

# Development of high value bioproducts and enhancement of direct-air capture efficiency with a marine algae biofuel production system



## Zackary Johnson

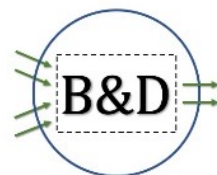
April 2023

Algae Platform Review

DOE Bioenergy Technologies Office (BETO)  
2023 Project Peer Review

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WBS 1.3.4.010



**MoleculeWorks**  
Efficiency for a Sustainable World



**Duke** | NICHOLAS SCHOOL OF THE ENVIRONMENT  
forging a sustainable future

# Project Overview

This team was spun out of the MAGIC-C (WBS 1.3.2.440) consortium, and represents a similar group with one new member (MW) who brings specialized DAC experience

Our focus here is to build on substantial team experience associated with outdoor algae cultivation, CO<sub>2</sub> conversion, and TEA/LCA of algae biofuels to develop and test approaches to generate CO<sub>2</sub> from DAC for algae use, while simultaneously improving economics through cultivation improvements and co-product development

Success means improved algae biofuel economics and reduced environmental impact

FOA: DE-FOA-0002203 Algae Bioproducts and CO<sub>2</sub> Direct-Air-Capture Efficiency (ABCDE)

# 1- Approach

## Project Goal

To demonstrate high-performance algae cultivation using carbon sourced from DAC coupled with stable DIC production to generate fuel and high-value co-products at a commercially relevant scale, and then to deliver techno-economic and life-cycle assessments that illustrate the associated economic and environmental benefits at commercial scale.

Our 3 specific goals are:

- increase the revenue of the algae biomass while ensuring that fuel specifications are met*
- Increase productivity over baseline levels while using carbon supplied by DAC*
- increase the percentage of carbon supplied by DAC while still reducing the costs associated with supplying CO<sub>2</sub>*

FOA Goals: Projects will, by the end of the research, deliver techno-economic analyses utilizing data generated from the R&D that show lower potential algal biomass costs and increased potential revenue from the incorporation of DAC with production of valuable algae products

## 2 – Approach (Technical)

### Components (by Task Number)

- 2) **Strain Assessment and Cultivation** will (1) demonstrate biochemical characteristics of marine microalgae that have demonstrated value that exceed current algae biomass uses (focusing on collagen and whey replacements) and to (2) demonstrate design and operational characteristics of microalgae cultivation that enhance productivity compared to base cases (Duke)
- 3) **DAC CO<sub>2</sub> and Conversion** will demonstrate cost effective direct air capture of CO<sub>2</sub> and subsequent conversion to HCO<sub>3</sub> towards broader increased efficiency of algae growth (reduced CO<sub>2</sub> losses and increased growth/yield) (MW/UCSC)
- 4) **Demonstration** will translate laboratory results in (1) production of strains with high value co-products outside (2) algae growth/yield on DAC and converted CO<sub>2</sub> (i.e. coupled system) (3) operational changes outside to enhance productivity (Duke)
- 5) **TEA/LCA** will ground experimental data from various scales into a larger commercialization and biofuel development effort towards identifying the processes and factors that affect costs and environmental impacts (B&D)

# 1 - Approach (Major Tasks)

- Task 1: Verification
- **Task 2: Strain assessment** (Cultivation) – Co-product screening, data/modeling for pond operation/capital redesign → **co-products; ↑ economics from lower cost/higher value**
  - Risk / management: no co-product, no desirable response / multiple strains & down selection
- **Task 3: DAC CO<sub>2</sub> & conversion** CO<sub>2</sub> to HCO<sub>3</sub> using CaCO<sub>3</sub> → **uncoupled from pipe/ reduced CO<sub>2</sub> use**
  - Risk / management: poor performance / design & iterate
- **Task 4: Demonstration** - integrated system, industrially relevant scale → **translation to industry**
  - Risk / management: scale-up does not translate / multiple scales & strains
- **Task 5: TEA/LCA & System Modeling** → **economics/sustainability**
  - Risk / management: limited risk

# Relevance and Impact

## Topic Area 3 Metrics

## How addressed in this project

**Algal biomass revenue potential**

- EPA/DHA co-production – \$400,000/tonne
- Collagen peptide co-production – \$40,000/tonne
- Whey protein replacement co-production – \$1,800/tonne

**Algal biomass quality**

- Biochemical characterization for all co-products

**Algae areal productivity**

- Modification of cultivation procedures: “stocking density”
- Modification of cultivation infrastructure design: ↑O<sub>2</sub> degassing; ↓ CAPEX / OPEX

**DAC CO<sub>2</sub> delivered and utilized by algal system**

- Novel membrane based DAC of CO<sub>2</sub> and conversion to DIC
- Modification of cultivation infrastructure design: ↑ CO<sub>2</sub> flux

**Cost of CO<sub>2</sub>**

- Novel membrane based DAC and DIC = \$52/tonne CO<sub>2</sub>

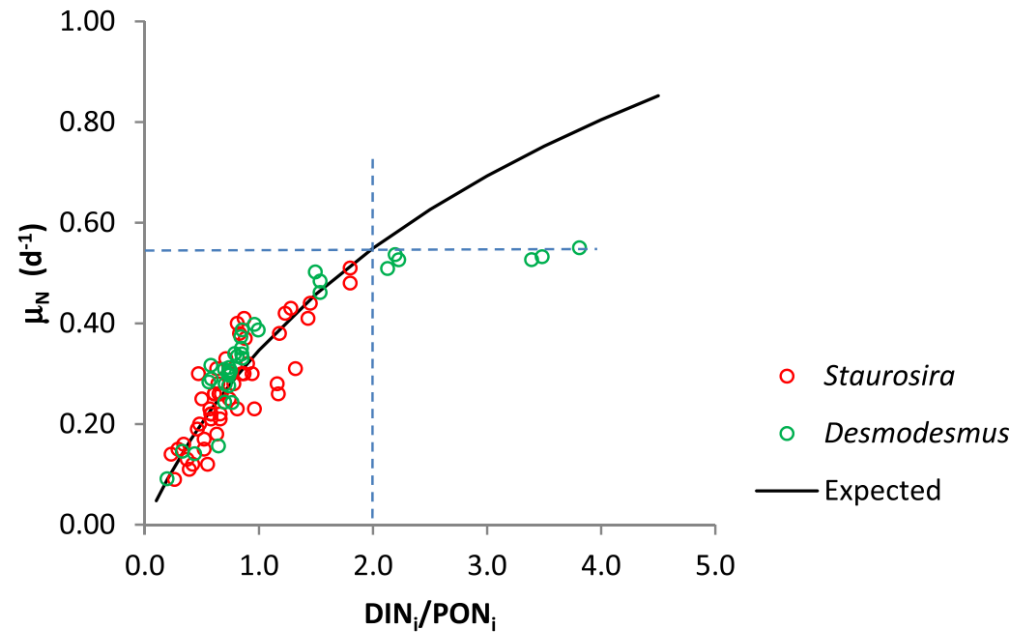
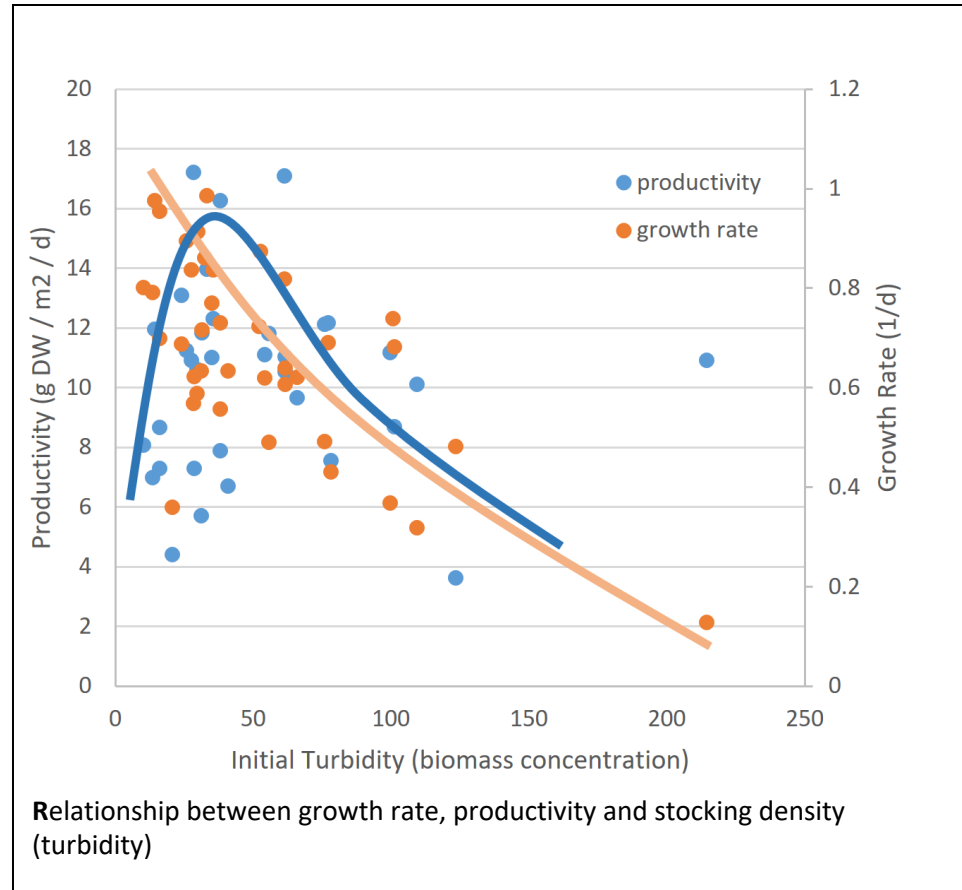
## 2 - Progress and Outcomes

### Task 2: Strain assessment: *ID of strains with high value co-products*

StrainID	Species	Origin	Outdoor	≥18g/m <sup>2</sup> /d	EPA	Collagen	Whey
Ocy3	<i>Oocystis sp.</i>	MAGIC	×				
S002	<i>Oocystis sp.</i>	MAGIC	×				
Pico	<i>Picochlorum cereli</i>	SOT (Exxon)		×			
C985	<i>Tetraselmis sp.</i>	MAGIC	×		×		
C018	<i>Nannochloropsis sp.</i>	MAGIC	×	×	×		
H1117	<i>Chlorella sp.</i>	MAGIC	×		×		
D046	<i>Desmodesmus sp.</i>	MAGIC	×				
C046	<i>Desmodesmus sp.</i>	MAGIC	×	×			
UTEX646	<i>Phaeodactylum tricornutum</i>	SOT (UTEX)					
C417	<i>Nannochloropsis oceanica</i>	MAGIC / USDA	×	×	×		
NREL39-A8	<i>Picochlorum renovo</i>	SOT					
DOE1116	<i>Porphyridium cruentum</i>	SOT		×			
CCMP819	<i>Stichococcus minor</i>	SOT (CCMP)		×			
CCMP2329	<i>Picochlorum oklahomensis</i>	SOT (CCMP)		×			
DOE0152.z	<i>Scenedesmus rubescens</i>	SOT (CCMP)		×			

Screening protocols developed and ongoing → ~2/3 done

# Task 2 (part 2): Stocking Density Influences Performance



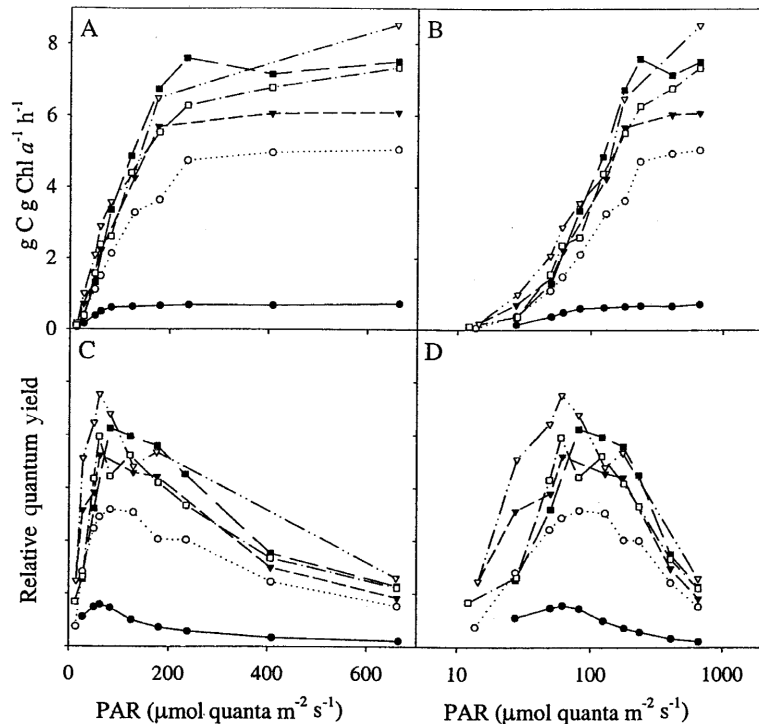
**Fig. 5.** Doubling rate of PON,  $\mu_N$  ( $d^{-1}$ ), as a function of the fertilizer ratio,  $DIN_i/PON_i$ , on D 2 for *Staurosira* (○) and *Desmodesmus* (○), compared to the expected doubling rate if DIN is converted to PON, based on Eq. (3). The dashed lines indicate values used for t Base Case.

Empirically derived results for a few strains – need a mechanistic understanding to generalize

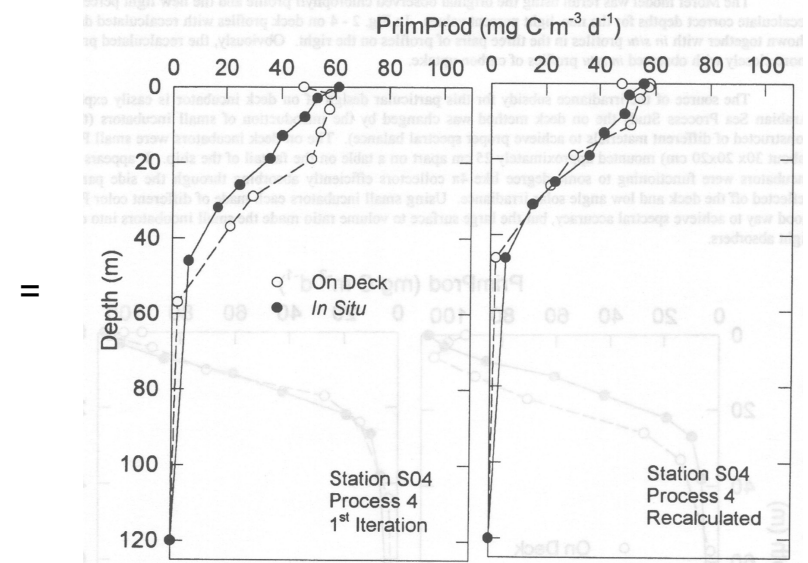
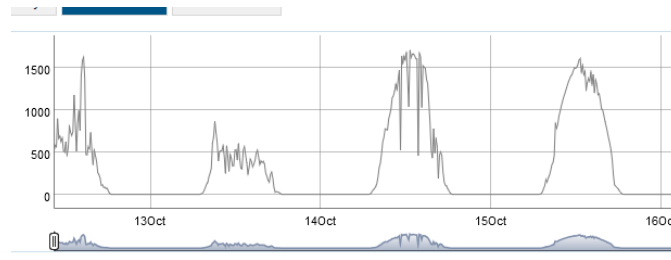


# Mechanistically Optimizing “Stocking Density”

- Mechanistic Model: Photosynthesis-Irradiance curves + Light = Predicted Productivity



Johnson and Barber 2003

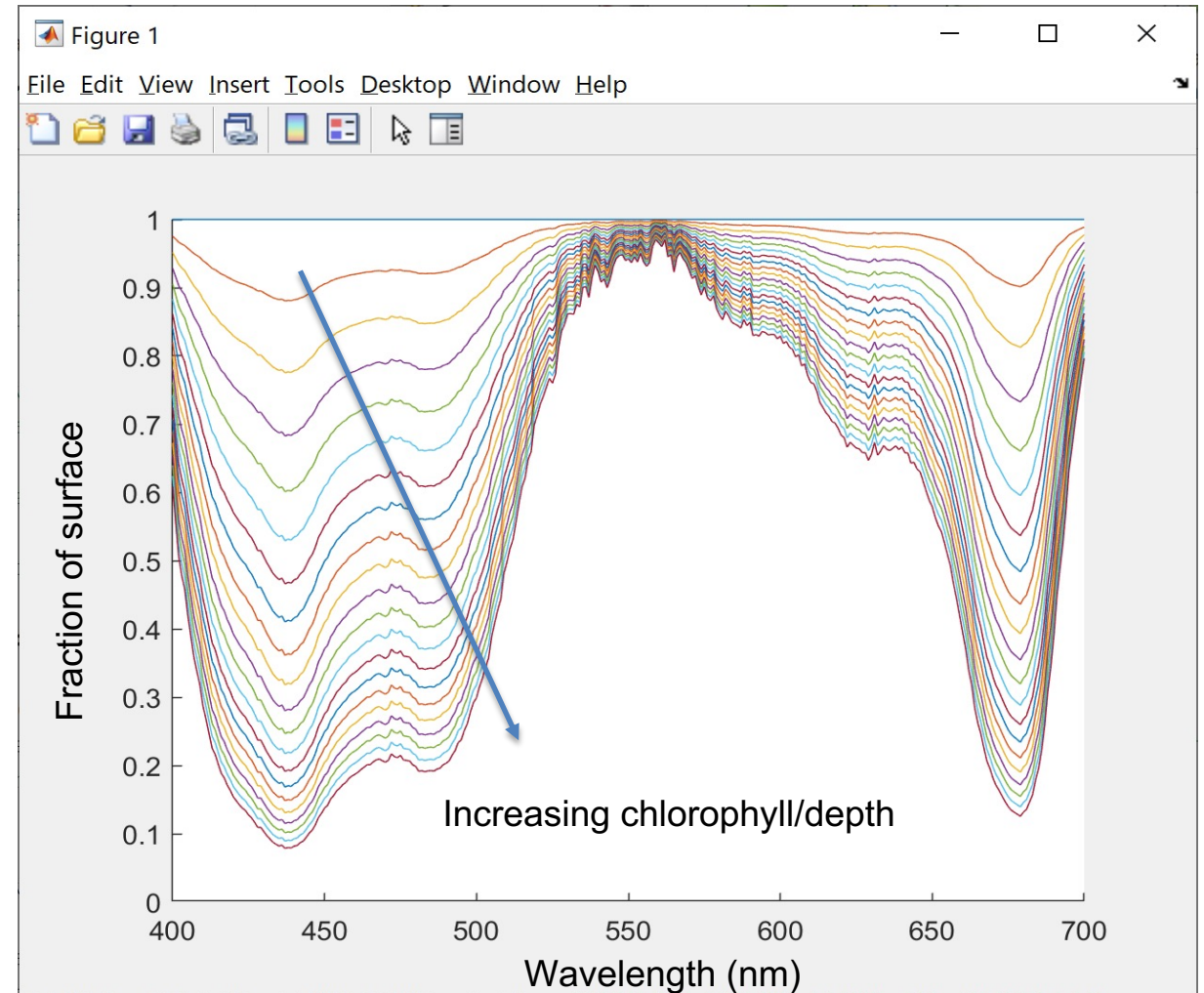


Barber et al 1997

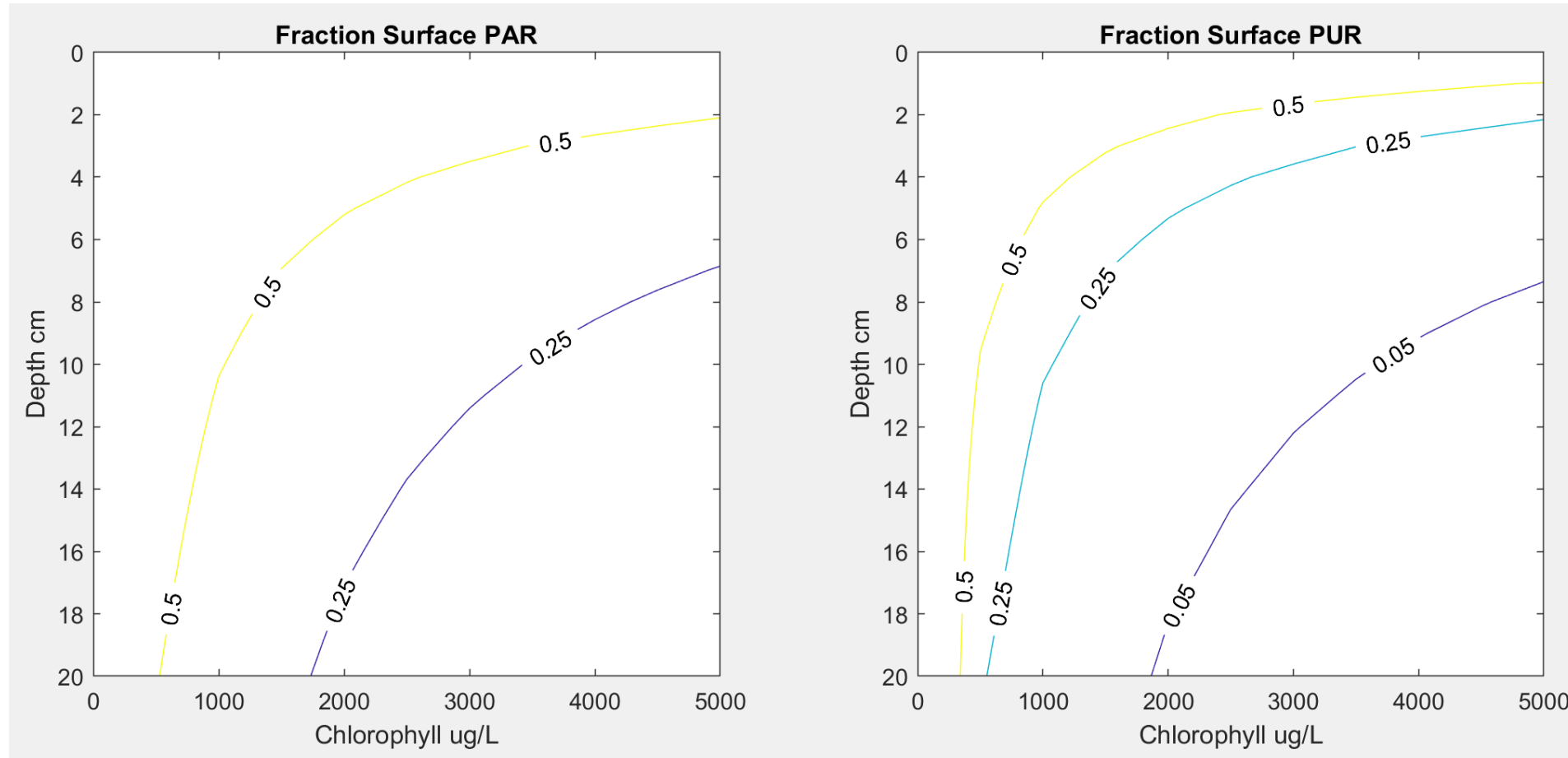
- Test identified condition(s) at lab scale

# Steps towards mechanistic production model

- Measure high resolution spectral absorption (see supplemental)
- Propagate light field using custom model (in MATLAB, right)
- (Next slide) report relative to surface for:
  - range of chlorophyll (biomass)
  - range of depths (cm)

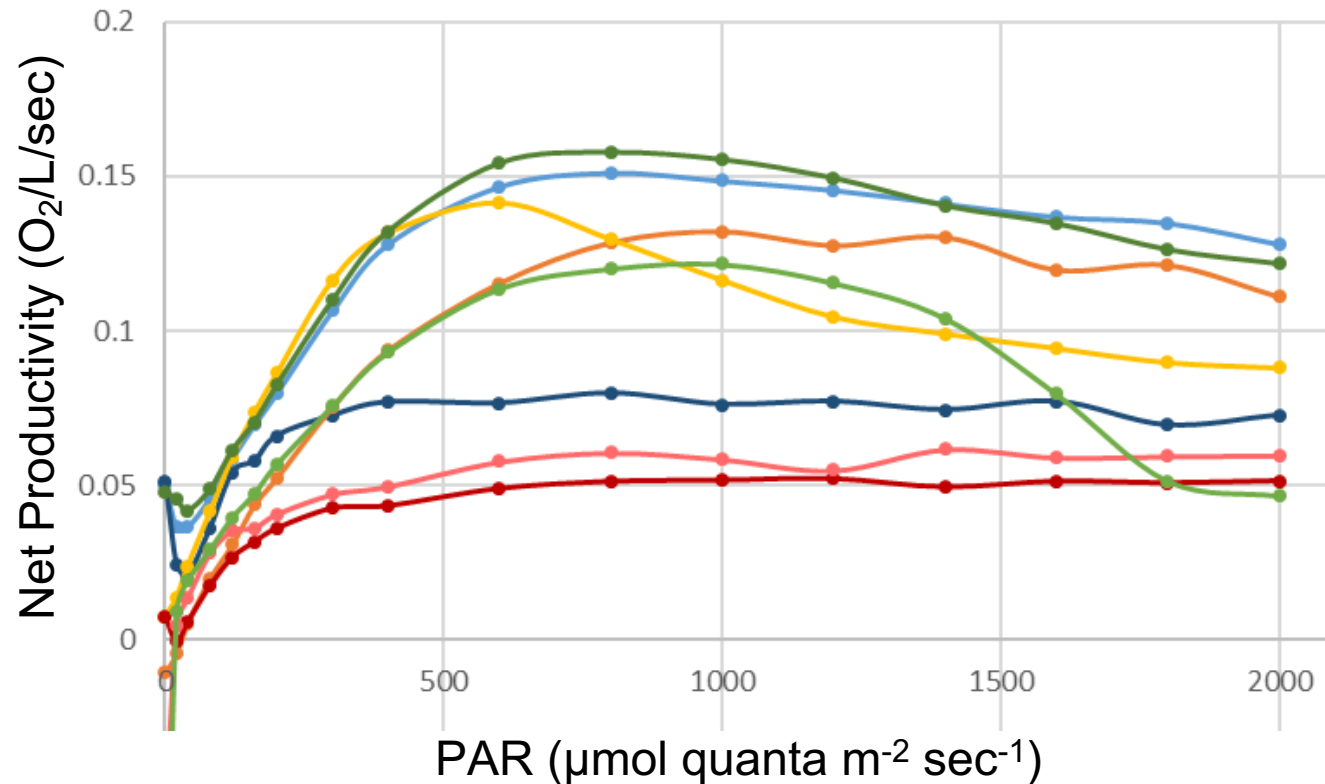


# Model output: light field for any depth at any stocking density



H1117 (*Chlorella*) grown at  $800 \mu\text{mol Q m}^2 \text{sec}^{-1}$

# Photosynthetic performance for various strains



## Progress

- Spectrally resolved light in ponds (last slide)
- Productivity related to light (this slide)

## Next steps

- Integrated model and explore optimal solutions (i.e. depth, stocking) for a range of species

# Task 3 - Development of compact and efficient DAC units for micro-algal cultivation

Wei Liu

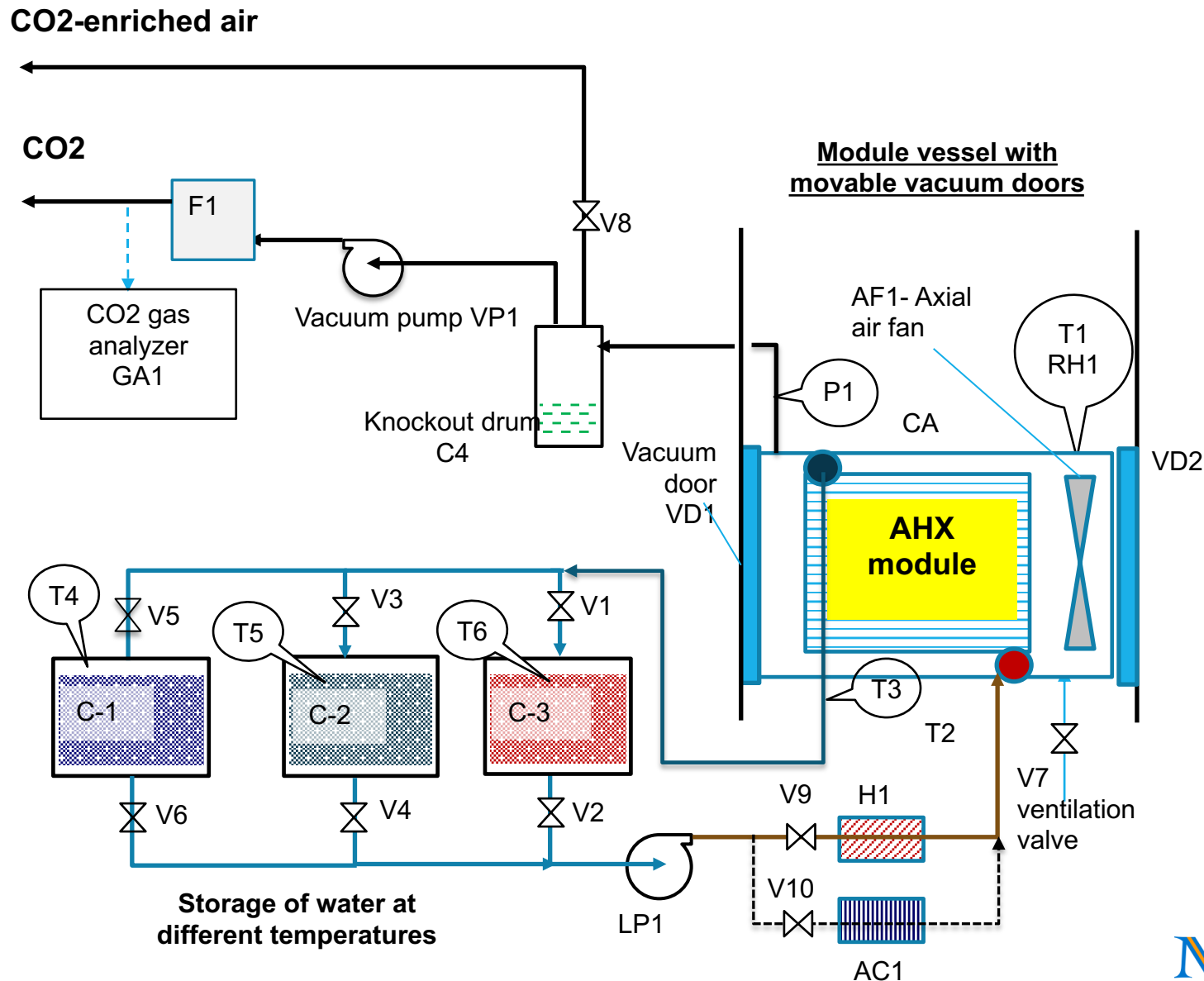
Molecule Works Inc.

# DAC CO<sub>2</sub> skid for producing CO<sub>2</sub> at 25 g (DW algae) /day

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1. First prototype testing system to be used at Duke for on-site CO<sub>2</sub> production has been designed and built with all the controls and auxiliary equipment
  - Computer-controlled for un-attended operation
  - Design CO<sub>2</sub> productivity of 1 kg/day (~300 scfm of air flow)
2. Delivery of Gen 0 of adsorption and heat exchanger (AHX) module – the core piece of equipment for the testing system has been delayed due to supply and logistical issues:
  - MoleculeWorks' proprietary designs
  - Several components are custom-designed and fabricated
  - All the parts are now ready for assembly of the module
3. The Gen 0 AHX module assembly and system debugging tests take about two months, and the milestone delivery will likely be delayed about one quarter.
4. Inhouse testing skid with manual operation has been built to develop Gen 1 AHX module as improvement to the Gen 0 module

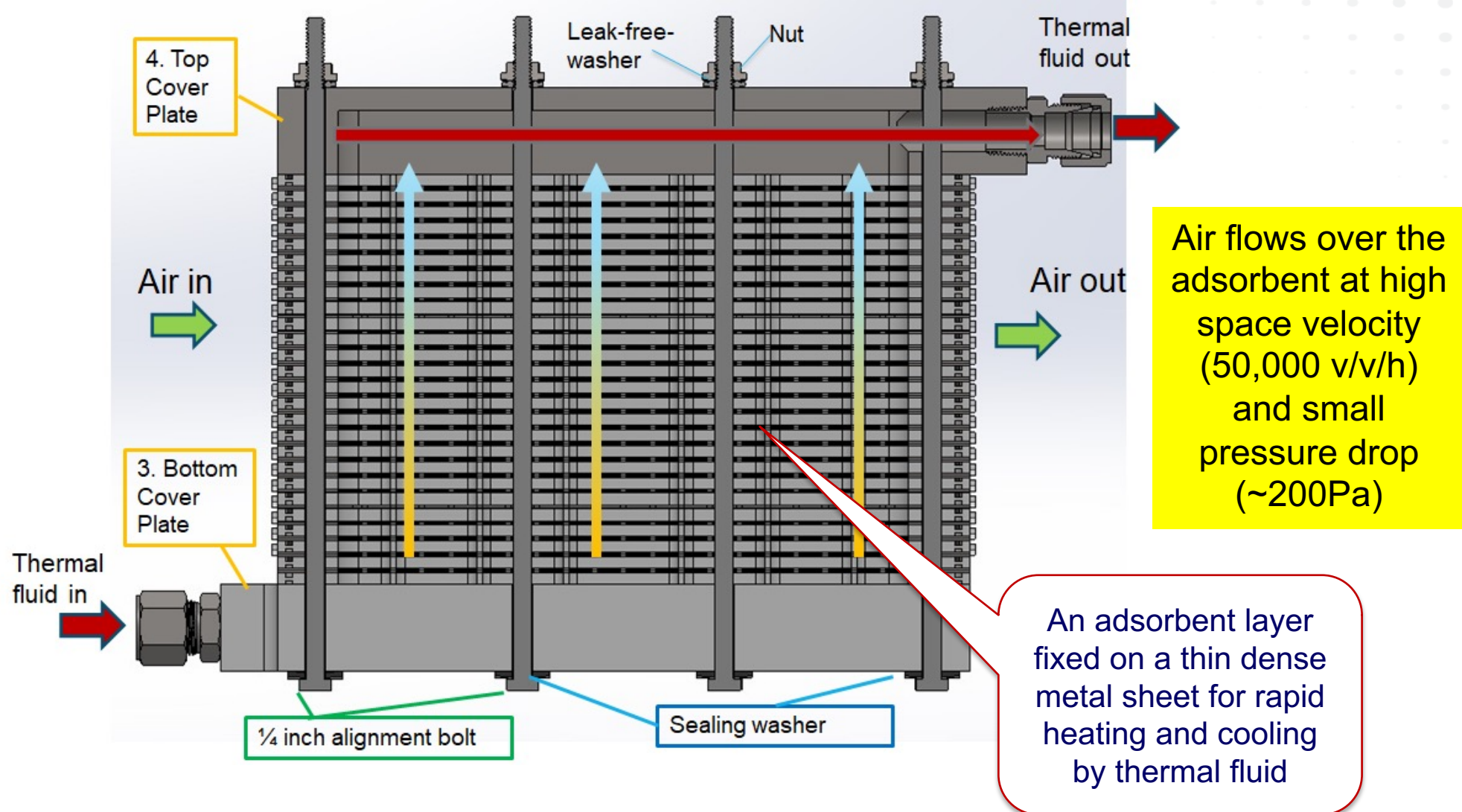
# Process flow diagram of the DAC lab prototype unit based on selective CO<sub>2</sub> adsorption under ambient air conditions



1. Periodic switch between capture and regeneration operation
2. CO<sub>2</sub>-enriched air is produced by heating the AHX module and sweeping desorbed CO<sub>2</sub> with air
3. Pure CO<sub>2</sub> is produced by heating the module and pulling desorbed CO<sub>2</sub> with vacuum pump
4. Hot and cold water are used for respective heating and cooling
5. Low-temperature and low-pressure system
6. No environmental emissions
7. MoleculeWorks AHX module enables CO<sub>2</sub> capture at high productivity and low energy consumption

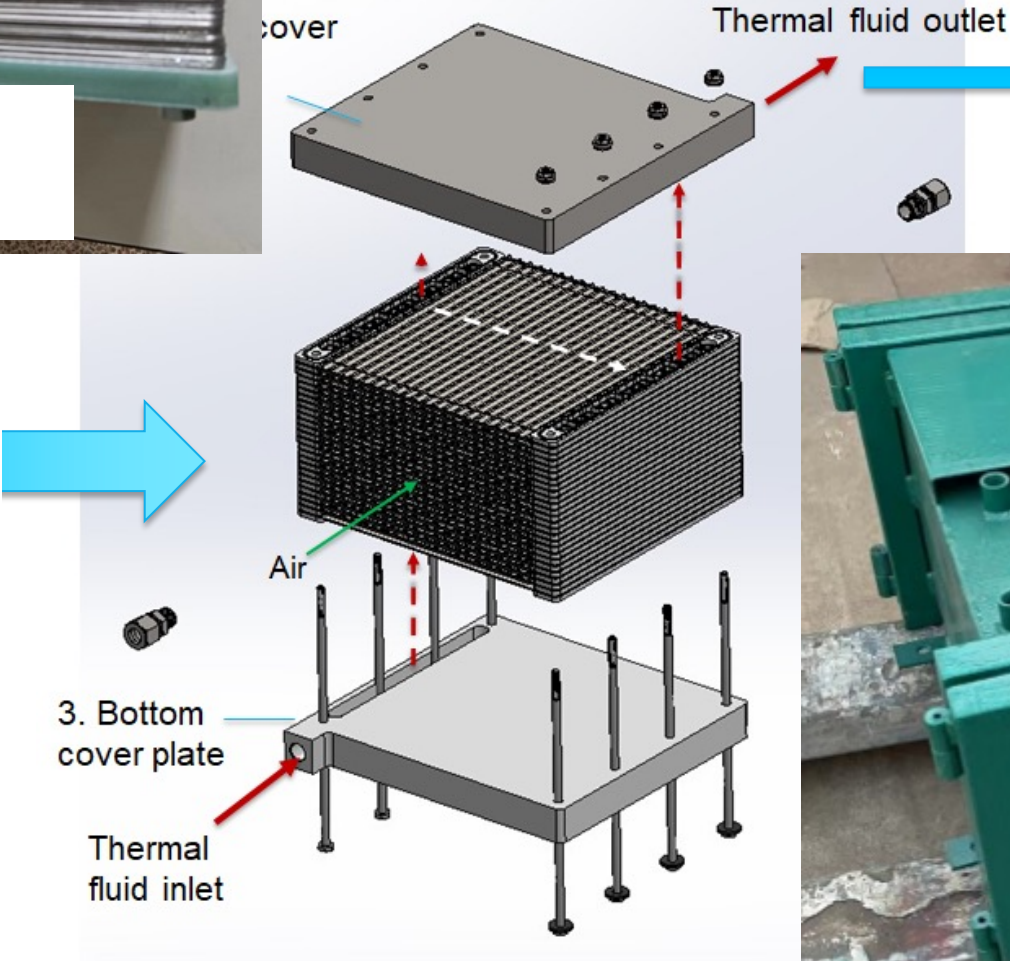
# MoleculeWorks AHX module –Gen 0 design

Cut-open view of the module along the thermal fluid outlet slot centerline

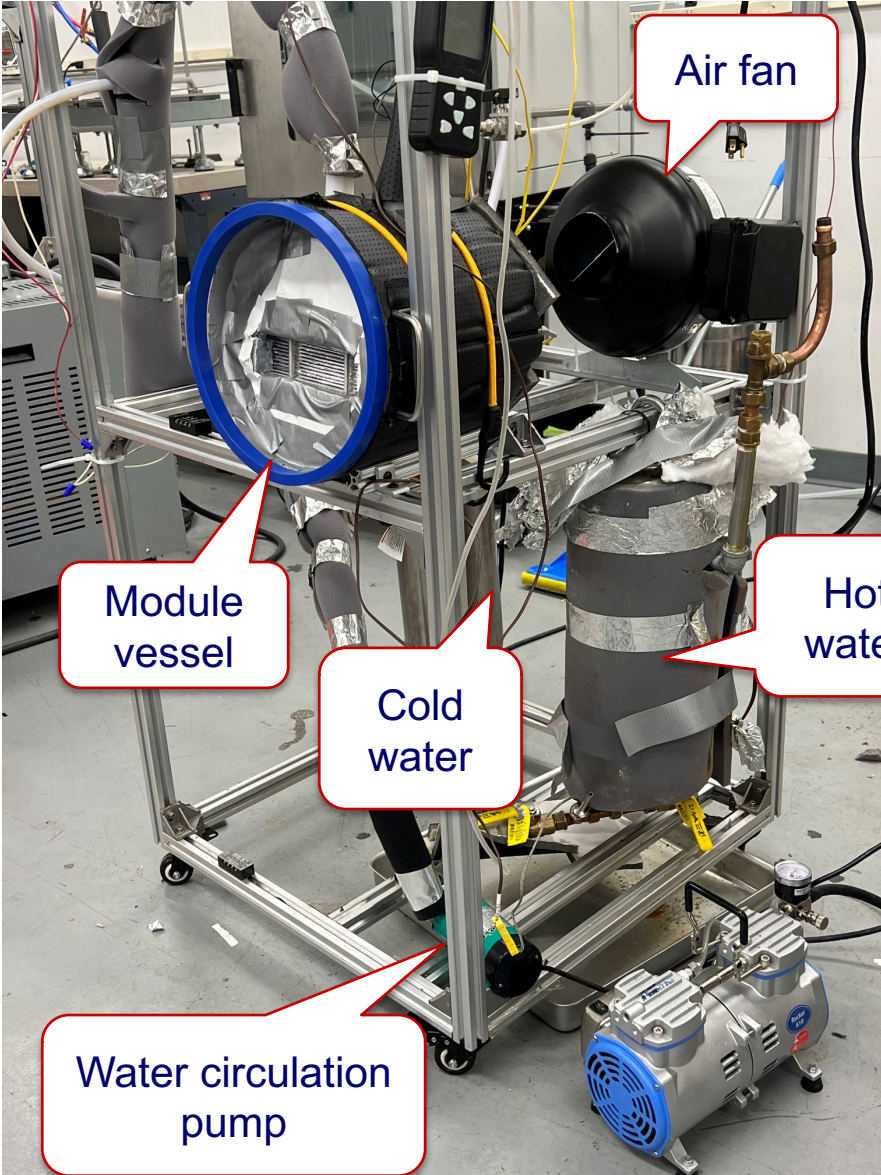




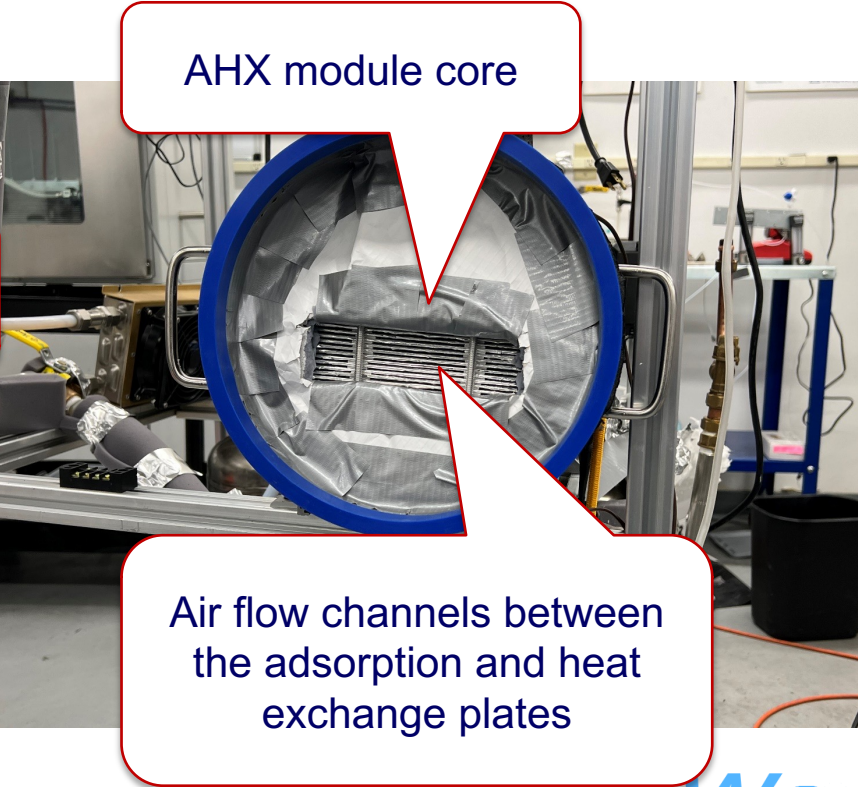
# Fabrication of Gen 0 AHX module and vessel



# Testing skid of Gen 1 AHX module (manual operation) – it's functional!



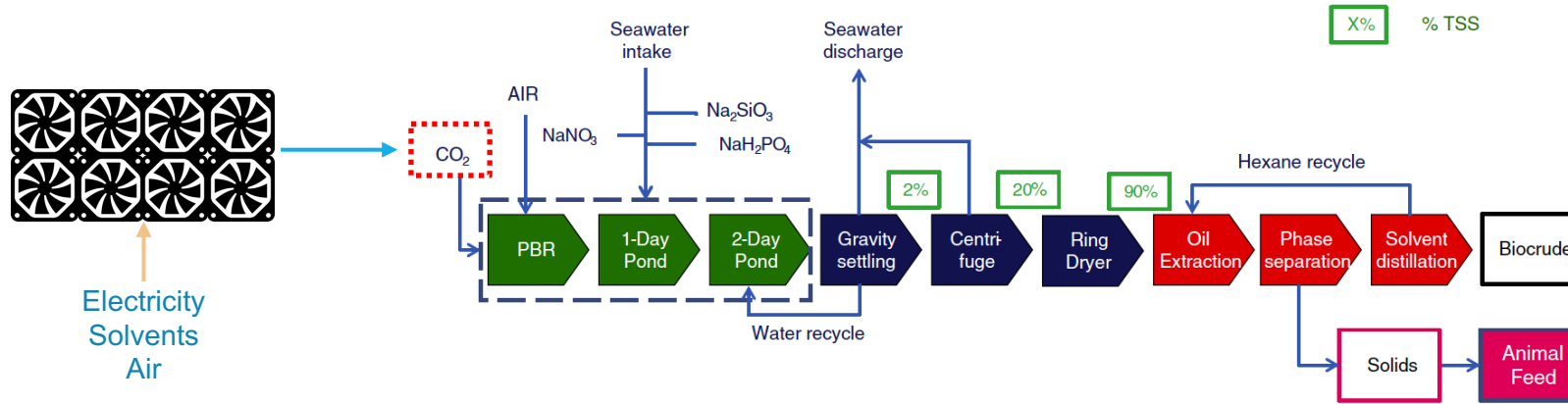
- The module can be quickly heated and cooled down by water, as designed
- The adsorbent can be regenerated with hot water to produce >20% CO2



# Task 5: *TEA/LCA*

## Modified Integrated Cultivation

- Updating model inputs to match CO<sub>2</sub> stream from Direct Air Capture

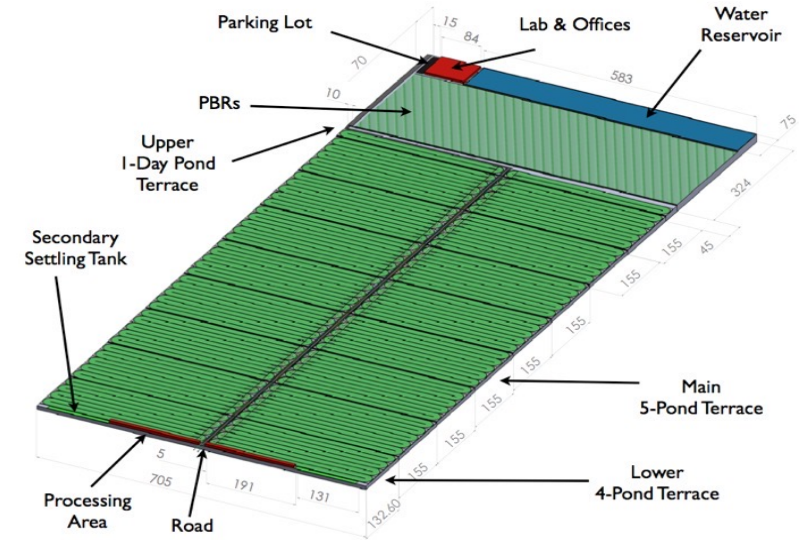


## Optimization of DAC / conversion

- Assess potential for improvement in Emissions/Cost with DAC CO<sub>2</sub>

Scenario	GHGi (tCO <sub>2</sub> /tDW)	Biocrude MFSP (\$/GGE)
50% CO <sub>2</sub> from CaCO <sub>3</sub> , 50% CO <sub>2</sub> from DAC	2.19	\$ 13.11
50% Conventional CO <sub>2</sub> , 50% CO <sub>2</sub> from DAC	4.02	\$ 15.30
75% Conventional CO <sub>2</sub> , 25% CO <sub>2</sub> from DAC	4.81	\$ 15.90
Baseline Case	5.54	\$ 16.51

100-ha Algae Production Facility



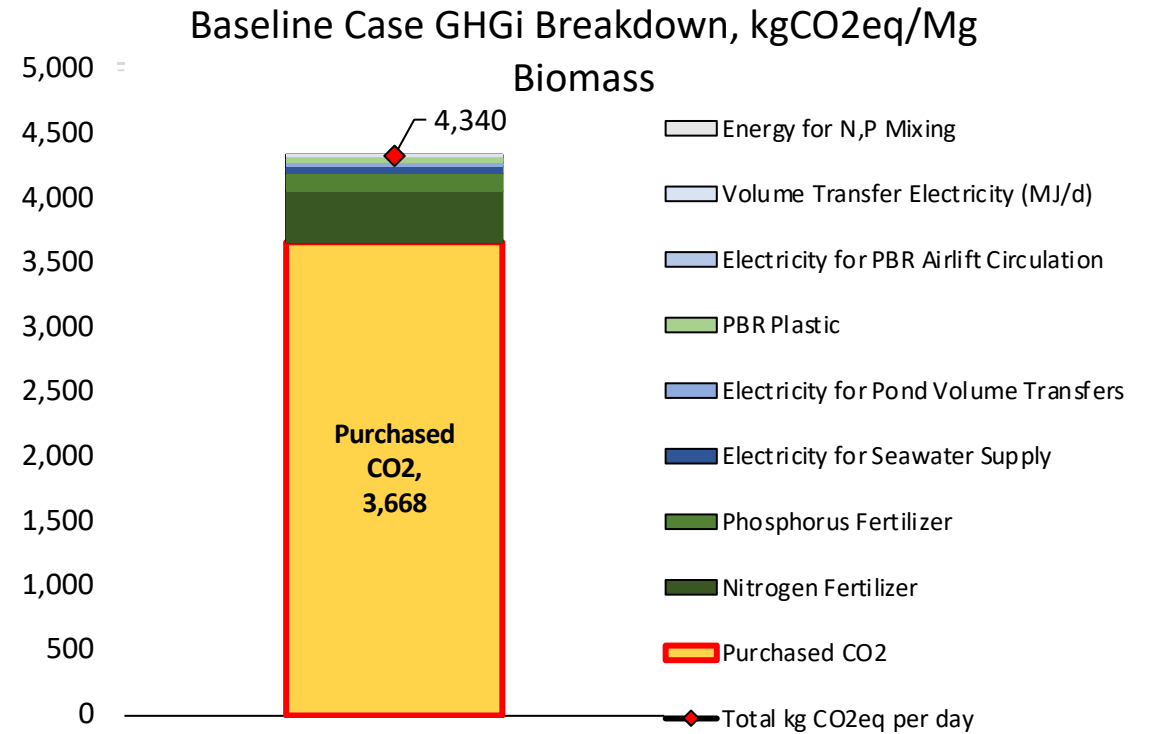
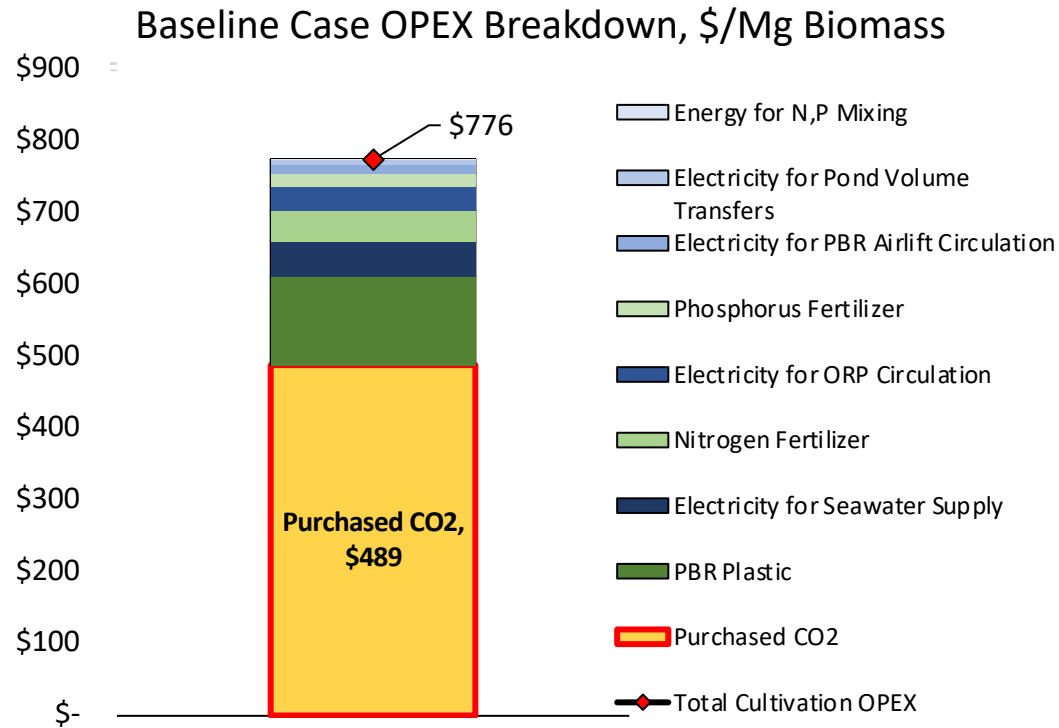
Assuming baseline case yields achieved  
 Conventional CO<sub>2</sub> at \$200/t CO<sub>2e</sub>  
 CO<sub>2</sub> from DAC at \$100/t CO<sub>2e</sub>  
 CO<sub>2</sub> from CaCO<sub>3</sub> at \$17/t CO<sub>2e</sub>

To be updated by Task 2 & 3 data

# Task 5: *TEA/LCA - Ongoing*

## Techno-Economic Analysis and Life Cycle Assessment

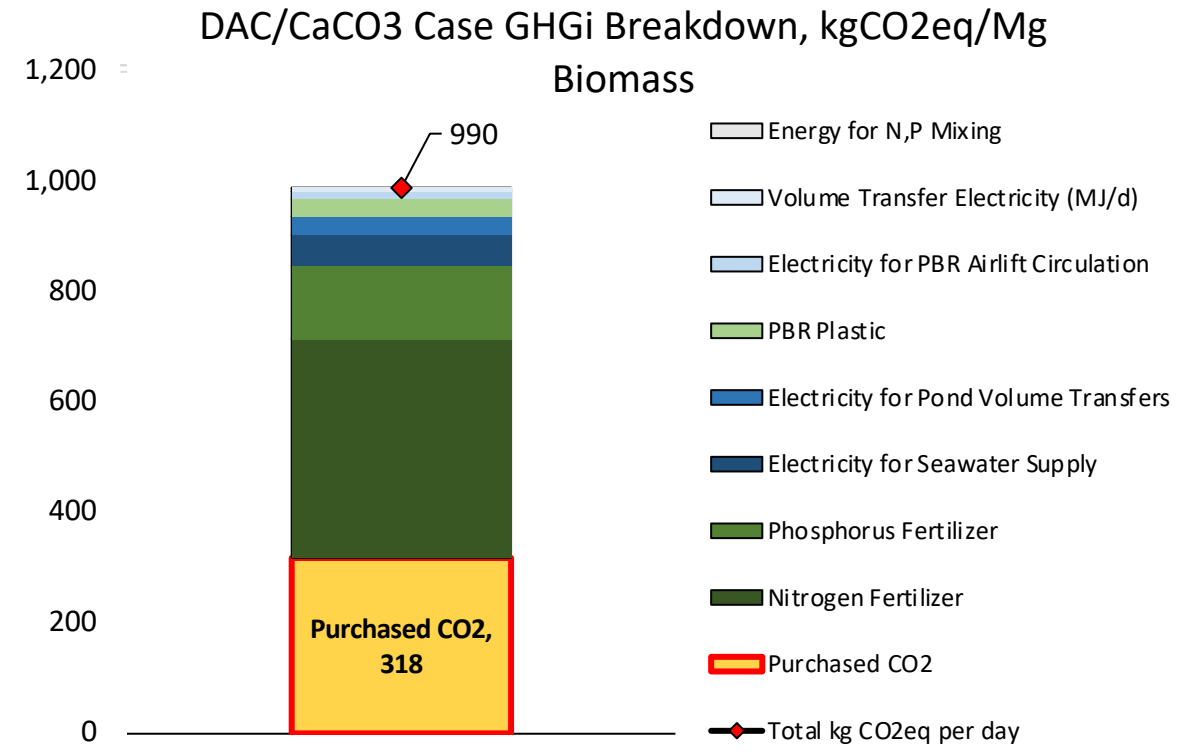
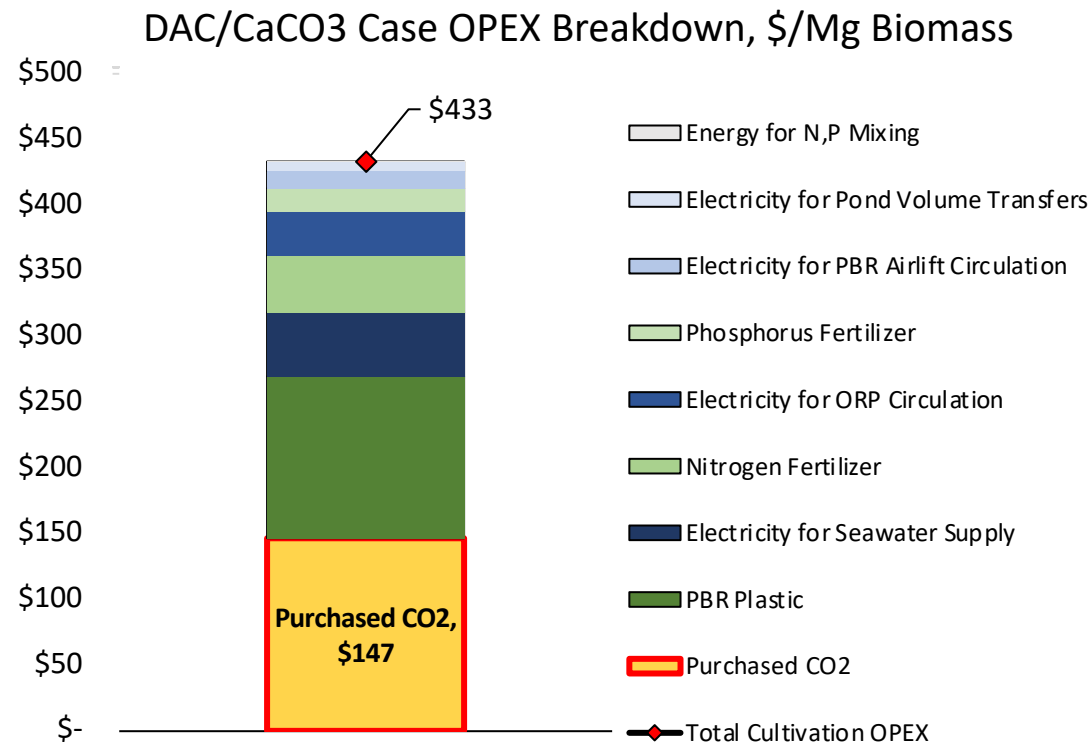
- Target large portions of operational cost and emissions volume
  - Opportunity to reduce daily cost w/ DAC operation rather than piped CO<sub>2</sub>, reduce emissions profile with DAC CO<sub>2</sub>
- CO<sub>2</sub> is the largest contributor to OPEX and GHGi in baseline case



# Task 5: *TEA/LCA*

## Techno-Economic Analysis and Life Cycle Assessment

- CO<sub>2</sub> from DAC and CaCO<sub>3</sub> case shows substantial improvements in OPEX and GHGi



# 3 - Impacts

- **Economics of algae biofuels**
  - *Demonstration of reduced capex and opex makes algae-derived biofuels more economically feasible*
  - *Demonstration of enhanced co-product value lowers the price of biofuel*
- **CO<sub>2</sub> limits siting of economically feasible algae biofuel production**
  - *Demonstration of conversion of CO<sub>2</sub> to HCO<sub>3</sub> provides a CO<sub>2</sub> “integrator”, expanding locations*
  - *Demonstration of uncoupling of CO<sub>2</sub> production (DAC) and algae greatly expands locations*
- **Results disseminated through peer-reviewed publications and other public presentations**



# MAGIC-ABCDE Summary

Task / Subtask	Budget Period						
	Q1	Q2	Q3	Q4	Q5	Q6	
	BP1	BP2					
1 – Validation							
1.1 Validation	DP						Complete
2 – Strain Assessment and Cultivation							
2.1 strains screened for collagen/whey		m			DP		Strains acquired, screening ongoing
2.2 stocking density lab tests				m			Initial lab work done; testing of model outputs ongoing
2.3 modeling of cultivation re-design					DP		Model components complete; integrated model testing ongoing
3 –DAC CO <sub>2</sub> and conversion							
3.1 DAC CO <sub>2</sub> for lab testing			MS	DP			Lab unit complete
3.2 DAC CO <sub>2</sub> for ~1000 L scale					MS	m	Ongoing
3.3 CO <sub>2</sub> conversion system						m	Beta version complete; lab tests complete
4 – Demonstration							
4.1 algae on DAC+converted CO <sub>2</sub> in lab						DP	TBD
4.2 ~5000 L collagen/whey strains							
4.3 ~1000 L DAC+conversion in ponds							
4.4 ~1000 L modified cultivation design							
4.5 ~1000 L optimized stocking density							
5 – TEA/LCA							
5.1 modified integrated cultivation						DP	TBD
5.2 optimization of DAC / conv.						DP	TBD
5.3 geospatial extension			m				TBD
5.4 integration of large scale (4.2-4.5)							

Project Gantt Chart Task/sub task summary. Colored areas indicate primary activity period. MS=SMART milestones, m=milestones.

# MAGIC-ABCDE (EE0009278) - Quad Chart Overview

## Timeline (approved period)

- October 1, 2020
- December 31, 2022

	FY22 Costed	Total Award
<b>DOE Funding</b>	\$54,086	\$1,967,473
<b>Project Cost Share</b>	\$29,592	\$546,378 (22%)

TRL at Project Start: 2

TRL at Project End: 5

## Project Goals

**Strain assessment of key algae strains** - identify strains with valuable co-products; measure/model marine microalgae to optimize cultivation and minimize costs

**DAC / CO<sub>2</sub> conversion** – demonstration of DAC & conversion of CO<sub>2</sub> to bicarbonate at multiple scales  
**Integrated system** – demonstration of coupled DIC generation (ultimately from industrial CO<sub>2</sub>) + algae growth

**TEA/LCA** – translation of laboratory and field findings to nth plant design, TEA/LCA of findings to quantify impacts on environment and economics

## End of Project Milestone

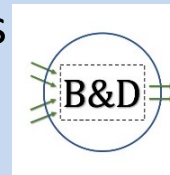
Demonstrate enhanced algal growth on high DIC water at industrially relevant scale with a system that has improved environmental impact and economics

## Funding Mechanism

DE-FOA-0002203, Algae Bioproducts and CO<sub>2</sub> Direct-Air-Capture Efficiency, 2020

## Project Partners

B&D Engineering  
Molecule Works, LLC



Duke

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forging a sustainable future



# Thank you



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<http://www.duke.edu/~zij>

<http://www.ml.duke.edu/webcam/algae/>

We're a team!



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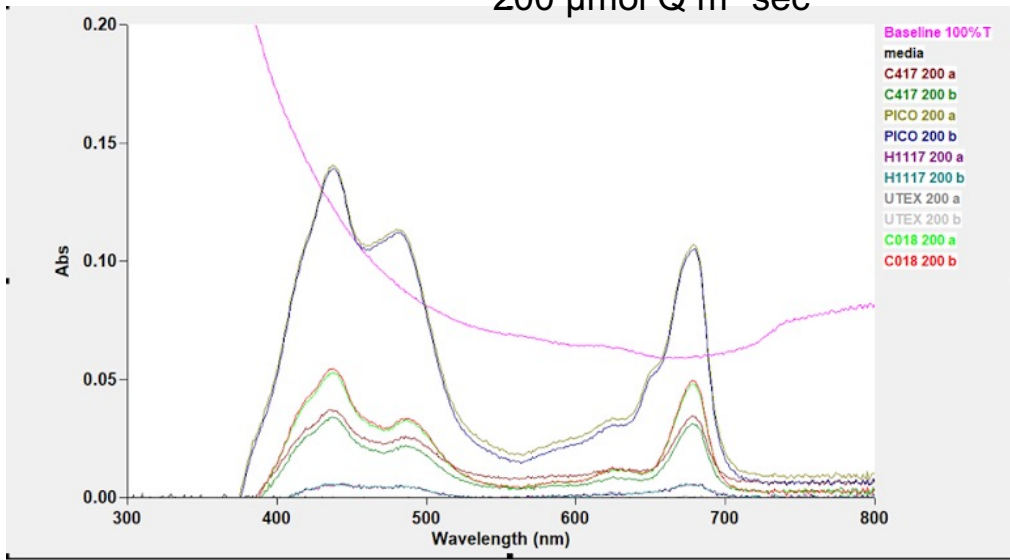


# Additional Slides

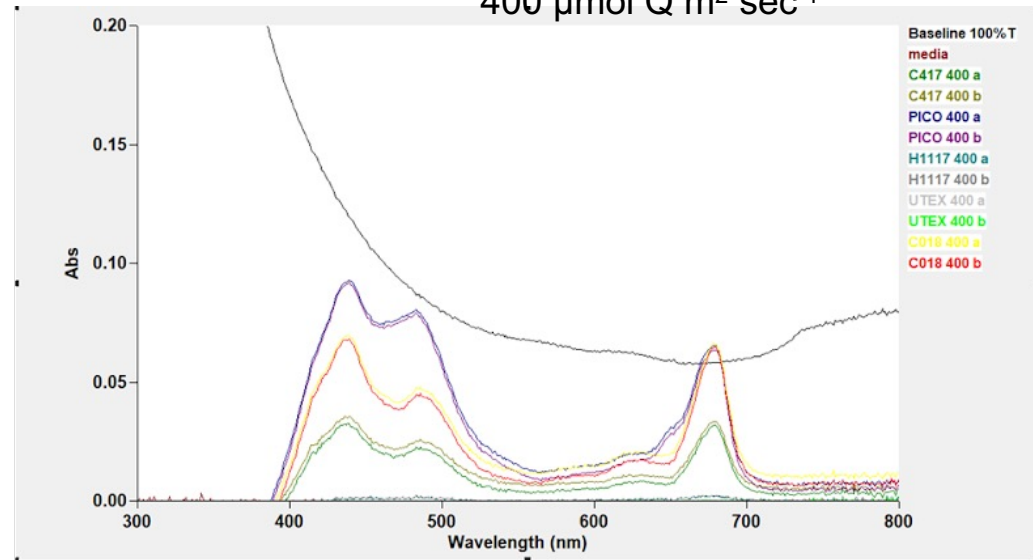


# High Resolution Spectral Absorption

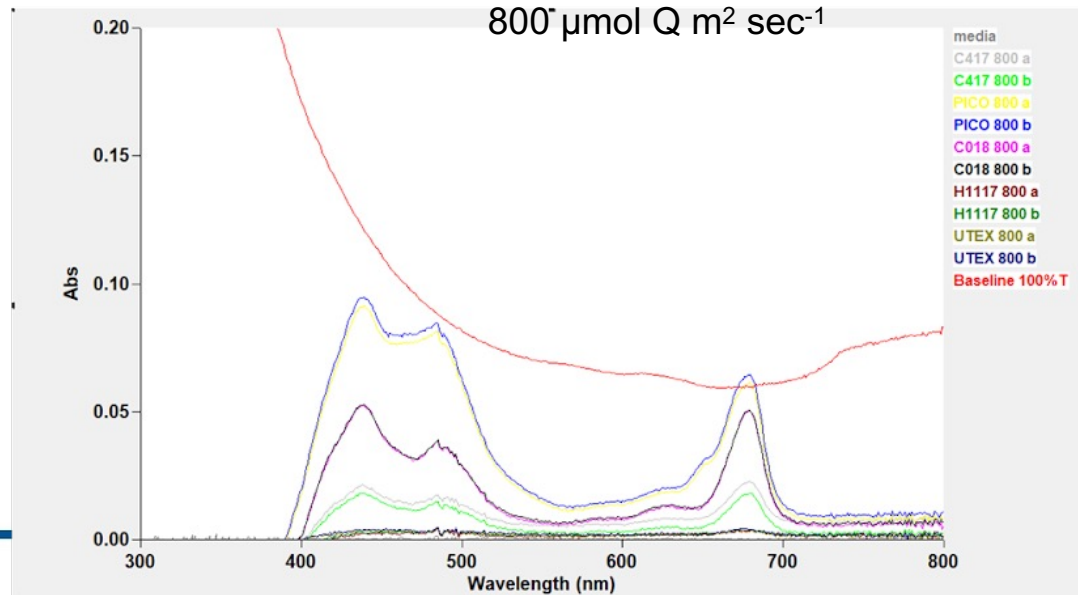
200  $\mu\text{mol Q m}^{-2} \text{sec}^{-1}$



400  $\mu\text{mol Q m}^{-2} \text{sec}^{-1}$



800  $\mu\text{mol Q m}^{-2} \text{sec}^{-1}$



# Publications

Doo SS, Kealoha A, Andersson A, Cohen AL, Hicks TL, Johnson ZI, Long MH, McElhany P, Mollica N, Shamberger KEF, Silbiger NJ, Takeshita Y, Busch DS (2020). The challenges of detecting and attributing ocean acidification impacts on marine ecosystems. ICES Journal of Marine Science. DOI: <https://doi.org/10.1093/icesjms/fsaa094>

Loftus SE, Hunt DE, Johnson ZI (2020). Reused cultivation water from a self-inhibiting alga does not inhibit other algae but alters their microbiomes. Algal Research 51: 102067. DOI: <https://doi.org/10.1016/j.algal.2020.102067>

Hall ER, Wickes L, Burnett LE, Scott GI, Hernandez D, Yates KK, Barbero L, Reimer JJ, Baalousha M, Mintz J, Cai W-J, Craig JK, DeVoe MR, Fisher WS, Hathaway TK, Jewett EB, Johnson Z, Keener P, Mordecai RS, Noakes S, Phillips C, Sandifer PA, Schnetzer A, Styron J (2020). “Acidification in the U.S. Southeast: Causes, Potential Consequences and the Role of the Southeast Ocean and Coastal Acidification Network.” Frontiers in Marine Science 7. <https://doi.org/10.3389/fmars.2020.00548>

Marra JF, Barber RT, Barber E, Bidigare RR, Chamberlin WS, Goericke R, Hargreaves BR, Hiscock M, Iturriaga R, Johnson ZI, Kiefer DA, Kinkade C, Knudson C, Lance V, Langdon C, Lee Z-P, Perry MJ, Smith WO, Vaillancourt R, Zoffoli L (2020). A database of ocean primary productivity from the 14C method. Limnology and Oceanography Letters. <https://doi.org/10.1002/lol2.10175>

# Patents, Awards, and Commercialization

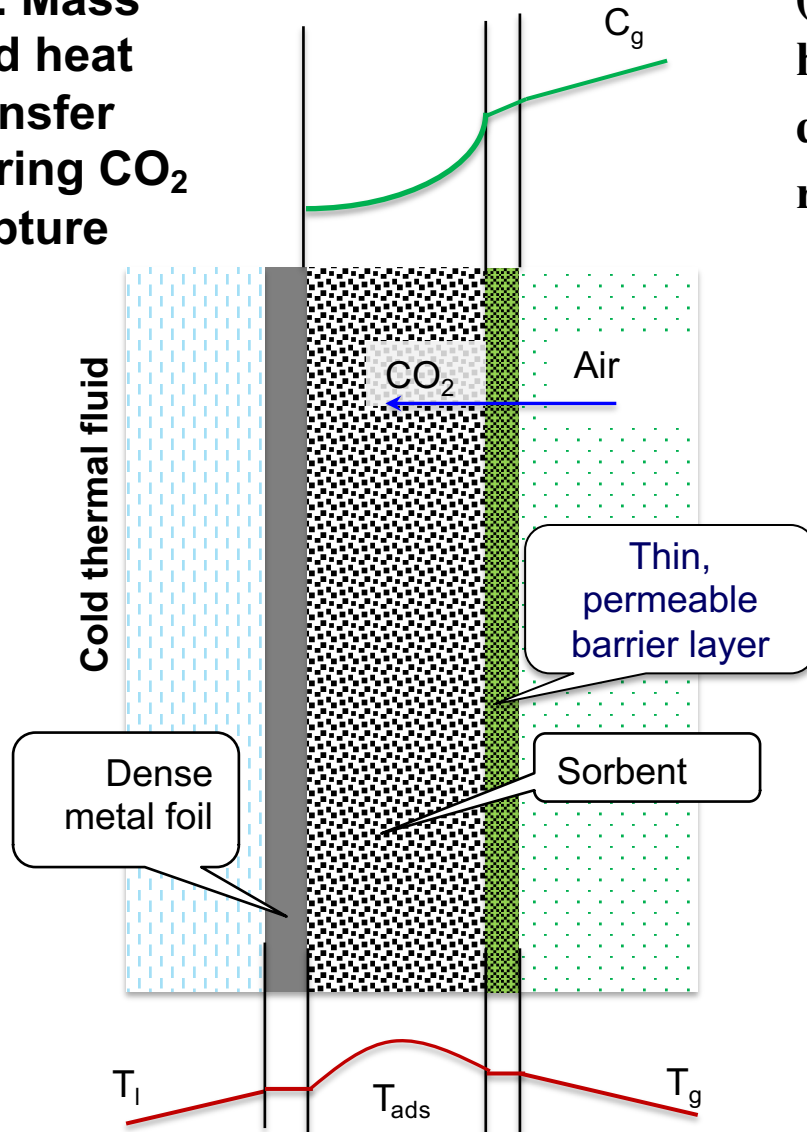
No patents have yet been applied for based on the work supported by DOE.

Algae Biomass Organization Mid-Career Award: PI - Zackary Johnson

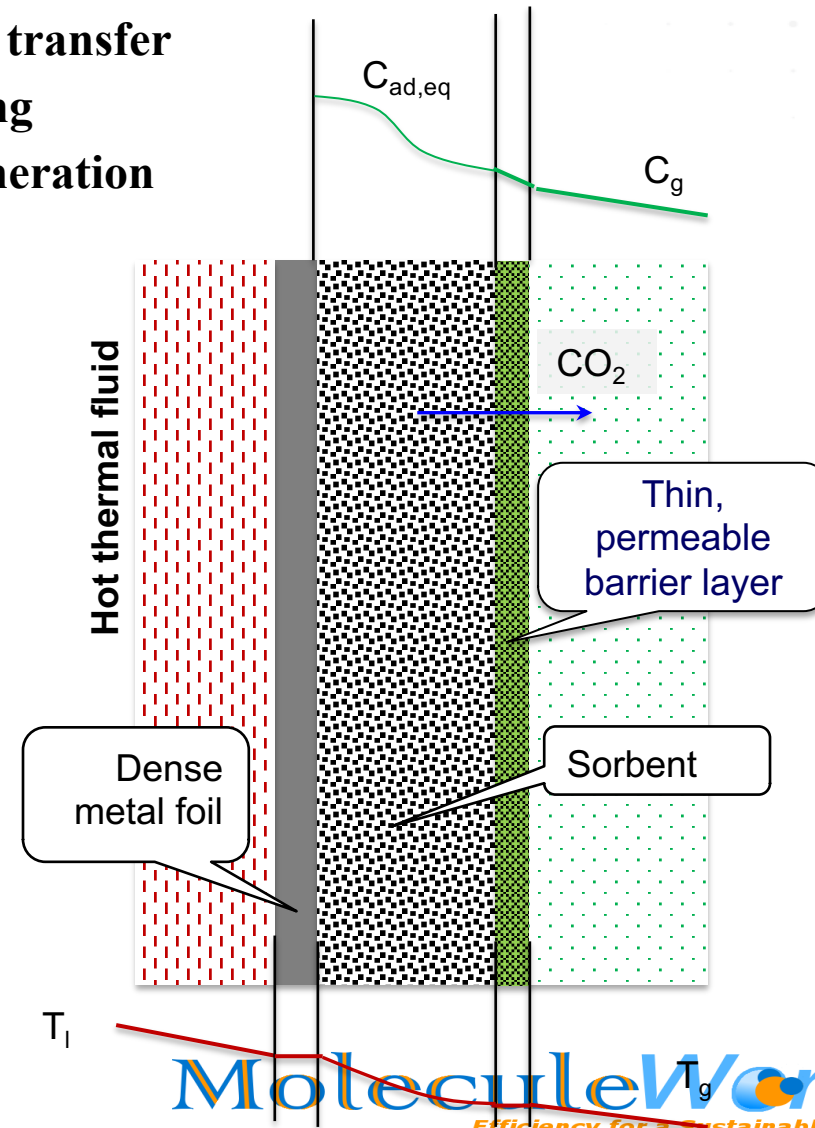
**All primary results from this project are being published in the open, peer-reviewed literature.** The publications from this project – cited above – provide a comprehensive and detailed analysis of commercialization potential. This information will be available to anyone with access to the open literature.

# Working principle of adsorption/heat exchange (AHX) design for rapid CO<sub>2</sub> capture and regeneration

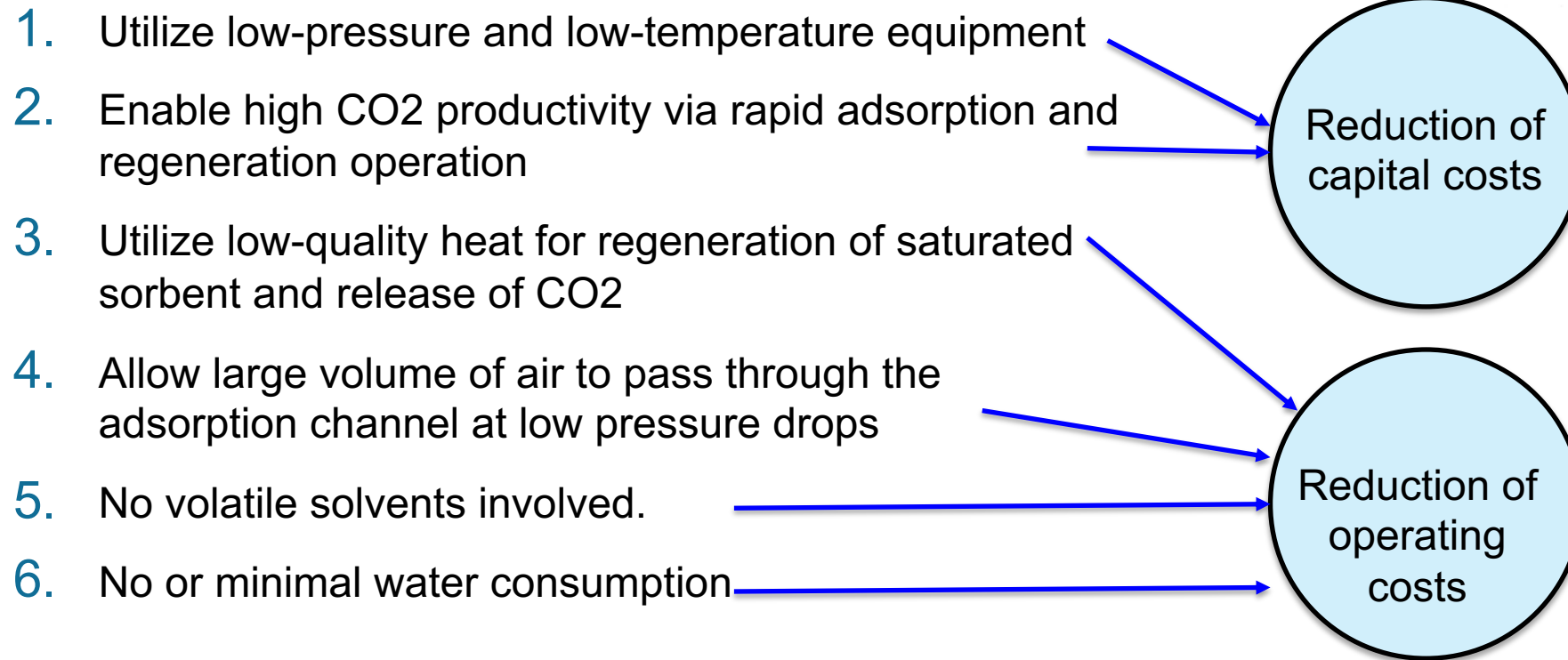
(a). Mass and heat transfer during CO<sub>2</sub> capture



(b). Mass and heat transfer during regeneration



# AHX technology innovations and impacts



# Continuing improvements to achieve commercial production cost less than \$100/ton of CO2

The AHX module design and adsorbent material performances are two determining factors to the cost

AHX module prototype used for invention demonstration  
0.8 m<sup>2</sup> work area for 300 NL/min air flow

Gen 0 design:  
2X reduction  
to weight  
and cost

Gen 1 design:  
2X reduction  
to weight  
and cost

