

**U.S. DEPARTMENT OF ENERGY
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY
NEPA DETERMINATION**



RECIPIENT: New York State Energy Research and Development Authority

STATE: NY

PROJECT TITLE : National Offshore Wind Research and Development Consortium

Funding Opportunity Announcement Number	Procurement Instrument Number	NEPA Control Number	CID Number
DE-FOA-0001767	DE-EE0008390	GFO-0008390-029b	G08390

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and dissemination	Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)
B3.6 Small-scale research and development, laboratory operations, and pilot projects	Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide funding to the New York State Energy Research and Development Authority (NYSERDA) to form a not-for-profit 501(c)(3) entity, the "National Offshore Wind Research and Development Consortium" which would be led by NYSERDA along with key industry stakeholders and research institutions. The Consortium would finance research initiatives seeking to address the technical barriers faced by offshore wind developers, original equipment manufacturers (OEMs), and supply chain partners, with the goal of reducing the Levelized Cost of Electricity (LCOE) for U.S. offshore wind plants and increasing opportunities for U.S. manufacturing.

The proposed project is divided into four (4) Budget Periods (BPs). DOE previously completed NEPA reviews for BP1, 2, 3, and 4 (respectively GFO-0008390-001 CX A1, A9, and A13, 10/09/2018; GFO-0008390-002 CX A1, A9, and A13, 01/13/2020; GFO-0008390-019 CX A1, A9, and A13, 12/02/2020; and GFO-0008390-042 CX A1, A9, A11, and A13, 12/28/2021). In addition, DOE completed NEPA reviews for 16 subawards made by the consortium under Task 19 and 22 subawards made by the consortium under Task 27 as well as awards under an Annual Operating Plan to the National Renewable Energy Laboratory (various CX determinations and dates). This NEPA review is for remaining tasks not previously reviewed under a sub award made under Task 27 to Esteyco, S.A.

Task 27 in BP3 involves reviewing applications received in response to the solicitation released in Task 26, and then choosing specific projects which would receive a sub award. While NYSERDA is allowed under the previous NEPA determination to proceed with choosing projects under Task 27, all projects chosen for a sub award are subject to additional NEPA analysis prior to NYSERDA contracting for the sub award and prior to any work being completed on the sub award.

Under this proposed sub award, Esteyco would develop a novel installation technology for offshore wind turbine applications. The "ELISA" substructure technology would be designed for use in the installation and assembly of offshore turbine components (possibly including a telescopic tower) utilizing conventional tugboats. This sub award was previously reviewed and received a conditional NEPA determination (GFO-0008390-029 CX A9, 7/21/2021) for Tasks 1-5. At the time of the review, a site location had not yet been selected for tank testing of the prototype version of the ELISA technology (Tasks 6-11). Since that time, a site has been selected and all relevant information has been submitted to DOE for review. This ND will be applicable to Tasks 6-11.

The description of Tasks 6-11 are as follows:

Task 6 – Tank test campaign to fine tune 15-MW design for US installation. This task would consist of the fabrication of the prototype system at the tank testing facility and carrying out the experimental tank test of the towed transport process under several sea states and conditions. A full set of tank tests would be conducted to calibrate numerical models. The installation procedure for several different sea conditions would be identified.

Task 7 – Telescopic joint detailed design for substructure supporting large turbines. This task would consist of analyzing the industrial design of the ballasting system and the scalability of the telescopic joint.

Task 8 – Adapt the conceptual design to existing supply chain and facilities. This task would consist of verifying that the conceptual design has potential for serial production.

Task 9 – Manufacturing costs and LCOE benefits for the completed design at specific locations. This task would consist of evaluating the costs of fabricating the ELISA system.

Task 10 – Analysis of the opportunity space for ELISA technology in the United States. This task would consist of identifying the limitations and constraints associated with the near-term deployment of offshore wind in the US.

Task 11 – Draft Final Report and Closeout Reporting. This task would consist of completing final deliverables and closeout of the project.

Engineering activities related to the conceptual design and its adaptation to the US supply chain and facilities would occur at Esteyco headquarters in Madrid, Spain and would be supported by the National Renewable Laboratory (NREL) team. The tank testing campaign would be carried out at the test tank at the University College for Civil Engineering facilities at Madrid Polytechnic University.

Any work proposed to be conducted at a DOE laboratory may be subject to additional NEPA review by the cognizant DOE NEPA Compliance Officer for the specific DOE laboratory prior to initiating such work. Further, any work conducted at a DOE laboratory must meet the laboratory's health and safety requirements.

NEPA PROVISION

DOE has made a conditional NEPA determination.

The NEPA Determination applies to the following Topic Areas, Budget Periods, and/or tasks:

Budget Period 1
Budget Period 2
Budget Period 3 Sub Award to Esteyco, S.A. – all tasks
Budget Period 4

The NEPA Determination does not apply to the following Topic Area, Budget Periods, and/or tasks:

All sub awards made under Task 35 (in Budget Period 4) will be subject to additional NEPA review prior to any work being completed on those projects.

Notes:

Wind Energy Technologies Office
Review completed by Shaina Aguilar on 9/13/22.

FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5)

involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

DOE has determined that work to be carried out outside of the United States, its territories and possessions is exempt from further review pursuant to Section 5.1.1 of the DOE Final Guidelines for Implementation of Executive Order 12114; "Environmental Effects Abroad of Major Federal Actions."

A portion of the proposed action is categorically excluded from further NEPA review. The NEPA Provision identifies Topic Areas, Budget Periods, tasks, and/or subtasks that are subject to additional NEPA review.

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: _____



Electronically Signed By: Casey Strickland

NEPA Compliance Officer

Date: 9/16/2022

FIELD OFFICE MANAGER DETERMINATION

- ☒ Field Office Manager review not required
☐ Field Office Manager review required

BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: _____

Field Office Manager

Date: _____