RENEWABLE ENERGY

Solid-State Lighting Patents Resulting from DOE-Funded Projects

As of March 2023, 256 solid-state lighting (SSL) patents have been awarded to research projects funded by the U.S. Department of Energy (DOE). Since December 2000, when DOE began funding SSL research projects, a total of 483 patent applications have been submitted, ranging from large businesses (150) and small businesses (171) to universities (148) and national laboratories (14).

DOE tracks three types of patent applications. A brief overview and the symbol used to identify each application type follows:

- **NP U.S. Nonprovisional Patents:** the standard U.S. patent application. Nonprovisional applications are examined by a patent examiner and may be issued as a patent if all requirements for patentability are met.¹
- P U.S. Provisional Patents: a lower-cost patent application filing option in the United States used to establish an early effective filing date in a later-filed nonprovisional patent application. An applicant who files a provisional application must file a corresponding nonprovisional application for patent during the 12-month pendency period in order to benefit.²
- **PCT** International Patents: an international patent application under the Patent Cooperation Treaty (PCT), by which applicants can simultaneously seek protection for intellectual property in 148 countries, including the United States.³

Each patent application has a unique application number used to track progress until a patent is awarded. Patent application titles may not be unique, and often we see the same title for multiple patent applications. For this reason, duplicate titles may be listed in the table below, but each instance corresponds to a unique patent application. Provisional patents are only tracked until the nonprovisional patent is filed, at which point they are superseded to avoid double counting. If a nonprovisional U.S. patent and an international patent are linked (i.e., one is a continuation of the other), the title is listed once but designated with both NP and PCT. In instances where the patent information is protected, the patent may be listed by application type, but no title is provided.

Primary Research Organization	Titles of Patent Applications (nonprovisional patents granted shown in bold)NP = U.S. NonprovisionalP = U.S. ProvisionalPCT = International	
Acuity Brands Lighting	 Cassette for Holding a Planar Light Source with a Thermally Isolated Driver Board^{NP} Power Line Communication System and Auto-Addressing Protocol^{NP} 	 Power Line Communication System and Auto-Addressing Protocol^{NP}
Agiltron	 Optoelectronic Device with Nanoparticle Embedded Hole Injection/Transport Layer^{NP} 	 One provisional patent application filed^P
Applied Materials, Inc.	 Method and Apparatus for Inducing Turbulent Flow of a Processing Chamber Cleaning Gas^{NP} Methods for Improved Growth of Group III Nitride Buffer Layers^{NP, PCT} 	 Methods for Improved Growth of Group III Nitride Semiconductors^{NP} Multiple Complementary Gas Distribution Assemblies^{NP}

1 For more information on nonprovisional patents, see:

https://www.uspto.gov/patents-getting-started/patent-basics/types-patent-applications/nonprovisional-utility-patent#heading-1 2 For more information on provisional patents, see:

https://www.uspto.gov/patents-getting-started/patent-basics/types-patent-applications/provisional-application-patent

3 For more information on PCT and international patents, see: https://www.wipo.int/pct/en/or

https://www.uspto.gov/sites/default/files/patents/process/file/efs/guidance/indexing-pct-new-appl.pdf

Primary Research Organization	Titles of Patent Applications (nonprovisional patents granted shown in bold)NP = U.S. NonprovisionalP = U.S. ProvisionalPCT = International	
Arizona State University	 Iridium Complexes Demonstrating Broadband Emission Through Controlled Geometric Distortion and Applications Thereof^{NP} 	 Metal-Assisted Delayed Fluorescent Emitters Employing Benzo-Imidazo-Phenanthridine and Their Analogues^{NP}
	Metal-Assisted Delayed Fluorescent Emitters Employing	 Metal-Assisted Delayed Fluorescent Emitters Employing Pyrido-Pyrrlol-Acridine and Analogues^{NP, PCT}
	 Benzo-Imidazo-Phenanthridine and Their Analogues^{NP} Metal-Assisted Delayed Fluorescent Emitters Employing Benzo-Imidazo-Phenanthridine and Analogues^{NP} Metal Compounds and Methods and Uses Thereof^{NP, PCT} 	 Metal Assisted Delayed Fluorescent Emitters for Organic Light-Emitting Diodes^{NP}
		 Non-Planar Blue Phosphorescent Emitters Based on Functionalized Imidazolyl Group^{NP}
	Non-Platinum Metal Complexes for Excimer Based Single Dopant White Organic Light Emitting Diodes ^{NP}	 Non-Platinum Metal Complexes for Excimer Based Single Dopant White Organic Light Emitting Diodes^{NP, PCT}
	Organic Light Emitting Diode with Split Emissive Layer ^{NP}	OLED with Multi-Emissive Material Layer ^{NP}
	Thermally Assisted Delayed Fluorescent Materials with Triad-Type Materials of Substituted Heteroaryls ^{NP}	 Phosphorescent Excimers with Preferred Molecular Orientation as Monochromic Emitter for Display and Lighting
	 Thermally Assisted Delayed Fluorescent Materials with Triad-Type Materials of Substituted Heteroaryls^{NP} 	Applications ^{PCT} Single-Doped White OLED with Extraction Layer Doped with
	 Efficient and Stable Near-Infrared OLED Employing Metal Complex Aggregates as Host Materials^{NP} 	Down-Conversion Red Emitters ^{NP, PCT} • Substituted Heteroaryls as Thermally Assisted Delayed
	Functional Materials Based on Stable Chemical	Fluorescent Materials ^{NP}
	Structure ^{NP} Hole-Blocking Materials for Organic Light Emitting 	Two nonprovisional patent applications filed
	 Hole-Blocking Materials for Organic Light Emitting Diodes^{NP, PCT} 	 One provisional patent application filed^P Two patent applications filed
Arkema, Inc.	Chemical Vapor Deposition Using N,O Polydentate Ligand Complexes of Metals ^{NP, PCT}	OLED Substrate Consisting of Transparent Conductive Oxide (TCO) and Anti-Iridescent Undercoat ^{NP, PCT}
Atom	Single-Walled Carbon Nanotube Films and Method and Appara	atus for Fabricating Thereof ^{NP, PCT}
Carnegie Mellon University	Composite Compositions and Modification of Inorganic Pa	rtiples for Lice in Composite Compositions NP. PCT
University		ricles for use in composite compositions
	Expandable LED Array Interconnect ^{NP}	Light Emitting Diode with Porous SiC Substrate and
		 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Solid State Lighting Component^{NP}
Cree, Inc.	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Solid State Lighting Component^{NP} Solid State Lighting Component^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for Bubble-Free Lens Placement^{NP} 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP}
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for Bubble-Free Lens Placement^{NP} LED Structure with Enhanced Mirror Reflectivity^{NP, PCT} Light Emitting Diode with High Aspect Ratio Submicron 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting
	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for Bubble-Free Lens Placement^{NP} LED Structure with Enhanced Mirror Reflectivity^{NP, PCT} Light Emitting Diode with High Aspect Ratio Submicron Roughness for Light Extraction and Methods of Forming^{NP} Light Emitting Diode with High Aspect Ratio Submicron 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices and Methods of Forming^{PCT}
Cree, Inc.	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for Bubble-Free Lens Placement^{NP} LED Structure with Enhanced Mirror Reflectivity^{NP, PCT} Light Emitting Diode with High Aspect Ratio Submicron Roughness for Light Extraction and Methods of Forming^{NP} 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices and Methods of Forming^{PCT}
Cree, Inc. Crystal IS, Inc.	 Expandable LED Array Interconnect^{NP} Extraction Film for Optical Waveguide and Method of Producing Same^{NP} High Reflectivity Mirrors and Method for Making Same^{NP, PCT} LED Lamp Incorporating Remote Phosphor with Heat Dissipation Features^{NP} LED Lamp or Bulb with Remote Phosphor and Diffuser Configuration with Enhanced Scattering Properties^{NP} LED Package Element with Internal Meniscus for Bubble-Free Lens Placement^{NP} LED Structure with Enhanced Mirror Reflectivity^{NP, PCT} Light Emitting Diode with High Aspect Ratio Submicron Roughness for Light Extraction and Methods of Forming^{NP} Light Emitting Diode with High Aspect Ratio Submicron Roughness for Light Extraction and Methods of Forming^{NP} Growth of Large Aluminum Nitride Single Crystals with Therman 	 Light Emitting Diode with Porous SiC Substrate and Method for Fabricating^{NP, PCT} Recipient Luminophoric Mediums Having Narrow Spectrum Luminescent Materials and Related Semiconductor Light Emitting Devices and Methods^{NP} Solid State Lighting Component^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices^{NP} Ultra-Thin Ohmic Contacts for P-Type Nitride Light Emitting Devices and Methods of Forming^{PCT}

	visional patents granted shown in bold) S. Provisional PCT = International
Lighting Fixture ^{NP}	• 3D Printable Lens for Beam Shaping PCT
Luminaire with Integrated Lightguide ^{NP}	
 Phosphor-converted White Light Emitting Diodes Having Narrow-band Green Phosphors^{NP} 	 Three provisional patent applications filed^P
Europium Beta-Diketonate Luminescent Material ^{NP, PCT}	
Method and Apparatus for Aluminum Nitride Monocrystal Boule Growth ^{NP}	
 Blue-Green and Green Phosphors for Lighting Applications^{NP} 	 Mechanically Flexible Organic Electroluminescent Device with Directional Light Emission^{NP, PCT}
• Electrodes Mitigating Effects of Defects in Organic	OLED Area Illumination Source ^{NP}
	Organic Electroluminescent Devices and Method
 Light-Emitting Device with Organic Electroluminescent Material and Photoluminescent Materials^{NP} 	for Improving Energy Efficiency and Optical Stability Thereof ^{NP}
Lighting System with Heat Distribution Face Plate NP, PCT	Organic Electroluminescent Devices Having Improved
Lighting System with Thermal Management System ^{NP, PCT}	Light Extraction ^{NP, PCT}
 Lighting System with Thermal Management System Having Point Contact Synthetic Jets^{NP, PCT} 	 Series Connected OLED Structure and Fabrication Method^{NP}
Luminaire for Light Extraction from a Flat Light Source ^{NP}	Hybrid Electroluminescent Devices ^{NP}
• Materials for Optoelectronic Devices ^{NP, PCT}	Eight patent applications filed
Novel Green Emitting Phosphors and Blends Thereof NP	 One provisional patent application filed^P
 Phosphor Suspended in Silicone, Molded/Formed and Used in a Remote Phosphor Configuration^{NP, PCT} 	
 2,5-Linked Polyfluorenes for Optoelectronic Devices^{NP} 	Kimzeyite Garnet Phosphors ^{NP}
 2,5-Linked Polyfluorenes for Optoelectronic Devices^{NP} 	Lighting System with Thermal Management System ^{NP}
 2,5-Linked Polyfluorenes for Optoelectronic Devices^{NP} 	Lighting System with Thermal Management System ^{NP}
Alkaline and Alkaline Earth Metal Phosphate Halides	Lighting System with Thermal Management System ^{NP}
	Lighting System with Thermal Management System ^{NP}
 Coated Phosphors, Methods of Making Them, and Articles Comprising the Same^{NP, PCT} 	 Lighting System with Thermal Management System Having Point Contact Synthetic Jets^{NP}
Color Stable Manganese-Doped Phosphors ^{NP, PCT}	Lighting System with Thermal Management System
	Having Point Contact Synthetic Jets ^{NP}
	 Method for Preparing Polymeric Organic Iridium Compositions^{NP}
 Electronic Devices Comprising Organic Iridium Compositions^{NP} 	 Method of Making Organic Light Emitting Devices^{NP}
Electronic Devices Comprising Organic Iridium	OLED Devices with Internal Outcoupling ^{NP}
Compositions ^{NP}	Organic Iridium Compositions and Their Use in
Electronic Devices Comprising Organic Iridium Compositions ^{NP}	Electronic Devices ^{NP} Organic Iridium Compositions and Their Use in
Emissive Polymeric Materials for Optoelectronic Devices ^{NP}	Electronic Devices ^{NP}
 Functionalized Polyfluorenes for Use in Optoelectronic 	 Organic Iridium Compositions and Their Use in Electronic Devices^{NP}
	Phosphors for LED Lamps ^{NP}
Compositions ^{NP}	 Alkaline Earth Borate Phosphors^{NP}
One patent application filed	
Method for Producing Optical Article with Anti-Reflective Sur-	 One provisional patent application filed^P
face, and Optical Article with Anti-Reflective Surface NP, PCT	One patent application filed
	 NP = U.S. Nonprovisional P = U.I. Lighting Fixture^{NP} Luminaire with Integrated Lightguide^{NP} Phosphor-converted White Light Emitting Diodes Having Narrow-band Green Phosphors^{NP} Europium Beta-Diketonate Luminescent Material^{NP, PCT} Method and Apparatus for Aluminum Nitride Monocrystal I Blue-Green and Green Phosphors for Lighting Applications^{NP} Electrodes Mitigating Effects of Defects in Organic Electroluminescent Material and Photoluminescent Materials^{NP} Light-Emitting Device with Organic Electroluminescent Material and Photoluminescent Materials^{NP} Lighting System with Thermal Management System^{NP, PCT} Lighting System with Thermal Management System^{NP, PCT} Lighting System with Thermal Management System^{NP, PCT} Luminaire for Light Extraction from a Flat Light Source^{NP} Materials for Optoelectronic Devices^{NP, PCT} Novel Green Emitting Phosphors and Blends Thereof ^{NP} Phosphor Suspended in Silicone, Molded/Formed and Used in a Remote Phosphor Configuration^{NP, PCT} 2,5-Linked Polyfluorenes for Optoelectronic Devices^{NP} 2,5-Linked Polyfluorenes for Optoelectronic Devices^{NP} Alkaline and Alkaline Earth Metal Phosphors^{NP, PCT} Color Stable Manganese-Doped Phosphors^{NP, PCT} Color Stable Manganese-Doped Phosphors^{NP, PCT} Color Stable Phosphors^{NP, PCT} Electronic Devices Comprising Organic Iridium Compositions^{NP} Electronic Devices Comprising Organic Iridium Compositions^{NP} Electronic Devices Comprising Organic Iridium Compositions^{NP} Ensisve Polymeric Materials for Optoelectronic Devices^{NP} Ketopyrroles Useful as Ligands in Organic Iridium Compositions^{NP} Cone patent application filed

Technology, LLC

Primary Research Organization		visional patents granted shown in bold) S. Provisional PCT = International
Heraeus Materials Technology LLC	 One provisional patent application filed^P 	
InnoSys, Inc.	Solid State Luminaire Lighting System NP	 One nonprovisional patent application filed^{NP}
Innotec, Corp.	Illumination Assembly Including Thermal Energy Management	NP, PCT
International Technology Exchange	One patent application filed	
Kebotix, Inc.	 One provisional patent application filed^P 	One patent application filed
KLA-Tencor	 Scattered Light Separation^{NP} Substrate Inspection^{NP, PCT} High Throughput Hot Testing Method and System for High Brightness Light Emitting Diodes^{NP, PCT} 	 High Throughput Hot Testing Method and System for High Brightness Light Emitting Diodes^{NP} Solid State Light Production Using Flexible Grouping of LEDs^{NP, PCT}
Lawrence Berkeley National Laboratory	Carbon Nanotube Polymer Composition and Devices ^{NP}	 Organic Light Emitting Diodes with Structured Electrodes^{NP}
Lehigh University	 Abbreviated Epitaxial Growth Mode (AGM) Method for Reducing Cost and Improving Quality of LEDs and Lasers^{NP} Gallium Nitride-Based Device and Method^{NP} Nitride Based Quantum Well Light-emitting Devices Having Improved Current Injection Efficiency^{NP} Semiconductor Light-emitting Devices Having Concave Microstructures Providing Improved Light Extraction Efficiency and Method for Producing Same^{NP} 	 Staggered Composition Quantum Well Method and Device^{NP, PCT} Staggered Composition Quantum Well Method and Device^{NP} Surface Plasmon Dispersion Engineering via Double- metallic AU/AG Layers for Nitride Light-emitting Diodes^{NP}
Light Prescriptions Innovators	 Optical Device for LED-Based Lamp^{NP, PCT} Optical Devices^{NP} Optical Manifold^{NP} Optical Manifold for Light-Emitting Diodes^{NP, PCT} 	 Optical Manifold for Light-Emitting Diodes^{NP} Wide Band Dichroic-Filter Design for LED-Phosphor Beam Combining^{NP} Three patent applications filed
Lightscape Materials Inc.	 Carbonitride Based Phosphors and Light Emitting Devices Using the Same^{NP, PCT} Carbonitride Based Phosphors and Light Emitting Devices Using the Same^{NP} Halogenated Oxycarbidonitride Phosphor and Devices Us- ing Same^{NP} Oxycarbidonitride Based Phosphors and LED Lighting Devices^{NP} 	 Oxycarbonitride Phosphors and Light Emitting Devices Using the Same^{NP, PCT} Oxycarbonitride Phosphors and Light Emitting Devices Using the Same^{NP} Oxycarbonitride Phosphors and Light Emitting Devices Using the Same^{NP} Silicon Carbidonitride Based Phosphors and Lighting Devices Using the Same^{NP, PCT}

 Polymer Matrix Electroluminescent Materials and Devices^{NI} Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} Nanocrystal Doped Matrices^{NP, PCT} High Bandgap III-V Alloys for High Efficiency Optoelectronics^{NP, PCT} Lattice-Mismatched GalnP LED Devices and Methods of Fabricating Same^{NP} One provisional patent application filed^P Photonics Materials^{NP, PCT} Method for Mask-Free OLED Deposition and Manufacture^{NP, PCT} 	 P, PCT Solid State Lighting Devices and Methods with Rotary Cooling Structures^{NP} Strain Balanced Direct Bandgap Aluminum Indium Phosphat Quantum Wells for Light Emitting Diodes^{NP} One provisional patent application filed^P Spectrally Tunable Stacked OLED^{NP} Two provisional patent applications filed^P
 Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} Nanocrystal Doped Matrices^{NP, PCT} High Bandgap III-V Alloys for High Efficiency Optoelectronics^{NP, PCT} Lattice-Mismatched GaInP LED Devices and Methods of Fabricating Same^{NP} One provisional patent application filed^P Photonics Materials^{NP, PCT} 	 Solid State Lighting Devices and Methods with Rotary Cooling Structures^{NP} Strain Balanced Direct Bandgap Aluminum Indium Phosphat Quantum Wells for Light Emitting Diodes^{NP}
 Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} Nanocrystal Doped Matrices^{NP, PCT} High Bandgap III-V Alloys for High Efficiency Optoelectronics^{NP, PCT} Lattice-Mismatched GaInP LED Devices and Methods of Fabricating Same^{NP} 	 Solid State Lighting Devices and Methods with Rotary Cooling Structures^{NP} Strain Balanced Direct Bandgap Aluminum Indium Phosphai
 Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} Nanocrystal Doped Matrices^{NP, PCT} High Bandgap III-V Alloys for High Efficiency Optoelectronics^{NP, PCT} Lattice-Mismatched GalnP LED Devices and Methods of 	 Solid State Lighting Devices and Methods with Rotary Cooling Structures^{NP} Strain Balanced Direct Bandgap Aluminum Indium Phospha
 Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} Nanocrystal Doped Matrices^{NP, PCT} High Bandgap III-V Alloys for High Efficiency 	Solid State Lighting Devices and Methods with Rotary
 Wand Gesture^{NP} Method of Manufacturing Organic Lighting Device^{NP} 	Р, РСТ
• Wand Gesture ^{NP}	P, PCT
• Wand Gesture ^{NP}	P, PCT
	P, PCT
Shell Structures for Colloidal Semiconductor Nanocrystals ^{NP,} PCT	Nanocrystals ^{NP}
Method of Making Colloidal Semiconductor Nanocrystals PCT	 One patent application filed Shell and Core Structures for Colloidal Semiconductor
 Printed Circuit Board for Integrated LED Driver^{NP} 	One provisional patent application filed
LED Module with High Index Lens ^{NP}	One nonprovisional patent application filed ^{NP}
Encapsulation ^{NP, PCT}	Molded Lens Incorporating a Window Element ^{NP}
0	 Zener Diode Protection Network in Submount for LEDs Connected in Series^{NP}
Dimming LED Circuit Augmenting DC/DC Controller	Printed Circuit Board for Integrated LED Driver ^{NP}
 Suspended Lighting Fixture Employing Shaped Light Guide Illumination Devices^{NP} 	
 Suspended Lighting Fixtures Employing Shaped Light Guide Illumination Devices^{NP} 	
LEDs and Optical Waveguides ^{NP} • Wide-Area Lighting Fixture with Segmented Emission ^{NP}	One patent application filed
Backlight Unit for LCD Displays Employing Side-Emitting	 Lighting Fixture for Direct and Indirect Lighting with Patterned Light Emitting Area^{NP}
Light Guide ^{NP}	 Face-Lit Waveguide Illumination Systems^{NP}
Light Guide ^{NP}	 Wide-area Solid-state Illumination Devices and Systems^N
	Wide-area Solid-state Illumination Devices and Systems
	Solid-State Lighting Fixture ^{NP}
	Solid-State Lighting Fixture ^{NP}
Light Emitting Sheet with Surface Pattern ^{NP}	Shaped Light Guide Illumination Devices ^{NP}
 Light Emitting Sheet with Surface Pattern^{NP} 	 Method of Making Light Guide Illumination Systems with Enhanced Light Coupling^{NP}
 LED Lighting Sheet with Surface Pattern^{NP} 	Coupling ^{NP}
 Light Emitting Sheet^{NP} 	Light Guide Illumination Systems with Enhanced Light
 Light Emitting Sheet^{NP} 	 Light Guide Illumination Systems with Enhanced Light Coupling^{NP}
 Light Emitting Sheet^{NP} 	and Flexible Waveguides with Enhanced Light Coupling ^{NI}
 Illumination Systems Employing Thin and Flexible Waveguides with Enhanced Light Coupling^{NP} 	Method of Making Illumination Systems Employing Thin
	 Light Guide Illumination Systems with Enhanced Light Coupling^{NP}
	 Light Emitting Sheet^{NP} Light Emitting Sheet^{NP} Light Emitting Sheet^{NP} LED Lighting Sheet with Surface Pattern^{NP} Light Emitting Sheet with Surface Pattern^{NP} Light Guide^{NP} Light Guide^{NP} Sacklight Unit for LCD Displays Employing Side-Emitting LEDs and Optical Waveguides^{NP} Wide-Area Lighting Fixture with Segmented Emission^{NP} Suspended Lighting Fixture Employing Shaped Light Guide Illumination Devices^{NP} Suspended Lighting Fixture Employing Shaped Light Guide Illumination Devices^{NP} Dimming LED Circuit Augmenting DC/DC Controller Integrated Circuit^{NP} Hybrid Chip-on-board LED Module with Patterned Encapsulation^{NP, PCT} LED Module with High Index Lens^{NP} Printed Circuit Board for Integrated LED Driver^{NP} Method of Making Colloidal Semiconductor Nanocrystals^{PCT} Shell Structures for Colloidal Semiconductor Nanocrystals^{NP,}

Primary Research Organization		visional patents granted shown in bold) S. Provisional PCT = International
OSRAM Opto Semiconductors	 Device Structure for OLED Light Device Having Multi Element Light Extraction and Luminescence Conversion Layer^{NP} Electroluminescent Apparatus Having a Structured Luminescence Conversion Layer^{NP} Integrated Fuses for OLED Lighting Device^{NP} Light Source Comprising a Common Substrate, a First LED Device and a Second LED Device^{NP} Novel Method to Generate High Efficient Devices, which Emit High Quality Light for Illumination^{NP} 	 OLED Lighting Devices Having Multi Element Light Extraction and Luminescence Conversion Layer^{NP} Organic Electrophosphorescence Device Having Interfacial Layers^{NP} Polymer and Small Molecule Based Hybrid Light Source^{NP} Structured Luminescence Conversion Layer^{NP} Using Prismatic Microstructured Films for Image Blending in OLEDS^{NP} OLEDs with Phosphors^{NP} One provisional patent application filed^P
	Ceiling Mounted Luminaire ^{NP}	Thermal Trim for a Luminaire ^{NP, PCT}
	Centing Mounted Lummare P Oriver Circuit for Solid State Light Sources ^{NP}	Thermal Trim for a Luminaire ^{NP}
	Interior Frame for Solid State Light Source Luminaire ^{NP}	Apparatus Incorporating an Optically Transmitting Circuit
Osram Sylvania Inc.	Lamp with a Truncated Reflector Cup ^{NP}	Board ^{NP, PCT}
	• LED Lamp ^{NP}	 Arrangement of Solid State Light Sources and Lamp Using Same^{NP, PCT}
	 Solid State Light Source Driver Establishing Buck or Boost Operation^{NP} 	One patent application filed
Desiffe Nexthere et	OLED Devices ^{NP}	Organic Materials with Tunable Electric and
Pacific Northwest National Laboratory	Organic Materials with Phosphine Sulphide Moieties Having Tunable Electric and Electroluminescent Properties ^{NP, PCT}	Electroluminescent Properties ^{NP, PCT}
Palo Alto Research Center Incorporated	One nonprovisional patent application filed NP	
Philips Electronics North America	 One provisional patent application filed^P 	Three patent applications filed
Philips Lighting North America Corporation	 Integrated LED-based Luminaire for General Lighting^{NP} Integrated LED-based Luminaire for General Lighting^{NP} LED Lamp Color Control System and Method^{NP} LED Lamp Power Management System and Method^{NP} 	 Methods and Apparatus for Controlling Respective Load Currents of Multiple Series-connected Loads^{NP} LED Module with High Index Lens^{NP} One provisional patent application filed^P
	Light Emitting Device Having Selenium-Based Fluorescent Phosphor ^{NP}	 Light Emitting Device Having Thio-Selenide Fluorescent Phosphor^{NP}
PhosphorTech	Light Emitting Device Having Silicate Fluorescent	Phosphor Sheets ^{NP}
Corporation	Phosphor ^{NP, PCT}	
	 Light Emitting Device Having Sulfoselenide Fluorescent Phosphor^{NP, PCT} 	
	Nanocomposite Formulations for Optical Applications ^{NP}	Nanocomposite Formulations for Optical Applications PCT
Pixelligent Technologies LLC	Nanocomposite Formulations for Optical Applications PCT	 One provisional patent application filed^P
	Nanocomposite Formulations for Optical Applications PCT	One patent application filed
PPG Industries Inc.	Organic Light Emitting Diode with Light Extracting Layer ^{NP}	
Princeton University	 Process for Fabricating a Porous Film in a Scattering Layer^{NP} 	 Organic Light-emitting Device with a Phosphor-sensitized Fluorescent Emission Layer^{NP}
Purdue University	 Metalized Silicon Substrate for Indium Gallium Nitride Light-Emitting Diode^{NP, PCT} 	 Process for Fabricating III-Nitride Based Nanopyramid LEDs Directly on a Metalized Silicon Substrate^{NP}
Rensselaer	 High Efficiency Light Source Using Solid-state Emitter and Down-conversion Material^{NP} 	 Method of Fabricating an Ohmic Contact to N-Type Gallium Nitride^{NP}
Polytechnic Institute	Free-Standing Mounted Light Emitting Diodes for Concern Lighting NP	 Three provisional patent applications filed^P

- Free-Standing Mounted Light Emitting Diodes for General Lighting $\ensuremath{^{\rm NP}}$

Primary Research Organization		visional patents granted shown in bold) S. Provisional PCT = International
Research Triangle Institute	 Color Tunable Lighting Devices and Methods for Tuning Color Output of Lighting Devices^{NP} Reflective Nanofiber Lighting Devices^{NP, PCT} Photoluminescent Nanofiber Composites, Methods and Fabrication, and Related Lighting Devices^{NP} 	 Lighting Devices with Color-Tuning Materials and Methods for Tuning Color Output of Lighting Devices^{NP, PCT} Long-Pass Optical Filter Made from Nanofibers^{NP, PCT} Stimulated Lighting Devices^{PCT}
Sandia National Laboratories	Cantilever Epitaxial Process NP	 Nanowire-Templated Lateral Epitaxial Growth of Non-Polar Group III Nitrides^{NP}
SC Solutions, Inc.	One patent application filed	
Sinmat, Inc.	 High Light Extraction Efficiency Solid State Light Sources^{NP} 	 Chemical Mechanical Fabrication for Forming Tilted Surface Features^{NP}
Saraa Ina	 Process for Large-Scale Ammonothermal Manufacturing of Semipolar Gallium Nitride Boules^{NP} 	 Process for Large-Scale Ammonothermal Manufacturing of Gallium Nitride Boules^{NP}
Soraa, Inc.	 Process for Large-Scale Ammonothermal Manufacturing of Gallium Nitride Boules^{NP} 	 One nonprovisional patent application filed^{NP}
State University of New York, Buffalo	Colloidal Nanocrystals and Method of Making ^{NP}	 One provisional patent application filed^P
Universal Display Corporation	 Binuclear Compounds^{NP, PCT} General Bus Line Design Rules for Large-Area OLED Lighting^{NP} Intermediate Connector for Stacked Organic Light Emit- ting Devices^{NP} Light Extraction Blocks for Thin Form Factor OLED Lighting with Improved Power Efficacy^{NP} Novel Host Compounds for Red Phosphorescent OLEDs^{NP} Organic Light Emitting Device Architecture for Reducing the Number of Organic Materials^{NP} 	 Organic Light Emitting Device Structure for Obtaining Chromaticity Stability^{NP} Organic Light Emitting Device Structure for Obtaining Chromaticity Stability^{NP} Organic Light Emitting Device with Conducting Cover^{NP, PCT} Organic Light-Emitting Devices for Illumination^{NP, PCT} White Phosphorescent Organic Light Emitting Devices^{NP} Stacked OLEDs with a Reflective Conductive Layer^{NP} Organic Light Emitting Device Architecture^{PCT}
University of California, San Diego	 Rare-Earth Activated Aluminum Nitride Powders and Method of Making^{NP, PCT} 	 One provisional patent application filed^P One patent application filed
University of California, Santa Barbara	 Enhancing Performance Characteristics of Organic Semiconducting Films by Improved Solution Processing^{NIP} Horizontal Emitting, Vertical Emitting, Beam Shaped, Distributed Feedback (DFB) Lasers by Growth over a Patterned Substrate^{NP, PCT} Nanowire-Polymer Composite Electrodes^{NP} Plasmon Assisted Enhancement of Organic Optoelectronic Devices^{NP, PCT} Single or Multi-Color High Efficiency Light Emitting Diode (LED) by Growth over a Patterned Substrate^{NP, PCT} 	 Light Emitting Devices with Embedded Void-Gap Structures through Bonding of Structured Materials on Active Devices^{NF} Optoelectronic Devices with Embedded Void Structures^{NP} Selective Dry Etching of N-Face (Al,In,Ga)N Heterostructures^{NP} Semiconductor Micro-Cavity Light Emitting Diode^{NP}, PCT Silicone Encapsulants for Light Emitting Diodes^{NP} Methods of Hole Injection in Indium Aluminum Gallium Nitride Light-Emitting Diodes^{PCT} One provisional patent application filed^P Two patent applications filed
University of Florida	 Inkjet Printing of Microlenses for Photonic Applications^{NP} Stable and All Solution Processable Quantum Dot Light-Emitting Diodes^{NP} 	 Top-emission Organic Light-emitting Devices with Microlens Arrays^{NP}

Primary Research Organization	Titles of Patent Applications (nonprovisional patents granted shown in bold)NP = U.S. NonprovisionalP = U.S. ProvisionalPCT = International	
University of Michigan	 Gas Cushion Control of OVJP Print Head Position^{NP, PCT} Highly Reliable Stacked White Organic Light Emitting Device^{NP} Integrated Window and Light Source^{NP} OLED with Improved Light Outcoupling^{NP} Organic Electroluminescent Materials and Devices^{NP} OLED with Minimal Plasmonic Losses^{NP} Ultrabright Fluorescent OLEDs Using Triplet Sinks^{NP, PCT} Control of Molecular Orientation and Film Crystallinity in Organic Light-Emitting Devices^{NP} Enhanced OLED Outcoupling by Suppressing Surface Plasmon Modes^{NP} Enhanced OLED Outcoupling by Suppressing Surface Plasmon Modes^{NP} Flexible Electronic Display Device^{NP} A White Organic Light Emitting Device with Stable Spectrum Employing Transport Barrier Layers^{NP} Optoelectronic Device Including Charge Generation Layer Stack^{NP} 	 Hybrid Organic Light Emitting Device^{NP} Integrated Photovoltaic Window and Light Source^{NP} Integrated Photovoltaic Window and Light Source^{NP} Organic Light Emitting Diode Having a Mixed Blocking Layer^{NP} Organic Light-Emitting Devices Using a Low Refractive Index Dielectric^{NP} A Method of Fabricating a Light Emitting Device Having a Polymer Film with a Specified Surface Roughness^{NP} Sub-Electrode Microlens Array for Organic Light Emitting Devices^{NP} Purcell-Effect-Enhanced Organic Light-Emitting Diodes with Sub-Electrode Microlens Array^{NP} System and Method for Display Patterning^{NP} Ultra-thin Flexible Substrate for Organic Light Emitting Devices with Enhanced Light Extraction Efficiency^{NP} Four provisional patent applications filed^P Three patent applications filed
University of of North Texas	Organic Light-Emitting Diodes from Homoleptic Square Planar Complexes ^{NP, PCT}	 Two provisional patent applications filed^P
University of Southern California	 Co-deposition Methods for the Fabrication of Organic Optoelectronic Devices^{NP} Fluorescent Filtered Electrophosphorescence^{NP}, PC Fluorescent Filtered Electrophosphorescence^{NP} Luminescent Cyclometallated Iridium (III) Complexes Having Acetylide Ligands^{NP} Materials and Architectures for Efficient Harvesting of Singlet and Triplet Excitons for White Light Emitting OLEDs^{NP} OLEDs Utilizing Macrocyclic Ligand Systems^{NP} OLED with Improved Light Outcoupling^{NP}, PC Organic Light Emitting Device Having Multiple Separate Emissive Layers^{NP}, PC Organic Vapor Jet Deposition Using an Exhaust^{NP}, PC 	 Phenyl and Fluorenyl Substituted Phenyl Pyrazole Complexes of Ir^{NP} Stable Blue Phosphorescent Organic Light Emitting Devices^{NP, PCT} Low Index Grids (LIG) to Increase Outcoupled Light from Top or Transparent OLED^{NP} Luminescent Compounds with Carbene Ligands^{PCT} OLED with Hybrid Emissive Layer^{NP} Optoelectronic Device Including Charge Generation Layer Stack^{NP} Optoelectronic Device Including Ultrathin Dopant Layers^{NP} Organic Electroluminescent Materials and Devices^{NP} Organic Electroluminescent Materials and Devices^{NP} Stable Blue Phosphorescent Organic Light Emitting Devices^{NP} Four patent applications filed
Vitro	 Organic Light Emitting Diode with Light Extracting Electrode^{NP} Organic Light Emitting Diode with Surface Modification Layer^{NP} 	 Organic Light Emitting Diode with Surface Modification Layer^{NP}
WhiteOptics, LLC	One patent application filed	

To learn more about the DOE SSL Project Portfolio: energy.gov/eere/ssl/research-development

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