



# Non-Competitive Tribal Funding for Energy Resilience Investments

**US Department of Energy**

July 19, 2023

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# Content

1. Provide overview of the Formula Grants to States and Indian Tribes for Preventing Outages and Enhancing the Resilience of the Electric Grid (Grid Resilience Formula Grants Provision, Sec. 40101(d))
2. Program updates
3. Review application process and supporting resources

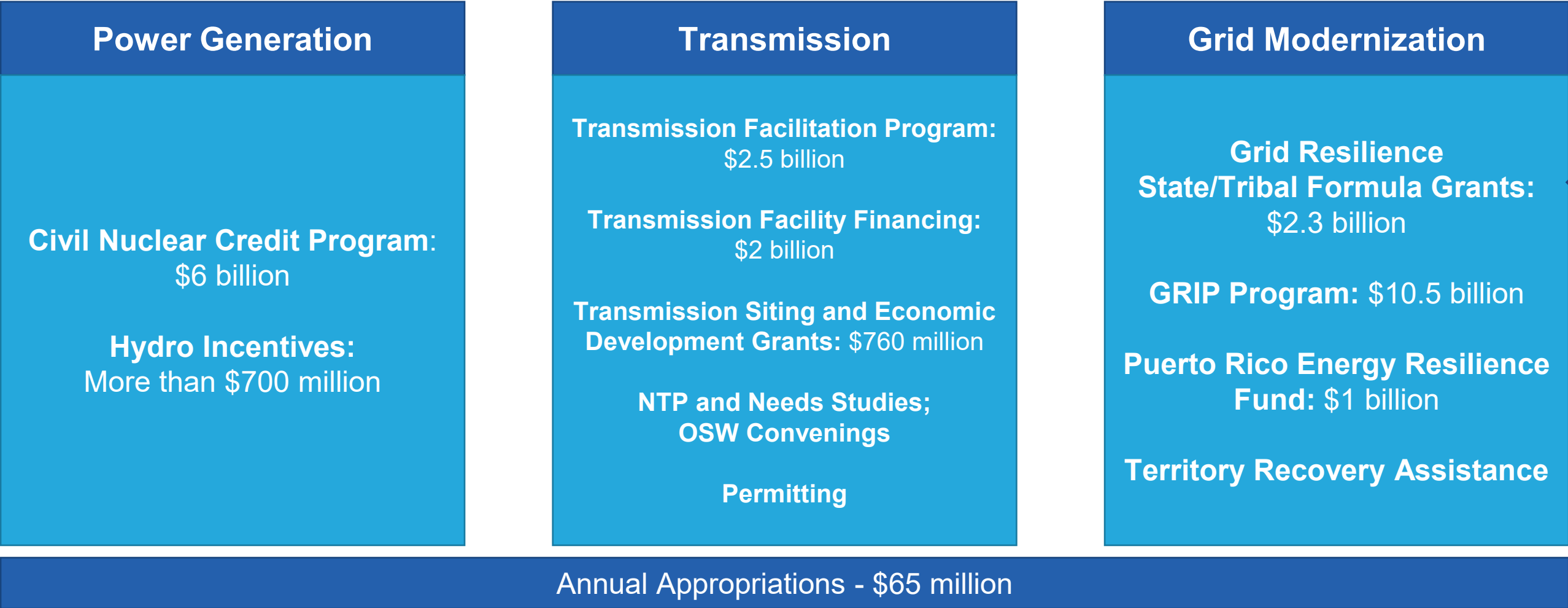


# Grant program highlights

- ▶ Non-competitive grant for projects reducing the likelihood and consequences of electrical outages due to extreme weather, wildfire or a natural disaster.
- ▶ Application deadline for first 2 years of funding was extended for Indian Tribes, including Alaska Native Corporations, to **August 31, 2023**.
  - Eligible applicant should apply by the deadline
  - Applications do not need to identify specific projects. Projects can be specified after funding is awarded.
  - Sample application templates and resources available.
- ▶ Subgrant and cost match requirements.



# GDO at a glance

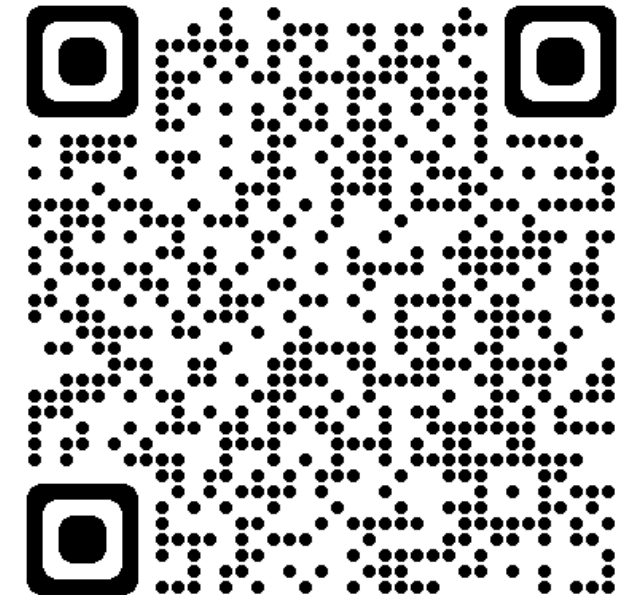


# Allocations available to Indian Tribes

- **\$100 Million** available for FY22
- **\$92 Million** available for FY23

## Tribal Allocations for FY22 + FY23

\$50K- \$100K:	38 Tribal entities
\$100K - \$500K:	661 Tribal entities
\$500K - \$1M:	53 Tribal entities
\$1M - \$5M:	23 Tribal entities



Use the QR code or URL to find your tribe's allocations:  
<https://netl.doe.gov/bilhub/grid-resilience/formula-grants>

# How are funds allocated?

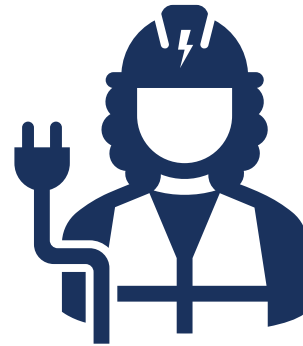
Five funding factors:



Land area



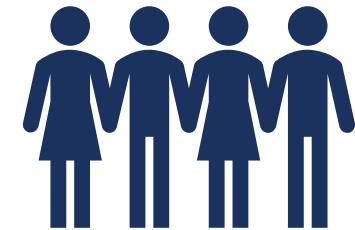
Probability of  
disruptive events



Severity of  
disruptive  
events



Expenditure on  
mitigation efforts



Population

# Resilience investments permitted under the Grid Resilience Formula Grant program

## Potential Investments include:

- utility pole management
- hardening of power lines, facilities, substations, of other systems
- undergrounding of electrical equipment
- replacement of old overhead conductors and underground cables
- relocation of power lines or the reconductoring of power lines with low-sag, advanced conductors
- vegetation and fuel-load management
- weatherization technologies and equipment
- fire-resistant technologies and fire prevention systems
- monitoring and control technologies
- use or construction of distributed energy resources for enhancing system adaptive capacity during disruptive events, including microgrids, and battery-storage subcomponents
- adaptive protection technologies
- advanced modeling technologies

## Ineligible Investments

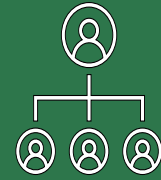
- Construction of a new electric generating facility
- Large-scale battery storage facilities not being used to supply electricity where needed during disruptive events
- Cybersecurity measures



# Updates to Grid Resilience Formula Grant Program



Mail-in option now available



Tribal Consortium applications are now accepted



Grant Application Assistance recording resource

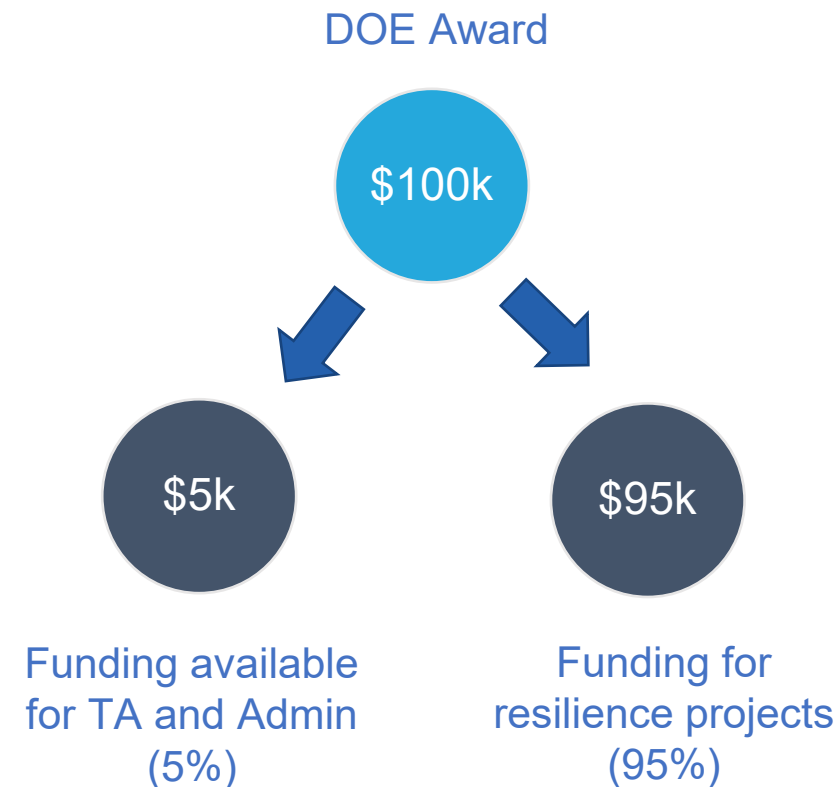


Additional application templates available



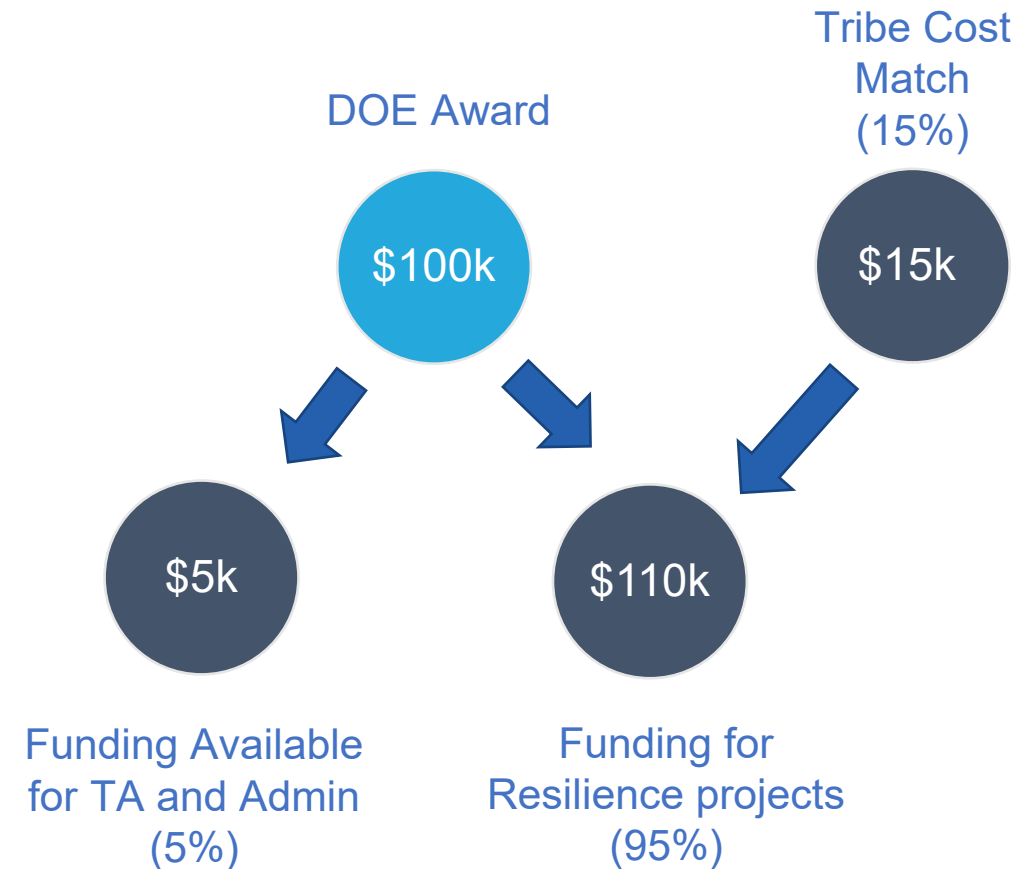
# Up to 5% of federal funding can be used for technical assistance and program administration

- These activities could include:
  - Providing technical assistance
  - Facilitating the distribution of information to reduce the likelihood and consequences of disruptive events
  - Administrative expenses associated with the program.
- This 5% could be given to a third-party to provide administrative and technical support



# Grant recipients must provide a 15% cost match

- Cost match can be **in-kind contributions**, such as staff time, or cash.
- Cost match can be provided by other entities.
- With very limited exceptions, federal funding cannot be used as cost match for these federal grants.



# Subgrantees must also provide a match

- Any eligible entity that receives a subgrant under this program must match 100% of the amount of the subgrant
- However, if the subgrant entity sells  $\leq 4,000,000$  MWh of electricity per year, the required match will be 1/3 of the amount awarded to the subgrantee

## Large Subgrantee:



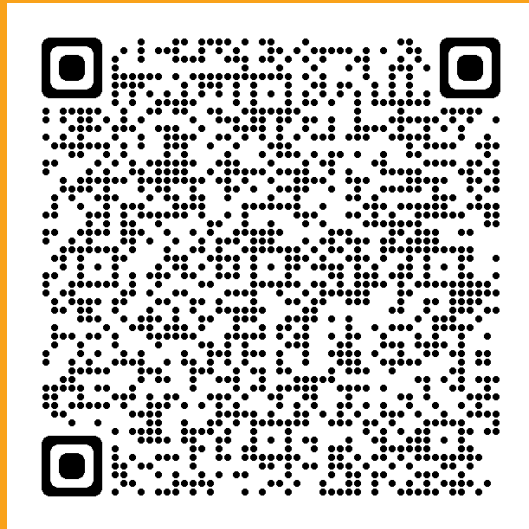
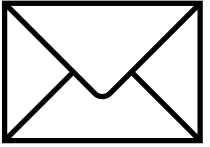
## Small Subgrantee:



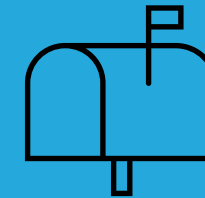
\*Small subgrantees must sell electricity to be eligible for the reduced cost match.



# Applications can be submitted through FedConnect or by mail



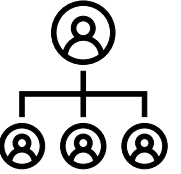
[FedConnect: Opportunity Summary](#)



FOA GD00002736  
Energy Delivery & Security Directorate  
National Energy Technology Laboratory  
Building 39-214A, MS: P02A  
3610 Collins Ferry Road  
Morgantown, WV 26505

**Applications must be postmarked by August 31, 2023, for Indian Tribes.**

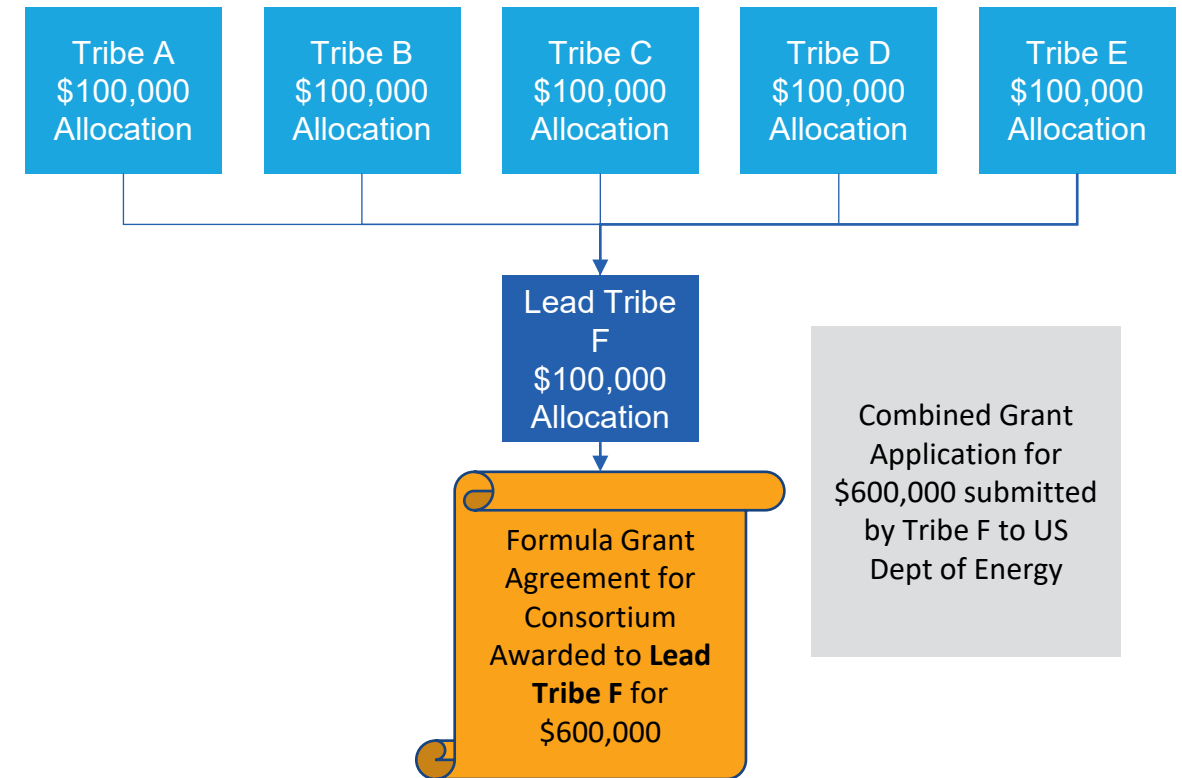




# Tribal Consortium Applications Are Allowed

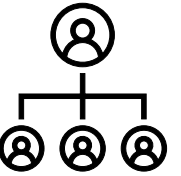
- ▶ A group of Indian Tribes, including Alaska Native Corporations, may submit one single application.
- ▶ Application should be submitted by a lead Indian Tribe of the Tribal Consortium.
- ▶ Designated lead Indian Tribe would handle application and administration of the award.
- ▶ The lead Indian Tribe is responsible for fulfilling grant requirements including cost match and reporting.
- ▶ Cost match commitment from participating tribes should be submitted with application.

*Tribes that have already applied but would like to form a Tribal Consortium should contact [GDOTribalAssistance@hq.doe.gov](mailto:GDOTribalAssistance@hq.doe.gov)*



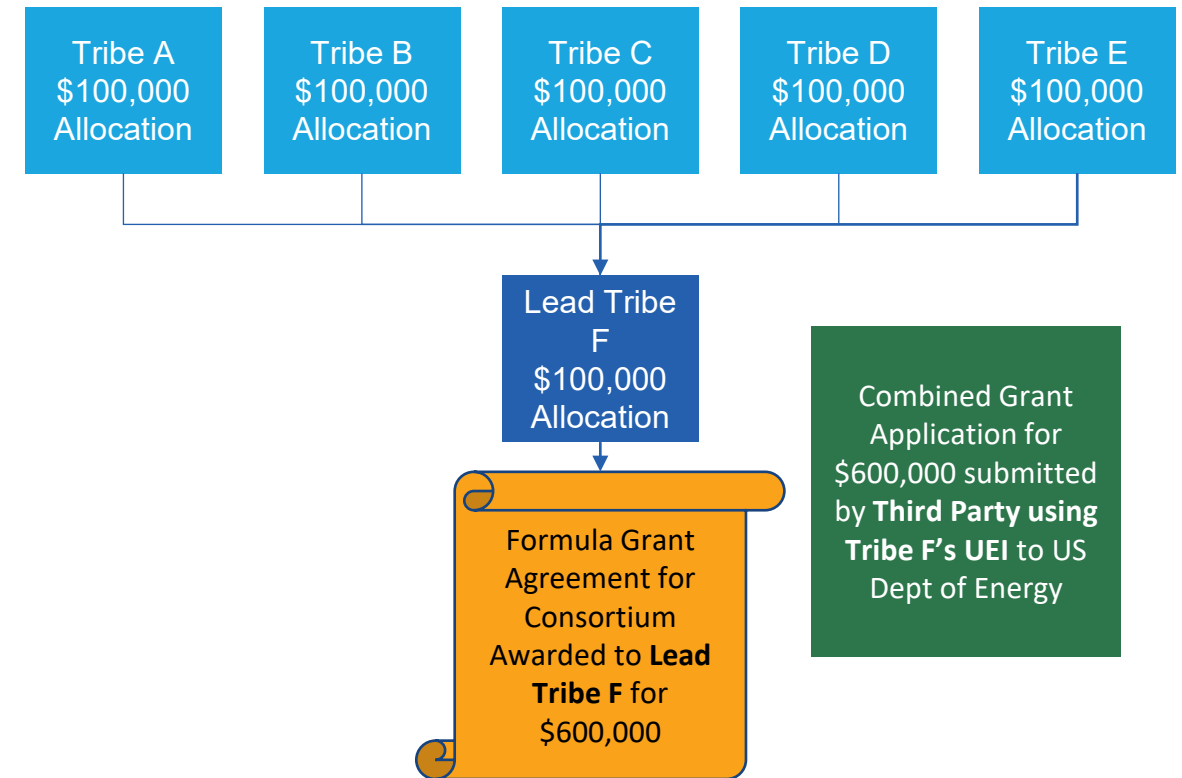
US Department of Energy issues a single \$600,000 Grant Agreement to Tribe F, who will administer the grant, and make payments to vendors & receive reimbursements directly from DOE (ASAP), on behalf of the Tribal Consortium





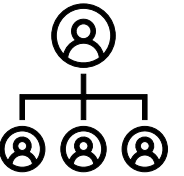
# Third Parties can administer the grant on behalf of Tribal Consortia

- ▶ Lead Indian Tribe may also authorize a third-party agent to handle the application and administration of the award for a Tribal Consortium.
- ▶ A third-party can submit on behalf of a Tribe using the lead Indian Tribe's Unique Entity Identifier (UEI).
- ▶ Funds can be deposited into the third-party agent's account on behalf of the eligible Tribal Consortium.
- ▶ The lead Indian Tribe of a Tribal Consortium will remain ultimately responsible for meeting the grant requirements.



US Department of Energy issues a single \$600,000 Grant Agreement to Tribe F and the Third Party will administer the grant, and make payments to vendors & receive reimbursements directly from DOE (ASAP), on behalf of the Tribal Consortium





# Tribal Consortium applications: Two key application components

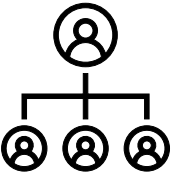
## Program Narrative

- ▶ Address how resilience objectives will benefit all participating Consortium Tribes and how Consortium Tribes will participate in decisions regarding use of grant funds.
- ▶ *Sample Program Narrative template is available.*

## Designation Letter

- ▶ **Each participating Indian Tribe** – must submit a letter designating the lead Indian Tribe to act on its behalf as lead Indian Tribe of the Tribal Consortium and receive their allocations.
- ▶ **Lead Indian Tribe** – must submit a letter accepting the designation as the lead Indian Tribe for the Consortium. The letter should state that the lead Indian Tribe will apply for grant funding and administer the grant on behalf of all Indian Tribes belonging to the Consortium.
- ▶ *Template letters are available.*
- ▶ Additional guidance on Tribal Consortiums are listed in the Frequently Asked Questions.





# Program Narratives should include the following components

## Objectives and Metrics

3-5 objectives and metrics that are driving resilience investments in your application

## Criteria

The criteria you will use for selecting and determining awards to eligible entities

## Methods

A description of the process you will use to solicit, award and distribute the funds (and track the proposed metrics)

## Funding Distribution

A description of the proposed funding distributions and categories of subgrant recipients

## Benefits to all Tribes (only for consortium applications)

Statement on how the resilience projects will benefit all participating Tribes.

## Equity Approach

A description of how the Tribe will ensure their proposal will incorporate: (a) quality jobs, prioritize the greatest community benefits, and (c) share or maximize project benefits across disadvantaged communities.

## Technical Assistance & Administration

An explanation for how you plan to use the 5% of funds for TA and project administration

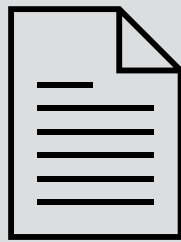
## Public Notice & Hearing

A description of public notice and hearing activities, as required by 40101(d)(2)(B)(ii)

At a minimum, the lead Tribe or third-party agent must host one public notice and hearing encompassing all Tribes in the consortium.



# Two key actions to complete prior to August 31<sup>st</sup> 2023



Public Notice

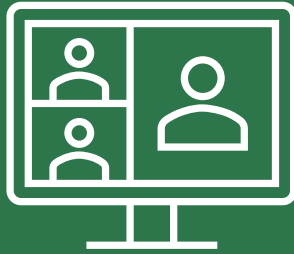


Public Hearing



# Additional resources

## Grant Application Assistance Recordings



Recordings will be located on the Grid Deployment Office webpage in next few weeks.

## One-on-One Meetings



The GDO team is available for one-on-one calls with Tribes to discuss the grant. Please contact: [GDOTribalAssistance@hq.doe.gov](mailto:GDOTribalAssistance@hq.doe.gov)



Can provide information on cost match and other relevant funding opportunities



Can assist with reaching out to eligible entities



# Contact Us



[https://www.energy.gov/gdo/  
gridresilience](https://www.energy.gov/gdo/gridresilience)



[GDOTribalAssistance@hq.doe.gov](mailto:GDOTribalAssistance@hq.doe.gov)  
[240-474-7670](tel:240-474-7670)