



Department of Energy

Washington, DC 20585

April 14, 2023

Mr. Michael Redmon
Project Manager, OF-200 Project
APTIM – North Wind Construction JV, LLC
11400 Parkside Drive, Suite 400
Knoxville, Tennessee 37934

Dear Mr. Redmon:

This letter serves as notification of the Office of Enterprise Assessments, Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with a carpenter hand injury (finger amputation) event that occurred at the Department of Energy's (DOE) Y-12 National Security Complex, Outfall 200 Mercury Treatment Facility Project Site on November 9, 2022. This investigation will include a review of APTIM – North Wind Construction JV, LLC's (ANW) work planning and control procedures (including tool selection), task hazard analyses, training and qualification programs, and safety processes and procedures for construction activities.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 851.40(a), *Investigations and inspections*. The investigation will include onsite and/or virtual interviews with contractor personnel.

A request for documents to support this investigation will be provided separately via e-mail. Your cooperation to ensure timely submission of all documents to our office is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, ANW should track any costs incurred that are directly attributable to supporting DOE's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-4033, or your staff may contact Ms. Shannon Holman, Director, Office of Worker Safety and Health Enforcement, at (301) 903-0100.



Sincerely,

A handwritten signature in black ink, appearing to read 'Anthony C. Pierpoint', with a long horizontal flourish extending to the right.

Anthony C. Pierpoint
Director
Office of Enforcement
Office of Enterprise Assessments

cc: John Mullins, OREM
Timothy Penn, APTIM – North Wind Construction JV, LLC