## BEFORE THE U.S. DEPARTMENT OF ENERGY Washington, D.C. 20585

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Carrier Corporation Respondent Case Numbers: 2016-SE-16027, 2016-SE-16028, 2017-SE-16008

## <u>ORDER</u>

By the General Counsel, U.S. Department of Energy:

1. In this Order, I adopt the attached Compromise Agreement entered into by the U.S. Department of Energy ("DOE") and Carrier Corporation ("Respondent"). The Compromise Agreement resolves the cases initiated to pursue civil penalties for distributing in commerce in the United States central air conditioners and air conditioning heat pumps that are not in compliance with the applicable energy conservation standards at 10 C.F.R. § 431.32(c).

2. DOE and Respondent have negotiated the terms of the Compromise Agreement that resolves these matters. A copy of the Compromise Agreement is attached hereto and incorporated by reference.

3. After reviewing the terms of the Compromise Agreement and evaluating the facts before me, I find that the public interest would be served by adopting the Compromise Agreement, which would complete the adjudication of the cases.

4. Based on the information in the case files and Respondent's admission of facts establishing violations, I find that Respondent committed Prohibited Acts by distributing in commerce central air conditioners and air conditioning heat pumps that are not in compliance with the applicable energy conservation standards. *See* 42 U.S.C. § 6302; 10 C.F.R. § 429.102(a)(6).

5. Accordingly, pursuant to 10 C.F.R. § 429.120 and 42 U.S.C. § 6303, **I HEREBY ASSESS** a civil penalty of \$639,000 **AND ORDER** that the Compromise Agreement attached to this Order is adopted.

\_/S/\_\_\_\_\_ Bill Cooper General Counsel \_May 13, 2019\_\_\_\_\_ Date