FAPIIS Knowledge Articles

FAPIIS.gov is now responsibility/qualification information on SAM.gov (KB0060897)

Responsibility/qualification information, also sometimes referred to as an integrity record, was available in the legacy IAE system FAPIIS.gov, or Federal Awardee Performance and Integrity Information System. Now, you can view responsibility/qualification information alongside other entity information available on SAM.gov.

Responsibility/Qualification				Actions
Entity Registration Assertions	Record and a second s	COMPANY		
Reps and Certs	requests areas	1000		# 25, 2022 • Active
Responsibility / Qualification			Protocol de la contraction	
Exclusions	Physical Address	Mailing Address	All Awards	Corporate Relationships
Proceedings				
Other Responsibility Information		and the second second		
Corporate Relationships	Version Current Record	•		
	EXCLUSIONS			
	There may be instances whe party. Therefore, it is impor confirm or obtain additional contact, including name and	n an individual or firm has the sam tant that you verify a potential mat l information, contact the federal a I telephone number, may be found	e or similar name as your search o ch with the excluding agency iden gency that took the action against by navigating to the Agency Exclus	riteria,but is actually a different tified in the exclusion's details. To the listed party. Agency points of ion POCs page within Help.
	Active Exclusions			
	There are no active exclusion	records associated to this entity by it	s Unique Entity ID.	
	Inactive Exclusions			
	There are no inactive exclusio	in records associated to this entity by	its Unique Entity ID.	

Responsibility/qualification information provides a single view of exclusions, proceedings, and other responsibility information. Before awarding a contract, federal contracting officers review the responsibility/qualification information.

Responsibility/Qualification information from	SAM, GOV®
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Responsibility/Qualification information from SAM.gov includes:

Exclusions Information

Government agency suspension and debarment officials attach the following exclusions to SAM.gov entity records:

• Ineligible (Proceedings Pending)

- Ineligible (Proceedings Completed)
- Prohibition/Restriction
- Voluntary Exclusion

Proceedings Information

As part of their entity registration, an entity enters whether or not they:

- Have current active federal awards with total value greater than \$10,000,000
- Have, within the last five years, been the subject of a criminal, civil, and/or administrative proceeding at the federal or state level in connection with a federal award that resulted in a conviction or finding of fault or liability (<u>See FAR 52.209-7</u>).



Responsibility/Qualification information from CPARS.gov.gov includes:

Other Responsibility Information

Government agency officials document the following scenarios:

- Administrative Agreement
- Defective Pricing (<u>See FAR 15.407</u>)
- DoD Determinations of Contractor Fault
- Information on Trafficking in Persons (See FAR 52.222-50(d))
- Non-Responsibility Determination (See FAR 9.1)
- Recipient Not-Qualified Determination
- Subcontractor Payment Issues (See FAR 42.1503(h)(1)(v))
- Termination for Cause
- Termination for Default
- Termination for Material Failure to Comply

You must sign in to your SAM.gov account to view entity information, including responsibility/qualification information. Some entity information is sensitive and is only visible to users with a role with the entity or to federal users with the appropriate roles and permissions. Signing

in provides the benefit of ensuring all types of users are seeing the appropriate entity information for their role. Responsibility/qualification information is public; no role is required to view it. You only need to be signed in to your SAM.gov account.

What can FAPIIS.gov users expect after the move to SAM.gov on 12/12/22? (KB0064176)

FAPIIS.gov information is now in the same place where entity information is stored. Now located within SAM.gov, this creates a single access point for information about entity management, exclusions, and responsibility and qualification information. There are a few changes to take advantage of these improvements, but a whole lot is staying the same.

A few of the expected changes include:

- The FAPIIS data is named in SAM.gov as responsibility/qualification, or R/Q for short, and is part of the entity information domain in SAM.gov.
- The look and feel of the records have changed but the core data and core functions have not changed.
- Users are required to sign in to SAM.gov using login.gov to search and view R/Q data as part of entity information. Some entity information will not be publicly available and will only be visible to users with a role with the entity or to federal users with the appropriate roles and permissions.
- Users seeking customer support will go to FSD.gov to create an incident, initiate a chat, speak to an FSD agent, or use the search to locate more information on R/Q.
- Users can download a report that shows the entity's basic information as well as the entity's R/Q information.
- Entity search results will show both entity records and R/Q records, with tags to label them.
- R/Q and proceedings data is available via a new Entity Management API at <u>open.gsa.gov</u>.

Where can I check for information on subcontractor payment issues? (KB0052939)

The Federal Acquisition Regularly (FAR) part 42.1503(h)(1)(v) requires contracting officers to report into the Contractor Performance Assessment Reporting System (CPARS) when they find the contractor has made three or more unjustified late or reduced payments to small business subcontractors within a 12-month period.

Video: Searching Responsibility/Qualification

Responsibility/qualification information on SAM.gov provides a single view of exclusions, proceedings, and other responsibility information. In this video, you will learn the different ways you can search and view this information.

Video: What is responsibility/qualification?

Integrity records are now called responsibility/qualification. These records used to be viewed at FAPIIS.gov. Now they are on SAM.gov. <u>This video</u> will introduce you to responsibility/qualification information on SAM.gov

What information can I find in the responsibility/qualification data?

Responsibility/qualification (R/Q) data includes information to support award decisions as required by the Federal Acquisition Regulation (FAR). The information collected includes:

- Administrative Agreements
- Defective Pricing Actions
- DoD Determinations of Contractor Fault
- Information on Trafficking in Persons
- Non-Responsibility Determinations
- Recipient Not Qualified Determinations
- Subcontractor Payment Issues
- Terminations for Default
- Terminations for Cause
- Terminations for Material Failure to Comply

In addition, R/Q data includes vendor responses to the proceedings questions from their SAM.gov registration.

Where can I find responsibility/qualification information in SAM.gov APIs?

Responsibility/qualification information is now in the entity management application programming interface (API). Please see the <u>documentation</u> at open.gsa.gov to learn more about using this API.

Where do I find responsibility/qualification information for entities that are not registered in SAM.gov?

For entities not registered in SAM.gov, responsibility/qualification information can be found by conducting an "all entity information" search.

- 1. Sign in to your SAM.gov account using your Login.gov credentials.
- 2. Select "Search" on the SAM.gov main menu.
- 3. Open the "All Domains" filter and select "Entity Information."

- 4. A search of "All Entity Information" will provide entity registration records, exclusions, and responsibility/qualification records.
- 5. Using the Keyword Search option, search by placing the entity's name or partial name, Unique Entity ID or CAGE code in the search box.

Or enter the entity's name or partial name, the Unique Entity ID, or the CAGE code in the Entity filter. Then press enter on your keyboard.

Where does the responsibility/qualification information come from?

Government-entered data comes from the Contractor Performance Assessment Reporting System (CPARS). Vendor-entered data comes from entity registrations in SAM.gov.

Responsibility/qualification records entered after April 15, 2011, are available to the public. There is a 14-calendar day delay in posting information.

How do I search for responsibility/qualification information on a company or individual?

You can search SAM.gov for a company by specifying the company's Unique Entity ID, the Commercial and Government Entity (CAGE) code, or the entity's name or partial name.

1. Sign in to your SAM.gov account using your Login.gov credentials.



2. Select "Search" on the SAM.gov main menu.



3. Open the "All Domains" filter and select "Entity Information."

Select Domain All Domains	-
All Domains	
Contract Opportunities	
Assistance Listings	
Entity Information	
Federal Hierarchy	
Wage Determinations	

4. Searching "All Entity Information" will bring back entity registration records, exclusions, and responsibility/qualification records. If you only want to see responsibility/qualification records, and not any registrations or exclusions, then you can select "Responsibility/Qualification" within the Entity Information domain.

Select Domain Entity Information	+
All Entity Information	
Entities	
Disaster Response Registry	
Responsibility/Qualification	
Exclusions	

5. Using the "Keyword Search" option, search by placing the entity's name or partial name, Unique Entity ID or CAGE code in the search box.

Or, enter the entity's name or partial name, the Unique Entity ID, or the CAGE code, in the "Entity" filter. Then press enter on your keyboard.

Keyword Search
For more information on how to use our keyword search, visit our help guide 🛛
Any Words ① All Words ①
Exact Phrase ①
e.g. 123456789, Smith Corp
Entity
Entity Name

Unique Entity ID
e.g. HTYR9YJHK65L 🔹
CAGE / NCAGE
•

6. Your search results will include responsibility/qualification records if they exist for the entity. If you searched "All Entity Information," they will also include an entity registration if the organization or person has one.

Sort by

Showing 1 - 13 of 13 re	sults			Relevance v
Watanat Doorgy Do	Active Registration		n	Entity
Unique Entity ID	CAGE Code	Physical	Address	Expiration Date May 8, 2022 Purpose of Registration
HILING TRANSCOM	• Acti	ive		All Awards
Unique Entity ID	CAGE Cod (blank)	de	Physical Address	Classification
			200.00	Activation Date Apr 24, 2019 Termination Date Indefinite
Unique Entity ID N8R3U348HS89	CAGE / N (blank)	CAGE	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / N (blank)	CAGE	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / Ni (blank)	CAGE	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / Ni (blank)	CAGE	City / State	Responsibilty / Qualification

7. To find responsibility/qualification information on a registered entity's record (the results with the "Entity" tag in the gray box), select the entity name from the search results to open the entity record. Then select "Responsibility/Qualification" from the menu.

Entity Registration					
Assertions	Unique Entity ID	CAGE/NCAGE		Registration Expiration Da	le Registration Status
Reps and Certs				Jul 29, 2020	Expired
Responsibility / Qualification			Purpose of Regi	stration	Paulau
Exclusions	Physical Address	Mailing Address	All Awards	U	Proceedings
Proceedings					
Other Responsibility & Integrity Information					
Corporate Relationships	EXCLUSIONS				
	party. Therefore, it is important confirm or obtain additional inf contact, including name and tel	: that you verify a potential match v ormation, contact the federal agen ephone number, may be found by r	with the excluding agen cy that took the action a navigating to the Agency	cy identified in the e against the listed pa / Exclusion POCs pa	exclusion's details. To irty. Agency points of ge within Help.
	Active Exclusions				
	There are no active exclusion reco	ords associated to this entity by its Ur	nique Entity ID.		
	Inactive Exclusions				
	There are no inactive exclusion re	cords associated to this entity by its	Unique Entity ID.		
	PROCEEDINGS				
	Question: Does your business or contracts and/or grants with total	organization, as represented by the U value (including any exercised/unex	Jnique Entity ID on this s ercised options) greater t	pecific SAM record, h han \$10,000,000?	ave current active Federal
	***Contractor Response: Yes				

8. If an entity has more than one responsibility/qualification record, every record will appear in the search results. Select any of those results, and you will see all of the entity's other responsibility and integrity information in one place.

Unique Entity ID	cage/NCAGE (blank)		
Physical Address		Í	Review Other Responsibility & Integrity Information

EXCLUSIONS

There are no exclusions associated to this entity by its Unique Entity ID.

PROCEEDINGS

There are no proceedings associated to this entity by its Unique Entity ID.

OTHER RESPONSIBILITY & INTEGRITY INFORMATION

Responsibility & Integrity Record Type	Responsibility & Integrity Record Date	Attachments
Termination for Cause	Aug 11, 2021	PDF 74502.pdf
Termination for Cause	Aug 5, 2021	PDF 74444.pdf
Termination for Default	Aug 5, 2021	PDF 74443.pdf
Termination for Cause	Aug 5, 2021	PDF 74442.pdf
Termination for Cause	Aug 5, 2021	PDF 74440.pdf
Termination for Cause	Jul 26, 2021	₽DF 74324.pdf
Termination for Cause	Jul 26, 2021	PDF 74323.pdf
Termination for Cause	Jul 26, 2021	PDF 74321.pdf
Termination for Cause	Jul 23, 2021	PDF 74301.pdf
Termination for Cause	Jul 23, 2021	PDF 74300.pdf
Termination for Cause	Jul 23, 2021	PDF 74284.pdf

9. Select a link from the responsibility and integrity record type column to view the details of the record. Download the record by selecting the link in the attachments column.

How do I download and print a responsibility/qualification record?

Responsibility/qualification records in SAM.gov include information on terminations, proceedings, and other findings.

To download and print a responsibility/qualification record:

1. Sign in to SAM.gov.



2. Select the Search option in the main menu.

Home	Search	Data Bank	Data Services	Help
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3. Review the search results

Showing 1 - 7 of 7 results			Relevance
Unique Entity ID	CAGE / NCAGE (blank)	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE (blank)	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE 7LBN9	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE (blank)	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE 7LBN9	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE (blank)	City / State	Responsibilty / Qualification
Unique Entity ID	CAGE / NCAGE (blank)	City / State	Responsibilty / Qualification
< 1 of 1 >	Results per page		

- Select the record you want to download and print by selecting the name of the entity.
 The system will display the responsibility/qualification record for the entity.

nique Entity ID	CAGE/NCAGE		
Physical Address		Î	Review
r nysicat Address			Other Responsibility & Integrity Information

EXCLUSIONS

There are no exclusions associated to this entity by its Unique Entity ID.

PROCEEDINGS

There are no proceedings associated to this entity by its Unique Entity ID.

OTHER RESPONSIBILITY & INTEGRITY INFORMATION

Responsibility & Integrity Record Type	Responsibility & Integrity Record Date	Attachments
Termination for Cause	Oct 6, 2020	局 70780.pdf
Termination for Cause	Feb 4, 2020	🗟 69179.pdf
Termination for Cause	Sep 21, 2019	🗟 68146.pdf
Termination for Cause	Sep 20, 2019	🗟 66995.pdf
Termination for Cause	Aug 15, 2019	🗟 66920.pdf
Termination for Cause	Sep 14, 2019	🗟 66918.pdf
Termination for Cause	Aug 9, 2019	🗟 66916.pdf

CORPORATE RELATIONSHIPS

There are no corporate relationships associated to this entity by its Unique Entity ID.

6. Select the Actions button, and then select download.

Actions 🕕	
Actions	$\overline{\mathbf{x}}$
Download	

7. The download pane will display. Download the record by selecting the green Download button. Once downloaded, you can print the file.

Download		×
Select your download options.		
Select file type PDF Name		
RQInformation_20221206-095741		
	Cancel	Download

How do I download responsibility/qualification data reports?

Responsibility/qualification reports in SAM.gov contain information on terminations, proceedings, and other findings. There is a 14-calendar day delay in publicly posting responsibility/qualification information on SAM.gov.

To download responsibility/qualification data reports:

1. Sign in to SAM.gov.



2. Select the DataBank tab in the main menu.



3. Select the "Entity Information" domain under "Show Reports For."

Show Reports For

Assistance Listings
Contract Opportunities
Contract Data
Entity Information
Federal Hierarchy

4. Select the "All Responsibility and Integrity Records" link to download the report of government-entered information as an Excel file.

Responsibility/Qualification Reports

Responsibility/qualification reports in SAM.gov contain all the information formerly available from the Federal Awardee Performance and Integrity Information System (FAPIIS). There is a 14 calendar day delay in publicly posting responsibility/qualification information on SAM.gov.

All Responsibility and Integrity Records 🕮 🖉

The All Responsibility and Integrity Records report includes all government-entered responsibility/qualification records required by Federal Acquisition Regulation (FAR) Subparts 9.105-2 @,9.406-3 @, and 42.1503 @ Defense FAR Supplement (DFARS) 209.105-270.

This report does not include suspensions or debarments. Please search exclusions @ on SAM.gov to find and download suspension and debarment data.

5. Select the "Vendor Provided Records" link to download proceedings information from entity registration data as an Excel file.

Vendor Provided Records 🕮 🗷

The Vendor Provided Records report contains all the responses where potential federal government awardees have reported, via their registration in SAM.gov, that they: (1) have current active federal awards with total value greater than \$10,000,000; and (2) have, within the last five years, been the subject of a criminal, civil, and/or administrative proceeding at the federal or state level in connection with a federal award that resulted in a conviction or finding of fault or liability. There are different applicable thresholds to the proceeding types. Please see FAR 52.209-7 ° for details.

6. Once the data is downloaded, you can search, sort, and filter it as needed. You can also print from the Excel file if you need to.

Where do I find responsibility/qualification information on an entity's record?

On an entity's registration, responsibility/qualification information can be found by selecting the last menu item on the record.

Entity Registration
Core Data
Business Information
Entity Types
Financial Information
Points of Contact
Assertions
Reps and Certs
Exclusions
Responsibility / Qualification

The following responsibility/qualification information is included:

- Exclusions
- Proceedings
- Other responsibility and integrity information
- Corporate relationships

Responsibility / Qualification
Exclusions
Proceedings
Other Responsibility & Integrity Information
Corporate Relationships

EXCLUSIONS				
There may be instances when an individual party. Therefore, it is important that you ve confirm or obtain additional information, co contact, including name and telephone num	or firm has the same rify a potential matc ontact the federal ag bber, may be found b	or similar name as y h with the excluding ency that took the ac y navigating to the A	our search criteri agency identified tion against the li gency Exclusion P	a,but is actually a different in the exclusion's details. To isted party. Agency points of OCs page within Help.
Active Exclusions				
There are no active exclusion records associat	ed to this entity by its	Unique Entity ID.		
Inactive Exclusions				
There are no inactive exclusion records associ	ated to this entity by i	ts Unique Entity ID.		
PROCEEDINGS There are no proceedings associated to this er OTHER RESPONSIBILITY & INT	ntity by its Unique Ent	ity ID. IATION		
Responsibility & Integrity Record Type	Responsibility & Int	egrity Record Date	Attachments	
Termination for Default	Mar 8, 2018		🗟 61568.pdf	\bigcirc
CORPORATE RELATIONSHIPS				
Legal Business Name	CAGE	Responsibility (& Integrity Record	
Highest Owner				

In addition, federal users with for official use only (FOUO) or sensitive data permissions will have a section on exclusions of related entities.

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Wage Determinations	

4. A search of "All Entity Information" will provide entity registration records, exclusions, and responsibility/qualification records.

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All Entity Information	
Entities	
Disaster Response Registry	
Responsibility / Qualification	
Exclusions	

5. Using the Keyword Search option, search by placing the entity's name or partial name, Unique Entity ID or CAGE code in the search box.

Or enter the entity's name or partial name, the Unique Entity ID, or the CAGE code, in the Entity filter. Then press enter on your keyboard.

Keyword Search
For more information on how to use our keyword search, visit our help guide 🛛
O Any Words ①
All Words ①
O Exact Phrase ①
e.g. 123456789, Smith Corp
Entity
Entity Name
•
Unique Entity ID
e.g. HTYR9YJHK65L 🗸
CAGE / NCAGE
▼

6. Your search results will include responsibility/qualification records if they exist for the entity.

