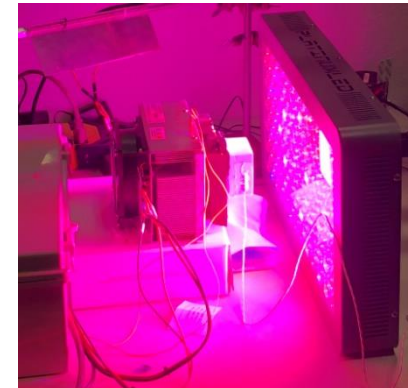
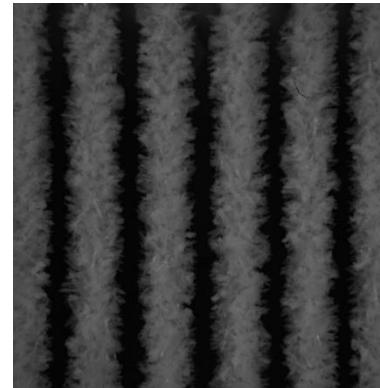


Analysis of Frost Formation and Novel Defrost Techniques for Commercial Refrigeration Applications (CRADA Hillphoenix/Dover Food Retail)

Oak Ridge National Laboratory
PI: Kashif Nawaz, Section Head of Building Technologies Research
865-241-0972, nawazk@ornl.gov
Presenter: Pengtao Wang, R&D Associate Staff Member
865-341-2423, wangp@ornl.gov
WBS # 3.2.2.38



Project Summary

Objective and outcome

Development of an understanding of frost formation on heat exchanger surfaces and establishment of framework to (i) delay frost formation and (ii) defrost using passive and active technique including radiations and vibrations. The team will demonstrate scalable and cost-effective techniques for defrost.

Team and Partners

Oak Ridge National Lab

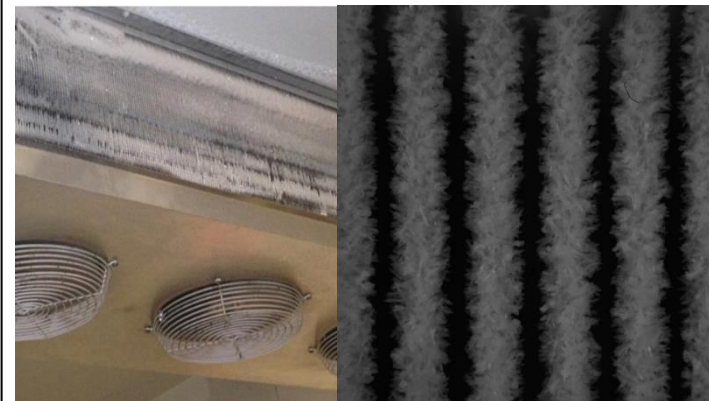
Kashif Nawaz, Brian Fricke, Ahmed Elatar, Tony Gehl, Pengtao Wang

Johnson Controls Inc.

Roy Crawford

Hillphoenix

Scott Martin



OAK RIDGE
National Laboratory

Hillphoenix

A DOVER COMPANY

**Johnson
Controls**

Heraeus

Status

Performance Period: Oct 2021 –Dec 2024

DOE budget: \$200K/year, Cost Share: \$75K/year

Milestone 1: Evaluation of frost formation on surfaces

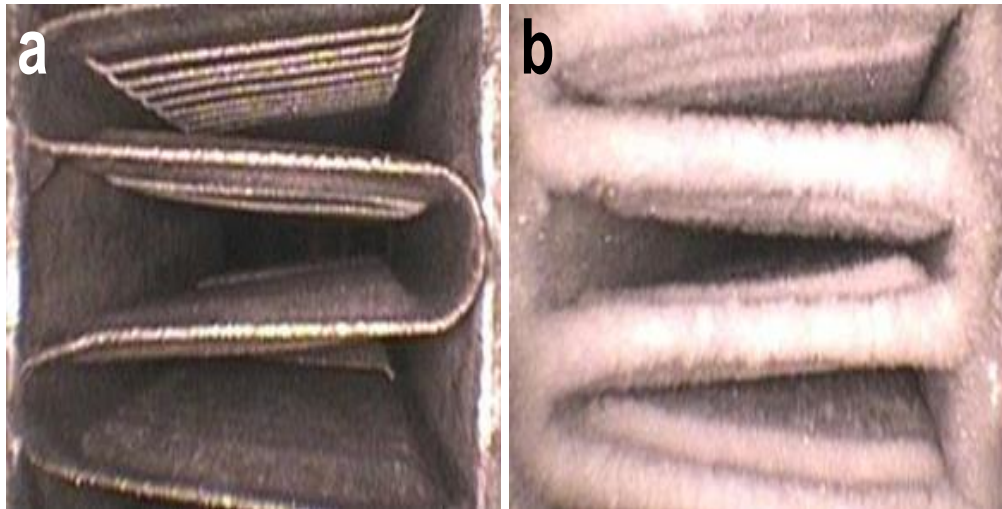
Milestone 2: Analysis of active defrost (Radiation)

Milestone 3: Analysis of active defrost (Vibration)

Milestone 4: Filed study of passive/active defrosting.

Problem

- Frost formation presents a challenge for refrigerators/freezers and cold climate heat pumps in commercial and residential applications.
- Frost buildup increases pressure drop (fan power) and decreases heat transfer, due to the reduced airflow area and thermal conductivity when compared to the base heat exchanger material.
- The efficiency degradation, due to frost growth and the energy required to defrost, can account for 15-25% of commercial refrigerators' annual electric energy consumption.
- The development of effective frost mitigation and defrost technology can lead to more than 200TBtu/year of U.S. primary energy savings, and carbon reduction of 12 MMT CO₂/year.



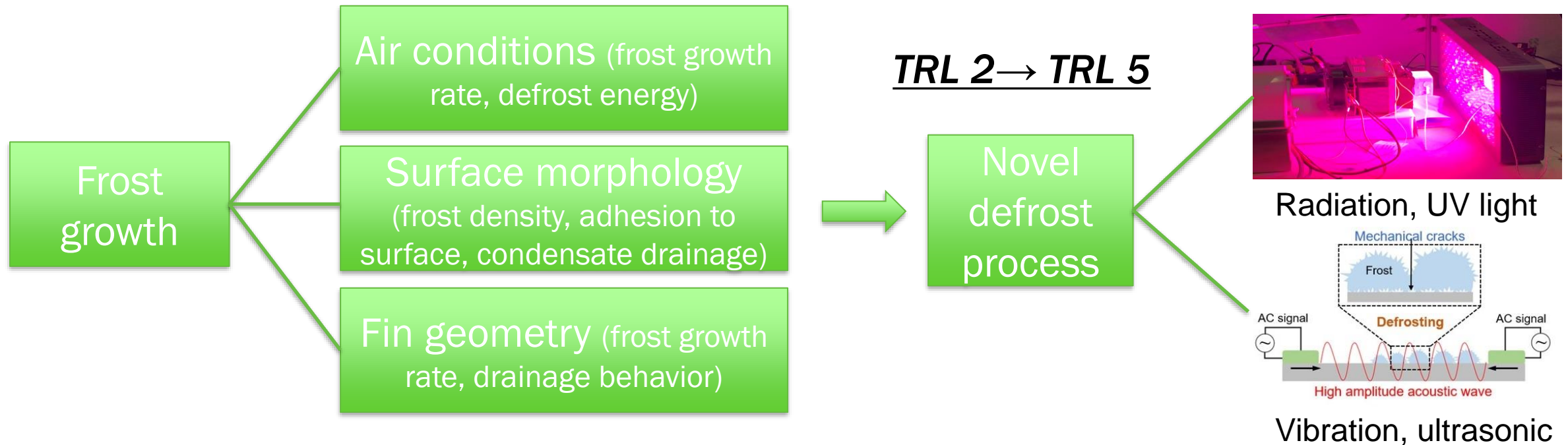
Microchannel sample under frosting conditions. Images (a) and (b) represent times of 0 and 8 minutes, respectively, after starting the test.

$$T_{\text{surface}} = -8^{\circ}\text{C} \text{ and } T_{\text{air}} = 1.7/0.6^{\circ}\text{C } T_{\text{db}}/T_{\text{wb}}.$$



Frost/ice buildup in the outdoor coils of cold climate heat pumps in Alaska.

Approach

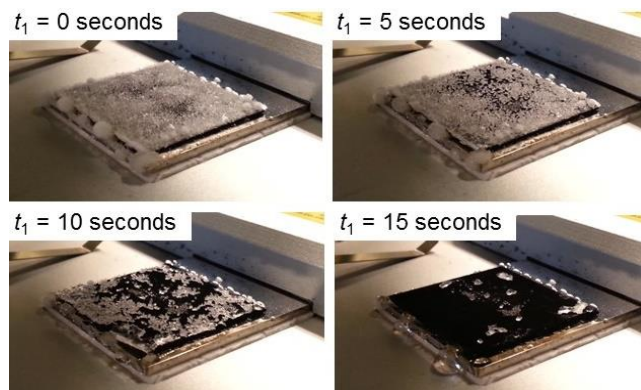


Evaluate the potential of **radiation-based** and **vibration-based** defrost processes for deployment in commercial refrigeration and cold climate heat pumps.

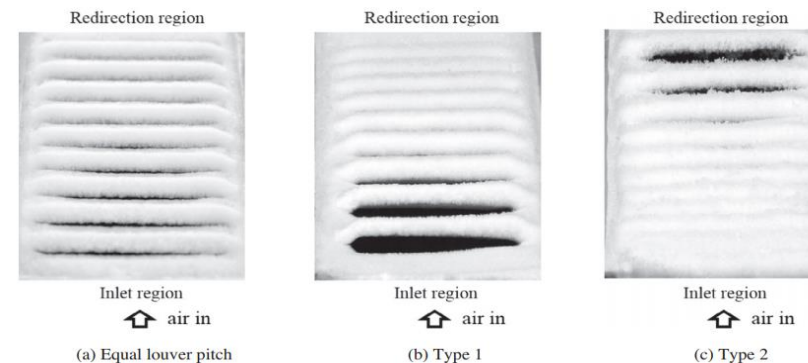
- Radiation-based and vibration-based defrost can provide novel approaches with significantly lower energy requirements; the process can be accomplished continuously or intermittently.
- To evaluate the impact, it is important to
 - I. Characterize the frost growth on various surfaces (density, thermal conductivity, thickness, etc.)
 - II. Analyze various radiation and/or vibration sources for appropriateness for the proposed applications (interaction with air, bare surface, and surface with frost)

Progress- Overview of Existing Technology

Frost Mitigation Techniques	
Upstream air treatment	1-Reducing inlet air humidity 2-Preheating inlet air 3-Increasing inlet airflow rate
Heat exchanger modifications	1-Adjusting fin and tube geometry 2-Fin type selection 3-Coating treatment on fin surface
System modifications	1-Vapor-injection technique 2-Two-stage technique 3-Adding outside heating source 4-Adjusting refrigerant distribution



Oil-infused SLIPS can assist the condensate removal after defrost



Variable louver angle/pitch fins can mitigate the adverse effect of frost growth better than those with uniform geometry

Progress- Overview of Existing Technology

Method	System complexity	System stability	Frost mitigation	Scalability	Capital cost	Operational cost
Preheating the air stream	High	High	High	Moderate	High	High
Increasing air flow rate	High	High	Moderate	Moderate	High	High
Adjusting fin geometry	Low	High	Moderate	High	Moderate	Low
Fin type selection	Low	High	Low	High	Moderate	Low
Surface morphology for fin surface	Moderate	High	Moderate	Moderate	Moderate	Low
Vapor injection technique	High	Low	Moderate	Low	High	Moderate
Two stage technique	High	Low	Moderate	Low	High	Moderate
Adding outside heat source	Moderate	Moderate	Moderate	Moderate	Moderate	High
Adjusting refrigerant distribution	Low	High	Moderate	Moderate	High	Low

Most of the existing frost mitigation methods have limited implications due to scalability, durability, and cost issues.

Progress- Overview of Existing Technology

Defrost techniques	
Defrost by cycle interruption	1-Compressor shutdown 2-Electric heating 3-Hot gas bypass 4-Reverse cycle
External source based defrost	1-Hot water spraying defrost 2-Air jet defrost

Method	Compressor	Thermal source	System complexity	System stability	Defrost effect	Scalability	Efficiency degradation
Compressor shutdown	OFF	Ambient air	Low	High	Low	High	Moderate
Electric heater	OFF	Electric power	High	High	High	Moderate	High
Hot gas bypass	ON	Electric power	Moderate	Moderate	Moderate	Low	Moderate
Reverse cycle	ON	Electric power	High	Low	High	Low	High

Cycle interruption defrost is the most deployed strategy to remove frost from the heat exchanger surface.

Progress- Experimental System

Experimental System

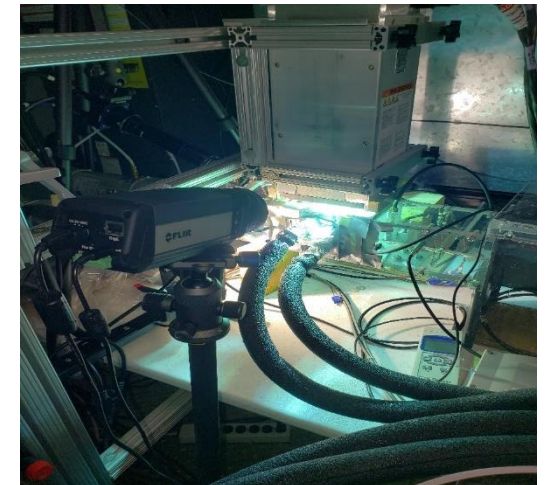
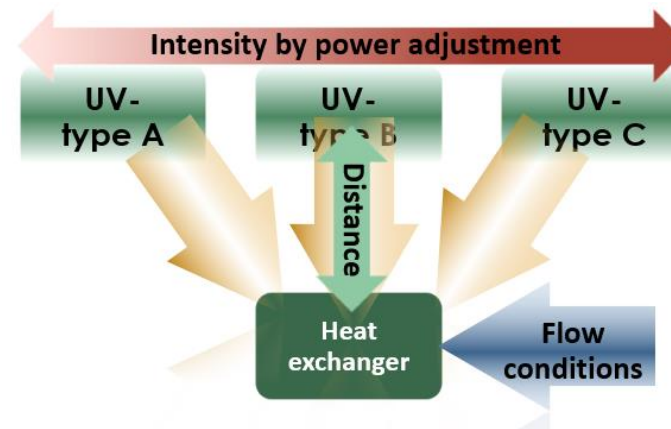
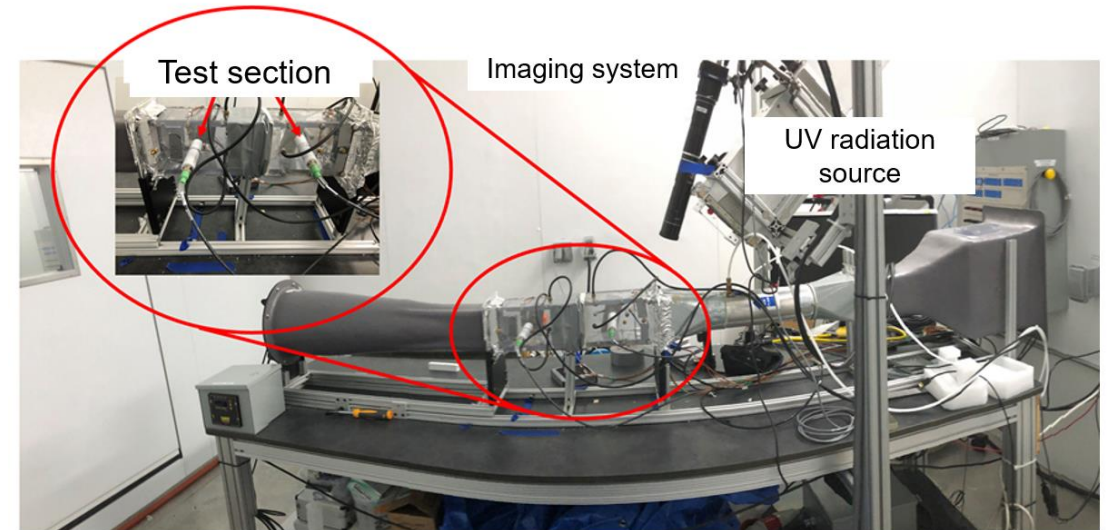
An open loop wind tunnel placed in a controlled environmental chamber for forced air convection

Experiments classification

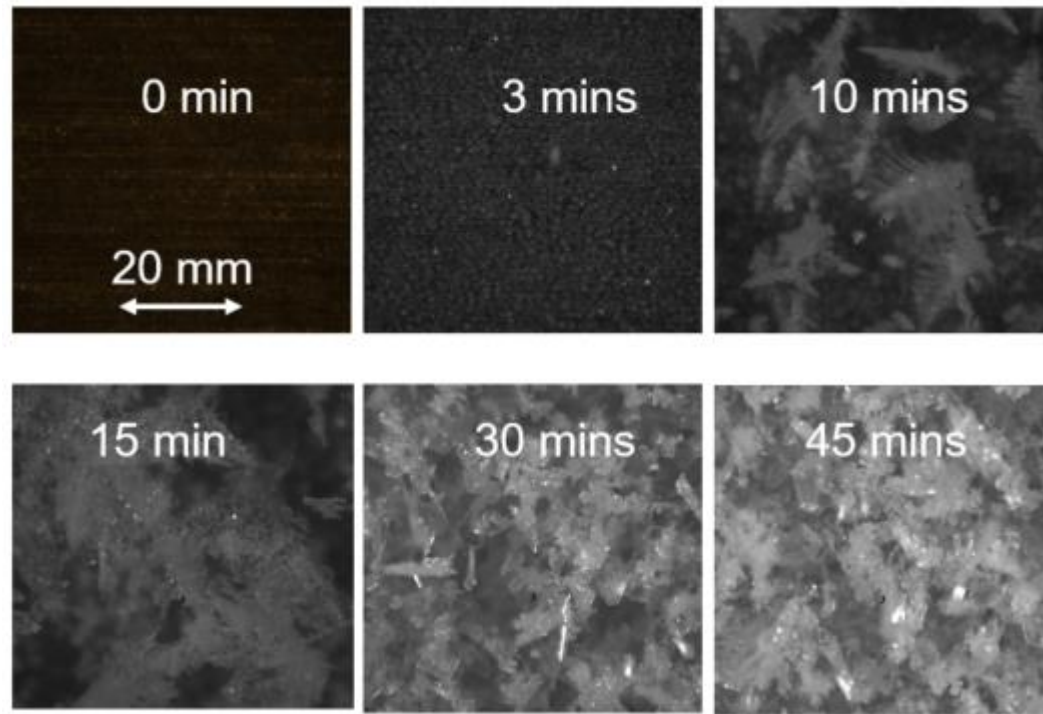
- Frost growth process
- Natural/forced convection defrost process
- Radiation and/or vibration-assisted defrosting process w/o natural/forced convection

Parameters of interest

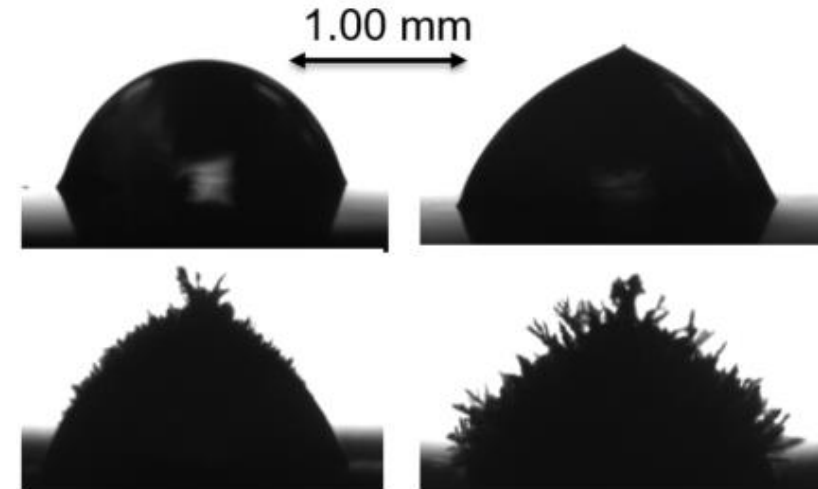
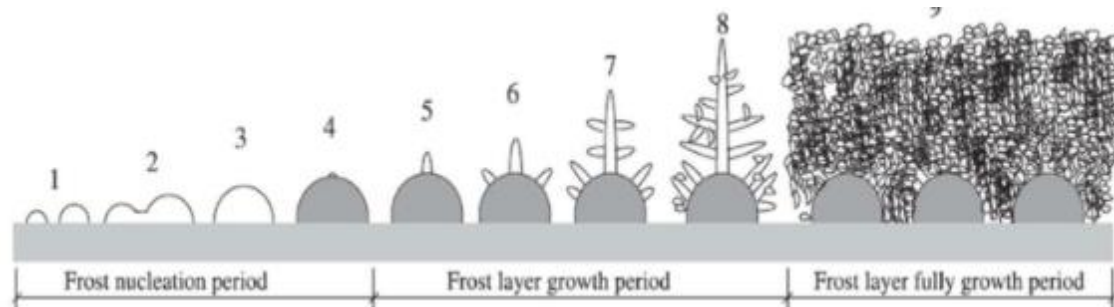
- Frost density, thermophysical properties (conductivity, specific heat, latent heat)
- Radiation properties (absorptivity, emissivity)
- Vibration properties (amplitude, frequency)
- Energy and time required for defrost process



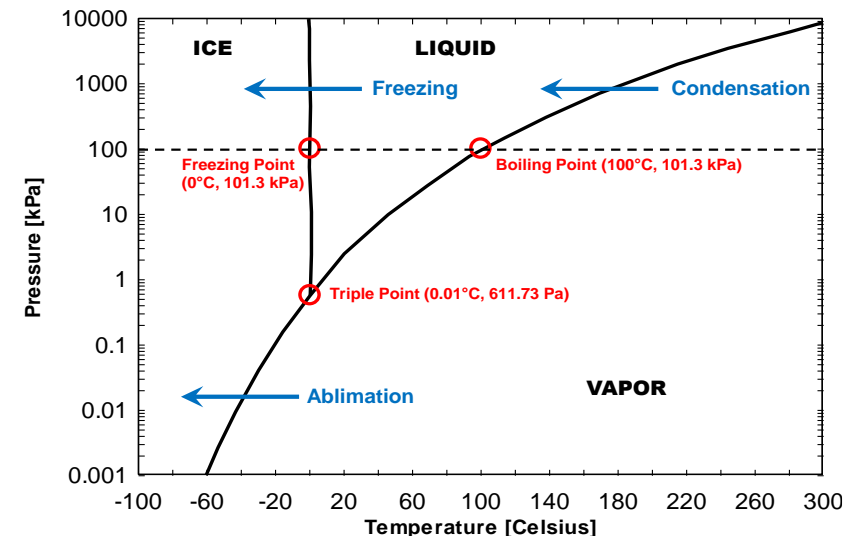
Progress- Analysis of Frost Growth Process



Natural convection: DB 40 °F (4.4 °C), WB 37 °F (2.8 °C),
Baseplate temperature: 12 °F (-11.1 °C)

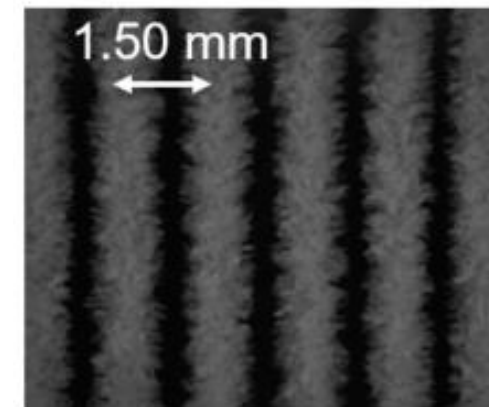
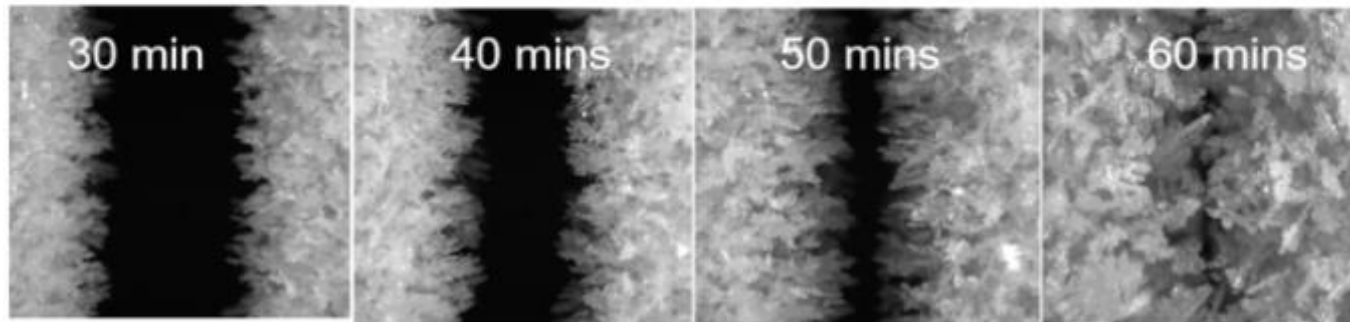
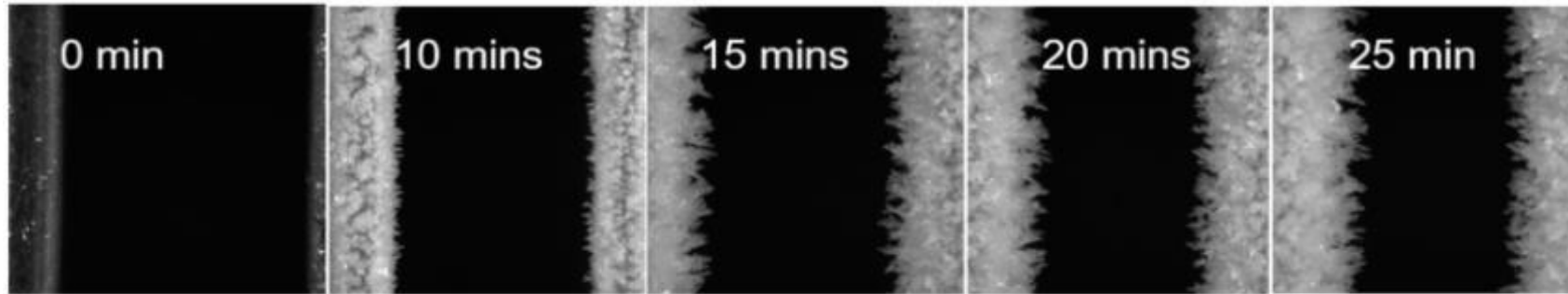


Frost growth process on a cold plate



Phase change processes

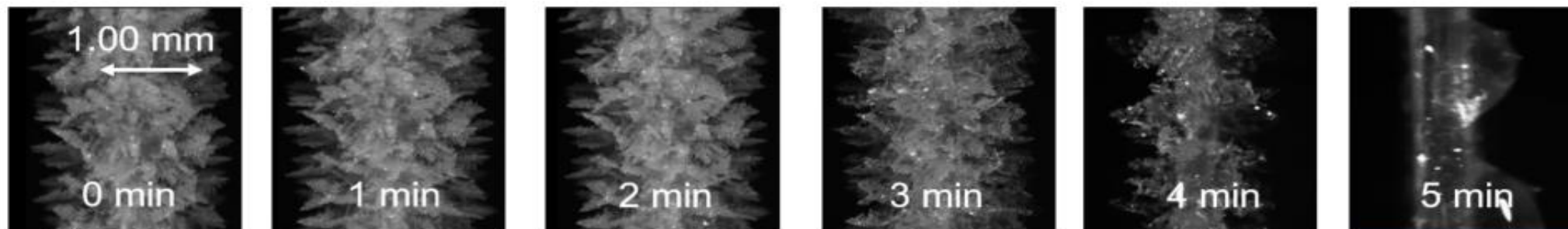
Progress- Analysis of Frost Growth Process



Frost growth on extended surfaces

Natural convection: DB 40 °F (4.4 °C), WB 37 °F (2.8 °C),
Baseplate temperature: 12 °F (-11.1 °C)

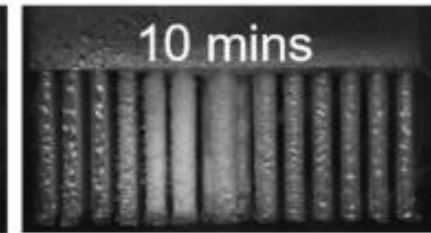
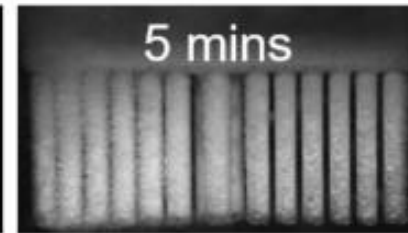
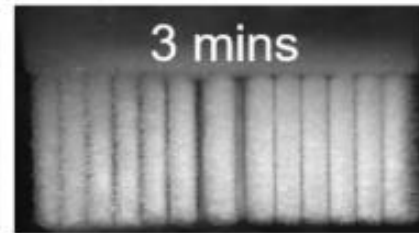
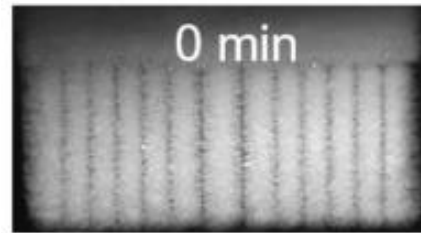
Extended surfaces



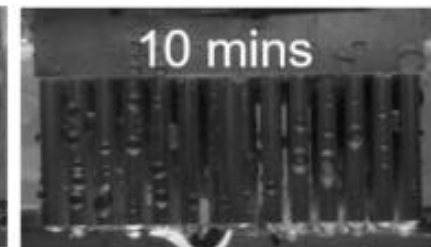
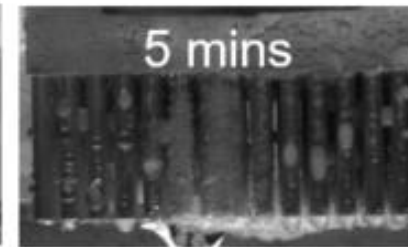
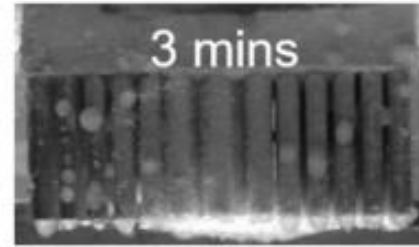
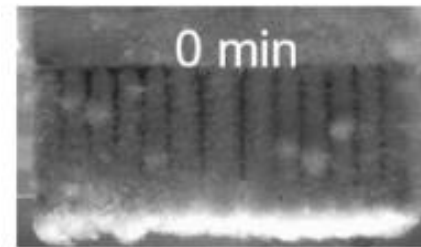
Defrost on extended surfaces

Progress- Analysis of Radiation Defrost

**Forced convection
without UV**



**Forced convection
with UV (200 W/m²)**



Indoor conditions: 42°F/4.4°C (BD), 37 °F/2.8°C (WB)

Baseplate temperature: 12 °F/-11.1°C

Face velocity: 1.0 m/s

Operation time (frost growth): 1.5 hrs

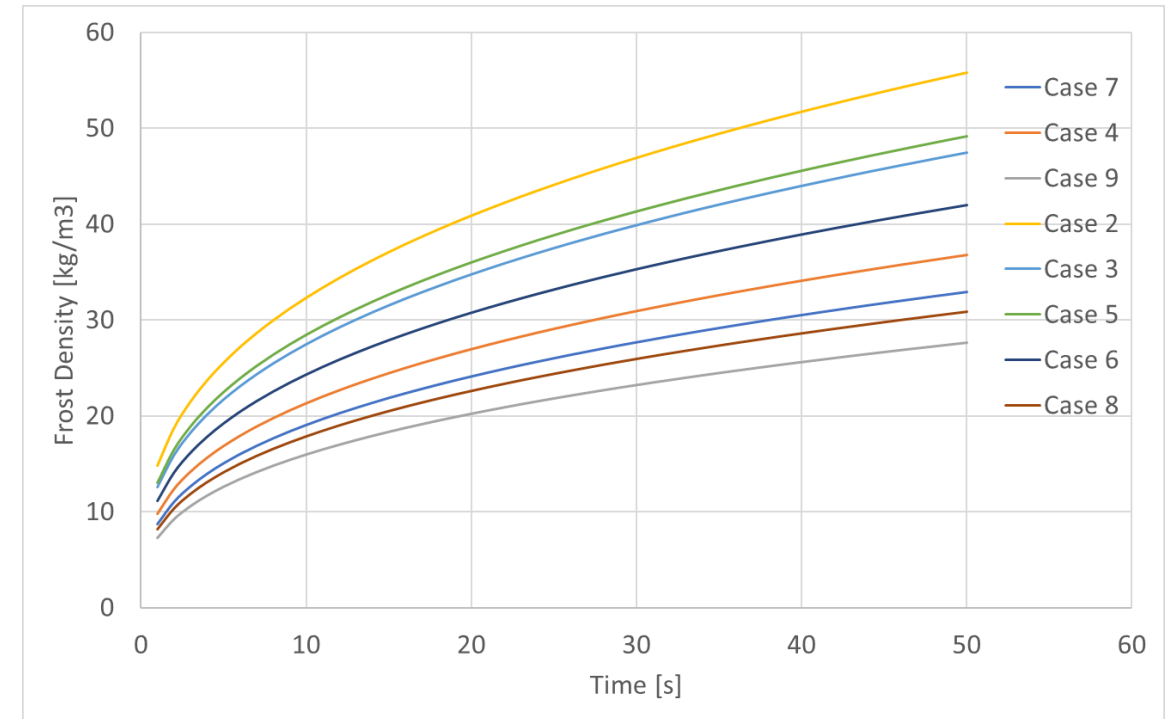
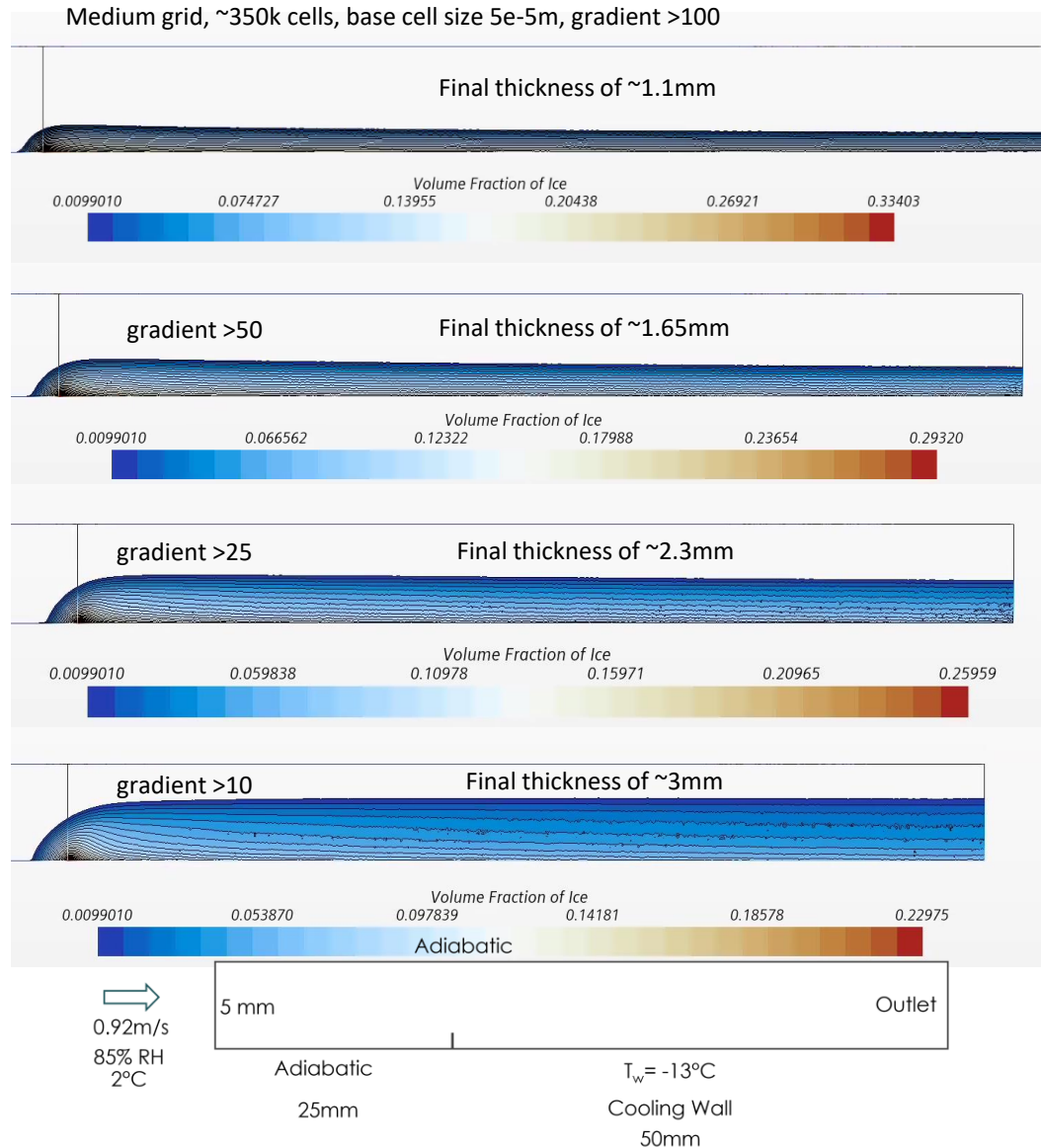
Defrost time: 10 minutes (Forced convection only)

Defrost time: 6 minutes (Forced convection and UV radiations)

Radiation flux: 200 W/m²



Progress- Numerical Analysis of Frost Growth



Predicted frost density under different operating conditions

Work in Progress and Future Tasks

Work in progress

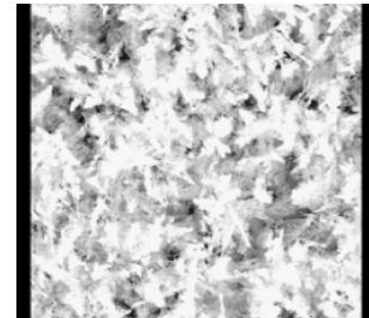
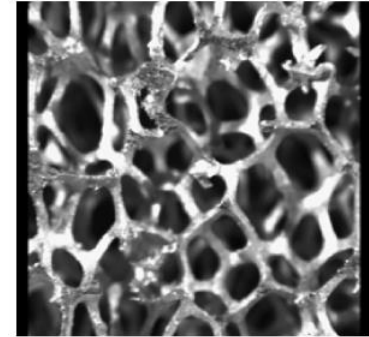
- 1- Assess various types of radiation for their potential in defrosting.
- 2- Comprehensive study of the characteristics of radiation (wavelength, view factors, intensity, etc.) on the characteristics of frost (density, thermal conductivity, thickness, etc.) w/wo natural/forced convection.

Future tasks

- 1- Preliminary assessment of vibration-based defrost.
- 2- Development of performance models for defrosting process based on the previous experimental results.
- 3- Construction and installation of a radiation-based and/or vibration-based defrost system for field performance evaluation.
- 4- Conducting a detailed 12-month field study to understand the performance of the developed technology under real operating conditions of commercial refrigerators.
- 5- Development of a commercialization plan based on lab-scale and field-level assessments.

Project Progress

Tasks	Details
Market assessment for existing frost mitigation/defrost technologies	A critical review of the state-of-the-art frost mitigation/defrost technologies will be completed,
Development of framework to characterize frost growth on various surface	The frost growth process on various heat exchanger surfaces can be a function of various parameters including ambient air temperature and relative humidity, surface temperature, fin density orientation, etc.
Preliminary assessment of radiation-based defrost	Under this task, a comprehensive study will be conducted to relate the characteristics of radiation (wavelength, view factor, intensity, etc.)
Preliminary assessment of vibration-based defrost	This task will be dedicated to a detailed analysis of a defrost process where surfaces are subjected to vibrations to accelerate defrost and/or detachment of the frost layer from the surface.
Equipment construction and installation for field study	At the conclusion of Task 5, through appropriate model developed based on an assessment of the proposed technology and using the experimental results, a defrost system will be developed and installed in a commercial refrigerator display case.
Field study, risk mitigation	Two to three different product lines will be selected for such analysis.
Development of commercialization plan	The project team, led by the participant, will review the overall development of the proposed technologies under proposed workplan



**Frost growth and defrost analysis
on metal foam**

A frostless operation with continuous exposure of UV radiation has been demonstrated!!

Stakeholder Engagement

- Development of the technology
 - Selection and characterization of desiccants
 - Coating process on substrates materials
 - Process controls
 - Independent latent load management
- Meetings with experts at the technical platform
 - ASHRAE (TC 8.5, TC 1.1)
 - Purdue
- More than seven **journal articles** published
- One non-provisional patent application
- More than twelve conference papers and presentations!!
- New IEA Annex and workshop “Comfort and Climate Box solutions for warm and humid climates”
- OEM engagement

Thank you

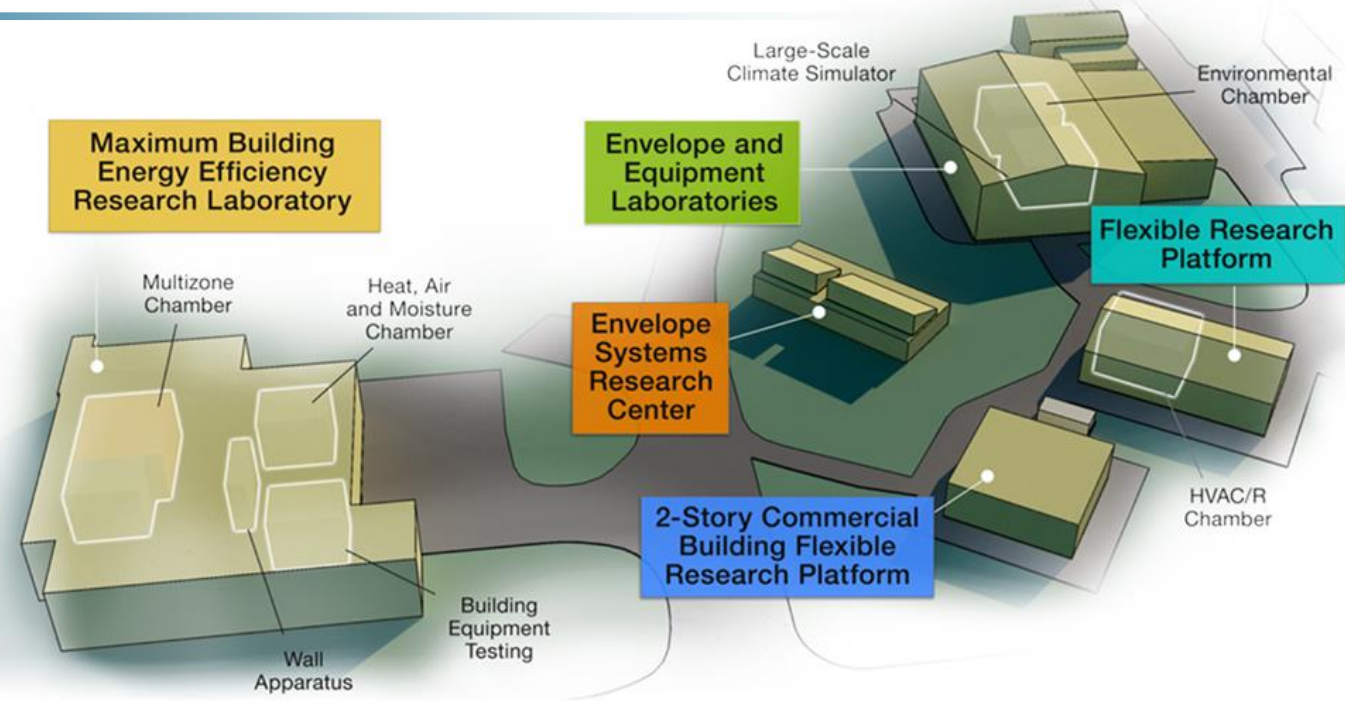
Oak Ridge National Laboratory

Kashif Nawaz, Section Head of Building Technologies Research; Group Leader of Multifunctional Equipment

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ORNL's Building Technologies Research and Integration Center (BTRIC) has supported DOE BTO since 1993. BTRIC is comprised of 60,000+ ft² of lab facilities conducting RD&D to support the DOE mission to equitably transition America to a carbon pollution-free electricity sector by 2035 and carbon free economy by 2050.

Scientific and Economic Results

236 publications in FY22
125 industry partners
54 university partners
13 R&D 100 awards
52 active CRADAs

***BTRIC is a
DOE-Designated
National User Facility***

REFERENCE SLIDES

Project Execution

		2022				2023				2024			
Planned Budget		275k				275k				275k			
Spent Budget		275k				210k				-			
Tasks	Task Description	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Task 1	Market assessment for existing frost mitigation/defrost technologies												
Task 2	Development of framework to characterize frost growth												
Task 3	Preliminary assessment of radiation-based defrost												
Task 4	Preliminary assessment of vibration-based defrost												
Task 5	Development of performance models for defrost process												
Task 6	Equipment construction and installation for field study												
Task 7	Field study and risk mitigation												
Task 8	Development of commercialization plan												
Task 9	Completion of CRADA final report												

◆ Milestones

1. Evaluation of frost formation on surfaces. (Due date: Q4)
2. Analysis of active defrost using radiation. (Due date: Q7)
3. Analysis of active defrost using vibration. (Due date: Q10)
4. Filed study and demonstration of passive/active defrosting. (Due date: Q11)

Team

National Laboratory



Industrial Partners



Academic Partners



Contribution to EERE/BTO goals

Novel defrost technologies

- $\leq 30\%$ degradation in the capacity of the HX
- $\geq 30\%$ reduction in energy required for defrosting

Big impacts on the US building sector

- Energy Saving, 200TBtu/year
- GHG emissions reductions, 12 million MT CO₂/year

The nation's ambitious climate mitigation goals



Greenhouse gas emissions reductions
50-52% reduction by 2030 vs. 2005 levels
Net-zero emissions economy by 2050



Power system decarbonization
100% carbon pollution-free electricity by 2035



Energy justice
40% of benefits from federal climate and clean energy investments flow to disadvantaged communities

EERE/BTO's vision for a net-zero U.S. building sector by 2050



Support rapid decarbonization of the U.S. building stock in line with economywide net-zero emissions by 2050 while centering equity and benefits to communities



Increase building energy efficiency

Reduce onsite energy use intensity in buildings 30% by 2035 and 45% by 2050, compared to 2005



Accelerate building electrification

Reduce onsite fossil-based CO₂ emissions in buildings 25% by 2035 and 75% by 2050, compared to 2005



Transform the grid edge at buildings

Increase building demand flexibility potential 3X by 2050, compared to 2020, to enable a net-zero grid, reduce grid edge infrastructure costs, and improve resilience.



Prioritize equity, affordability, and resilience

Ensure that 40% of the benefits of federal building decarbonization investments flow to disadvantaged communities



Reduce the cost of decarbonizing key building segments 50% by 2035 while also reducing consumer energy burdens



Increase the ability of communities to withstand stress from climate change, extreme weather, and grid disruptions