

**U.S. DEPARTMENT OF ENERGY**  
**OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY**  
**NEPA DETERMINATION**



**RECIPIENT:** Ohio State University

**STATE:** OH

**PROJECT TITLE:** Metabolic and process engineering of solventogenic clostridia for stable, continuous n-butanol production from lignocellulosic biomass hydrolysates

<b>Funding Opportunity Announcement Number</b>	<b>Procurement Instrument Number</b>	<b>NEPA Control Number</b>	<b>CID Number</b>
DE-FOA-0002636	DE-EE0010300	GFO-0010300-001	GO10300

**Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:**

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

**A9 Information gathering, analysis, and dissemination**

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

**B3.6 Small-scale research and development, laboratory operations, and pilot projects**

Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide funding to Ohio State University (OSU) to genetically engineer solvent-producing bacteria to improve productivity and resilience of the bacteria.

Award activities would involve genetic sequencing and engineering of nonpathogenic Clostridia bacteria, species of which can produce n-butanol (a solvent) from biomass-derived sugars (i.e., glucose and xylose). Mutant strains would be engineered to be more resilient to environmental stressors and to increase n-butanol production rates. Mutant strains would be subjected to different growth environments in bioreactors to evaluate strain performance and to identify optimal environmental conditions. Additional activities would involve the identification, characterization, and isolation of chemical compounds, which inhibit strain productivity, but are a consequence of chemical and enzyme treatment of biomass. Such treatment would be conducted to produce the sugars necessary for n-butanol production. Additional award activities would include the completion of a life cycle analysis and techno-economic analysis.

Award activities would be completed by OSU (Columbus, OH), the University of Cincinnati (Cincinnati, OH), Southern Illinois University Edwardsville (Edwardsville, IL), Lawrence Berkeley National Laboratory (Emeryville, CA), and Tuskegee University (Tuskegee, AL) using conventional laboratory technologies and techniques. All facilities are preexisting purpose-built facilities for the type of work to be conducted for this award. Facility modifications would not be required. Approximately 245 L of n-butanol would be produced.

Award activities would involve typical hazards associated with biological laboratories, including handling and use of hazardous materials and operation of potentially hazardous equipment. Existing health, safety, and environmental policies and procedures would be followed to mitigate hazards to acceptable levels. Mitigated hazards would pose negligible risks to the public and environment. All activities would comply with existing federal, state, and local laws and regulations.

DOE has considered the scale, duration, and nature of proposed activities to determine potential impacts on resources, including those of an ecological, historical, cultural, and socioeconomic nature. DOE does not anticipate impacts on these resources which would be considered adverse, significant, or require DOE to consult with other agencies or stakeholders.

Any work proposed to be conducted at a federal facility may be subject to additional NEPA review by the cognizant federal official and must meet the applicable health and safety requirements of the facility.

## NEPA PROVISION

DOE has made a final NEPA determination.

Notes:

Bioenergy Technologies Office (BETO)  
NEPA review completed by Dan Cahill, 02/14/2023.

## FOR CATEGORICAL EXCLUSION DETERMINATIONS


The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

## SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature:  Electronically Signed By: Andrew Montano  
NEPA Compliance Officer

Date: 2/16/2023

## FIELD OFFICE MANAGER DETERMINATION

- ☒ Field Office Manager review not required  
☐ Field Office Manager review required

## BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: \_\_\_\_\_  
Field Office Manager

Date: \_\_\_\_\_