



U.S. DEPARTMENT OF
ENERGY | Energy Efficiency &
Renewable Energy
BIOENERGY TECHNOLOGIES OFFICE



Task 3.1: Research and Development Guiding Technoeconomic Analysis and Life-Cycle Assessment

BETO 2023 Project Peer Review

Performance-Advantaged Bioproducts and Bioprocessing Separations

April 6, 2023

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National Renewable Energy

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Project Overview

- **Context**

- Technologies under development aim to increase yields of desired end products, reduce overall raw material costs, and/or develop more energy-efficient strategies for product recovery.
- Technoeconomic analysis (TEA) and life-cycle assessments (LCA) help assure that economic and sustainability predictions of the technologies are unbiased and compelling and provide guidance to experimentalists on areas that need focus.

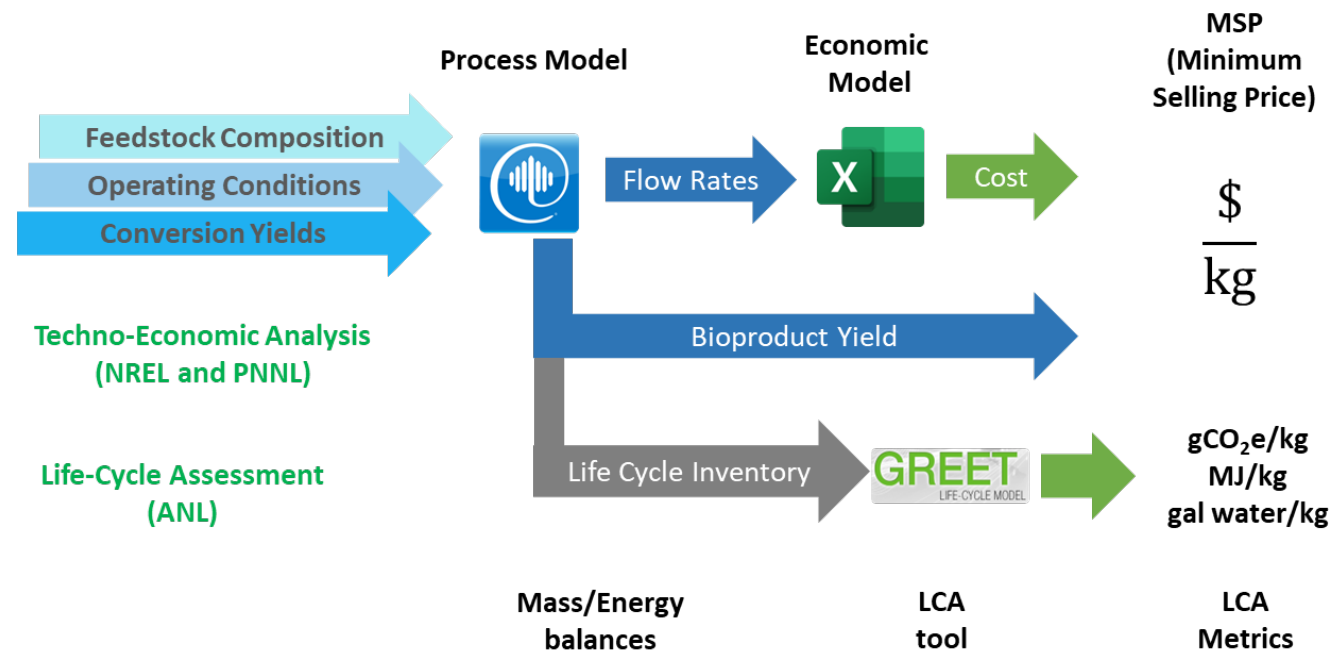
- **Project goals**

- Building on previous work, continue to use the integrated TEA and LCA to evaluate and guide technologies under development and target challenges relevant to the industry and the Bioenergy Technologies Office (BETO) priority pathways.
- Provide credible, unbiased assessments for each technology under development, with ongoing assessments to guide experimental teams.
- Support journal publications highlighting key findings.

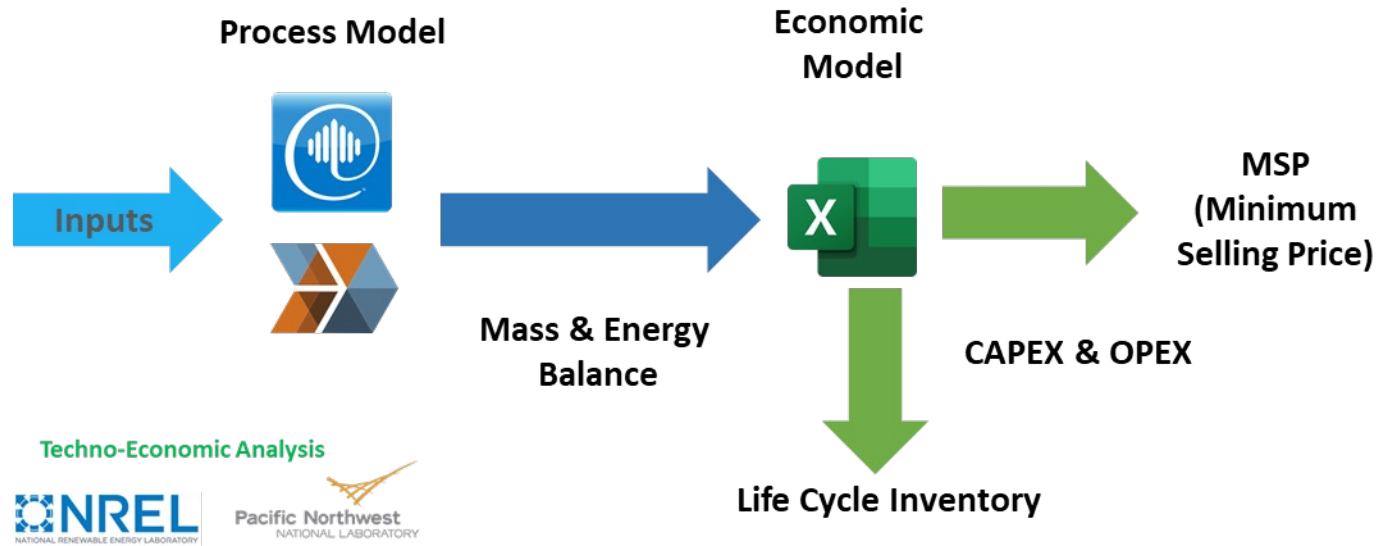
Approach: Integrated Analyses

Assess technical, economic, and environmental feasibility of bioproduct/biofuel processes:

- Conduct detailed process analysis with rigorous mass and energy balances.
- Identify data needs and further research and development needs to improve overall cost and efficiency.
- Assess environmental impacts [greenhouse gas (GHG) emissions, fossil fuel, water consumption].
- Approach is consistent with other BETO-sponsored analyses.



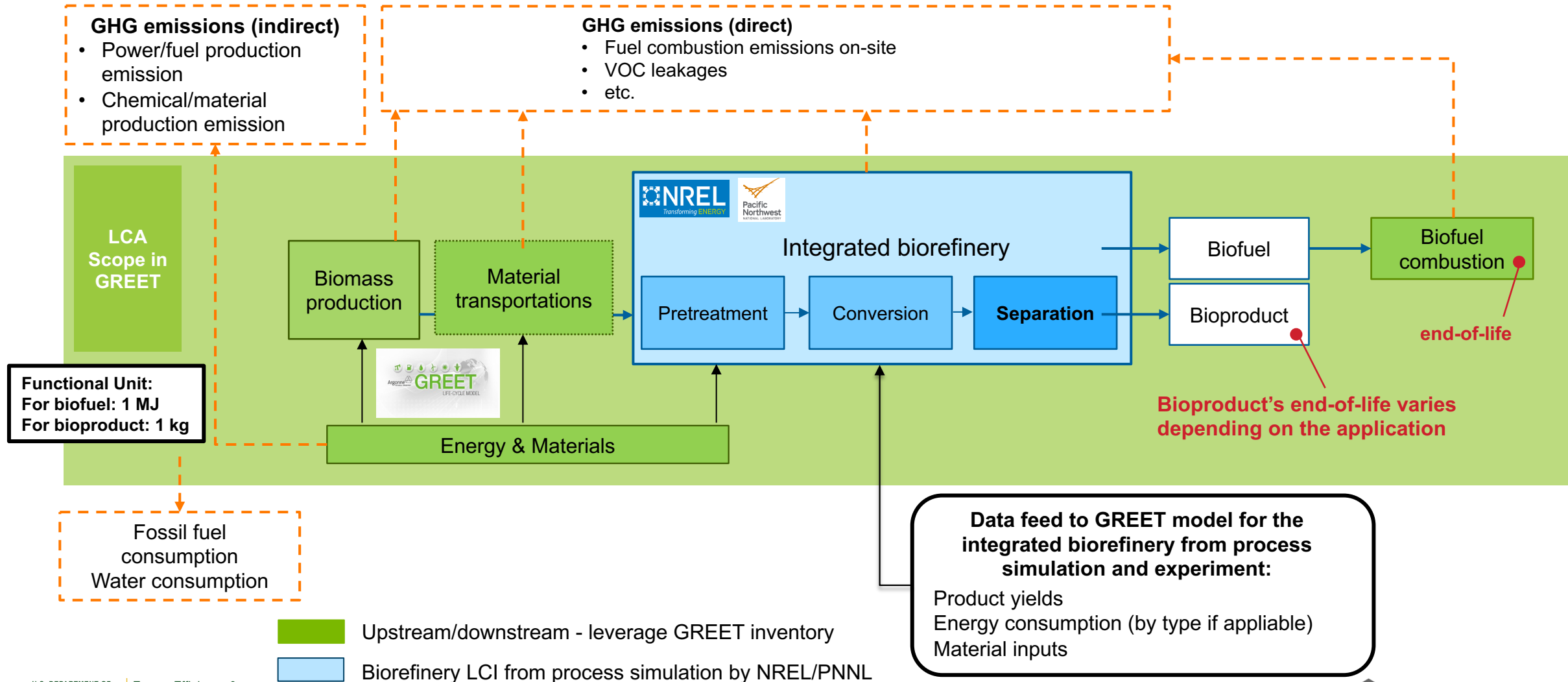
Approach: Techno-Economic Analysis



- Capital expenditures (CAPEX) and operational expenses (OPEX) were estimated using multiple sources (i.e., Aspen Process Economic Analyzer, vendor quotes, internal databases, literature data, and discussion with researchers).
- The minimum selling price (MSP) was estimated using the discounted cash flow method with determined economic assumptions.
- A life-cycle inventory table (e.g., energy and material consumption, waste discharge, product and co-product productivity, utilities) was generated for the LCA team.

Approach: Life-Cycle Assessment

System boundary





Approach: Analysis-Related Challenges

- In some cases, no existing state of technology (SOT) or target case for comparison
 - Establish base cases from literature and patents.
- Data (experiment data, thermodynamic property) availability and quality
 - Inform and guide data collection from experimental teams
 - Consolidate data and thermodynamic property.
- Rigorous modeling for large-scale separation process
 - Leverage BETO's existing models and unit operation information.
- Uncertainty of capital cost for new and novel technologies
 - Vendor interaction.
- Evaluate technology under development
 - Work closely with experiment team and receive regular updates.

Approach: Management and Diversity, Equity, and Inclusion

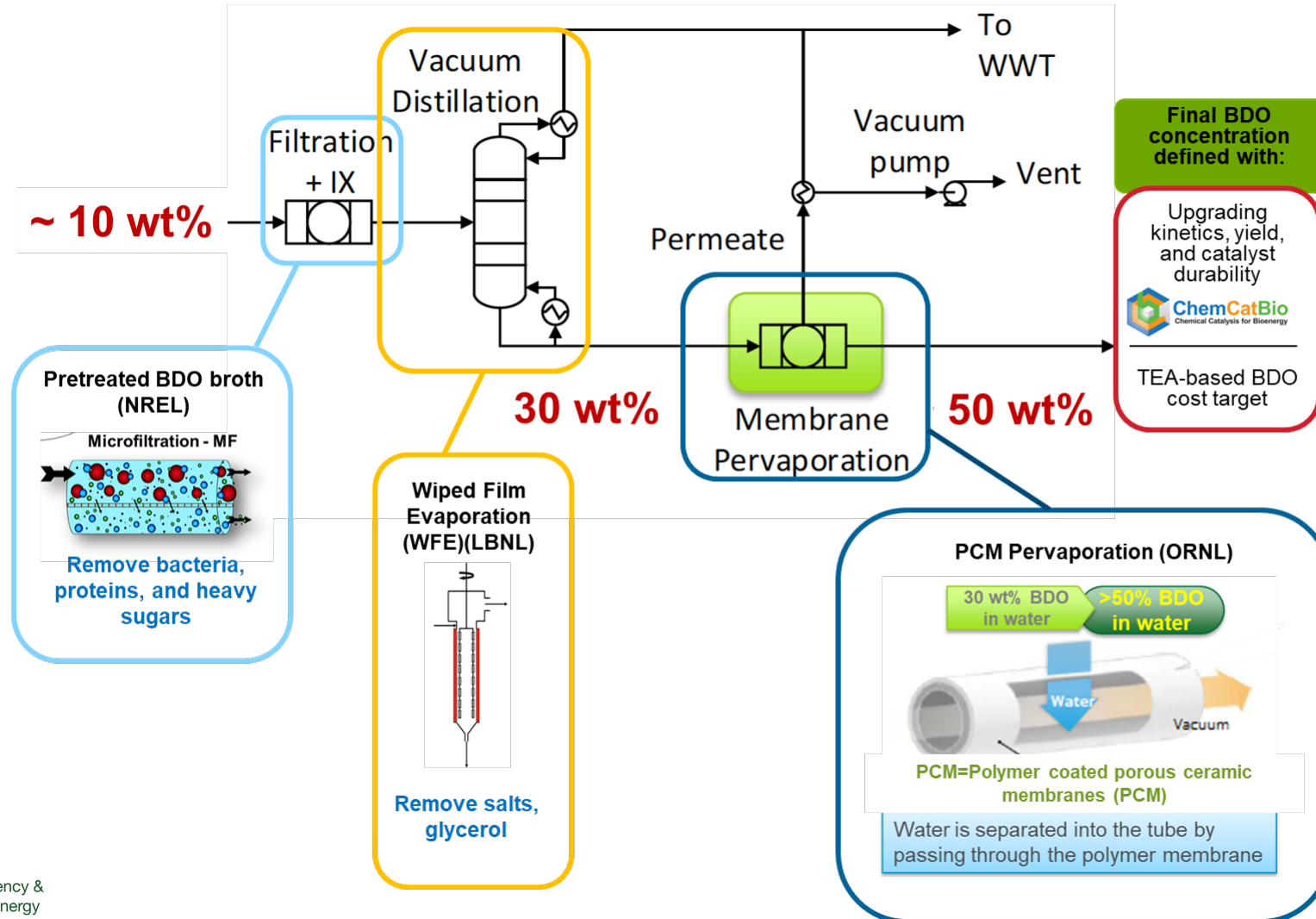
- Track progress with monthly consortium meetings.
- Hold biweekly analysis meetings with TEA/LCA team (NREL, PNNL, Argonne).
- Organize inter-laboratory meetings to coordinate milestones and deliverables.
- Support Go/No-Go decisions and milestones.
- Hold biannual meeting with Industrial Advisory Board for progress updates and feedback.
- Collaborate with other BETO projects and industry partners (e.g., Agile BioFoundry).
- Participated in outreach activities and support student internships (FY22).
- Will participate in Bioenergy to Bridge Program (FY23).

Approach: Go/No-Go Decision and Milestone

| | | | |
|--|---|--|---|
| D.1 [NREL, PNNL, LANL, ANL]: TEA and LCA on 2,3-Butanediol (BDO) separation (Go/No-Go) | Perform TEA and LCA on three BDO separation approaches: (1) solvent extraction, (2) reactive adsorption to produce dioxolane, (3) extension of approach (2) to convert dioxolane back into BDO. | (I) Achieve >90% separation efficiency; (II) Save at least 1/3 of energy compared to vacuum distillation; (III) Quantify life-cycle GHG emissions and fossil energy usage. | 6/30/2022  |
| D.2 [PNNL, NREL, LANL]: Annual Milestone (Regular) | Complete the revised separations challenge stream analysis, identifying the top challenge streams based on the economic potential of effective product separation. | Identify the top challenge streams and submitted a publication. | 9/30/2022  |

Progress and Outcomes:

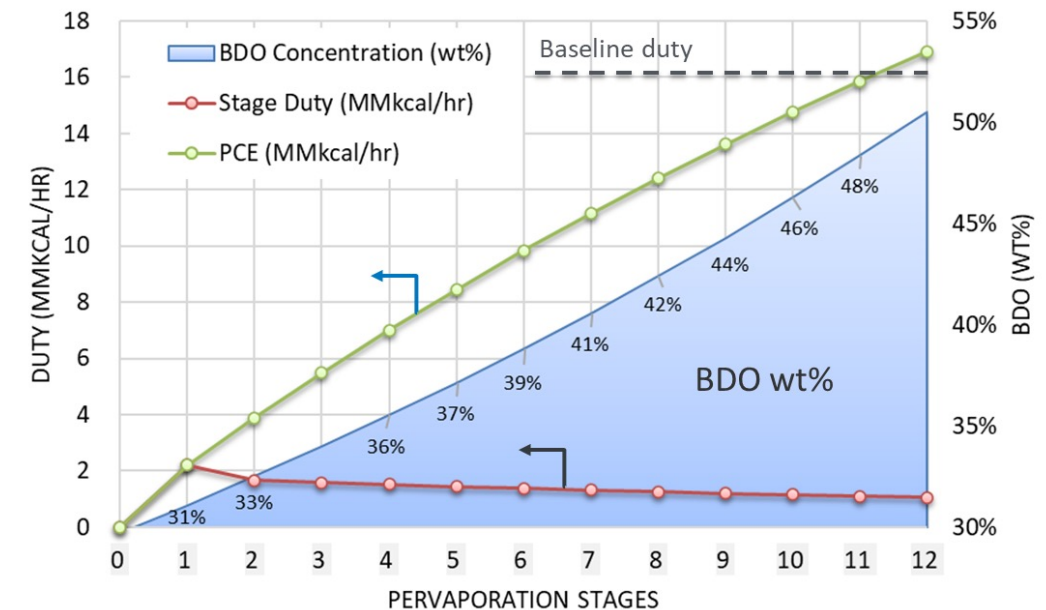
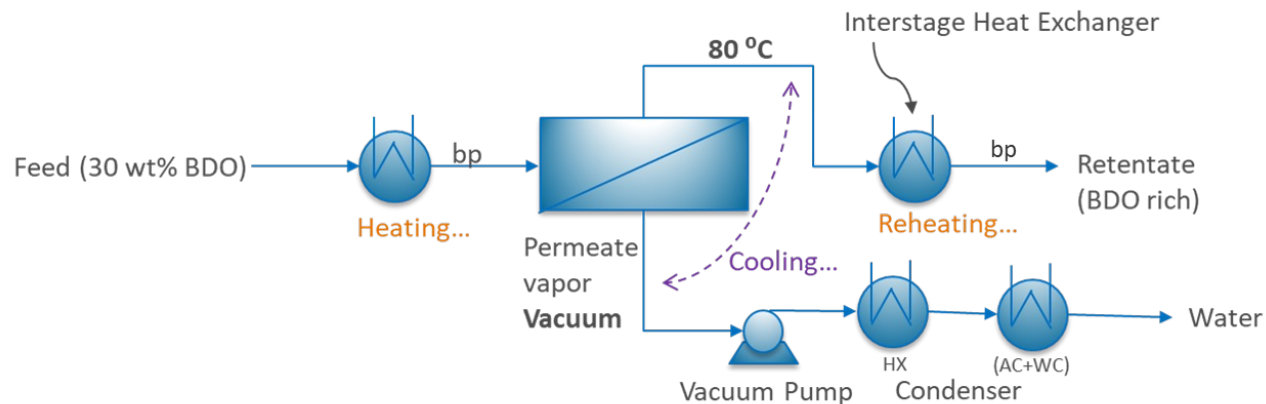
Case Study 2,3-Butanediol (BDO) Separations



Progress and Outcomes: BDO Separations

Peer Review Comment 2021

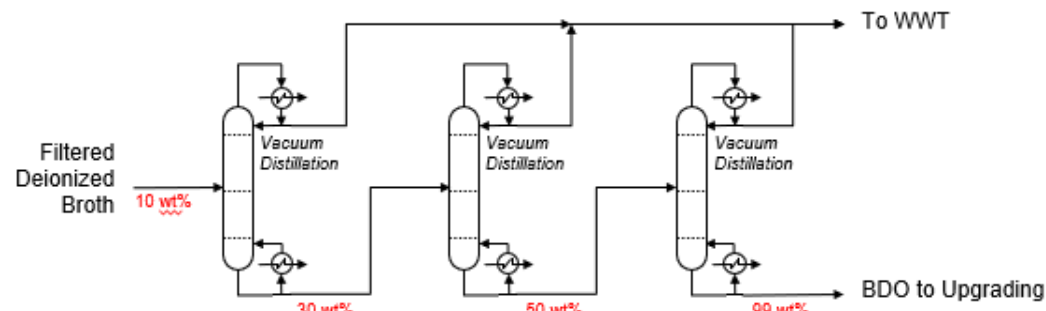
- Whether pervaporation is energy efficient compared to the SOT?
 - Multiple stages of membrane pervaporation are needed.
 - Heat exchange is needed in between each stage to reheat the stream.



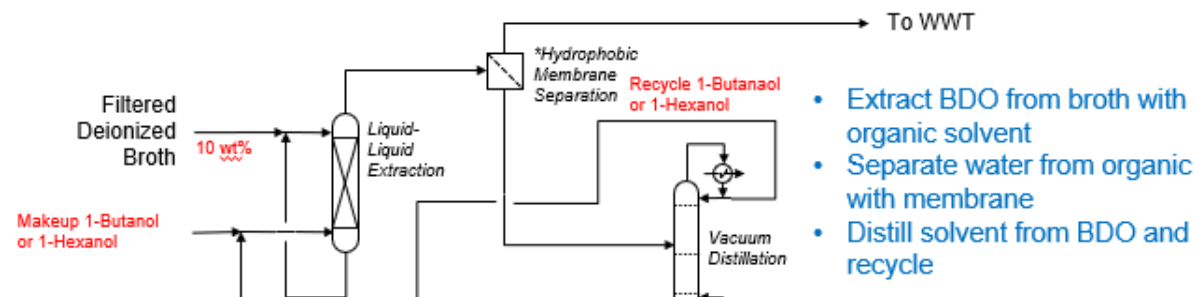
- The use of pervaporation on dilute BDO concentration stream did not show superior energy/cost savings compared to the SOT evaporation/distillation.

Progress and Outcomes: BDO Separations Alternative Approaches 2022

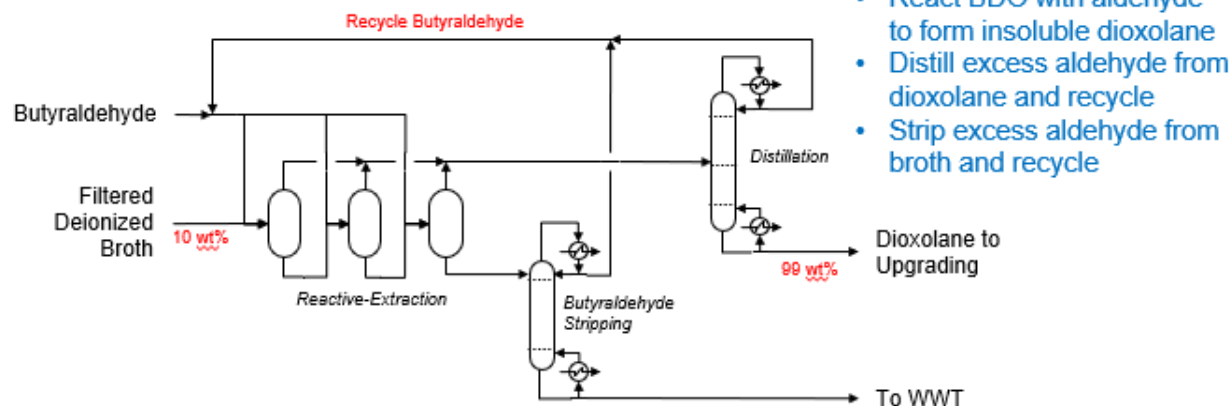
Baseline – Cascade Vacuum Distillation



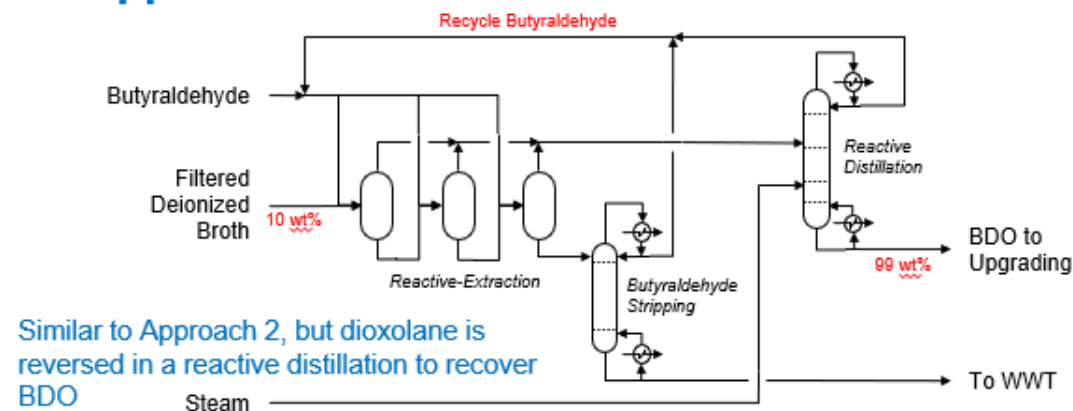
Approach 1 – Liquid/Liquid Extraction



Approach 2 – Conversion to Dioxolane



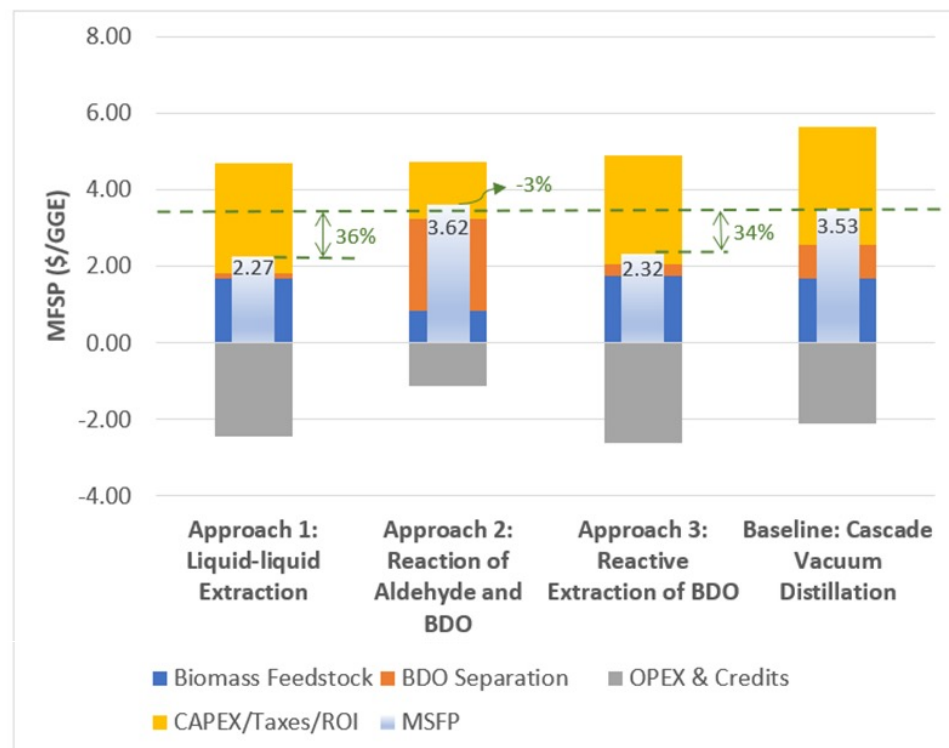
Approach 3 – Reactive Extraction



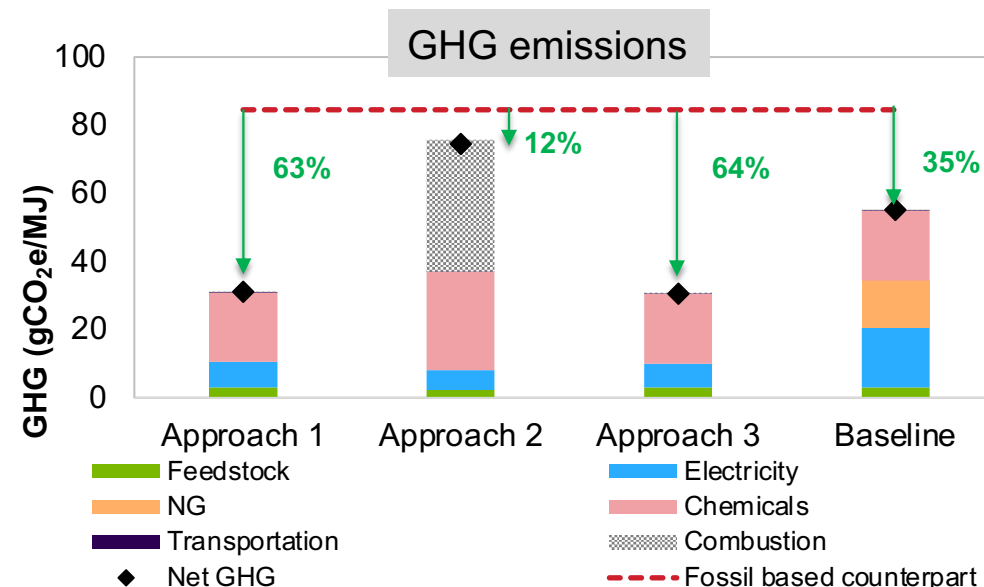
Established alternative approaches that combine TEA and LCA assessments.

Progress and Outcomes: Go/No-Go Highlights

TEA



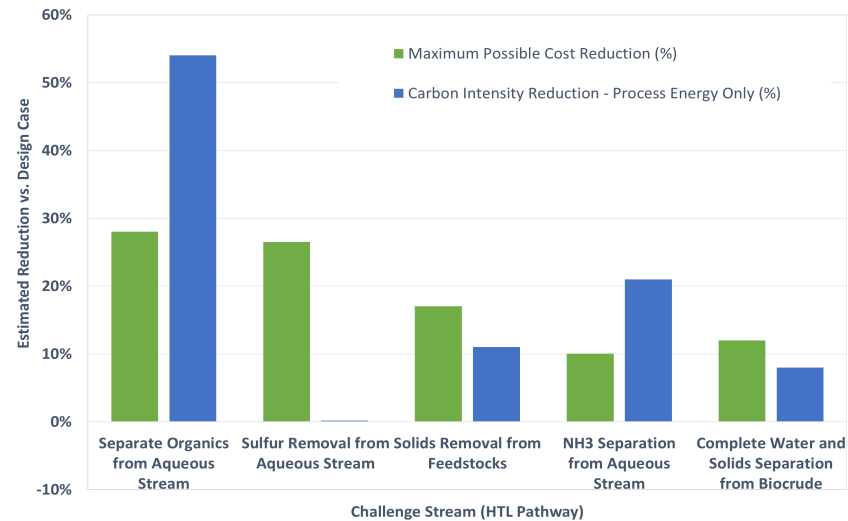
LCA



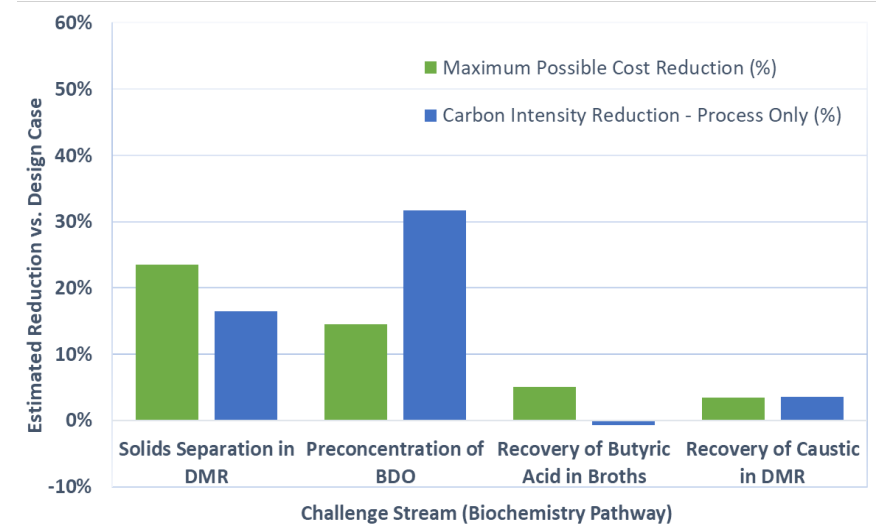
- Achieved recovery of more than 90% of the BDO from fermentation broth with high purity.
- Achieved heating duty less than 30% of the lower heating value (LHV) of the BDO.
- Life-cycle GHG emissions reduction in all four approaches compared to fossil-based jet and baseline.

Progress and Outcomes: Separation Challenge Stream Analysis Update

Hydrothermal Liquefaction



Biochemical Conversion

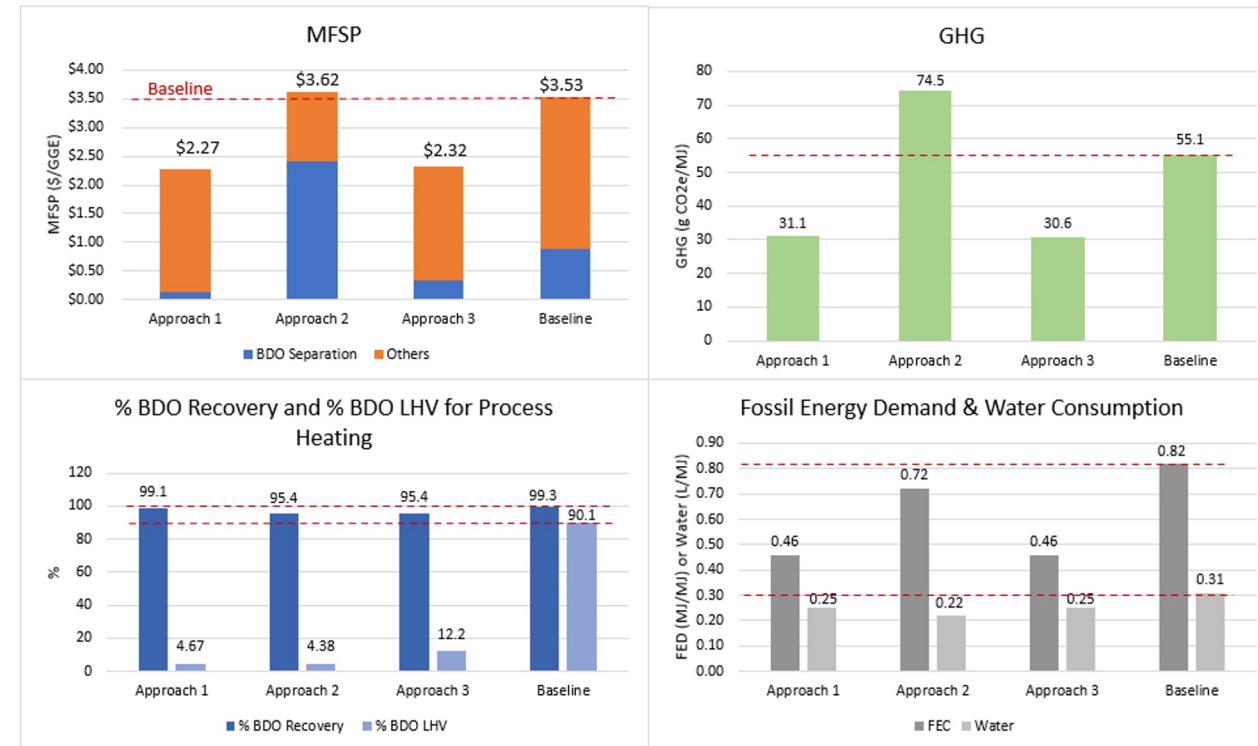


- Baseline TEA models and “ideal” separators were used to assess best-case impact of identified separations challenges.
- Critical opportunity areas were found where gains were identified: reductions or elimination of downstream equipment, new coproducts, raw material savings, and certain energy savings.
- Completion of challenge stream assessment work met criteria for FY22 4th Quarter annual milestone and analysis guided development of multi-year R&D plan.

Impact

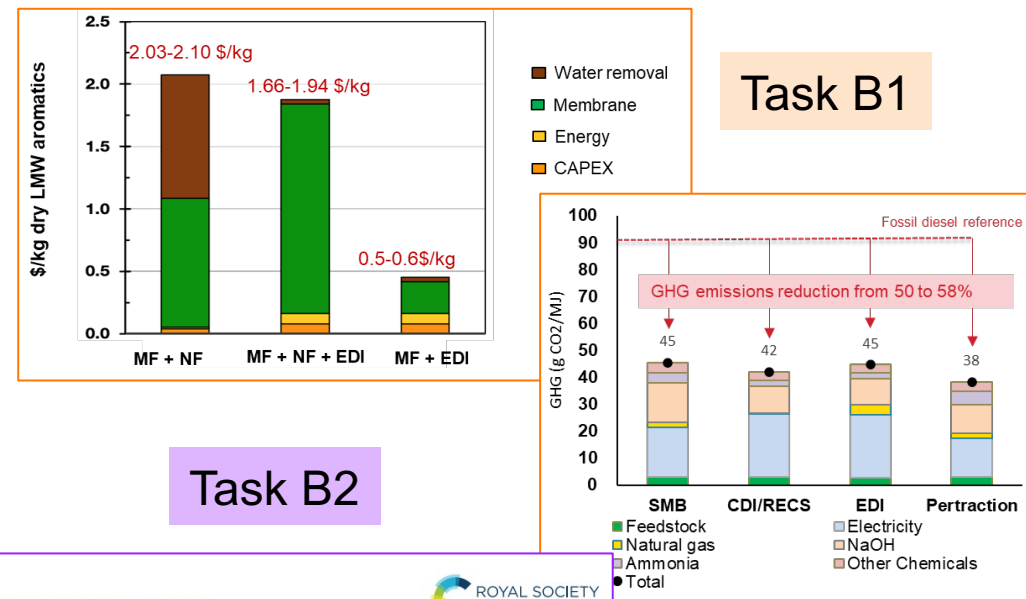
- Developed continuous process models** to predict stream properties for conventional filtration and tangential flow filtration processes. **Elucidated cost and performance drivers** for alkaline pretreated liquor fractionation. (Task B1)
- Identified **key cost drivers** and demonstrated a **more than 50% reduction in GHG emissions** by integrating capacitive deionization. (Task B2)
- Modeled three alternative separations** for BDO and **helped drive exploration** of extraction approach to minimize residual water removal. (Task B3)

Task B3

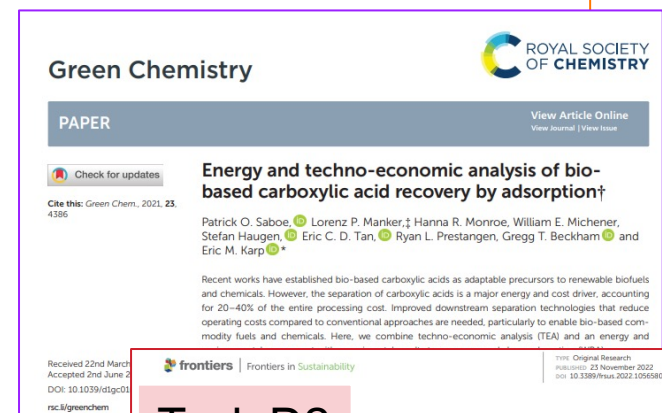


Impact

- Demonstrated **5% energy use for solvent recovery** in the Cell Utilized Partition model and **more than 2X product collection** for counter-current chromatography compared to the simulated moving bed. (Task C1)
- Demonstrated best case **15% saving in energy consumption** and **28% in GHG emissions reduction**. (Task C2)
- **Quantified maximum potential impact** of separation on MFSP and carbon intensity, guiding multi-year R&D plan. (Task D2)
- **Published results** on carboxylic acids recovery and challenge stream analysis with demonstrated economic viability and **sustainability improvements** over the base case, boosting the competitive advantage of bioeconomy.



Task B2

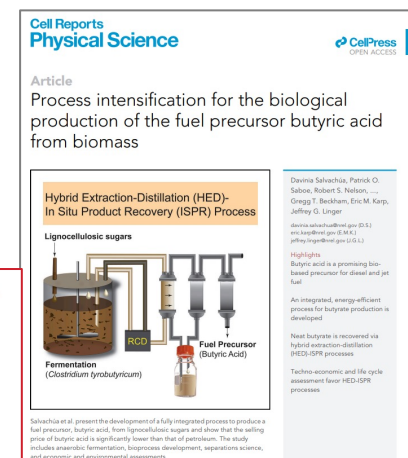


Task D2

Methodology for assessing the maximum potential impact of separations opportunities in industrial processes

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Quad Chart Overview

Timeline

- Project start date: October 2022
- Project end date: September 2025

| | FY22 Costed | Total Award |
|----------------------------|---------------------------------------|-------------|
| DOE Funding | (10/01/2021 – 9/30/2022) \$825K | \$1.74M |
| Project Cost Share * | N/A | N/A |

TRL at Project Start: N/A
TRL at Project End: N/A

Project Goal

The integrated TEA and LCA will guide research teams to develop efficient methods and technology by evaluating economic and environmental impacts relevant to industry and BETO's priority pathways.

End of Project Milestone

The overall end of the project milestone is to demonstrate the consortium's value to BETO and the biofuel and bioproduct communities through documentation of technical advances, influence on process economics, and potential industrial applications of consortium technologies.

Project Partners

- N/A

Summary

| | |
|--------------------|---|
| Overview | TEA and LCA evaluate and guide separation technologies under development by the consortium and target challenges relevant to industry and BETO's priority pathways. |
| Technical approach | <ul style="list-style-type: none"> • Detailed process analysis with rigorous mass and energy balances. • Worked closely with R&D team to obtain data needed to effectively model proposed separations technology. • Informed experimental team and provided guidance to further improve economic and environmental metrics. • Participated in regular calls with experimentalists and analysts as well as coordinated with other BETO consortia. • Developed models to assess environmental and economic impacts of proposed technology at a refinery level. |
| Impact | <ul style="list-style-type: none"> • Identified R&D needs to enable improved performance of separation strategies. |
| Progress | <ul style="list-style-type: none"> • Identified favorable performance (both TEA and LCA) cases warranted for further R&D. |
| Future work | <ul style="list-style-type: none"> • Continue to support TEA/LCA of new separation consortium strategies. • Document technical advances from each consortium team and their influence on process economics. |

Acknowledgements



BIOENERGY TECHNOLOGIES OFFICE

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- Hoon Choi
- Ryan Davis
- Bruno Klein
- Others



- Bill Kubic



- Charlie Freeman
- Mike Thorson
- Lesley Snowden-Swan
- Shuyun Li
- Yuan Jiang
- Aye Meyer

Thank you!

Publications, Patents, Presentations, Awards, and Commercialization

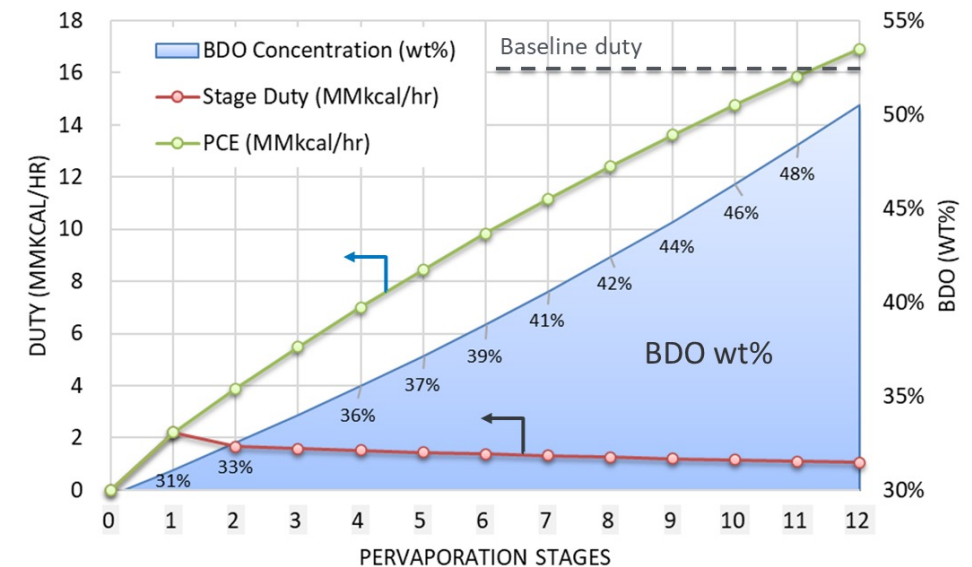
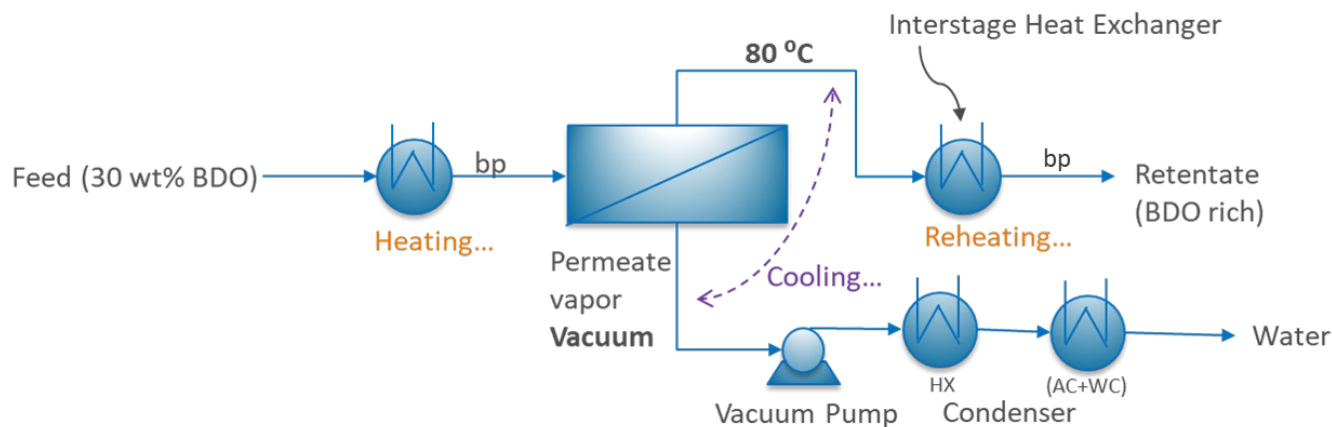
1. “Energy and Techno-economic Analysis of Bio-based Carboxylic Acid Recovery by Adsorption,” *Green Chemistry*, 23, 4386-4402 (2021).
2. “The cell utilized partitioning model... for optimizing counter-current chromatography...,” *Separation and Purification Technology*, 285:15 (2021).
3. “Process Intensification for the Biological Production of the Fuel Precursor Butyric Acid from Biomass,” *Cell Reports Physical Science*, 2(10), 100587 (2021).
4. “Separation of Bio-Based Glucaric Acid via Antisolvent Crystallization and Azeotropic Drying,” *Green Chemistry*, 24, 1350-1361 (2022).
5. “Fractionation of the Lignin Streams Using Tangential Flow Filtration,” *Industrial & Engineering Chemistry Research*, 61, 4407-4417 (2022).
6. “Recovery of Low Molecular Weight Compounds from Alkaline Pretreated Liquor via Membrane Separations,” *Green Chemistry*, 24, 3152-3166 (2022).
7. “Methodology for Assessing the Maximum Potential Impact of Separations Opportunities in Industrial Processes,” *Frontiers in Sustainability*, 3:1056580 (2022).

Additional Slides

Responses to Previous Reviewers' Comments

Peer Review Comment from 2021

- Whether pervaporation is energy efficient compared to SOT?
 - Multiple stages of membrane pervaporation are needed
 - Heat exchange is needed in between each stage to reheat the stream



- The use of pervaporation on dilute BDO concentration stream did not show superior energy/cost savings compared to the SOT evaporation/distillation.

Progress and Outcomes BDO Separations: TEA results

- ✓ Achieved recovery of more than 90% of the BDO from the fermentation broth with high purity
- ✓ Achieved heating duty less than 30% of the lower heating value (LHV) of the BDO

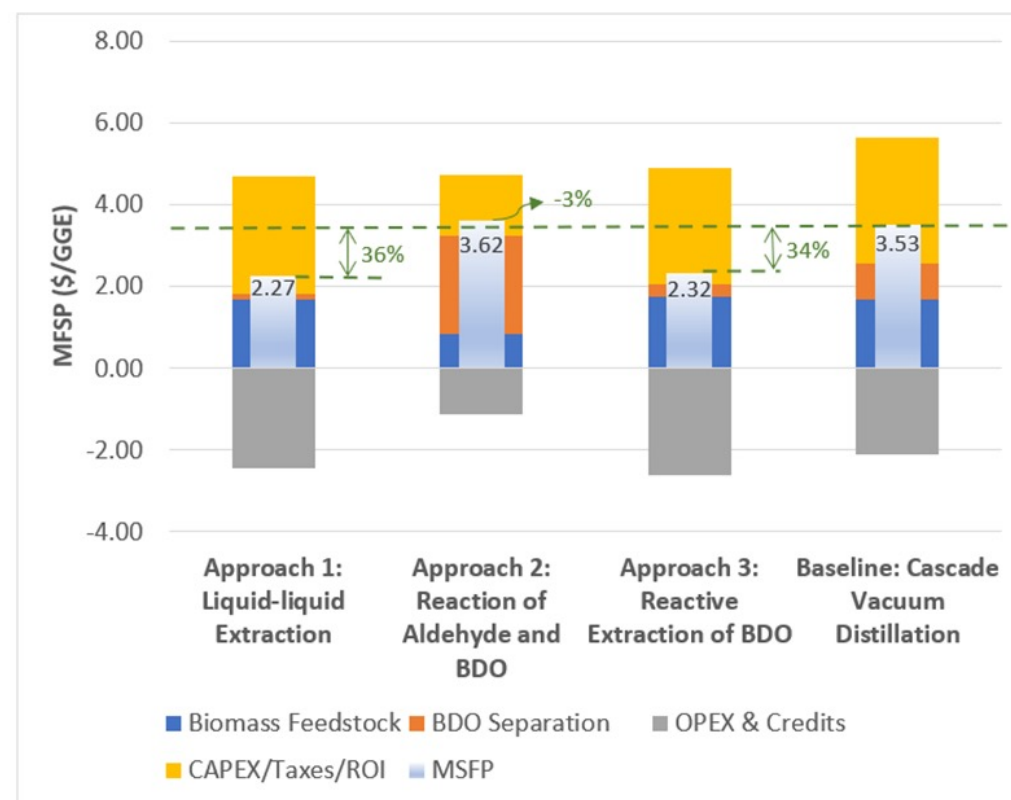
| Parameters | Approach 1: Liquid-liquid Extraction | Approach 2: Reaction of Aldehyde and BDO | Approach 3: Reactive Extraction of BDO | Baseline: Cascade Vacuum Distillation |
|------------------------------|--|---|---|--|
| BDO Recovery (%) | 99.1% | 95.4% ^a | 95.4% | 99.3% |
| BDO purity (wt.%) | > 99% | n.a. ^b | > 99% | > 99% |
| Heating duty (kJ/kg) | 1,271 | 1,192 ^c | 3,317 | 24,499 |
| % of LHV of BDO ^d | 4.67% | 4.38% | 12.2% | 90.1% |

^a % conversion BDO to into 2-propyl-4,5-dimethyl-1,3-dioxolane

^b Not applicable

^c per kg BDO in dioxolane

^d 2,3-BDO lower heating value (LHV) 27.2 MJ/kg²



Progress and Outcomes BDO Separations: LCA results

✓ Life-cycle GHG emissions reduction in all four approaches compared to fossil-based jet and baseline.

- Lowest emissions achieved from approaches 1 and 3 (60% lower than fossil jet and 40% lower than the baseline):
 - Natural gas (NG) demand was eliminated while grid electricity use is 60% lower
 - Chemical inputs were similar.
- Approach 2 resulted in 35% higher GHG emissions than the baseline case:
 - Electricity was reduced by 67% while no NG demand
 - But butanal was carbon-intensive to produce
 - Combustion emissions were higher since fossil carbon in butanal represents 54% of carbon in final fuel product.
- Fossil energy consumption (FEC) results were consistent with GHG emissions results:
 - Compared to the baseline case, approaches 1 and 3 showed a significant reduction in fossil energy use.
- Water consumption (WC) was higher than fossil jet and baseline cases: Mainly from embedded chemical inputs and process water.

