

period with corrected balances. These statements shall be available online for download.

Account Analysis

By the 15th work day after each month-end, the financial institution shall provide SRS and DOE an analysis for all accounts showing the actual monthly service charges. Account Analysis will contain detailed listing of the services provided along with volume consumed, rate per item, and total amount due per service item; analysis will include break down of charges by bank account and month. The financial institution shall also include an analysis of the bank's computation of the average daily balance in the Concentration account, listing each day's balance and providing explanation for balances other than zero.

Compensation and Collateralization

The financial institution shall be compensated using the direct payment method. Under this method the financial institution shall submit a monthly invoice to SRS. The invoice will show amounts due in accordance with the unit price per service item agreed to between the M&O contractor and the financial institution.

Under the Department of Treasury (DOT) Letter of Credit funding structure all accounts held under this contract belong to the Federal Government and will be collateralized in accord with DOT regulations, specifically, ITFM 6-9000 and 31 CFR 202: Depositaries and Financial Agents of the Federal Government; Treasury Circular 176. Amounts in excess of the insurance limits must be secured by collateral pledged by the financial institution with the Federal Reserve Bank (FRB).

The amount of collateral shall be reviewed by the bank quarterly, and increased if necessary, to assure an adequate amount is posted with the FRB. Any decrease in the amount collateralized must be reviewed by the DOE.

Responsibility for Material and Equipment

The financial institution shall supply any proprietary software necessary for online communications and processing. SRS shall supply the necessary hardware for online communications and processing at the SRS facility.

In order to ensure that the online communications are established and working properly, the financial institution shall provide a technical support contact to assist SRS during the transition and assist during online system access. The financial institution contact shall provide technical training for online processing.

All parties must comply with Federal Acquisition Regulation Part 4.5, Electronic Commerce in Contracting, 4.502 Policy(c), such that DOE, SRNS and Wells Fargo Bank shall ensure that their respective systems are capable of ensuring authentication and confidentiality commensurate with the risk and magnitude of the harm from loss, misuse, or, unauthorized access to or modification of the information.

3.3 Personnel Qualifications/Certifications

The financial institution shall provide a primary point of contact that is experienced and thoroughly familiar with Government Owned Contractor Operated (GOCO) facilities and the Letter of Credit Federal Reserve Bank (LOC- FRB) methods. A backup point of contact with similar work experience, knowledge and familiarity with the LOC-FRB methods is also required. These contacts shall be available to SRS personnel as needed to resolve banking related issues or problems.

4.0 Final Acceptance Method

The Subcontract Technical Representative (STR) shall monitor the contact agreement to ensure performance and technical compliance with the scope of work.

6.0 Period of Performance

From time of award through September 30, 2016 with five (5) one (1) year options to extend.

5.0 Attachments

N/A

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC

SUPPLY CHAIN MANAGEMENT

BUILDING 730-4B, AIKEN, SC 29808

AMENDMENT 4
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951

MO	DAY	YEAR
5	17	16

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/1/13	9/30/17	Not-to-Exceed \$ <u>135,778.00</u>

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/1/13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government - DOE and Savannah River Nuclear Solutions, LLC (SRNS) ;

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 LIMITATION OF FUNDS

1.1 The Agreement's "Limitation of Funds" Article, last revised via Amendment 3 as listed below, is hereby deleted in its entirety.

- A. *Pursuant to the Article of the General Terms and Conditions entitled "Limitation of Funds," the full not-to-exceed price of this agreement is presently available for payment and allotted to this Special Demand Account Agreement.*
- B. *SRNS is not obligated to pay or reimburse the Subcontractor more than the amount from time to time allotted to the Special Demand Account Agreement.*
- C. *Funds allotted to this Special Demand Account Agreement will cover the work to be performed through the September 30, 2016.*

2.0 AGREEMENT NOT-TO-EXCEED AMOUNT

2.1 The not-to-exceed amount of this Agreement is increased as detailed below:

ORIGINAL AGREEMENT:	\$ 111,465.27
AMENDMENT NO. 1:	\$ 00.00
AMENDMENT NO. 2:	\$ 00.00
AMENDMENT NO. 3:	<\$ 5,687.27>
<u>AMENDMENT NO. 4:</u>	<u>\$ 30,000.00</u>
REVISED NOT-TO-EXCEED AMOUNT:	<u>\$ 135,778.00</u>

3.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

- 3.1 SRNS hereby exercises the first option under Special Demand Account Agreement 0000103951, which extends the term of this Agreement through 9/30/17.

4.0 ENTIRETY

- 4.1 Except as specifically modified herein by this Amendment No. 4, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

5.0 ACCEPTANCE

- 5.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 4 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on Page 2 and returning it to the SRNS Procurement Representative within 3 business days.
- 5.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 3 with any attachments, once it has been signed by all parties to the Agreement.

<u>Savannah River Nuclear Solutions, LLC</u> Contractor's Name (Type/Print)		<u>Wells Fargo Bank, N.A.</u> Financial Institution's Name (Type/Print)	
<u>Navin Fornala</u> Procurement Representative's Name (Type/Print)		<u>Daniel N. Ryder</u> Name (Type/Print)	
<u>Navin Fornala</u> Signature to sign		<u>[Signature]</u> Signature of person authorized	
<u>Procurement Representative</u> Title	<u>May 23, 2016</u> Date	<u>SVP</u> Title	<u>2016-05-18</u> Date
<u>Department of Energy</u>			
<u>Sylvia C. Maroney</u> DOE Contracting Officer's Name (Type/Print)			
<u>Sylvia C. Maroney</u> Signature			
<u>Contracting Officer</u> Title	<u>9/6/16</u> Date		

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC
SUPPLY CHAIN MANAGEMENT
BUILDING 730-4B, AIKEN, SC 29808

**AMENDMENT 5
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951**

MO	DAY	YEAR
9	7	17

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/ 1/ 13	8/30/18	Not-to-Exceed \$ 135,778.00

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/ 1/ 13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government – DOE and Savannah River Nuclear Solutions, LLC (SRNS);

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 AGREEMENT NOT-TO-EXCEED AMOUNT

1.1 The not-to-exceed amount of this Agreement is unchanged as detailed below:

ORIGINAL AGREEMENT:	\$ 111,465.27
AMENDMENT NO. 1:	\$ 00.00
AMENDMENT NO. 2:	\$ 00.00
AMENDMENT NO. 3:	<\$ 5,687.27>
AMENDMENT NO. 4:	\$ 30,000.00
AMENDMENT NO. 5:	\$ 00.00
NOT-TO-EXCEED AMOUNT:	<u>\$ 135,778.00</u>

2.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

2.1 SRNS hereby exercises the second option under Special Demand Account Agreement 0000103951, which extends the term of this Agreement through 8/30/18.

3.0 ENTIRETY

3.1 Except as specifically modified herein by this Amendment No. 5, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

4.0 ACCEPTANCE

4.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 5 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on

Page 2 and returning it to the SRNS Procurement Representative within 3 business days.

- 4.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 5 with any attachments, once it has been signed by all parties to the Agreement.

<u>Savannah River Nuclear Solutions LLC</u> Contractor's Name (Type/ Print)		<u>Wells Fargo Bank, N.A.</u> Financial Institution's Name (Type/ Print)	
<u>Melissa Treacy</u> Procurement Representative's Name (Type/ Print)		<u>DANIEL RIVER</u> Name (Type/ Print)	
<u>Melissa Treacy</u> Signature		<u>[Signature]</u> SVP Signature of person authorized to sign	
<u>Procurement Representative</u> Title		<u>Senior Vice President</u> Title	
<u>9/25/17</u> Date		<u>9/11/2017</u> Date	
<u>Department of Energy</u>			
<u>Sylvia C. Maroney</u> DOE Contracting Officer's Name (Type/ Print)			
<u>Sylvia C. Maroney</u> Signature			
<u>Contracting Officer</u> Title			
<u>9/27/17</u> Date			

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC
SUPPLY CHAIN MANAGEMENT
BUILDING 730-4B, AIKEN, SC 29808

**AMENDMENT 6
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951**

MO	DAY	YEAR
8	21	18

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/1/13	9/30/19	Not-to-Exceed \$ <u>165,778.00</u>

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/1/13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government - DOE and Savannah River Nuclear Solutions, LLC (SRNS);

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 AGREEMENT NOT-TO-EXCEED AMOUNT

1.1 The not-to-exceed amount of this Agreement is changed as detailed below:

ORIGINAL AGREEMENT:	\$ 111,465.27
AMENDMENT NO. 1:	\$ 00.00
AMENDMENT NO. 2:	\$ 00.00
AMENDMENT NO. 3:	<\$ 5,687.27>
AMENDMENT NO. 4:	\$ 30,000.00
AMENDMENT NO. 5:	\$ 00.00
AMENDMENT NO. 6:	\$ 30,000.00
NOT-TO-EXCEED AMOUNT:	\$ <u>165,778.00</u>

2.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

2.1 SRNS hereby exercises the third option under Special Demand Account Agreement 0000103951, which extends the term of this Agreement through 9/30/19.

3.0 ENTIRETY

3.1 Except as specifically modified herein by this Amendment No. 6, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

4.0 ACCEPTANCE

4.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 6 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on Page 2 and returning it to the SRNS Procurement Representative within 3 business days.

- 4.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 6 with any attachments, once it has been signed by all parties to the Agreement.

<u>Savannah River Nuclear Solutions, LLC</u> Contractor's Name (Type/Print)		<u>Wells Fargo Bank, N.A.</u> Financial Institution's Name (Type/Print)	
<u>Melissa Treacy</u> Procurement Representative's Name (Type/Print)		<u>David N. Ryder</u> Name (Type/Print)	
<u>Melissa Treacy</u> Signature		<u>[Signature]</u> Signature of person authorized to sign	
<u>Procurement Representative</u> Title	<u>8/27/18</u> Date	<u>Senior Vice President & Branch</u> Title	<u>8/27/18</u> Date
<u>Department of Energy</u>			
<u>Angela S. Morton</u> DOB Contracting Officer's Name (Type/Print)			
<u>[Signature]</u> Signature			
<u>Contracting Officer</u> Title	<u>9/5/18</u> Date		

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC

SUPPLY CHAIN MANAGEMENT
BUILDING 730-4B, AIKEN, SC 29808

AMENDMENT 7
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951

MO	DAY	YEAR
7	30	19

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/1/13	09/30/2020	Not-to-Exceed \$ <u>185,778.00</u>

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/1/13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government – DOE and Savannah River Nuclear Solutions, LLC (SRNS) ;

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 SCHEDULE OF SERVICES AND FIXED UNIT CHARGES, IS MODIFIED AS FOLLOWS:

1.1 Schedule of Services and Fixed Unit Charges Attachment # 4, The headers on the price schedule is not accurate and the dates on the option years has been revised.

- 1.1.1 Option Period # 1 – 10/01/2016 – 09/30/2017
- 1.1.2 Option Period # 2 – 10/01/2017 – 09/30/2018
- 1.1.3 Option Period # 3 – 10/01/2018 – 09/30/2019
- 1.1.4 Option Period # 4 – 10/01/2019 – 09/30/2020
- 1.1.5 Option Period # 5 – 10/01/2020 – 09/30/2021

2.0 AGREEMENT NOT-TO-EXCEED AMOUNT

2.1 The not-to-exceed amount of this Agreement is changed as detailed below:

ORIGINAL AGREEMENT:	\$	111,465.27
AMENDMENT NO. 1:	\$	00.00
AMENDMENT NO. 2:	\$	00.00
AMENDMENT NO. 3:	<\$	5,687.27>
AMENDMENT NO. 4:	\$	30,000.00
AMENDMENT NO. 5:	\$	00.00
AMENDMENT NO. 6:	\$	30,000.00
AMENDMENT NO. 7:	\$	20,000.00

NOT-TO-EXCEED AMOUNT: \$ 185,778.00

3.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

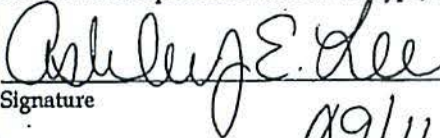
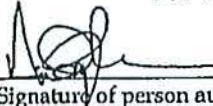

3.1 SRNS hereby exercises the third option under Special Demand Account Agreement 0000103951, which extends the term of this Agreement through 09/30/2020.

4.0 ENTIRETY

- 4.1 Except as specifically modified herein by this Amendment No. 7, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

5.0 ACCEPTANCE

- 5.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 7 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on Page 2 and returning it to the SRNS Procurement Representative within 3 business days.
- 5.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 7 with any attachments, once it has been signed by all parties to the Agreement.

<u>Savannah River Nuclear Solutions, LLC</u> Contractor's Name (Type/Print)		<u>Wells Fargo Bank, N.A.</u> Financial Institution's Name (Type/Print)	
<u>Ashley B. Lee</u> Procurement Representative's Name (Type/Print)		<u>Daron N. Ryden</u> Name (Type/Print)	
 Signature		 Signature of person authorized to sign	
<u>Procurement Representative</u> Title	<u>09/11/19</u> Date	<u>SENIOR VICE PRESIDENT</u> Title	<u>9/10/19</u> Date
<u>Department of Energy</u>			
<u>Angela S. Morton</u> DOE Contracting Officer's Name (Type/Print)			
 Signature			
<u>Contracting Officer</u> Title	<u>9/19/19</u> Date		

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC

SUPPLY CHAIN MANAGEMENT

BUILDING 730-4B, AIKEN, SC 29808

AMENDMENT 8
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951

MO	DAY	YEAR
8	18	20

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/1/13	09/30/2021	Not-to-Exceed \$ <u>200,778.00</u>

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/1/13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government – DOE and Savannah River Nuclear Solutions, LLC (SRNS) ;

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 SCHEDULE OF SERVICES AND FIXED UNIT CHARGES, IS MODIFIED AS FOLLOWS:

1.1 Schedule of Services and Fixed Unit Charges Attachment # 4, The headers on the price schedule is not accurate and the dates on the option years has been revised.

- 1.1.1 Option Period # 1 – 10/01/2016 – 09/30/2017
- 1.1.2 Option Period # 2 – 10/01/2017 – 09/30/2018
- 1.1.3 Option Period # 3 – 10/01/2018 – 09/30/2019
- 1.1.4 Option Period # 4 – 10/01/2019 – 09/30/2020
- 1.1.5 Option Period # 5 – 10/01/2020 – 09/30/2021

2.0 AGREEMENT NOT-TO-EXCEED AMOUNT

2.1 The not-to-exceed amount of this Agreement is changed as detailed below:

ORIGINAL AGREEMENT:	\$	111,465.27
AMENDMENT NO. 1:	\$	00.00
AMENDMENT NO. 2:	\$	00.00
AMENDMENT NO. 3:	<\$	5,687.27>
AMENDMENT NO. 4:	\$	30,000.00
AMENDMENT NO. 5:	\$	00.00
AMENDMENT NO. 6:	\$	30,000.00
AMENDMENT NO. 7:	\$	20,000.00
AMENDMENT NO. 8:	\$	15,000.00

NOT-TO-EXCEED AMOUNT: \$ 200,778.00

3.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

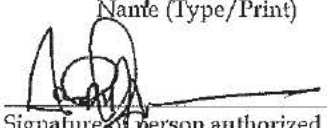

3.1 SRNS hereby exercises the third option under Special Demand Account Agreement 0000103951, which extends the term of this Agreement through 09/30/2021.

4.0 ENTIRETY

- 4.1 Except as specifically modified herein by this Amendment No. 8, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

5.0 ACCEPTANCE

- 5.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 8 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on Page 2 and returning it to the SRNS Procurement Representative within 3 business days.
- 5.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 8 with any attachments, once it has been signed by all parties to the Agreement.

Savannah River Nuclear Solutions, LLC Contractor's Name (Type/Print)		Wells Fargo Bank, N.A. Financial Institution's Name (Type/Print)	
Joe Ortaldo Procurement Representative's Name (Type/Print)		DAVID N. RYDER Name (Type/Print)	
JOSEPH ORTALDO (Affiliate)			
Digitally signed by JOSEPH ORTALDO (Affiliate) Date: 2020.09.02 12:48:13 Signature -04'00'		Signature of person authorized to sign	
Procurement Representative Title Date		SENIOR VICE PRESIDENT 9/8/2020 Title Date	
Department of Energy			
Justin Speering DOE Contracting Officer's Name (Type/Print)			
			
Signature			
Contracting Officer 9/8/2020 Title Date			

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC

SUPPLY CHAIN MANAGEMENT

BUILDING 730-4B, AIKEN, SC 29808

**AMENDMENT 9
SPECIAL DEMAND
ACCOUNT AGREEMENT
0000103951**

MO	DAY	YEAR
09	23	21

Page 1 of 2

Wells Fargo Bank, N.A.
550 California Street, MACA0112-102
San Francisco, CA 94104
Attention: David Ryder

<u>Commencement Date</u>	<u>End Date</u>	<u>Agreement Amount</u>
8/1/13	09/30/2022	Not-to-Exceed \$ 260,778.00

WITNESSETH THAT: WHEREAS, the parties have entered into Special Demand Account Agreement 0000103951 dated 8/1/13, under which the Financial Institution (Wells Fargo Bank, N.A.) has undertaken to provide commercial banking services to the U.S. Government – DOE and Savannah River Nuclear Solutions, LLC (SRNS) ;

NOW THEREFORE, the parties desire to amend said Agreement, as follows, effective as of the date it is signed by all parties to the Agreement:

1.0 SCHEDULE OF SERVICES AND FIXED UNIT CHARGES, IS MODIFIED AS FOLLOWS:

1.1 Schedule of Services and Fixed Unit Charges Attachment # 4.

- 1.1.1 Option Period # 1 – 10/01/2016 – 09/30/2017
- 1.1.2 Option Period # 2 – 10/01/2017 – 09/30/2018
- 1.1.3 Option Period # 3 – 10/01/2018 – 09/30/2019
- 1.1.4 Option Period # 4 – 10/01/2019 – 09/30/2020
- 1.1.5 Option Period # 5 – 10/01/2020 – 09/30/2021
- 1.1.6 Extension Period - 10/01/2021 – 09/30/2022

2.0 AGREEMENT NOT-TO-EXCEED AMOUNT

2.1 The not-to-exceed amount of this Agreement is changed as detailed below:

ORIGINAL AGREEMENT:	\$ 111,465.27
AMENDMENT NO. 1:	\$ 00.00
AMENDMENT NO. 2:	\$ 00.00
AMENDMENT NO. 3:	<\$ 5,687.27>
AMENDMENT NO. 4:	\$ 30,000.00
AMENDMENT NO. 5:	\$ 00.00
AMENDMENT NO. 6:	\$ 30,000.00
AMENDMENT NO. 7:	\$ 20,000.00
AMENDMENT NO. 8:	\$ 15,000.00
AMENDMENT NO. 9:	\$ 60,000.00

NOT-TO-EXCEED AMOUNT: **\$ 260,778.00**

3.0 SPECIAL DEMAND ACCOUNT AGREEMENT TERM

3.1 SRNS hereby extends the term of this Agreement through 09/30/2022.

4.0 ENTIRETY

- 4.1 Except as specifically modified herein by this Amendment No. 9, all other terms and conditions, rates and provisions of this Agreement remain in full force and effect.

5.0 ACCEPTANCE

- 5.1 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall accept this Amendment No. 9 by signing it in the SIGNATURE OF PERSON AUTHORIZED TO SIGN block on Page 2 and returning it to the SRNS Procurement Representative within 3 business days.
- 5.2 The FINANCIAL INSTITUTION (Wells Fargo Bank, N.A.) shall retain a signed copy of Amendment No. 9 with any attachments, once it has been signed by all parties to the Agreement.

Savannah River Nuclear Solutions, LLC Contractor's Name (Type/Print)		Wells Fargo Bank, N.A. Financial Institution's Name (Type/Print)	
Joe Ortaldo Procurement Representative's Name (Type/Print)		David N. Ryder Name (Type/Print)	
JOSEPH ORTALDO Signature (Affiliate)		David N. Ryder Signature of person authorized to sign	
Digitally signed by JOSEPH ORTALDO (Affiliate) Date: 2021.09.30 11:23:31 -04'00'		Senior Vice President Title	
Procurement Representative Title		9/30/2021 Date	
Department of Energy			
Justin Speering DOE Contracting Officer's Name (Type/Print)			
Justin Speering Signature			
Contracting Officer Title		9/27/2021 Date	

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J - LIST OF ATTACHMENTS

APPENDIX C

SMALL BUSINESS SUBCONTRACTING PLAN

(Attached)



PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J - LIST OF ATTACHMENTS

APPENDIX D

KEY PERSONNEL

Pursuant to the clause entitled “Key Personnel,” the following positions are considered to be essential to work being performed. (Modification A002, Mod 085, Mod 97, CO Ltrs, CMD-09-202, CMD-09-203, CMD-09-299, CMD-09-365, CMD-10-125, CMD-10-161, and CMD-10-204, Mod 125, CMD-11-014, Mod 137, Mod 141, CMD-11-088, CMD-11-090, CMD-11-112, CMD-11-149, Mod 189, CMD-11-214, Mod 229, Mod 230, CMD-13-081, Mod 427, CMD-14-079, CMD-14-086, CMD-14-107, Mod 526, Mod 543, CMD-15-103, CMD-16-084, CMD-16-085, CMD-16-123, Mod 662, CMD-18-068, CMD-18-139, CMD-19-008, Mod 835, Mod 961, Mod 986, CMD-21-102, Mod 1019)

<u>Name</u>	<u>Title</u>
MacVean, Stuart A. -----	President and Chief Executive Officer
Carr, Dennis J. -----	Executive Vice President and Chief Operating Officer
Majidi, Vahid. -----	Executive Vice President & Laboratory Director - Savannah River National Laboratory
Davis, Mark E. -----	Senior Vice President - NNSA Operations & Programs
Lawson, Janice -----	Senior Vice President – Environmental Management Operations
Powell, Norman G. -----	Senior Vice President, Business Services
Curtis, Jennifer -----	Senior Vice President & General Counsel
Sprague, Richard M. -----	Senior Vice President – Environment, Safety, Health & Area Completion

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J - LIST OF ATTACHMENTS

APPENDIX E

APPENDIX E – LIST B/APPLICABLE DOE DIRECTIVES AND ORDERS (AMENDMENT 003)

**(Note: List B updated per Mods 267, 399, 479, 527, 636, 694, 776, 832, 884, 943, 1002.
Previous editions of List B are available from Contract Administration upon request.)**

Pursuant to the clause in Section I entitled, DEAR 970.5204-2 “Laws, Regulations, and DOE Directives,” the Contractor shall adhere to the ES&H requirements compliance process delineated in the Site Standards/ Requirements Identification Document (S/RID). For requirements other than ES&H, the contractor shall adhere to the existing DOE directive requirements that are the basis for established procedures and programs until authorized approvals are obtained to deviate from established requirements. The S/RID, and superseding versions thereof, are hereby incorporated by reference.

The Contracting Officer, or designated representative, **may**, from time to time via issuance of a Contract Administration Notice (CAN) or other means, revise the ES&H requirements and non-ES&H requirements (i.e., List B as referred to in this clause).

The following is the DOE approved List B set of requirements as of **6/17/2021**.

***Subject to availability of funds for implementation – See S/RID**

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
10 CFR 1021	National Environmental Policy Act Implementing Procedures	---
10 CFR 436	Federal Energy Management and Planning Programs	---
10 CFR 707	Workplace Substance Abuse Programs at DOE Sites	---
10 CFR 708	DOE Contractor Employee Protection Program	---
10 CFR 71	Packaging and Transportation of Radioactive Material	---
10 CFR 712	Human Reliability Program	---
10 CFR 820	Procedural Rules for DOE Nuclear Activities	---
10 CFR 830	Nuclear Safety Management	---
10 CFR 835	Occupational Radiation Protection	---
10 CFR 851	Worker Safety and Health Program	---
10 CFR 962	Byproduct Material	---
33 CFR 153	Control of Pollution by Oil and Hazardous Substances, Discharge Removal	---
33 CFR 320	General Regulatory Policies	---
33 CFR 322	Permits for Structures or Work in or Affected Navigable Waters of the United States	---

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
33 CFR 323	Permits for Discharges of Dredged or Fill Material into Waters of the United States	---
33 CFR 325	Processing of Department of Army Permits	---
33 CFR 326	Enforcement	---
33 CFR 327	Public Hearings	---
33 CFR 328	Definition of Waters of the United States	---
33 CFR 329	Definition of Navigable Waters of the United States	---
33 CFR 330	Nation Wide Permit Program	---
40 CFR 110	Discharge of Oil	---
40 CFR 112	Oil Pollution Prevention	---
40 CFR 116	Designation of Hazardous Substances	---
40 CFR 117	Determination of Reportable Quantities for Hazardous Substances	---
40 CFR 122	EPA Administered Permit Programs: The National Pollutant Discharge Elimination System	---
40 CFR 125	Criteria and Standards for the National Pollution Discharge Elimination System	---
40 CFR 129	Toxic Pollutant Effluent Standards	---
40 CFR 131	Water Quality Standards	---
40 CFR 133	Secondary Treatment Regulation	---
40 CFR 136	Guidelines Establishing Test Procedures for the Analysis of Pollutants	---
40 CFR 141	National Primary Drinking Water Regulations	---
40 CFR 142	National Primary Drinking Water Regulations Implementation	---
40 CFR 143	National Secondary Drinking Water Regulations	---
40 CFR 144	Underground Injection Control Program	---
40 CFR 146	Underground Injection Control Program: Criteria and Standards	---
40 CFR 148	Hazardous Waste Injection Restrictions	---
40 CFR 149	Sole Source Aquifers	---
40 CFR 166	Exemption of Federal and State Agencies for Use of Pesticides Under Emergency Conditions	---
40 CFR 170	Worker Protection Standard	---
40 CFR 171	Certification Of Pesticide Applicators	---
40 CFR 191	Environmental Radiation Protection Standards For Management And Disposal Of Spent Nuclear Fuel, High-Level And Transuranic Radioactive Wastes	---
40 CFR 230	Section 404(b)(1) Guidelines for Specification of Disposal for Dredged or Fill Material	---
40 CFR 231	Section 404(c) Procedures	---
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste	---
40 CFR 246	Source Separation for Materials Recovery Guidelines	---

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
40 CFR 247	Comprehensive Procurement Guideline for Products Containing Recovered Materials	---
40 CFR 257	Criteria for Classification of Solid Waste Disposal Facilities and Practices	---
40 CFR 260	Hazardous Waste Management System: General	---
40 CFR 261	Identification And Listing Of Hazardous Waste	---
40 CFR 262	Standards Applicable to Generators of Hazardous Waste	---
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste	---
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities	---
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities	---
40 CFR 266	Standards For The Management Of Specific Hazardous Wastes And Specific Types Of Hazardous Waste Management Facilities	---
40 CFR 268	Land Disposal Restrictions	---
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program	---
40 CFR 273	Standards for Universal Waste Management	---
40 CFR 279	Standards for the Management of Used Oil	---
40 CFR 280	Technical Standards and Corrective Action Requirements for Owners and Operators of Underground Storage Tanks (UST)	---
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan	---
40 CFR 302	Designation, Reportable Quantities and Notification	---
40 CFR 355	Emergency Planning and Notification	---
40 CFR 370	Hazardous Chemical Reporting: Community Right-to-Know	---
40 CFR 372	Toxic Chemical Release Reporting: Community Right-to-Know	---
40 CFR 401	Effluent Guidelines and Standards: General Provisions	---
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution	---
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards	---
40 CFR 503	Standards for the Use or Disposal of Sewage Sludge	---
40 CFR 58	Ambient Air Quality Surveillance	---
40 CFR 60	Standards of Performance for New Stationary Sources	---
40 CFR 61	National Emission Standards for Hazardous Air Pollutants	---
40 CFR 68	Chemical Accident Prevention Provisions	---
40 CFR 69	Special Exemptions from Requirements of the Clean Air Act	---
40 CFR 707	Chemical Imports and Exports	---
40 CFR 717	Records and Reports of Allegations that Chemical Substances Cause Significant Adverse Reactions to Health or the Environment	---
40 CFR 747	Metallworking Fluids	---

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions	---
40 CFR 763	Asbestos	---
40 CFR 82	Protection of Stratospheric Ozone	---
43 FR 4377	Radiation Protection Guidance to Federal Agencies for Diagnostic X-Rays	---
49 CFR 107	Hazardous Materials Program Procedures	---
49 CFR 171	General Information, Regulations and Definitions	---
49 CFR 172	Hazardous Materials Tables and Hazardous Materials Communications Regulations	---
49 CFR 173	Shippers - General Requirements for Shipments And Packagings	---
49 CFR 174	Carriage By Rail	---
49 CFR 177	Carriage By Public Highway	---
49 CFR 178 (Subpart K)	Specifications For Packagings	---
49 CFR 382	Controlled Substances and Alcohol Use And Testing	---
49 CFR 383	Commercial Driver's License Standards; Requirements and Penalties	---
49 CFR 385	Safety Fitness Procedures	---
49 CFR 386	Rules of Practice For Motor Carrier Safety and Hazardous Materials Proceedings -- Scope Of Rules, Definitions	---
49 CFR 387	Minimum Levels of Financial Responsibility for Motor Carriers	---
49 CFR 389	Rulemaking Procedures - Federal Motor Carrier Safety Regulations -- General	---
49 CFR 390	Federal Motor Carrier Safety Regulations; General	---
49 CFR 391	Qualifications Of Drivers	---
49 CFR 392	Driving of Motor Vehicles	---
49 CFR 393	Parts and Accessories Necessary for Safe Operation	---
49 CFR 395	Hours of Service of Drivers	---
49 CFR 396	Inspection, Repair, and Maintenance	---
49 CFR 397	Transportation of Hazardous Materials; Driving and Parking Rules	---
49 CFR 40	Procedures for Transportation Workplace Drug Testing Program -- General	---
50 CFR 17	Endangered and Threatened Wildlife and Plants	---
ADN-89-05-FF	Federal Facility Agreement for the Savannah River Site	8/16/1993
ANS-8.1-2014	Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors	4/15/2014
ANS-8.10-2015	Criteria for Nuclear Criticality Safety Controls in Operations with Shielding and Confinement	2/12/2015
ANS-8.12-1987	Nuclear Criticality Control and Safety of Homogeneous Plutonium-Uranium Fuel Mixtures Outside Reactors	9/11/1987
ANS-8.14-2004	Use of Soluble Neutron Absorbers in Nuclear Facilities Outside Reactors	5/25/2004

**SRNS Conformed Contract DE-AC09-08SR22470
Through Modification 1019 effective 3/2022**

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
ANS-8.15-2014	Nuclear Criticality Control of Special Actinide Elements	10/10/2014
ANS-8.17-2004	Criticality Safety Criteria for the Handling, Storage, and Transportation of LWR Fuel Outside Reactors	11/3/2004
ANS-8.19-2014	Administrative Practices for Nuclear Criticality Safety	7/28/2014
ANS-8.20-1991	Nuclear Criticality Safety Training	5/20/1991
ANS-8.21-1995	Use of Fixed Neutron Absorbers in Nuclear Facilities Outside Reactors	6/12/1995
ANS-8.22-1997	Nuclear Criticality Safety Based on Limiting and Controlling Moderators	10/31/1997
ANS-8.23-2019	Nuclear Criticality Accident Emergency Planning and Response	9/16/2019
ANS-8.24-2017	Validation of Neutron Transport Methods for Nuclear Criticality Safety Calculations	12/12/2017
ANS-8.26-2007	Criticality Safety Engineer Training and Qualification Program	6/20/2007
ANS-8.27-2015	Burnup Credit for LWR Fuel	11/10/2015
ANS-8.3-1997	Criticality Accident Alarm System	5/28/1997
ANS-8.6-1983	Safety in Conducting Subcritical Neutron-Multiplication Measurements in Situ	5/16/1983
ANS-8.7-1998	Nuclear Criticality Safety In The Storage Of Fissile Materials	12/2/1998
ANSI Z 358.1	Eye Wash and Shower Equipment, Emergency	1998
ANSI N323A - 1997	American National Standard: Radiation Protection Instrumentation Test and Calibration, Portable Survey Instruments	12/31/1997 1997
ANSI N42.18 - 1980	American National Standard: Specification and Performance of On-Site Instrumentation for Continuously Monitoring Radioactivity in Effluents	8/15/1980 91
ANSI N43.3 - 1993	American National Standard For General Radiation Safety - Installations Using Non-Medical X-Ray and Sealed Gamma-Ray Sources, Energies Up To 10 MeV	1/28/1993 93
ANSI/ASQ E4	Quality Systems For Environmental Data And Technology Programs -Requirements With Guidance For Use	2/4/2004
ANSI/HPS N43.2 - 2001	American National Standard: Radiation Safety for X-Ray Diffraction and Fluorescence Analysis Equipment	7/31/2001
ASME NQA-1-2008 w/2009 addenda	Quality Assurance Requirements for Nuclear Facility Applications	8/31/2009
Comm. Vehicle Safety Alliance	North American Uniform Vehicle Out-of-Service Criteria	4/1/1998 1
DOE LETTER OSQA-14-1113	Site Cancelled Directive Implementation Instructions (DII) Guidance	9/24/2014
DOE LETTER OSQA-14-0130	Delegation of Authority Having Jurisdiction and Facility Assessment Frequencies	9/30/2014
DOE/RW-0333P	Quality Assurance Requirements and Description for the Civilian Radioactive Waste Management Program	10/1/2008 20
DOEM435.1-1	Radioactive Waste Management Manual	1/1/2021 Chg.3 (LtdChg)
DOEM441.1-1*	Nuclear Material Packaging Manual	2/24/2016 Chg. 1

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
DOEM460.2-1A	Radioactive Material Transportation Practices Manual	6/4/2008
DOEO150.1A	Continuity Programs	3/31/2014
DOEO151.1D	Comprehensive Emergency Management System	10/4/2019 Chg 1
DOEO153.1	Departmental Radiological Emergency Response Assets	6/27/2007
DOEO210.2A	DOE Corporate Operating Experience Program	4/8/2011
DOEO225.1B	Accident Investigations	3/4/2011
DOEO226.1B	Implementation of Department of Energy Oversight Policy	4/25/2011
DOEO232.2A	Occurrence Reporting and Processing of Operations Information (with EM CRD Supplement)	10/4/2019 Chg.1
DOEO231.1B	Environment, Safety and Health Reporting	11/28/2012 Chg. 1
DOEO243.1B	Records Management Program	7/8/2013 Chg. 1
DOEO251.1D	Departmental Directives Program	11/8/2019 Chg. 1 (Admin Chg)
DOEO341.1A	Federal Employee Health Services	10/18/2007
DOEO414.1D	Quality Assurance	9/15/2020 Chg. 2 (Ltd Chg)
DOEO420.1B	Facility Safety	4/19/2010 Chg. 1
DOEO420.1C *	Facility Safety	11/14/2019 Chg. 3
DOEO422.1	Conduct of Operations	10/4/2019 Chg. 3
DOEO425.1D	Verification of Readiness to Start Up or Restart Nuclear Facilities	10/4/2019 Chg. 2
DOEO426.2	Personnel Selection, Training, Qualification, and Certification Requirements for DOE Nuclear Facilities	7/29/2013 Admin Chg. 1
DOEO430.1C-ESH	Real Property Asset Management (ESH)	10/4/2019 Chg 1
DOEO433.1B	Maintenance Management Program for DOE Nuclear Facilities.	3/12/2013 Admin Chg. 1
DOEO435.1	Radioactive Waste Management	1/11/2021 Chg.2 (Admin Chg)
DOEO436.1	Departmental Sustainability	5/2/2011
DOEO442.1B	Department of Energy Employee Concerns Program	1/31/2019

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
DOEO442.2	Differing Professional Opinions for Technical Issues Involving environmental, Safety and Health Concerns	10/4/2016 Chg. 1
DOEO443.1C	Protection of Human Research Subjects	11/26/2019
DOEO450.1A	Environmental Protection Program	6/4/2008
DOEO456.1A	The Safe Handling of Unbound Engineered Nanoparticles	7/15/2016
DOEO458.1	Radiation Protection of the Public and the Environment	9/15/2020 Chg.4 (LtdChg)
DOEO460.1D	Packaging and Transportation Safety	12/20/2016
DOEO460.2A	Departmental Materials Transportation and Packaging Management	12/22/2004
DOEO461.1C	Packaging and Transfer or Transportation of Materials of National Security Interest	10/4/2019 Change 1
DOEO461.2	Onsite Packaging and Transfer of Materials of National Security Interest	11/1/2010
DOEP450.4A	Integrated Safety Management Policy	1/18/2018 Chg1
DOE-STD-1098-99	Radiological Control	7/1/1999
DOE-STD-1186-2004	Specific Administrative Controls	8/1/2004
DOE-STD-3013-2012	Stabilization, Packaging and Storage of Plutonium-Bearing Materials	3/1/2012
EM-QA-001	Environmental Management Quality Assurance Program	6/11/2012 Rev 1
EPA QA/R-5	Requirements for Quality Assurance Project Plans	3/30/2001
EPA/540/R-93/071	Data Quality Objectives	9/1/1993
EPA/SW-846	Test Methods For Evaluating Solid Waste	7/1/1992
FMDP - QARD	Fissile Materials Disposition Program - Quality Assurance Requirements Document	1/1/1999
IBC	International Building Code	---
ISO 9002	Quality System - Model for Quality Assurance Production and Installation	12/1/1994
NNSA NAP-24A	Weapon Quality Policy	11/24/2015
NNSA SD 226.1C	NNSA Site Governance	10/1/2019
PSLM-021606	Primary Standards Laboratory Memorandum	2/16/2006
Public Law 104-113	National Technology Transfer and Advancement Act of 1995	---
Public Law 108-375	National Defense Authorization Act for FY 2005	---
Public Law 109-58	Energy Policy Act of 2005	8/8/2005
SC R.19-450	Permits for Construction in Navigable Waters	---
SC R.51	South Carolina Environmental Certification Board	---
SC R.61-101	Water Quality Certification	---
SC R.61-104	Hazardous Waste Management Locations Standards	---
SC R.61-105	Infectious Waste Management Regulations	---

Directive	ES&H REQUIREMENTS Title	Date Issued /Rev.
SC R.61-107	Solid Waste Management	---
SC R.61-30	Environmental Protection Fees	---
SC R.61-56	Individual Sewage Treatment and Disposal Systems	---
SC R.61-58	State Primary Drinking Water Regulations	---
SC R.61-62	Air Pollution Control Regulations and Standards	---
SC R.61-67	Standards For Wastewater Facility Construction	---
SC R.61-68	Water Classifications and Standards	---
SC R.61-69	Classified Waters	---
SC R.61-71	Well Standards	---
SC R.61-72	Procedures for Contested Cases	---
SC R.61-79	Hazardous Waste Management Regulations	---
SC R.61-81	State Environmental Laboratory Certification Program	---
SC R.61-82	Proper Closeout of Wastewater Treatment Facilities	---
SC R.61-86.1	Standards of Performance for Asbestos Projects	---
SC R.61-87	Underground Injection Control Regulations	---
SC R.61-9	Water Pollution Control Permits	---
SC R.61-92	Underground Storage Tank Control Regulations	---
SC R.72-300	Standards for Stormwater Management and Sediment Reduction	---
SC-Code, Title 40	Engineers and Land Surveyors	---
SCR.61-25	Retail Food Establishments	---

Directive	NON ES&H REQUIREMENTS Title	Date Issued /Rev.
DOEM142.2-1	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency	6/27/2013 Admin Chg 1
DOEM471.3-1	Manual for Identifying and Protecting Official Use Only Information	1/13/2011 Chg. 1
DOE O 140.1A	Interface with the Defense Nuclear Facilities Safety Board	6/15/2020
DOEO142.2A	Voluntary Offer Safeguards Agreement and Additional Protocol With the International Atomic Energy Agency	6/27/2013 Chg. 1
DOEO142.3B	Unclassified Foreign National Access Program	1/15/2021
DOEO144.1	Department of Energy American Indian Tribal Government Interactions and Policy	11/6/2009 Chg. 1
DOEO200.1A	Information Technology Management	1/13/2017 Chg. 1

Directive	NON ES&H REQUIREMENTS Title	Date Issued /Rev.
DOEO205.1B	Department of Energy Cyber Security Program	4/29/2014 Chg. 3
DOEO206.1	Department of Energy Privacy Program	11/1/2018 Chg. 1
DOEO206.2*	Identity, Credential, and Access Management (ICAM)	2/19/2013
DOEO221.1B	Reporting Fraud, Waste, And Abuse to the Office of Inspector General	9/27/2016
DOEO221.2A	Cooperation with the Office of Inspector General	2/25/2008
DOEO227.1A	Independent Oversight Program	1/21/2020 Chg 1
DOEO241.1B	Scientific and Technical Information Management	4/26/2016 Chg. 1
DOEO252.1A	Technical Standards Program	3/12/2013 Admin Chg. 1
DOEO350.1	Contractor Human Resource Management Programs	2/19/2020 Chg. 7
DOEO410.2	Management of Nuclear Materials	4/10/2014 Admin Chg 1
DOEO411.2	Scientific Integrity	1/4/2017
DOEO412.1A	Work Authorization System	4/21/2005
DOEO413.2C	Laboratory Directed Research And Development	8/2/2018 Chg 1
DOEO413.3B	Program And Project Management for the Acquisition of Capital Assets	1/12/2021 Chg. 6 (MinChg)
DOEO415.1	Information Technology Project Management	1/13/2017 Chg. 2
DOEO430.1C- NONESH	Real Property Asset Management	10/4/2019 Chg 1
DOE O 437.1	Bridge and Tunnel Management	12/11/2020
DOEO440.2C	Aviation Management And Safety	9/15/2020 Chg. 2
DOEO452.3	Management of the Department of Energy Nuclear Weapons Complex	6/8/2005
DOEO452.7	Protection of Use Control Vulnerabilities and Designs	5/14/2010
DOEO452.8	Control of Nuclear Weapon Data	7/21/2011
DOEO462.1	Import and Export Of Category 1 and 2 Radioactive Sources and Aggregated Quantities	7/10/2013 Chg. 1
DOEO470.3C	Design Basis Threat (DBT) Policy	9/9/2020 Chg.1 (LtdChg)
DOEO470.4B	Safeguards and Security Program	1/17/2017 Chg. 2 (MinChg)

Directive	NON ES&H REQUIREMENTS Title	Date Issued /Rev.
DOEO470.5	Insider Threat Program	6/2/2014
DOEO470.6*	Technical Security Program	1/11/2017 Chg. 1
DOEO471.1B	Identification and Protection of Unclassified Controlled Nuclear Information	3/1/2010
DOEO471.3	Identifying and Protecting Official Use Only Information	1/13/2011 Chg. 1
DOEO471.5	Special Access Programs	3/29/2011
DOEO471.6	Information Security	9/12/2019 Chg. 3
DOEO472.2	Personnel Security	7/9/2014 Chg. 2 (Pg Chg)
DOEO473.3A	Protection Program Operations	1/2/2018 Chg. 1
DOEO474.2	Nuclear Material Control and Accountability	9/13/2016 Chg. 4
DOEO475.1	Counterintelligence Program	12/10/2004
DOEO475.2B	Identifying Classified Information	10/3/2014
DOEO481.1E	Strategic Partnership Projects [Formerly Known as Work for Others (Non-Department of Energy Funded Work)]	12/13/2019 Chg 1 (Ltd Chg)
DOEO483.1B	DOE Cooperative Research and Development Agreements	12/13/2019 Chg 2 (Ltd Chg)
DOEO484.1	Reimbursable Work for Department of Homeland Security	6/30/2014 Chg. 2
DOEO486.1A	Foreign Government Sponsored or Affiliated Activities (MOD 944)	9/4/2020
DOE O 520.1B	Financial Management and Chief Financial Officer Responsibilities	1/7/2021
DOEO522.1A	Pricing of Departmental Materials and Services	8/2/2018
DOEO550.1	Official Travel	12/13/2019 Chg 1
DOE P 482.2	Laboratory Technology Transfer Data Collection and Management	1/11/2021
IBP-401	NWC Infrastructure Business Practice, Product Definition Exchange Process	11/30/2006 A
IBP-404	NWC Infrastructure Business Practice, Engineering Authorization System	12/17/2003 B
NNSA DPBPS R002	DPBPS Management	1/1/2017 C
NNSA DPBPS R005	New Material and Stockpile Evaluation Program	1/1/2018 B4
NNSA DPBPS R006	Implement Phase 6.X Process	1/1/2018 D

Directive	NON ES&H REQUIREMENTS Title	Date Issued /Rev.
NNSA DPBPS R013	Control Supply Chain	7/1/2017 A2
NNSA DPBPS R017	Anomaly Reporting and Investigation	7/1/2017 A5
NNSA DPBPS R019	Produce and Maintain	7/1/2017 A4
NNSA DPBPS R020	Phase 7 - Dismantlement	7/1/2017 A4
NNSA NAP 14.1-D	NNSA Baseline Cyber Security Program	12/14/2012
NNSA NAP-31	NNSA M&O Off-Site Extended Duty Assignments	11/22/2016
NNSA NAP-220.1	Internal Affairs Program	6/19/2018
NNSA NAP-412.1	Financial Integration	2/11/2019
NNSAM56XB	NNSA Development and Production Manual	1/1/2017 2
NNSA RMI-R001	Product Realization	5/9/2016 C2
NNSA RMI-R003	Product Definition Control	4/1/2017 B3
NNSA RMI-R007	DSW Program Funding within PPBE Process	10/1/2016 A6
NNSA RMI-R008	Portfolio-Program-Project Management	6/21/2016 A5
NNSA RMI-R009	Risk and Opportunity Management	10/1/2016 A6
NNSA RMI-R010	Enterprise Need To Know	4/1/2017 A6
NNSA RMI-R012	Requirements Engineering	10/1/2016 A8
NNSA SD 205.1*	Baseline Cybersecurity Program	7/6/2017
NNSA SD 206.1	Privacy Program	6/22/2018
NNSA SD 206.2*	Implementation of Personal Identity Verification for Uncleared Contractors	4/14/2018
NNSA SD 251.1A	Directives Management	1/17/2018
NNSA SD 415.1	Project Oversight for Information Technology (PO-IT)	9/3/2014
NNSA SD 452.3-1A	Defense Programs Business Process System	2/25/2016
NNSA SD 452.3-2	Phase 6.X Process	1/19/2017
NNSA SD 470.4-2	Enterprise Safeguards and Security Planning and Analysis Program	6/23/2018
NNSA SD 471.6	Operations Security	12/9/2019
PRS21301	NWC Standard For Qualification Of Digital Radiographic Imaging Techniques	2/15/2007 B

Directive	NON ES&H REQUIREMENTS Title	Date Issued /Rev.
SRM 440.21G	Savannah River Site Aviation Management and Safety Program Manual	2/4/2019
SRSPM 250.1.1F	Savannah River Site Policy Manual	9/4/2018
TBP-000	NWC Technical Business Practice, Program Management	3/31/2014 F
TBP-200	NWC Technical Business Practice, Product Identification And Traceability	3/31/2014 F
TBP-201	NWC Technical Business Practice, Weapon Identification Systems And Marking Criteria	9/18/2006 D
TBP-402	NWC Technical Business Practice, Product Control	3/31/2014 G
TBP-404	NWC Technical Business Practice, Engineering Authorization System	3/31/2014 G
TBP-500	NWC Technical Business Practice, Records Management	10/2/2000 D
TBP-700	NWC Technical Business Practice, Product Acceptance and Control Of Nonconformance	10/1/2015 G
TBP-701	NWC Technical Business Practice, Acceptance Equipment Interfaces	3/31/2014 E
TBP-702	NWC Technical Business Practice, Nonconforming Material	3/31/2014 G
TBP-703	NWC Technical Business Practice, Product Reprocessing And Reworking	6/1/2001 D
TBP-704	NWC Technical Business Practice, Evaluation And Disposition Of Discrepant Weapon Material	1/1/2016 F
TBP-800	NWC Technical Business Practice, Stockpile Management	7/1/2016 K
TBP-801	NWC Technical Business Practice, Laboratory And Flight Test Material	7/1/2016 G
TBP-804	NWC Technical Business Practice, Stockpile Support Material	10/1/2015 G
TBP-PRP	NWC Technical Business Practice, Product Realization Process	3/31/2014 F

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J - LIST OF ATTACHMENTS

APPENDIX F

SENSITIVE FOREIGN NATIONS CONTROL

In accordance with the clause in Section I entitled, DEAR 952.204-71 “Sensitive Foreign Nations Controls,” this Attachment sets forth the requirements the contractor shall comply with under this contract. (Reference DOE Order 142.3, or superseding directives.)

Foreign National access to DOE sites, programs, information and technologies will be approved provided the access is needed to support the program objectives of DOE and/or objectives of U.S. national interests.

1. Definitions

Assignee – A foreign national who has been approved to access a DOE site, information, or technology for a period of more than 30 consecutive calendar days.

Foreign National – A person born outside the jurisdiction of the United States, is a citizen of a foreign government, and has not been naturalized under U.S. law.

Host – The DOE or DOE contractor employee responsible for the day-to-day activities associated with the visit or assignment.

Indices Checks – A procedure whereby a request is made to appropriate U. S. Government agencies to determine whether information exists on a particular foreign national.

Legal Permanent Resident (LPR) – One who has the right to reside permanently and work in the United States. An LPR may also be known as a permanent resident alien or Green Card holder.

Nonsensitive Country National – A foreign national who was born in, is a citizen of, is employed by, or represents a government, company, organization, or institution that is located in a country not on the Sensitive Countries List or the Terrorist Countries List.

Security Plan – A security plan is required to address specific site security concerns relating to foreign national visits or assignments.

Sensitive Countries List – A list of countries to which particular consideration is given for policy reasons during the DOE internal review and approval process for visits and assignments by foreign nationals. Countries may appear on the list for national security, nuclear nonproliferation, or terrorism support reasons. Those countries follow:

Algeria
Armenia
Azerbaijan
Belarus
China (People's Republic of China)
Cuba - Terrorist
Georgia
India
Iran - Terrorist
Iraq
Israel
Kazakhstan
North Korea (Democratic People's Republic of) - Terrorist
Kyrgyzstan
Libya - Terrorist
Moldavia
Pakistan
Russia
Sudan - Terrorist
Syria - Terrorist
Taiwan (Republic of China)
Tajikistan
Turkmenistan
Ukraine
Uzbekistan

Sensitive Visit/Assignment – A visit/assignment will be considered sensitive if:

- Sensitive Country (Citizen or Birth)
- Sensitive Subject/Sensitive Areas
- Secured Facilities (Limited Area, Protected Area, Material Access Area or Exclusion Area)
- Represent a company, business, organization or institute from countries identified as sensitive.

Sensitive Country National – A foreign national who was born in, is a citizen of, or is employed by a government, employer, institution or organization, of a sensitive country.

Visit – Access by a foreign national for 30 calendar days or less.

2. Prior Approvals Relating to Foreign Nationals

- a. Foreign visits and assignments pertaining to DOE programs must be in accordance with DOE Order 142.3, or superseding directives and other DOE policies furnished in writing to the contractor. All visits and assignments must be approved in advance by the DOE Approval Authority.
- b. Sensitive visits or assignment requests must be submitted 45 days in advance in order to allow time for an indices check to be completed.
- c. Non-sensitive visits or assignment requests must be submitted 5 days in advance.

3. Reports Relating to Foreign Visits and Assignments

Host Report Requirements - To enable the approving official to evaluate the effectiveness of visits and assignments, and to assist in determining the desirability of future visits and assignments, host reports are required within 5 days of the completion of the visit or assignment.

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APPENDIX G

PERFORMANCE GUARANTEE AGREEMENT

The Performance Guarantee Agreement is required by the Section H provision entitled “Performance Guarantee.”

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APPENDIX H

GUIDANCE FOR PREPARATION OF DIVERSITY PLAN

With regard to the clause in Section I entitled, DEAR 970.5226-1 “Diversity Plan,” this Appendix provides guidance to assist the Contractor in understanding the information being sought by the Department for each of the clause’s Diversity elements. If the Contractor’s current policy or procedure already addresses the following elements, the Contractor need only provide a copy of the policy or procedure to the CO and identify the applicable policy or procedure and applicable page number(s).

Work Force

This Contract includes clauses on Equal Opportunity and Affirmative Action. The Contractor should discuss its policies and plans for implementation of these clauses in its operations. If the Contractor already has procedures in place, these should be discussed and copies provided.

Educational Outreach

The Contractor should outline or discuss any programs already provided, or which it intends to provide, which will provide employees an opportunity to improve their employment skills and opportunities. These programs could include: educational assistance allowance, provision for outside training programs either during or outside regular work hours, and executive training programs for non-executive employees. The Contractor should also discuss any plans to participate in any program supporting Historically Black Colleges and Universities, Hispanic Serving Institutions, and Native American Institutions.

Community Involvement and Outreach

An Offeror's proposal or this Contract may include a section dealing with community involvement and outreach activities. In that event, those sections may be cross-referenced and do not need to be repeated. Contractor community relation activities could include support for the following activities: support for science, mathematics and engineering education; support for community service organizations; assistance to Governmental and community service organizations and for equal opportunity activities; and community assistance in connection with work force reduction plans. The Contractor may provide support to these activities through direct sponsorship or making individual employees available to work with the specific community activity. The Contractor's Diversity Plan should discuss the Contractor's existing and planned activities promoting community involvement of its employees as well as the corporation.

Subcontracting

If appropriate to the Contractor, the Contract will contain FAR 52.219-9 "Small, Small Disadvantaged, and Woman Owned Small Business Subcontracting Plan" and other small business related clauses. The Contractor should briefly summarize its subcontracting plan. If the Contractor is participating, or plans to participate, in the Department's Mentor-Protégé Program, this involvement or planned involvement should be summarized. Information concerning its subcontracting plans already submitted and approved does not need to be redeveloped or renegotiated.

Economic Development (Including Technology Transfer)

Many of the Department's contracts include clauses dealing with technology transfer. Planning or activities developed under such clauses may apply to this element of the Contractor's Diversity Plan. Additionally, some of the subcontracting activities planned by the Contractor with small business, small disadvantaged businesses, or woman-owned small businesses may be entered into for the purpose of assisting the economic development of or transferring technology to such a business. The Contractor's Diversity Plan should outline and discuss its planned activities promoting economic diversification of the local community.

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APPENDIX I

GUIDANCE FOR PREPARATION OF EMPLOYEE CONCERNS PROGRAM (ECP) IMPLEMENTATION PLAN

This Guidance is to assist the Contractor in understanding the information being sought by the Department for each of the ECP Plan elements. The Plan should include innovative strategies for identifying and resolving employee issues effectively at the lowest level possible in a timely manner. The Plan should address, at a minimum, the Contractor's approach for promoting openness in communication and resolving employee issues through (1) educational outreach and training, (2) an effective concerns processing system, (3) a thorough and independent investigation process, (4) tracking implementation of corrective actions, (5) timely response to concerned employees, (6) integration of the ECP into the Contractor's Integrated Safety Management System (ISMS), (7) proactive use of Alternative Dispute Resolution (ADR), (8) zero tolerance for reprisal for raising any issue, and (9) an effective self assessment and evaluation process.

Educational Outreach and Training

The Contractor Plan should outline or discuss any programs already provided, or which it intends to provide, which will educate their workforce and those of their subcontractors on their rights and responsibilities regarding reporting all types of issues to their management and/or the ECP, the avenues available to all employees for raising concerns, emphasizing the proactive use of ADR, and the Department's policy on zero tolerance for reprisal for raising issues.

Effective Concerns Processing System

The Contractor's ECP Plan should discuss the Contractor's existing or planned processes for receiving and resolving employee concerns in accordance with DOE directives. The Plan should discuss overall perspectives on organizational location of the ECP function, staffing, establishment of procedures, and logistical considerations for the functioning of an effective ECP.

Thorough and Independent Investigation Process

The Contractor's ECP Plan should discuss the Contractor's existing or planned processes for investigating employee concerns in accordance with DOE directives, including proposed methods for investigating concerns by ECP staff, as well as identifying the types of concerns referred or transferred to other organizations for investigation and resolution. The Plan should discuss the methods used to ensure the independence of the ECP and maintaining the integrity of the Program as an independent evaluation process. The Plan should also discuss the types and levels of expertise relative to the investigation process necessary to implement an effective ECP.

Tracking Implementation of Corrective Actions

The Contractor's Plan should discuss methods the Contractor is currently using or intends to use to document and track the implementation of corrective actions resulting from substantiated employee concern investigations, to include an evaluation of the effectiveness of the actions in resolving the concern and preventing future recurrence of the identified problems.

Timely Response to Concerned Employees

The Plan should describe the methods the Contractor is currently using or intends to use to provide effective feedback to the concerned employees throughout the process, as well as in providing a final closeout to the individual.

Integration of the ECP into the Integrated Safety Management System (ISMS)

The Plan should discuss the integration of the ECP as part of the Contractor's ISMS, specifically describing the Contractor's philosophy on how the ECP contributes to the effectiveness of the ISMS.

Proactive Use of Alternative Dispute Resolution (ADR)

The Contractor's Plan should discuss the Contractor's existing or planned processes for incorporating the proactive, early use of ADR methods, including mediation, into their efforts to resolve employee concerns, in accordance with DOE directives. The Plan should also discuss the types and levels of expertise relative to ADR necessary to implement ADR as part of an effective ECP.

Zero Tolerance for Reprisal for Raising Issues

The Plan should discuss the methods the Contractor is currently using or intends to use to communicate and enforce DOE directives regarding zero tolerance for reprisal for raising all types of issues. The Plan should discuss the processes established to implement the provisions of Title 10, Code of Federal Regulations, Part 708 (10CFR 708), *Contractor Employee Protection Program*, and the reprisal prohibitions under Title 10, Code of Federal Regulation, Part 820 (10CFR820), *Procedural Rules for DOE Nuclear Activities*. The Plan should include discussion of methods of training employees and supervisors on the provisions of 10CFR708 and 10CFR820, as well as proactively resolving formal complaints filed under 10CFR708. The Plan should also include discussion regarding how to address the implications of violations of 10CFR708 or 10CFR820 as it relates to the Price-Anderson Amendments Act of 1988 (PAAA).

Effective Self Assessment and Evaluation Process

The Contractor ECP Plan should discuss the methods the Contractor is currently using or intends to use to implement an effective self assessment and evaluation process, in accordance with DOE directives, including DOE Order 226.1, *Implementation of DOE Oversight Policy*. The Plan should include discussion on methods to conduct tracking and trending analysis on concerns received and resolved, as well as actions to report that information to senior Contractor management.

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APPENDIX J

GUIDANCE FOR PREPARATION OF EQUAL OPPORTUNITY PROGRAM

With regard to the clause in Section I entitled, FAR 52.222-26 "Equal Opportunity," this Appendix provides guidance to assist the Contractor in understanding the Department's expectations in the area of workforce equal employment opportunity and diversity.

In addition to Executive Order 11246 and DOE Order 311.1B, DOE-SR contractors and subcontractors will strive to meet DOE-SR's expectations to be model employers in the area of workforce equal employment opportunity and diversity, and to provide policies, procedures, and assign responsibilities and authorities for the oversight of contractor equal employment opportunity and affirmative action at DOE facilities, as specified in applicable State and Federal laws and regulations.

DOE-SR contractors and subcontractors must ensure that all its personnel actions are "made free" of any discrimination based on race, color, religion, sex, national origin, age, disabling condition, reprisal or sexual orientation and that each of its contractors and subcontractors has "an affirmative program of equal employment opportunity" for all employees and applicants for employment. To this end, the contractors must maintain the essential elements of a Model Equal Employment Opportunity (EEO) Program as follows:

- Demonstrated commitment from company leadership;
- Integration of EEO into the company's strategic mission;
- Management and program accountability;
- Proactive prevention of unlawful discrimination;
- Efficiency; and
- Responsiveness and legal compliance.

(a) **Demonstrated Commitment from Contractor Leadership**

- (1) Contractor heads and other senior management officials are to demonstrate a firm commitment to equality of opportunity for all employees and applicants for employment. Even the best workplace policies and procedures will fail if they are not trusted, respected and vigorously enforced. The Contractor must translate equal opportunity into every day practice and make those principles a fundamental part of their company culture. This commitment to equal opportunity must be embraced by company leadership and communicated through the ranks from the top down. It is the responsibility of each company head to take such measures as may be necessary to

incorporate the principles of equal employment opportunity into the company's organizational structure.

- (2) To this end, the Contractor will adhere to all DOE policies governing EEO and a workplace free of discriminatory harassment.
- (b) Integration of EEO into the Company's Strategic Mission
- (1) Maintain a reporting structure that provides the company's EEO Director with regular access to the company head and other senior management officials for reporting on the effectiveness, efficiency and legal compliance of the company's equal employment opportunity and diversity programs.
 - (2) EEO Director be a direct report to the company head.
 - (3) Ensure EEO professionals are involved with, and consulted on, the management and deployment of human resources. The EEO Director should be a regular participant in senior staff meetings and regularly consulted on human resources issues.
 - (4) Allocate sufficient resources to create and/or maintain equal employment opportunity and diversity programs that: 1) identify and eliminate barriers that impair the ability of individuals to compete in the workplace because of race, national origin, sex or disability; 2) establish and maintain training and education programs designed to provide maximum opportunity for all employees to advance; and 3) ensure that unlawful discrimination in the workplace is promptly corrected and addressed.
 - (5) Attract, develop and retain EEO staff with the strategic competencies necessary to accomplish the company's EEO mission, and interface with company officials, managers and employees.
 - (6) Recruit, hire, develop and retain supervisors and managers who have effective managerial, communications and interpersonal skills. Provide managers and supervisors with appropriate classroom training and other resources to understand and successfully discharge their duties and responsibilities.
 - (7) Involve managers and employees in the implementation of the company's EEO and diversity programs.
 - (8) Use various media to distribute EEO information concerning EEO laws, regulations and requirements, rights, duties and responsibilities and to promote best workplace practices.
- (c) Management and Program Accountability
- (1) Conduct regular internal audits, on at least an annual basis, to assess the effectiveness and efficiency of EEO laws and regulations to ascertain whether the company has made

a good faith effort to identify and remove barriers to equality of opportunity in the workplace.

- (2) Establish procedures to prevent all forms of discrimination, including harassment, retaliation and failure to provide reasonable accommodation to qualified individuals with disabilities.
- (3) Evaluate managers and supervisors on efforts to ensure equality of opportunity for all employees.
- (4) Maintain clearly defined, well-communicated, consistently applied and fairly implemented personnel policies, selection and promotion procedures, evaluation procedures, rules of conduct and training systems.
- (5) Review each finding of discrimination to determine the appropriateness of taking disciplinary action against company officials involved in the matter. Track these decisions and report trends, issues and problems to company leadership for appropriate action.

(d) Proactive Prevention of Unlawful Discrimination

Contractors must conduct a self-assessment on at least an annual basis to monitor progress, identify areas where barriers may operate to exclude certain groups and develop strategic plans to eliminate identified barriers.

(e) Efficiency

- (1) Contractors must have an efficient and fair dispute resolution process and effective systems for evaluating the impact and effectiveness of their EEO programs.
- (2) Maintain an efficient, fair, and impartial complaint resolution process.
- (3) Establish and encourage the widespread use of a fair alternative dispute resolution (ADR) program that facilitates the early, effective, and efficient informal resolution of disputes.
- (4) Establish an internal complaint process to include suspense date that has been approved by DOE-SR. Develop a tracking and monitoring system that permits the company to identify the location, status, and length of time elapsed at each stage of the company's complaint process, the issues and the bases of the complaints, the aggrieved individuals/complainants, the involved management officials and other information necessary to analyze complaint activity and identify trends.
- (5) Identify, monitor and report significant trends reflected in complaint processing activity monthly to DOE-SR. Analysis of data relating to the nature and disposition of EEO complaints can provide useful insight into the extent to which an company is

meeting its obligations to EEO laws, regulations, executive orders and guidances.

- (6) Maintain a system that collects and maintains accurate information on the race, national origin, sex, and disability status of company employees.
 - (7) Maintain a system that tracks applicant flow data, which identifies applicants by race, national origin, sex, and disability status and the disposition of all applications.
 - (8) Maintain a tracking system of recruitment activities to permit analyses of these efforts in any examination of potential barriers to equality of opportunity.
 - (9) Identify and disseminate best workplace practices.
- (f) Responsiveness and Legal Compliance

Ensure that they are in full compliance with the laws, regulations, guidance's, orders and other written instructions.

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APPENDIX K

**SUPPLEMENTAL REQUIREMENTS TO
LAWS, REGULATIONS, AND DOE DIRECTIVES**

RESERVED

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APPENDIX L

DISCRETIONARY MANAGEMENT POSITIONS

TO BE COMPLETED AFTER AWARD

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APPENDIX M

GUIDANCE FOR PREPARATION OF THE TRANSITION PLAN

The successful Offeror shall submit a Transition Plan within one day after contract award that describes the process and details for providing an orderly transition during the contract's Transition Term as specified in the clause in Section F entitled "Term of Contract", and in accordance with the Transition Plan Requirements described in Item 1 - Transition Plan Requirements, below.

Within 10 days of contract award, the successful Offeror shall provide an estimate of the costs to perform the transition activities specified in the Contractor's Transition Plan and in accordance with the instructions set forth in Item 2 - Transition Cost Proposal. Upon submission of the Transition Cost Proposal, the proposed Transition activities, schedule, and estimated costs will be finalized with the Contractor and approved by the Contracting Officer prior to commencement of the Transition Plan activities.

1. Transition Plan Requirements

The Contractor is responsible for performing due diligence to ensure that all the transition activities are identified, negotiated, and completed during the Transition Term. The Contractor shall perform those activities that are necessary to transition the work from the incumbent contractor in a manner that (1) assures that all work for which the contractor is responsible under the contract is continued without disruption; (2) provides for an orderly transfer of resources responsibilities and accountability from the incumbent contractor; and (3) provides for the ability of the Contractor to perform the work in an efficient, effective, and safe manner. The Contractor is responsible for providing all necessary personnel and logistical support (office space, computers, telephone, etc.) during the transition period, unless specifically directed otherwise by the CO.

The purpose of this Transition Plan Requirements is to identify the major, high level, and minimum set of transition activities that are expected to be used to develop the Transition Plan and associated cost estimate of activities to be completed by the Contractor during the Transition Term. The objectives of the Transition Plan are to minimize the impacts on continuity of operations, identify key issues, and overcome barriers to transition.

After completion of the transition activities contained in the approved transition plan and such other transition activities as may be authorized or directed by the CO, the Contractor shall notify the CO in writing that it is ready to assume full responsibility for the work. The plan shall include a narrative and an implementation schedule of all major transition activities, and address, as a minimum:

- Identification of a transition team (inclusive of consultants and teaming members, if

any);

- Identification of key transition issues and milestones;
- Approach to minimizing impacts on continuity of operations;
- Interface process among the Contractor, incumbent contractors, site tenants, and DOE;
- Development or modification of controlling agreements as required;
- Assumption of SR programs and projects;
- Assumption of all ES&H responsibilities, functions, and activities;
- Integration of work packages (direct and indirect) and budgets from incumbent contractors;
- Comprehensive human resource management as described in the provision in Section H entitled, "Employee Compensation: Pay and Benefits";
- Joint reconciliation of the incumbent contractor's property inventory as described in the provision in Section H entitled, "Government-Owned Property and Equipment";
- Implementation of existing or proposed management systems (e.g., Project Management, Integrated Safety Management, General Electronic Data Processing, Budget and Planning, Purchasing Material, Compensation, Labor/Payroll, Indirect and Direct Costs, Property Management, Billing and Estimating);
- Dispute Resolution; and
- Identification and prioritization of issues after transition.

The Contractor's Transition Plan shall include a detailed staffing plan that shows the proposed labor hours by individual or labor category by major transition activity. The staffing plan shall include a brief narrative outlining the roles and responsibilities of the personnel assigned to manage and execute the Transition Plan, and the rationale for the selected skill mix and assumptions used to develop the proposed labor hour estimates. The staffing plan shall separately identify any significant transition related effort assumed to be provided by the incumbent or the government.

2. Transition Cost Proposal

The successful Offeror shall submit a Transition Cost estimate to the Contracting Officer for approval within 10 calendar days after contract award. The Transition Cost proposal shall include a summary by major cost element of the costs to perform the transition activities specified in the successful Offeror's Transition Plan and a narrative sufficient to explain the development and reasonableness of the proposed costs. Each cost element in (a) through (c) below shall be supported by a detailed exhibit or schedule that includes the following cost information, as applicable. Include all known or anticipated transition related costs in the Transition Cost proposal. Separately identify any significant transition related items that are provided at no cost from the Offeror, or assumed to be provided at no cost by the incumbent or the government. No fee shall be paid for transition activities.

Note: If a teaming arrangement is proposed, provide a cost summary of the total that clearly identifies by cost element, the portion of the cost proposal that pertains to each participant including subcontractors. In addition, each participant and each subcontractor must provide supporting cost information in the same format and level of detail as required of the Contractor under these cost instructions.

- (a) Labor Hours and Rates: Consistent with the successful Offeror's proposed Transition Plan, provide a staffing summary showing the proposed labor hours for each major transition activity by individual or labor category and explain the basis for the labor hour estimates. Identify base (unburdened) labor rates for each key personnel, named individual, or by labor category, and the source of the labor rates (e.g., Forward Pricing Rate Agreement, bidding rates, current actual rates, average category rates, commitment letters, or salary surveys). Separately identify and explain any salary premiums applied to the base salaries. If any of the proposed labor rates deviate from the actual rates currently paid to the named employee, then identify and explain. If labor escalation is applied, identify the escalation factor(s) and show how the escalation is applied to the base labor rates to arrive at the proposed escalated rates.
- (b) Indirect Rates and Costs: Show the proposed indirect rates for all applicable burdens and clearly demonstrate how the rates are applied to arrive at the proposed indirect costs. Identify the application base for each burden rate, and provide documentation regarding the basis for the proposed rates/factors (i.e., Government approved Forward Pricing Rate Agreement, bidding rates, budgets, trend analysis, historical experience, and/or relevant DCAA audits). Indirect costs, including allocable home office support costs, should be proposed in accordance with FAR Part 31, the Cost Accounting Standards, if applicable, and your current disclosed accounting practices and procedures. Note: For allocable Home Office costs incurred after the Transition period, refer to the clause in Section H entitled, "Home Office Expenses."
- (c) Materials, Equipment, Subcontracts, and Other Direct Costs: Provide an exhibit that summarizes proposed materials, equipment, services, transition office space/lease costs, travel, and other direct cost items relating to the transition effort. Provide a schedule showing each travel destination, number of trips, number of travel days per employee, air fares, car rental, hotel, meals, and other miscellaneous travel costs. Travel expenses will be subject to applicable FAR limitations unless the corporate policy is less.

For all other direct costs, materials, equipment and services, show the proposed quantity, unit price, and extended amounts, and provide the basis of estimate and supporting documentation used to determine the proposed costs/prices.

Note: The cost of relocating Key Personnel, whether incurred during or after the transition period, is to be included as a Key Personnel cost and not as a Transition cost.

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APPENDIX N

SRS INTERFACE MANAGEMENT PLAN

Letter SRNS-U1000-2014-00273 approved by MPD-15-004

**G-IMP-G-00001
Rev. 5
November 10, 2014**

1.0 Executive Summary

The United States (U.S.) Department of Energy Savannah River Operations Office (DOE-SR) expects all contractors performing work at the Savannah River Site (SRS) to reach agreement on the exchange of services, interface boundaries, and responsibilities. Savannah River Nuclear Solutions, LLC (SRNS) is responsible in accordance with the provisions of its DOE-SR Management and Operating (M&O) contract to: (1) provide specified site landlord services to DOE-EM (Environmental Management), DOE-NNSA (National Nuclear Security Administration) other site contractors and tenants, engaged in activities on SRS; and (2) to develop a disciplined process to be used to coordinate the provision of site services provided by SRNS to other SRS contractors and tenants. This SRS Interface Management Plan (IMP) documents the disciplined process that will be used to identify and control SRNS interfaces, authorize and document the provision of site services, and resolve interface issues. This IMP contains:

- Process used to manage and control SRNS interfaces
- Roles and responsibilities for interface management at SRS
- Hierarchy and types of interface agreements used by SRNS
- Interface Approach
- Decision and issue resolution process

2.0 Introduction

2.1 Purpose of the SRS Interface Management Plan

The prime contracts between DOE-EM and DOE-NNSA, and their contractors are the controlling documents for specifying contractual scope. Interagency and Cooperative agreements are the controlling documents between DOE and other federal and state agencies. SRNS will develop and approve agreements with other parties where the business and/or operating relationship are not based on a contractual relationship. The

purpose of the SRS IMP is to provide a structured and predictable process to be utilized by SRS contractors, federal agencies and tenants for interfacing with SRNS.

Any site contractor or tenant may use the defined interface management process as they define their interface arrangement with other site tenants or contractors but are not bound by this plan in those tenant to tenant arrangements.

2.2 Missions at SRS Supported by the IMP

SRS is dedicated to environmental management cleanup, developing and deploying technologies to support the cleanup mission, providing capability for supporting the enduring nuclear weapons stockpile, and processing and storing nuclear materials in support of U.S. nuclear non-proliferation efforts. The SRS IMP provides a disciplined approach to manage mission support by DOE-EM and DOE-NNSA contractors and federal agencies. Consistent with the Site Strategic Plan, the SRS IMP will also support future Environmental Stewardship, National Security, and Clean Energy initiatives and missions.

DOE's Office of Environmental Management (EM) is the landlord for the SRS, responsible for cleanup missions and the Savannah River National Laboratory (SRNL). The DOE-NNSA is responsible for supporting the nuclear weapons stockpile programs and nonproliferation activities on the Site. SRS mission areas include the following:

2.2.1 Environmental Management (EM) Closure Activities

EM closure activities include Soil and Water Remediation, Deactivation and Decommissioning, Solid Waste Management, and Nuclear Materials Management programs, projects, and facilities. SRS accepts surplus, non-pit plutonium, materials containing enriched uranium, and spent nuclear fuel from various locations. The materials will be, stabilized and dispositioned in H-Canyon, HB-Line, and future disposition facilities.

EM Liquid Waste activities include radioactive liquid waste receipt, treatment, storage, disposal, and closure programs, projects, and facilities. Activities include operation/closure of 49 underground waste storage tanks and associated evaporators and processing facilities in the F and H-Area Tank Farms, operation of the Defense Waste Processing Facility (DWPF) and Saltstone Facility, and construction and eventual operation of the Salt Waste Processing Facility (SWPF).

2.2.2 Savannah River National Laboratory (SRNL)

Savannah River National Laboratory puts science to work to create and deploy practical, high-value, cost-effective technology solutions. As the applied research and development laboratory at the U.S. Department of Energy's Savannah River

Site (SRS), SRNL supports customers at SRS, throughout DOE, at other federal agencies, across the country and around the world. The Laboratory serves the nation in three major program areas: Environmental Management, National & Homeland Security, and Energy Security. In addition, DOE has designated SRNL as the “corporate laboratory” for the DOE Office of Environmental Management. In this capacity, SRNL applies its unique expertise and applied technology capabilities to reduce technical uncertainties in order to assist sites across the DOE Complex in meeting cleanup requirements.

2.2.3 NNSA Activities

The DOE-NNSA Defense Programs mission at SRS includes providing operations and project oversight for the Tritium Facilities, and maintaining technical expertise in tritium operations, production, and engineering to support the national nuclear weapons stockpile. DOE-NNSA Nuclear Non-proliferation Programs include two facilities in various stages of design and construction that will disposition surplus weapons-grade plutonium at SRS. The Mixed Oxide Fuel Fabrication Facility (MFFF) will process plutonium oxide to form mixed oxide fuel suitable for use in commercial power reactors rendering it unusable for nuclear weapons. The Waste Solidification Building (WSB) will treat the liquid waste streams generated by MFFF and prepare them for offsite or onsite disposal. The WSB project is currently nearing CD-4 and will be put into cold layup operations until the MFFF project is complete. DOE-NNSA also supports the Radiological Assistance Program Regional Aerial Measuring System within DOE Region 3, which encompasses the states of Alabama, Florida, Georgia, North Carolina, and South Carolina.

2.2.4 Landlord and Site Support Services

Extensive landlord and site support services are provided by DOE-EM and DOE-NNSA Prime Contractors to support the SRS missions, public benefit, and activities that are in the best interest of the government. They include:

- 1) Environmental, Safety & Health programs such as Nuclear, Occupational, Industrial, and Construction Safety;
- 2) Safeguards, Security, & Emergency Services;
- 3) Engineering and Construction programs such as design services and conduct of engineering;
- 4) Infrastructure Support activities such as operation of utility services and infrastructure maintenance;
- 5) Business Services such as planning, project controls, procurement, human resources, and information management;
- 6) Site Security;

- 7) Natural resources and forest products management;
- 8) Cultural resources management;
- 9) Basic and applied ecological research, education activities, and outreach efforts.

3.0 Process Used to Manage and Control Site Interfaces

Central to the management and control of site interfaces is the Interface Management Team (IMT). The SRS IMT was chartered to oversee the establishment and maintenance of effective interfaces among SRS tenants. The IMT is chaired by SRNS and includes representatives from DOE-EM, DOE-NNSA, other Federal Agencies, and major site tenants. A current list of IMT members is maintained by SRNS on the Interface Management website.

Site level procedure 1B, 1.29, SRS Interface Agreement Development Process is utilized to facilitate the interface process for interfaces established after 7/1/2008. This procedure creates a consistent framework for developing interface agreements between the M&O Contractor and other SRS tenants.

SRNS realizes site interfaces will continue to evolve and change. The IMT and supporting procedure will help assure both current and new interfaces are handled in a predictable and efficient manner.

4.0 Roles and Responsibilities for Interface Management at SRS

SRNS – SRNS is responsible in accordance with its DOE-SR contract for providing specified landlord services to DOE-EM, DOE-NNSA, other site contractors and subcontractors, and site tenants and for providing a disciplined process for the exchange of site services. SRNS will develop and approve agreements with other Parties to document business and operating relationships. SRNS will organize and chair the SRS IMT for the benefit of all participants.

DOE-EM & DOE-NNSA – As the ultimate customers for all activities on the SRS site, DOE-EM and DOE-NNSA hold SRNS accountable for providing a disciplined process for the exchange of site services. DOE and NNSA will participate on and support the IMT.

Other SRS Prime Contractors/Tenants –SRS Prime Contractors provide services to DOE-EM, DOE-NNSA, and/or other site contractors and tenants in accordance with their prime contracts. They will enter into agreements with SRNS to document business and operating relationships, and will participate on and support the IMT. Each prime contractor will ensure the flow down of regulatory and contract requirements to their suppliers and subcontractors and will be responsible for any liability resulting from work performed by their suppliers and subcontractors in accordance with the provisions of their contracts.

Federal and State Agencies- Federal Agencies (USFS, US Army) and State Agencies (SREL) will operate in accordance with their interagency or cooperative agreement with DOE. They will

enter into agreements with SRNS to obtain services as defined by their interagency/cooperative agreement. As major tenants on the site they will participate in the IMT.

Requesting Party - The Requesting Party requests work to be performed by SRNS, the Performing Party. The Requesting Party shall provide appropriate specifications, requirements, hazard information, quality assurance, technical, safety, schedule and environmental requirements for the work to be performed.

(Includes SRS Prime Contractors/Tenants and Federal/State Agencies)

Performing Party – The Performing Party performs work at the request of the Requesting Party in accordance with the process set out in this IMP. Whenever the Requesting Party's requirements may conflict with or exceed the authorized scope of work of the Performing Party, the Performing Party shall consult with DOE-EM and/or DOE-NNSA, as appropriate, to determine proper work scope authorization methods and the path forward for proceeding.

Interface Management Team (IMT) – The IMT, chaired by the SRNS Site Interface Manager, is chartered to establish and maintain effective interfaces among SRS contractors, tenants and federal agencies. Standing membership will be offered to all SRS major prime contractors, tenants and federal agencies with ad hoc membership being offered to other SRS prime contractors in consultation with DOE-EM and DOE-NNSA, where appropriate. The charter is included in Site Level Procedures Manual 1-01, 6.48.

Parties- Parties as defined in this document include Federal/State Agencies, Site Contractors and Tenants.

5.0 Hierarchy and Types of Interface Agreements Used at SRS

The IMP provides a disciplined approach to documenting processes and agreements between SRNS and SRS contractors, tenants and external agencies. DOE Contract DE-AC09-08SR22470 specifies the Management and Operation (M&O) scope and services provided by SRNS to other site entities. A list of available services "Services Exchanged at SRS" is maintained by SRNS on the Interface Management website. The types of agreements utilized to exchange these services are as follows:

5.1 Memorandum of Agreement (MOA)

MOAs are the highest level agreements between SRNS and other Parties. An MOA shall be required whenever there is a need to define boundaries, exchange programs or landlord or support services, or cooperate in any way with other Parties. The MOA will describe the process SRNS and other Parties will use to define interfaces and develop mutual agreements to satisfy their respective DOE contracts/requirements.

MOAs are controlled documents that typically cover interfaces between SRNS and another Party. MOAs define programmatic interfaces such as integrated safety management, safety oversight, remote workers, and the flow-down of safety requirements to lower-tier subcontractors. They may also describe roles and responsibilities for

emergency response, occurrence reporting, physical security, SRS access, law enforcement, property management, excess property disposition, pricing and payment for exchanged services, order of precedence, issue resolution, and agreement modification.

MOAs do not authorize work, provide work instruction, or provide funding. They do not replace or supersede laws and regulations, DOE Prime Contracts, or DOE Contracting Officer direction. MOAs are typically approved by the senior manager of each Party. DOE-EM and/or DOE-NNSA may request to review and concur with the MOAs.

Each Party shall appoint a single point of contact (POC) to coordinate the MOA. The MOA, and contact information for the POCs, will be maintained by the SRNS Interface Management Office available via the Interface Management Web Page.

The Parties to each MOA shall review the agreement within a period not to exceed two years and update it as necessary.

The suggested form and content of a MOA is defined in SRNS Procedure Manual 1B, Procedure 1.29, and SRS Interface Agreement Development Process.

Note: A MOA written prior to 7/1/2008 is not required to conform to the 1.29 process.

5.2 Functional Service Agreements (FSA)

Work performed within the MOA which is funded to the Performing Party from DOE, will be identified in Functional Service Agreements (FSAs) that describe work by functional area. The FSAs are individual documents which are referenced as Appendices to the MOA. FSAs shall be managed and controlled by the FSA POCs (or their functional designees). Consequently, FSAs may be revised, as mutually agreed upon by the FSA POCs (or their functional designees). The Parties to each FSA shall review the agreement within a period not to exceed two years and update it as necessary.

The FSA POCs will be responsible for coordinating all aspects of the FSA for their respective company, including approving and revising the FSA.

For FSA Support Services and Landlord Services, the individual FSA shall describe applicable interfaces and procedural requirements under which the respective work shall be performed.

The form, content and roles & responsibilities of development and management of an FSA are defined in SRNS Procedure Manual 1B, Procedure 1.29, and SRS Interface Agreement Development Process.

Note: Parties working to a MOA written prior to 7/1/2008 are not required to conform to the 1.29 process.

5.3 Service Level Agreements (SLA)

Service Level Agreements define the scope of work, performance requirements, and costs for work that is funded by the Requesting Party. Work is controlled and reported on at a SLA task level. SLAs will normally be written to authorize specific scopes. Funding is authorized via approval of the SLA by both parties.

The form, content and roles & responsibilities of development and management of SLAs are defined in SRNS Procedure Manual 1B, Procedure 1.29, and SRS Interface Agreement Development Process.

These documents are currently used with the SWPF, LW and Ameresco Biomass Facility

Note: Parties working to a MOA written prior to 7/1/2008 are not required to conform to the 1.29 process.

5.4 Work For Other Agreements

A formal agreement between the SRNS and a non-federal contractor or Federal Agency that contains a scope, schedule costs, provisions for advance payments, and terms and conditions under which work will be performed, which becomes an attachment to the DOE authorization for services.

The form, content and roles & responsibilities of development and management of WFOs are defined in SRNS Procedure Manual 1B, Procedure 1.13, "Work For Others"

5.5 Interface Control Documents (ICD)

ICDs typically define physical boundaries between two tenants. In some cases they have been utilized to define service support interfaces. ICDs are recommended for use on Projects where there are significant physical boundary interfaces that are not easily documented in an FSA interface boundary section.

These documents are currently used by the SWPF thru SRR, and MFFF projects.

5.6 Work Task Agreements (WTA)

WTAs define service scope, schedule, costs and any particular interface requirements. Approval of the WTA authorizes the performance of work.

WTAs are grandfathered for use by the MFFF project, in lieu of SLAs and FSAs.

5.7 Financial Position Paper (FPP)

FPPs define the financial approach to exchange of services between SRNS and other parties.

The FPPs, are individual documents which are referenced as Appendices to the MOA.

Note: For many tenants the FPP information has been integrated into the MOA.

6.0 Interface Approach

In executing its responsibilities to other SRS contractors and tenants SRNS will:

- Provide timely support services to other SRS tenants that meet agreed performance requirements
- Manage the Landlord Services scope for the SRS
- Use information supplied by other SRS tenants to plan and budget for any services requested
- Support other SRS tenants based on the availability of resources
- Coordinate and integrate SRNS services needed by other SRS tenants to ensure adherence to established schedules and baselines by all contractors

Other SRS contractors and tenants are responsible for cooperating in this process by:

- Identifying requirements and changes to requirements in a timely manner using site processes and procedures
- Negotiating in good faith with all parties to reach agreement on an MOA, on forecasting and supplying services, and documenting interfaces

Agreements with onsite contractors and tenant entities are available via the SRS Interface Management Web Page.

Key aspects of the SRS interface management structure/organization as envisioned by SRNS to effectively integrate the site are as follows:

- Oversight by the SRS Interface Management Team (IMT) chaired by the SRNS Site Interface Manager. Standing membership will include all SRS major prime contractors with ad hoc membership being offered to other SRS prime contractors in consultation with DOE-EM and DOE-NNSA, where appropriate.
- Membership is limited to senior personnel from the member contractors to ensure the highest visibility of issues and their timely resolution
- IMT proceedings focus on extensive cross-functional collaboration and customer involvement. Cooperation and achieving successful outcomes for all SRS contractors executing SRS work scope are the guiding principles.

- DOE-EM and DOE-NNSA retain open/standing IMT memberships to ensure site-wide issues or concerns, by either organization, can be quickly communicated and addressed by the IMT
- The IMT operates per its Charter which is available on the Interface Management Web Page
- The IMT focuses on two-way information flow through various multi-tenant topical focused teams and supports the SRS President's Forum that is chaired by DOE EM Manager and the M&O contractor President. The SRS President's Forum attendees include all SRS tenant Presidents or equivalents.

The services provided by SRNS to other site contractors and tenants are documented within each set of Interface Documents.

7.0 Decision and Issue Resolution Process

The Parties to interface agreements agree to resolve any interface issues as follows:

1. The Parties shall first attempt to resolve informally the issues at the lowest levels (i.e. at the POC, Service Providers, or Department Manager level) if possible.
2. If the Parties are not able to resolve the issue, the issue shall be documented and any applicable interface document will be cited. The documentation of the issue will be forwarded to the interface managers for each party. The interface managers and appropriate contractor personnel will meet within ten business days to resolve the issue.
3. If the Parties' Interface Managers are unable to resolve the issue, it shall be escalated to the Parties' respective presidents (or designated manager).
4. If the Parties' presidents are unable to resolve the issue, the Parties shall submit their respective statements of dispute to both Parties' DOE Contracting Officers. The Parties agree that their respective DOE Contracting Officers' determination shall be final.

In the event of any inconsistency, lack of clear direction, ambiguity, or other conflict between SRS contractors or site tenants, the following order of precedence shall apply:

- (i) Laws and Regulations
- (ii) Prime Contract
- (iii) DOE Contracting Officer direction
- (iv) MOA
- (v) FSA, SLA, WTA, ICD, Financial Paper

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J – LIST OF ATTACHMENTS

APPENDIX O

**ANNEX ON INFORMATION AND INTELLECTUAL PROPERTY UNDER THE
AGREEMENT ON THE ESTABLISHMENT OF THE ITER INTERNATIONAL FUSION
ENERGY ORGANIZATION FOR THE JOINT IMPLEMENTATION OF THE ITER
PROJECT**

See the ITER Agreement in the Contract File (Modification 065)

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J – LIST OF ATTACHMENTS

APPENDIX P (Mods 374, 427, 472 579, 692, 834, and 1019)

Savannah River Nuclear Solutions
Contracting Officer Representatives (CORs)
Contract No. DE-AC09-08SR22470

COR NAME	TYPE OF COR	AREA OF AUTHORITY	DATE OF DESIGNATION	COR'S DOE POSITION
Jason A. Armstrong	Special Area COR NNSA Only	SRFO Field Office Mgr. NNSA Only	04/30/2021	Field Office Mgr. NNSA SRFO
Robert K. Leugemors	Special Area COR NNSA Only	SRAPMO Capital Projects NNSA Only -	09/04/2019	Technical Director, NNSA Only - SRAPMO Capital Projects
Scott C. Cannon	Special Area COR NNSA Only	SRAPMO Capital Projects NNSA Only	10/17/2011	SRAPMO Management Office, Federal Project Director, NNSA, NA- APM-1.4
J. Kevin Buchanan	Special Area COR NNSA Only	MOX Termination/Transition, NNSA Only	3/16/19	MOX Project Management Office, Senior Technical Manager
William D. Clark, Jr.	Special Area COR	EM for Waste Disposition Projects Only	03/04/2020	Deputy Asst. Mgr. for Waste Disposition Project
Allison A. Blackmon	Special Area COR NNSA Only	NNSA only – Non-Proliferation	10/11/2017	Program Manager, Material Management and Minimization NA- 23
M. Roxanne Jump	Special Area COR NNSA Only	NNSA Only – NNSA SRFO	07/09/2009	NNSA SRFO Asst Manager Facilities and Projects
Cynthia Brizes	Special Area COR NNSA Only	SRAPMO Capital Projects, NNSA Only	03/06/19	SRAPMO Capital Projects, Federal Project Director, NNSA
Stanley C. Pyram	Special Area COR NNSA Only	Surplus Plutonium Disposition Project NNSA Only-	08/05/2020	Deputy Federal Project Director, NNSA, Surplus Plutonium Disposition Project
James L. Folk	Special Area COR	EM for Waste Disposition Projects Only	03/25/2009	Asst. Mgr. for Waste Disposition Project
Angela D. Holmes	Special Area COR	EM Infrastructure and Environmental Stewardship Project issues Only	11/22/2013	Deputy Asst. Mgr. Infrastructure and Environmental Stewardship Project
Ron Bartholomew	Special Area COR	EM OSSES issues only- Safeguards, Security & Emergency Services, Management	09/26/2011	Director, Safeguards, Security & Emergency Services,

**SRNS Conformed Contract DE-AC09-08SR22470
Through Modification 1019 effective 3/2022**

Clarissa W. Kuhl	Special Area COR	EM OSSES issues only- Safeguards, Security & Emergency Services, Management	08/05/2020	Federal Project Director, OSSES, Savannah River Site Security Replacement Project
Samuel B. Brantley, Jr.	Special Area COR	SRNS Property Manager issues only	10/25/2018	Property Management Specialist
Lucy Knowles	Special Area COR	EM Site Chief Counsel for legal issues only	06/26/2013	Chief Counsel
Pamela A. Marks	Special Area COR	EM Nuclear Material Stabilization Projects only	02/14/2022	Asst. Mgr. for Nuclear Stabilization Project
Jeffrey J. Galan	Special Area COR NNSA Only	NNSA Non-Proliferation Programs NNSA Only	10/31/2019	NNSA, Program Manager Non- Proliferation Programs
Lewann M. Belton	Special Area COR	EM Cyber and Information Technology	02/02/2017	Director, Cyber and Information Technology Division
John T. Catledge	Special Area COR NNSA Only	NNSA Surplus Plutonium Disposition Project NNSA Only -	03/26/19	Deputy Federal Project Director for Surplus Plutonium Disposition Project
Jeffrey M. Allison	Special Area COR NNSA Only	NNSA SRFO NNSA Only	04/22/19	Deputy Manager, NNSA SRFO
Brent J. Gutierrez	Special Area COR	EM Office of Safety and Quality Assurance	05/20/19	Office of Safety and Quality Assurance

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J – LIST OF ATTACHMENTS

APPENDIX Q (Mod 910, 965, 967, 1003)

Advance Agreement Related to Impacts Resulting from Partial Stop Work Order
(nonportable work only) associated with the coronavirus epidemic impacts at Savannah
River Site.

SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC

CONTRACT NO. DE-AC09-08SR22470

**Advance Agreement
Per FAR 31.109**

**Related to Impacts Resulting From
Partial Stop Work Order (nonportable work only) associated with
COVID-19 epidemic impacts at the Department of Energy Savannah
River Operations Office Management and Operating Contract**

**CO Letter CMD-20-122 (Rev 1)
Dated 04-03-2020**

Savannah River Nuclear Solutions, LLC
Advance Agreement
COVID-19 Partial Stop Work Order

References:

1. CO letter dated March 24, 2020, CMD-20-122, Partial Stop Work Order (non-portable work only)
2. CO letter dated April 3, 2020, CMD-20-122 (Rev 1), Partial Stop Work Order (non-portable work only) Transition to Essential Mission Critical Operations and Continuity Essential Personnel
3. CO letter dated March 24, 2020, CMD-20-123, Paid Time off Guidance
4. Office of Management and Budget, Executive Office of the President memo dated March 20, 2020

1.0 INTRODUCTION

As directed by References 1 and 2 and in compliance with References 2 and 3 and Prime Contract No. DE-AC09-08SR22470, the parties agree as set forth herein in accordance with FAR 31.109 regarding impacts resulting from the COVID-19 Pandemic. Savannah River Nuclear Solutions, LLC (herein after referred to as SRNS) shall maintain continuity of the current workforce, including subcontractors critical to the programs continuity as directed by Reference 1 during the COVID-19 pandemic period to ensure availability of critical skills for mission essential operations and continued state of readiness to minimize re-mobilization impacts.

This Agreement describes Cost Allowability for the impact resulting from the COVID-19 pandemic. It does not supersede SRNS Advance Understanding of Human Resources Costs regarding employee compensation for items including, but not limited to, employee benefits, disability and workers compensation. These temporary adjustments are necessary to provide protections and guidance intended by the Coronavirus Aid, Relief, and Economic Security Act (the "CARES Act"), Section 3610.

2.0 PURPOSE

The purpose of this Advance Agreement is to establish bilateral agreement of the elements of cost that, when incurred in support of the references 1 and 2 partial Stop Work Orders, are reasonable, allocable, and allowable under the Contract, in accordance with FAR 31.109. This Agreement is subject to applicable provisions of the Prime Contract.

3.0 AGREEMENT

The parties agree that the purpose of this Advance Agreement on costs is to provide clarity, consistency, and stability during a time of national crisis. It is intended that this agreement capture costs that can be reasonably anticipated at this time as a result of the partial Stop Work Orders, to the extent that they can be determined at this time. It is recognized by the parties that this Advance Agreement may need to be amended due to the evolving nature of this situation. However, this Advance Agreement will not prohibit the payment by DOE of costs incurred by the Contractor that are not anticipated, or are in excess of those anticipated costs, provided they are otherwise reasonable, allowable and allocable in accordance with FAR Part 31.2 and DEAR Part 970.31.

This Advance Agreement will be executed by both parties and incorporated into the Contract. In accordance with FAR 52.242-15, the Contractor reserves its right to seek resulting adjustments in cost, fee, and schedule. This Advance Agreement is based upon FAR 52.242-15 and is not the result of the conditions described in DEAR 970-5223-1.

4.0 NO THIRD-PARTY BENEFICIARIES

This Advance Agreement is for the exclusive benefit and convenience of DOE and SRNS (SRNS). Nothing herein contained will be construed as conferring any right or benefit upon past, present or future employees of SRNS, or upon any third party.

5.0 PAY POLICIES FOR CONTRACTORS AND DESIGNATED SUBCONTRACTOR EMPLOYEES

Due to the issuance of Reference 1 and other associated partial stop work orders relating to the COVID-19 Pandemic, the following pay policies will apply consistent with the contents therein until SRNS receives Contracting Officer direction to return SRNS pay practices to those in place prior to the issuance of Reference 1 and other associated partial stop work orders related to the COVID-19 Pandemic:

SRNS employees:

- 1) Employees covered under the pay policies to include Weather and Safety Leave (WSL) will include limited service employees (LSEs), Craft and Corporate Reachback/Affiliates. WSL will be used to maintain continuity in the existing workforce and specifically to address non-portable work and idle time as intended by the CARES Act, Section 3610. Corporate Reachback and Affiliates must be assigned full time and physically working at SRS at the time of the partial Stop Work Order.
- 2) Employees required to work at the Department of Energy Savannah River Operations Office Management and Operating Contract to maintain mission essential operations will be paid in accordance with the current SRNS pay policies.
- 3) Employees eligible for full-time or part-time telework will be compensated in accordance with the SRNS Telework Policy as if they were working at their normal workstation. When project-related work is unavailable to teleworkers during all or a portion of the normal workday, time shall be charged to Weather Safety Leave.
- 4) Employees not eligible to telework due to their normal work not being portable to a telework arrangement will be compensated without loss of or reduction, will be charged to WSL, and will not exceed an average of 40 hours or their normal scheduled work week.

Designated Subcontractors and Designated Subcontractor employees:

Designated Subcontractors shall be identified as follows: SRNS, in consultation with the applicable DOE-SR Federal Project Director or Contracting Officer Representative, will establish if a SRNS scope is non-critical/non-mission essential or critical/mission essential. Subcontractors supporting the critical/mission essential scope shall be requested to remain in a state of readiness to resume full activities following direction from their respective SRNS subcontract administrator.

Subcontracts supporting those scopes deemed to be non-critical/non-mission essential will be directed to Stop Work. As a result of this temporary suspension of work, SRNS may incur additional allowable costs to resume these work activities. These additional costs are anticipated to be addressed through the appropriate terms of the applicable subcontract(s).

Designated Subcontractor employees are those subcontractor employees who were assigned full time and physically working at SRS as part of a staff augmentation, support service or basic ordering agreement type contract at the time of the partial Stop Work Order and part of a critical/mission essential subcontract.

All Designated Subcontractor employees will be paid, by their employer, in accordance with the current subcontract pay policies as noted below.

- 1) Designated Subcontractor employees required to work at the Department of Energy Savannah River Operations Office Management and Operating Contract to maintain essential mission critical operations will be paid, by their employer, in accordance with the current Subcontract Terms and Conditions.
- 2) Designated Subcontractor employees eligible for full-time or part-time telework will be compensated, by their employer, as if they were working at their normal workstation and schedule. In the event project related work is unavailable during all or a portion of their normal workday, time will be charged to WSL. Designated Subcontractors will invoice SRNS for all telework and the above COVID-19 related hours as worktime. SRNS will continue timely invoice payment during this partial Stop Work Order.
- 3) Designated Subcontractor employees not eligible to telework due to the nature of their position will be compensated by their employer. This time shall be charged to WSL. Designated Subcontractors will invoice SRNS these hours in accordance with the requirements of the CARES Act, Section 3610. SRNS will continue timely invoice payment during this partial Stop Work Order.
- 4) Designated Subcontractor employees, primarily those operating under contracts for Staff Augmentation (Staff Aug), are expected to maximize telework to the extent practical, like SRNS employees. Designated Subcontractors will be issued changes to the appropriate contractual vehicle to authorize them to invoice impacted employees for idle time under a Stop Work change order in accordance with the CARES Act, Section 3610. When project-related work is unavailable to these Designated Subcontractor employees during all or a portion of the normal workday, time shall be charged to WSL. Designated Subcontractors will invoice SRNS for all telework and the above COVID-19 related hours in accordance with the CARES Act, Section 3610. SRNS will continue timely invoice payment during this partial Stop Work Order.
- 5) Excluded from the above approach are select fixed price and/or similarly contracted Designated Subcontractors. Such excluded Designated Subcontractors will communicate to employees that any idle workers (i) will be reimbursed for idle time in accordance with the CARES Act, Section 3610, (ii) will remain in a mobile ready state, and (iii) should not also receive reimbursement from another Federal or State funding source (e.g., unemployment, other Federal agency, etc.). The costs associated with maintaining this state of readiness will be addressed through the appropriate terms of the applicable subcontract(s).

COMMUNITY COMMITMENT

In accordance with Contract Section I.149, DEAR 970.5226-3, Community Commitment, if requested, SRNS will provide all available government property to support state and local government needs relative to the COVID-19 Pandemic. SRNS may also make individual employees available to work with or for governmental, quasi-governmental, and other

organizations in the Central Savannah River Area toward achieving civic needs. SRNS will not distribute Personal Protective Equipment (PPE) without approval of the DOE PPE Coordination Team.

ADDITIONAL COST ALLOWABILITY CONSIDERATIONS

- a) SRNS will continue normal charging practices in accordance with its disclosed accounting practices.

SRNS established charging mechanisms to allow segregation and reporting of incremental costs associated with the COVID-19 pandemic. In accordance with its disclosed accounting practices, costs associated with COVID-19 will be charged to the Prime Contract against the appropriate B&R if deemed direct and against the appropriate indirect pool if deemed indirect (i.e. cost will benefit multiple cost objectives). These costs are segregated in a manner that allows costs to be captured in the event future direction is received. SRNS will account for cost in accordance with its disclosed accounting practices, unless otherwise directed by the Contracting Officer. While SRNS will make a reasonable effort to segregate costs associated with COVID-19, SRNS may determine costs incurred, due to a reprioritization of resources which do not result in incremental costs, are not administratively feasible to segregate.

- b) Prime Contract will invoice in accordance with its disclosed accounting practices.

Costs incurred, which are associated with COVID-19, will be paid via letter of credit in accordance with its disclosed accounting practices.

- c) SRNS will make best efforts where administratively feasible to distinguish between general costs of COVID-19 pandemic and incremental partial Stop Work Order costs.

SRNS will put forth its best effort, when administratively reasonable, to segregate incremental costs associated with COVID-19 including those associated with the partial stop work order. This segregation will include the delineation of incremental costs specific to the partial Stop Work Order versus general COVID-19 incremental costs not incurred directly as a result of the partial Stop Work Order.

- d) SRNS burn rate will be similar to past three (3) months.

Initial analysis indicates the overall cost incurred will remain fairly consistent as we progress through the COVID-19 partial Stop Work Order. SRNS expects decreases due to the partial Stop Work Order to be offset by incremental COVID-19 related expenses. Similarly, labor cost will shift from on-site work to telework and Weather & Safety Leave categories.

- e) Monthly GSA/Leased vehicles and rental equipment will not meet utilization targets.

Due to scope reductions and maximizing telework, leases and rental will not meet previously agreed to utilization rates expected during full operations. While usage will not satisfy justifications originally assumed when the initial decision was made to acquire the property, it may not be worthwhile to pay early termination and costs associated with reacquiring the equipment. The DOE-SR Asset Management Team provided written confirmation that a temporary waiver to relieve SRNS of the 94% utilization goal has been granted. Due to this uncertain period, costs associated with these types of expenses should be considered reasonable and allowable. This decreased utilization will not adversely impact any subjective assessments or audits.

- f) Incremental health care costs may increase.

Future health costs may increase as a result of this disruption to the medical supply chain, corollary medical needs participants may incur, or increased actual costs in the event the COVID-19 outbreak affects SRS population in the future. SRNS is unable to quantify a potential impact at this time but anticipates health care costs will increase compared to current forecasts.

g) Potential for increased payroll taxes and other government assessments.

Without knowledge of how the US Government might distribute the burden of this global pandemic, it is possible payroll or other taxes and assessments may change in the future. Tax and assessment related costs normally covered under the Contract may increase and should be considered reasonable and allowable.

h) SRNS and Subcontractor Telework employees will charge some time to WSL.

Per letter SRNS-F2000-2020-00102, SRNS developed guidelines to be consistent with the DOE direction to maintain mission essential work, maximize telework, and limit WSL (WSL) to employees not able to telework or not needed to support the approved Situational Essential work scope. To gain maximum value from the work force, employees whose work assignments cannot support fulltime teleworking or are not needed full time to support mission essential operations, are eligible for WSL for hours where productive work is not available. A table summarizing the various employee situations is below:

Employee Situation	On-Site	Off-site	Non-worked hours
Full time Essential	Speedchart for work performed	PTO	PTO
Part-time Essential; No Telework	Speedchart for work performed	WSL	WSL
Full/part time telework	Speedchart for work performed	Speedchart for work performed	WSL/PTO
No telework	Speedchart for work performed	WSL	WSL
Sent home by medical	N/A	Speedchart for work performed if telework available	WSL

i) SRNS may incur cancellation fees for business travel.

Costs associated with changing or cancelling business travel (to include but not limited to hotel cancellations fees, air travel change fees/cancellation fees, rental car cancellation fees, etc.) due to COVID-19 impacts would be allowable provided the original trip was allowable.

j) SRNS will be reimbursed for retroactive costs from March 9, 2020 related to COVID-19.

Per letter SRNS-F2000-2020-00101, SRNS requested DOE approval to transfer certain hours incurred by SRNS employees who were directed to quarantine due to COVID-19 circumstances by the SRNS Medical Director prior to the receipt of Contracting Officer letter CMD-20-123. SRNS employees were directed to quarantine and not report to work in accordance with DOE travel guidance provided by the Contracting Officer on March 9, 2020 based upon the medical diagnosis of illness symptoms, close contact with a symptomatic individual, or recent

travel elevating the risk of exposure. SRNS will move the hours to WSL and return hours or lost wages to the employees.

- k) SRNS will incur increased Information and Technology (IT) cost to enable telework for site personnel.

With the direction to maximize telework, additional hardware, software and IT services are required to enhance Citrix, VPN capability, teleconference, video conference and mobility in general:

- 1) Additional software license and maintenance costs include but are not limited to AnyConnect (VPN), Webex, and Airwatch, Symantec Endpoint Encryption, Teleconference System Seats, RSA SecurID Tokens, and Web Application Firewalls that are part of the SRS mobility delivery architecture.
- 2) Additional hardware is required including laptops, VPN and Citrix infrastructure. Additional ASA Appliances for the VPN Cluster will be procured. Hard and Soft SecurID tokens are required along with Citrix Host Servers and Software. Additional costs are incurred for the Barracuda Web Application Firewall 660 and ASA Appliances to support the unique Centerra requirements for VPN connectivity.
- 3) Incremental services are required to support increased teleconference demands placed on site telephone connectivity and incremental service labor to support the exponential increase in distribution of tokens and accounts associated with enhanced telework. Telephone connectivity required enhancement by purchasing additional PRI services.

SRNS will respond to direction from DOE-SR CIO for resources to support SRS missions. These costs are segregated in a manner that allow costs to be captured in the event future direction is received. SRNS will account for cost in accordance with SRNS's disclosed accounting practices unless otherwise directed by the Contracting Officer. A BCP will be submitted for approval.

Relief from select cyber security requirements was requested, discussed and agreed to with DOE SR through the formal cyber risk acceptance mechanism on site. This includes modifications to expiring system passwords policy and other potential exceptions.

- l) SRNS inventory will incur additional cost to support supplies and services unique to COVID-19.

Supply Chain Management is procuring greater quantities of Stores items and items not normally maintained in inventory. This is in response to RAM Level 1 and 2 needs as defined in the Savannah River Site Continuity of Operations Plan (COOP) SCD-13 Annex-A, Infectious Disease Plan. These costs also include items identified in the Sequestered Personnel Housing Plan for habitation of personnel required to remain on-site during the pandemic. Additional labor is required to support the procurement, receipt, processing, and delivery of the additional supplies being utilized.

The cost of materials and supplies will be direct costs if purchased for a facility or costed to the Inventory Account for those items maintained in Stores for further distribution. These procurements have significant impact to the Inventory account funded through PBS-13 upon initial purchase. As facilities draw items from Inventory, the respective PBS will be charged, but items remaining in inventory will impact PBS-13 funding. The materials and supplies purchased for COVID-19 is separately reported and presented through the BCP process for approval.

- m) SRNS will incur additional Supply Chain costs for freight and delivery.

Market intelligence indicates carriers are invoking Force Majeure clauses to renegotiate terms due to disruptions caused by COVID-19. These costs cannot be projected but are critical to timely delivery of essential supplies. SRNS anticipates increases in freight, expedited delivery, and may incur additional costs to secure sources of supply through non-refundable deposits, premiums, and firm commitments. The Contractor will be reimbursed for these costs.

- n) Craft employees will charge to WSL without modifying Site Alliance Agreement.

Based on Contracting Officer direction, such as that provided on March 24, 2020 (DOE-SR), March 25, 2020 (NNSA SRFO), and April 3, 2020 (DOE-SR), and SRNS work scope, SRNS has directed certain craft employees not to report to work at SRS. In accordance with the requirements of such Contracting Officer direction, SRNS is striving "to maintain continuity and resiliency [of the SRNS] workforce during the COVID-19 epidemic period to ensure the availability of critical skills, ensure a mobile ready state, and minimize re-mobilization impacts." Craft employees are critical to SRNS operations, and, absent pay from SRNS, will likely pursue and obtain employment elsewhere to replace lost income.

Pursuant to DOE and NNSA direction to maintain a ready workforce, SRNS will compensate, via WSL (WSL), its craft employees directed to remain offsite during the COVID-19 pandemic. Such WSL costs shall be tracked by separate charge code, and SRNS will comply with related Contracting Officer direction, including having "policies and procedures in place to ensure the [craft] employees do not receive both unemployment compensation and COVID-19 paid time off."

Based on a assessment, offering these benefits without negotiating a change to the Site Alliance Agreement is in the US Government's best interest.

- o) SRNS may incur cost for Fines and Penalties directly resulting from COVID-19 circumstances.

1) SRNS has various DOE/NNSA approved plans, such as under DOE Order 150.1A (continuity programs), to account for certain disruptions and events. Even optimal plans may be unable to fully compensate for temporary trained workforce, unavailability, and/or losses in the environmental, health and safety, and transportation fields due to an SRS worker experiencing COVID-19 infection and/or quarantine. To address these concerns, SRNS is using reasonable efforts to collaborate with other SRS Prime Contractors, the DOE, and NNSA to negotiate with outside agencies such as South Carolina Department of Health and Environmental Control (SCDHEC) and Environmental Protection Agency (EPA) many compliance concerns. COVID-19 related temporary workforce disruptions may impact compliance with health, safety, and transportation regulations at the national, state, and local levels (e.g., frequency of environmental sampling).

Given the potential compliance concerns and risk that relief from all requirements may not be granted in a timely manner or at all, SRNS respectfully requests a temporary class deviation of FAR 31.205-47 to treat the "imposition of a monetary penalty, or an order issued by the agency head to the contractor or subcontractor to take corrective action under 41 U.S.C. 4712 or 10 U.S.C. 2409, where the proceeding does not involve an allegation of fraud or similar misconduct" as an allowable cost under the SRNS Prime Contract, with the following conditions:

- a) The temporary class deviation is limited to environmental, health and safety, and transportation requirements applicable to SRNS and/or SRNS subcontractors working on the SRS.

- b) SRNS must disclose the noncompliance concern to the Contracting Officer as soon as practicable.
 - c) Despite using reasonable efforts, SRNS, other SRS Prime Contractors, DOE, and/or NNSA was/were unable to obtain relief from the regulatory authority relating to the requirement disclosed to the Contracting Officer in accordance with item b, above.
 - d) Alleged SRNS noncompliance with such environmental, health and safety, and/or transportation requirement(s) is based on trained workforce unavailability and/or losses due to COVID-19 infection and/or quarantine.
- 2) As with 1), above, it is possible that SRNS may be unable to comply with DOE orders and/or directives and/or NNSA Policy Documents based on temporary trained workforce unavailability and/or losses due to SRS worker COVID-19 infection and/or quarantine. SRNS is working with the DOE and the NNSA to mitigate compliance concerns and prioritize efforts to ensure the SRS is safe for SRS workers and surrounding communities. Costs associated with these efforts are being segregated and shall not be unallowable absent other justification (e.g., waste, fraud, or abuse).
- p) SRNS may incur costs defending legal and other proceedings against SRNS or supporting DOE and/or NNSA in legal and other proceedings based on COVID-19 response(s).

Given the severity and breadth of the COVID-19 pandemic and actions to protect the public health, SRNS, DOE, and NNSA may face legal challenges based on COVID-19 related actions or inactions. SRNS is using reasonable efforts to implement thoughtful COVID-19 plans in alignment with Contracting Officer direction. To the extent that such plans are disclosed to the Contracting Officer, e.g., pursuant to the SRNS response to the DOE March 23, 2020 letter, SRNS compliance with disclosed COVID-19 plans shall not, alone, serve as a basis for the disallowance of SRNS costs. All other requirements concerning the allowability of costs relating to legal and other proceedings shall remain in effect, including, but not limited to, 10 CFR Part 719, FAR Part 31.2, and DEAR Part 970.31.

- q) SRNS will maintain opportunity to earn 100% of available fee.

It is expected that the postures of Situational Essential and Continuity Essential will result in a loss of operational efficiency and will impact Performance Based Incentives, Work Authorization Execution Plans (WAEPS), and contract milestone deliverables. A good faith effort will be made between both parties to negotiate reasonable deliverables considering reduced schedules within the fiscal year to provide opportunity for SRNS to achieve 100% of available fee.

- r) SRNS will receive future consideration during audit for process impacts due to COVID-19 circumstances.

Through reasonable efforts, SRNS will maintain adherence to policy, procedures, and standard work practices; however, maximized telework will result in loss of operational efficiency. This loss of efficiency may impact process compliance. SRNS will receive consideration for activities during this COVID-19 period.

- s) SRNS may incur other associated costs due to COVID-19 circumstances.

Other costs deemed to be reasonable, allocable and allowable incurred as a result of the COVID-19 pandemic include; cleaning/ sanitization costs, maintaining general stores inventory of pandemic-related items (Mod 1003), labor and other costs incurred by the Infectious Disease

Response Team which is responsible for monitoring the COVID-19 pandemic both onsite and offsite as well as supporting the COVID-19 Response Plan, demobilization and mobilization of idle facilities, and overtime. SRNS will make reasonable efforts, where administratively reasonable, to segregate these costs for reporting purposes.

t) Vaccination for COVID-19

In accordance with public policy, and the general allowability of costs for health clinics at Federal Acquisition Regulation section 31.205-13, it has been determined that it is reasonable for SRNS to grant their personnel and subcontractors who are working on site or on telework up to 8 hours administrative leave per dose to get vaccinated for COVID-19. The leave shall be coded consistent with the SRNS and its subcontractors current Human Resource system and practices. SRNS and their subcontractor personnel who are currently on leave under the special leave authority in CARES Act section 3610 may not be granted additional leave for this purpose. They may receive the vaccine while on their paid "stand by" status. SRNS and its subcontractors that receive vaccinations on site, are not entitled to 8 hours additional administrative leave. This vaccine guidance is effective January 21, 2021.

u) Allowability of On-Site Rapid Testing

SRNS costs associated with administering on-site rapid testing for COVID-19 are allowable costs. The use of on-site rapid testing provides benefits such as: reduced time for results, availability to test on-site, aids site facilities in ensuring minimum safe staffing levels are/can be maintained, enables proactive management of potential COVID exposures to ensure success in the execution of site missions and reinforces our effort to keep our workforce healthy and COVID-free. Additionally, this on-site testing allows for employees with a approved medical or religious exemptions to the vaccine mandate to comply with the additional testing requirements for non-vaccinated employees. Any time for employees or subcontractors required to complete testing is a allowable cost. (Mod 1003)

v) Allowability of support cost for administering on-site COVID-19 vaccinations

All costs associated with scheduling, administering, and reporting on-site vaccinations for COVID-19 are allowable costs. The incremental labor and material cost for administering vaccinations includes but is not limited to the following: paid labor for nurses and support personnel, signage, port-o-lets, tents, chairs, heaters, and other logistical functions.

w) Paid Leave for Family Members' COVID-19 Vaccinations (Mod 1003)

In accordance with public policy, and the general allowability of costs for health clinics at Federal Acquisition Regulation (FAR) section 31.205-13, it has been determined that it is reasonable for Savannah River Nuclear Solutions (SRNS) to grant their personnel and subcontractors who are working on site or on telework up to 4 hours administrative leave per dose to accompany a family member receiving the COVID-19 vaccine. The leave shall be coded consistent with the (SRNS) and its subcontractors current Human Resource system and practices. SRNS and their subcontractor personnel who are currently on leave under the special leave authority in CARES Act section 3610 may not be granted additional leave for this purpose. They may accompany a family member while on their paid "stand by" status. SRNS should use the definition of family member that is consistent with its policies, applicable law, and its contract. This vaccine guidance is effective August 25, 2021.

x) Administrative Leave for Idle Time (Mod 1003)

After the special leave authority at CARES Act section 3610 expires, any restrictions regarding use for such authority will also apply to any administrative time agreed to for the purpose of

maintaining an employee under idle time because their work is not portable and they are unable to perform their work at their normal worksite due to local COVID conditions and restrictions

y) Contractor Health and Safety Decisions Related to COVID-19 Vaccinations (Mod 1003)

Vaccines are proven to be highly effective in protecting against severe disease and death from COVID-19 and known variants of the virus, including the Delta variant. To that end, the Department has strongly encouraged its employees and SRNS employees and its subcontractors to get vaccinated. DOE also strongly supports SRNS if it decides to mandate that its employees and its subcontractors receive COVID-19 vaccinations.

z) Attestation Process (Mod 1003)

Contractor and subcontractor employees who perform work in a Federal government owned or leased facility (pre-COVID), are embedded with DOE staff, who are not employed by or a subcontractor to a M&O or Major Site and Facility Contractor, and are employees of a support service contractor must complete attestation forms available at <https://myenergy.servicenowservices.com/myenergy/> by September 10, 2021. This information is required to implement approved health and safety protocols in the DOE workplace. M&Os and Major Site and Facility contractors are not required to use the DOE form for attestation. However, they must have a system in place to ensure all employees and subcontractor employees working on a DOE site/facility have attested to the truthfulness of their vaccination status as fully vaccinated, not yet fully vaccinated, unvaccinated, or decline to respond. Additionally, since the data is maintained by the contractor, they must report the number and percentage of contractor and subcontractor employees that have been fully vaccinated to DOE upon request.

Contractor and subcontractor employees who are unvaccinated or decline to attest to their vaccination status will be treated as unvaccinated for the purpose of DOE safety protocols. Such employees will be required to participate in a contractor directed testing program for COVID-19 and will be tested at least weekly. The contractor shall flow-down this requirement for all applicable subcontractor tiers.

aa) Cost Allowability (Mod 1003)

In support of this policy and the express policy supporting contractor decisions to mandate vaccinations of its workforce, the costs of contractor efforts to improve public health conditions on EM sites are allowable in keeping with the general allowability of costs for health clinics at FAR 31.205-13 and the terms and conditions of the contract. Such allowable costs may include the costs of SRNS and its subcontractors employee's vaccination; testing; time off to get vaccinated; or costs for employee engagement and communications. In addition, the Department recognizes that SRNS may assume some increased costs and litigation risk in taking actions that it determines it needs to take to protect its workforce. Costs associated with potential litigation risk due to SRNS mandating that its employees and its subcontractors receive the COVID-19 vaccine would also be allowable subject to the terms and conditions of its contract, FAR 31.205-47, and applicable law. This guidance is effective August 27, 2021.

ab) Continued Need to Segregate COVID Related Costs (Mod 1003)

Please segregate the costs for testing, attestation, and other costs related to vaccinations within your accounting system using Change Order Accounting practices. Additionally, ensure that your invoices assign the COVID charge code to any request for reimbursement of such costs on an invoice.

ac) Effective Bargaining (Mod 1003)

Note that, when there is a Safety & Health clause in the contractor collective bargaining agreements, the contractors may still need to engage in “Effects Bargaining” with the unions to discuss the effects of implementing a vaccination mandate program with the Unions such as: the effective date of the mandate, any applicable new rules, how disciplinary policy will be applied, etc.

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Modification No.	Effective Date	Description
M001	06/16/08	Obligation of Funds Changes to Sections B, C, D, E, F, G, H, I, J
A002	06/19/08	Obligation of Funds
A003	07/03/08	Obligation of Funds
A004	07/29/08	Obligation of Funds
A005	07/31/08	Obligation of Funds
A006	08/21/08	Obligation of Funds
A007	08/22/08	Obligation of Funds
A008	08/28/08	Obligation of Funds
A009	09/17/08	Obligation of Funds
A010	09/26/08	Obligation of Funds
A011	09/30/08	Obligation of Funds
A012	10/29/08	Obligation of Funds
A013	11/24/08	Obligation of Funds
A014	12/19/08	Obligation of Funds and Mutual Agreement to add IT and Medical SOW
M015	01/30/09	Mutual Agreement/Fixed Fee
A016	01/30/09	Obligation of Funds
M017	02/27/09	Mutual Agreement – PEMP
A018	02/25/09	Obligation of Funds
A019	03/20/09	Obligation of Funds
A020	03/25/09	Obligation of Funds – Sole purpose to add funding to MEPP
A021		Reserved
M022	03/30/09	Adds H-60 Certification of Contractor's Earned Value Management System
A023	03/30/09	Obligation of Funds & Revise Section C to include Transportation & Mechanical Services work scope
A024	04/08/09	Obligation of Funds of American Recovery And Reinvestment Act of 2009
	06/12/09	Revise Paragraph E of Mod A024
A025	04/16/09	Deobligation of Funds
A026	04/30/09	Obligation of Funds
A027	05/29/09	Obligation of Funds
Ltr. 2009-00196	06/09/09	Approved by CMD-09-022 – Replaced Linda Guinn With James Jackson as Acting General Council
Ltr. 2009-00203	06/09/09	Approved by CMD-09-203 – Replaced Jack Sependa With Bruce Hammi
M028	06/10/09	Incorporated Requirements in Acquisition Ltr. 2007-12
A029	06/18/09	Obligation of Funds
029	07/07/09	Revised per Temple/Lovett
A030	06/19/09	Obligation of Funds
030	07/07/09	Revised per Temple/Lovett
031	06/26/09	Obligation of Funds
032	06/30/09	Obligation of Funds

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Modification No.	Effective Date	Description
033	07/10/09	Obligation of Funds
034	07/31/09	Obligation of Funds
035	08/07/09	Obligation of Funds
Ltr. 2009-00274	08/11/09	Approved by CMD-09-299 – Replaced James Jackson with Jennifer Curtis
036	08/12/09	Obligation of Funds
037	08/17/09	Obligation of Funds
038	08/28/09	Obligation of Funds
039	09/14/09	Obligation of Funds – Section H-54 – Work Authorization System ARRA funds for completing grant Application reviews for the EERE
Ltr. 2009-00337	09/15/09	Replace John Hopkins as Responsible Corporate Official with Bruce Stanski
040	09/16/09	Obligation of Funds
041	09/23/09	Obligation of Funds – Section H-54 – Work Authorization System ARRA Funds for Energy-Intensive Process R&D
042	09/24/09	Obligation of Funds
043	09/29/09	Obligation of Funds
Ltr. 2009-00361	09/29/09	Approved by CMD-09-365 – Deleted Hanni, Munns Hodge, V. Wilson and Powell with Flowers, Hanna And Bilson
044	09/30/09	Obligation of Funds
045	10/02/09 09/30/09	Section H-54 Work Authorization System & Mutual Agreement of Parties (Fed Connect version missing pg 2) Hard Copy is binding (Rev. 1 w/pages 2&3 initialed by John Temple)
046	09/30/09	PEMP (one from Fed Connect and one hard copy not executed which includes PEMP
047	10/07/09	Obligation of Funds
048	10/26/09	Deobligation of Funds
049	10/28/09	Section H-54 Work Authorization System (Revised)
050	10/29/09	Obligation of Funds
051	10/30/09	Mutual Agreement Between Parties Incorporate FAR Clause 52.222-54 Employment Eligibility and Revision of Part II – Section I
052	10/30/09	Section H-54 Work Authorization System and Mutual Agreement of the Parties
053	10/30/09	Add the Project Control System requirement to Section H
054	11/10/09	Obligation of Funds
055	11/10/09	Mutual Agreement Between Parties Work Authorization Rev 4
056	11/13/09	Make Administrative Change to Mod 054, Block 14
057	11/30/09	Obligation of Funds

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Modification No.	Date	Comment
058	12/08/09	Correction to Mod A026
059	12/11/09	Obligation of Funds
060	12/17/09	Obligation of Funds
061	12/18/09	Obligation of Funds
062	12/21/09	Mutual Agreement Between Both Parties
		ARRA Funding changes
063	12/30/09	Obligation of Funds
064	01/11/10	Obligation of Funds
065	01/13/10	Add H-62 – Implementation of ITER
		Agreement Section J – Appendix O Modification
		Log
066	01/25/10	Obligation of Funds
067	01/25/10	Revise Clause H-32 Privacy Act Systems of
		Records
068	02/25/10	Revised PEMP
069	02/04/10	Obligation of Funds
070	02/18/10	Obligation of Funds
071	02/19/10	Obligation of Funds
072	02/19/10	Deobligation of Funds
073	02/25/10	Obligation of Funds
074	03/25/10	Obligation of Funds
Ltr. 2010-00085	04/01/10	Inserted Interface Management Plan, Rev. 2
Ltr. 2010-00066	04/07/10	Approved by CMD-10-125 – Replace S. Bhattacharyya with Paul Deason (Acting Laboratory Director)
075	04/20/10	Clause I.26 – Security
076	04/20/10	Obligation of Funds
Ltr. 2010-00132	05/26/10	Approved by CMD-10-161 – Replaced R. Eshelman With F. Dohse as Exec. VP & COO, replaced F. Dohse with D. Eyler as VP NMO
Ltr. 2010-00138	05/24/10	Clarification of Requirement to Submit Key Personnel Compensation Actions – Section H-14 (d) (2) and (e)(3)(A)(iv)
077	05/13/10	Deobligation of Funds (ARRA)
078	06/17/10	Obligation of Funds (Correct the base ARRA funds)
079	05/19/10	Mutual Agreement of the parties per Contract
		Mod 024 – Total Available Fee for FY2010 (Not
		executed by SRNS and therefore does not affect
		T&Cs)
080	05/14/10	Obligation of Funds ARRA (EERE funding for SRNS Project EB2501030)
081	05/28/10	Obligation of Funds Base – This modification is to administrative correct modification 077
082	06/08/10	Clause H-28 – Revised PEMP, Rev. 2 and PBIs
083	06/21/10	Obligation of Funds
084	07/13/10	Obligation of Funds – Establish Firm Fixed Fee for Recovery Act work performed 10/1/09 thru 7/31/10

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Modification No.	Date	Comment
085	07/14/10	Change Section J – Appendix D – Key Personnel Change Clause # on Project Control Clause (Mod. Identifies H-61 which is already used) – it should be H- 63
086	07/19/10	Deobligation of Funds – ARRA EERE
087	07/27/10	Obligation of Funds
088	07/27/10	Obligation of Funds
089	07/27/10	Obligation of Funds
090	07/30/10	Obligation of Funds, Revised ARRA Period of Performance, Available Fee, and Work Authorizations
091	08/03/10	Obligation of Funds, Revised ARRA Work Authorizations
092	08/11/10	ARRA PEMP
093	08/17/10	Clause H-28 Revised PEMP
094	08/16/10	Obligation of Funds
095	08/20/10	Revised ARRA Fee Table, Corrected Pg. 2 of Mod 090
096	08/20/10	Revised ARRA Fee Table
097	08/25/10	Section J, Appendix D – Key Personnel – Deleted Deason and inserted Michalske – Corrected Project Management Controls number to H-63
098	08/25/10	Cancel Mod 96 in its entirety and replace with Mod 098
099	08/31/10	Clause H-28 Revised FY2010 PEMP
100	08/27/10	Obligation of Funds
101	08/27/10	Obligation of Funds
102	08/27/10	Obligation of Funds
103	08/27/10	Obligation of Funds
104	08/27/10	Obligation of Funds
105	08/27/10	Obligation of Funds
106	09/14/10	Revised ARRA Work Authorizations (Cost Ceiling) Corrected TRU/Solid Waste SOW
107	09/21/10	Obligation of Funds
108	09/21/10	Obligation of Funds
109	09/21/10	Obligation of Funds
110	09/23/10	Obligation of Funds
111	09/23/10	Obligation of Funds
112	09/23/10	Obligation of Funds
113	09/23/10	Obligation of Funds
114	09/24/10	Obligation of Funds, ARRA TRU/Solid Waste
115	09/29/10	Obligation of Funds, ARRA TRU Solid Waste
116	09/30/10	Obligation of Funds
117	09/30/10	Obligation of Funds
118	09/30/10	Revised ARRA Ceiling Table and TRU/Solid Waste Work Authorization, plus SOW
119	09/30/10	Performance Based Incentives (FY2011 PEMP, Rev. 0)
120	10/21/10	Obligation of Funds

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Modification No.	Date	Comment
121	10/15/10	Revised ARRA Ceiling Table and P&R Area Work Authorization
122	10/22/10	Obligation of Funds
123	10/22/10	Obligation of Funds
124	10/21/10	Deobligation of Funds
125	11/01/10	Section J, Appendix D, Key Personnel—deleted in its entirety and is replaced
126	11/29/10	Obligation of Funds
127	11/30/10	Obligation of Funds
128	11/30/10	Obligation of Funds
129	12/06/10	Deobligation of Funds
130	01/06/11	Section J, Appendix E, List B/Applicable DOE Directives and Orders updated in its entirety
131	12/16/10	Clause H-28, Revised FY2011 PEMP Rev. 1
132	12/20/10	Obligation of Funds
133	12/20/10	Obligation of Funds
134	12/22/10	Deobligation of Funds
135	12/22/10	Obligation of Funds
136	12/29/10	Obligation of Funds
137	01/21/11	Section J, Appendix D, Key Personnel updated
138	01/21/11	Obligation of Funds
139	01/24/11	Obligation of Funds
140	01/25/11	Obligation of Funds
141	01/25/11	Section J, Appendix D, Key Personnel updated
142	01/25/11	Obligation of Funds
143	01/25/11	Obligation of Funds
144	01/26/11	Deobligation of Funds
145	02/28/11	Revised ARRA Work Authorizations and Scopes of Work for P&R, M&D, and Other Sitewide projects
146	01/28/11	Obligation of Funds
147	02/18/11	Obligation of Funds
148	02/18/11	Obligation of Funds
149	02/18/11	Obligation of Funds
150	02/18/11	Obligation of Funds
151	02/18/11	Obligation of Funds
152	03/25/11	Clause H-28, Revised FY2011 PEMP, Rev. 2
153	03/18/11	Obligation of Funds
154	03/18/11	Obligation of Funds
155	03/21/11	Obligation of Funds
156	03/21/11	Obligation of Funds
157	03/22/11	Revised ARRA Work Authorizations Maximizing Cost Ceiling for P&R Areas and TRU/Solid Waste
Ltr. 2011-00097	03/22/11	Approved by CMD-11-088; replaced A. Umek with Alice Doswell
Ltr. 2011-00086	03/23/11	Approved by CMD-11-090; replaced D. Feather with Dennis Donati
158	03/24/11	Revised ARRA PEMP, Rev. 1
159	3/30/11	Obligation of Funds
160	3/30/11	Obligation of Funds

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Modification No.	Date	Comment
161	3/30/11	Obligation of Funds
162	3/30/11	Obligation of Funds
163	4/7/11	Japan Indemnification
164	4/8/11	Obligation of Funds
165	4/8/11	Obligation of Funds
166	5/2/11	Implement EISA 2007 and E.O. 13514 at DOE Sites
167	4/29/11	Clause H-28, Revised FY2011 PEMP, Rev. 3
168	4/29/11	Obligation of Funds
169	4/29/11	Deobligation of Funds
170	4/29/11	Obligation of Funds
171	4/29/11	Obligation of Funds
172	4/29/11	Obligation of Funds
Ltr. 2011-00146	5/10/11	Approved by CMD-11-112; replaced Jon Fagan with David Eyler
173	5/18/11	Revised ARRA PEMP, Rev. 2
174	5/26/11	Obligation of Funds
175	5/26/11	Obligation of Funds
176	5/26/11	Obligation of Funds
177	5/26/11	Obligation of Funds
178	5/26/11	Obligation of Funds
179	5/26/11	Obligation of Funds
180	5/26/11	Obligation of Funds
181	6/16/11	DEAR 970.5211-1 Work Authorization
182	6/7/11	Obligation of Funds
183	6/21/11	Obligation of Funds
184	6/22/11	Obligation of Funds
185	6/21/11	Deobligation of Funds
186	6/23/11	Deobligation of Funds
187	6/24/11	Obligation of Funds
Ltr. 2011-00146	6/27/11	Approved by CMD-11-149; replace David Eyler with Paul Hunt as VP, Nuclear Materials Operations
188	7/6/11	Clause H.28, Revised FY2011 PEMP, Rev. 4
189	7/19/11	Section J, Appendix D, Key Personnel updated
190	7/20/11	Obligation of Funds
191	7/21/11	Obligation of Funds
192	7/22/11	Obligation of Funds
193	7/27/11	Clause H.28, Revised FY2011 PEMP, Rev. 5
194	7/28/11	Obligation of Funds
195	8/18/11	Obligation of Funds
196	8/19/11	Obligation of Funds
197	8/19/11	Obligation of Funds
198	8/19/11	Obligation of Funds
199	8/19/11	Obligation of Funds
Ltr. 2011-00295	8/24/11	Approved by CMD-11-214; replace Garry Flowers with Dwayne Wilson as President and CEO
200	9/6/11	Corrected ARRA Work Authorizations and Scopes of Work (includes project closeout dates)
201	9/13/11	Revised ARRA PEMP, Rev. 3
202	9/21/11	Obligation of Funds
203	9/21/11	Obligation of Funds
204	9/21/11	Obligation of Funds

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Modification No.	Date	Comment
205	9/21/11	Obligation of Funds
206	9/22/11	Obligation of Funds
207	9/22/11	Obligation of Funds
208	9/23/11	Deobligation of Funds
209	9/23/11	Deobligation of Funds
210	9/28/11	Obligation of Funds
211	9/28/11	Obligation of Funds
212	9/28/11	Obligation of Funds
213	9/28/11	Obligation of Funds
214	9/28/11	Obligation of Funds
215	9/29/11	Deobligation of Funds
Ltr. MPD-11-009 (& MPD-12-001)		Interface Management Plan, Rev. 3
216	9/30/11	Clause H.28, FY2012 PEMP, Rev. 0
217	10/20/11	Obligation of Funds
218	10/26/11	Obligation of Funds
219	11/30/11	Obligation of Funds
220	11/30/11	Obligation of Funds
221	11/30/11	Obligation of Funds
222	11/30/11	Obligation of Funds
223	11/30/11	Obligation of Funds
224	12/27/11	Obligation of Funds
225	12/27/11	Obligation of Funds
226	12/27/11	Obligation of Funds
227	12/27/11	Obligation of Funds
228	12/27/11	Deobligation of Funds
229	12/28/11	Clause H.28, FY2012 PEMP, Rev. 1, & Key Personnel updated
230	01/03/12	Section J, Appendix D, Key Personnel updated (Clause I.28) & Clause H-14 revised
231	01/30/12	Obligation of Funds
232	01/31/12	Obligation of Funds
233	01/31/12	Obligation of Funds
234	01/31/12	Deobligation of Funds
235	02/01/12	Deobligation of Funds
236	02/08/12	Clause H.28, FY2012 PEMP, Rev. 2
237	02/13/12	Clause H.28, Revised ARRA PEMP, Rev. 4
238	02/14/12	Deobligation of Funds
239	03/27/12	Clause H.66, Non-Federal Agreements for Commercializing Technology (ACT) (Pilot)
240	02/29/12	Obligation of Funds
241	02/29/12	Obligation of Funds
242	02/29/12	Obligation of Funds
243	02/29/12	Obligation of Funds
244	03/06/12	Clause H.28, FY2012 PEMP, Rev. 3
245	03/26/12	Obligation of Funds
246	03/26/12	Obligation of Funds
247	03/26/12	Obligation of Funds
248	03/26/12	Obligation of Funds
249	03/26/12	Obligation of Funds
250	04/09/12	Clause H.28, FY2012 PEMP, Rev. 4

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Modification No.	Date	Comment
251	05/31/12	Added Section I Sustainability Clauses by reference
252	04/20/12	Obligation of Funds
253	04/20/12	Obligation of Funds
254	04/25/12	Obligation of Funds
255	05/18/12	Extend work authorization period of performance For ARRA Projects A and D
256	05/17/12	Obligation of Funds
257	05/17/12	Obligation of Funds
258	05/18/12	Obligation of Funds
259	05/18/12	Obligation of Funds
260	05/18/12	Deobligation of Funds
261	05/23/23	Obligation of Funds (Administrative corrections)
262	06/14/12	Section C3.2-Work Authorization (Counterintelligence)
263	05/23/12	Obligation of Funds
264	05/23/12	Obligation of Funds
265	06/01/12	Clause H.28, FY2012 PEMP, Rev. 5
266		Reserved
267	06/14/12	Section J, Appendix E, List B/Applicable DOE Directives and Orders updated in its entirety
268	06/21/12	DEAR 970.5243-1, Changes – Clause H-67 (NNSA/EM Strategic Sourcing Partnership)
269	06/25/12	Obligation of Funds
270	06/26/12	Obligation of Funds
271	06/26/12	Obligation of Funds
272	06/27/12	Deobligation of Funds
273	07/19/12	Clause H.28, Revised ARRA PEMP, Rev. 5
274	07/27/12	Obligation of Funds
275	07/27/12	Obligation of Funds
276	07/27/12	Obligation of Funds
277	07/27/12	Obligation of Funds
278	07/27/12	Obligation of Funds
279	07/27/12	Obligation of Funds
280	08/08/12	Clause H.28, FY2012 PEMP, Rev. 6
281	08/14/12	Section G-1, Technical and Administrative Correspondence
282	08/17/12	Obligation of Funds
283	08/17/12	Obligation of Funds
284	08/21/12	Obligation of Funds
285	08/23/12	Section I.61, Work Authorization Additions
286	09/07/12	Section F, Exercise of Option (to Extend Contract)
287	09/18/12	Obligation of Funds
288	09/18/12	Obligation of Funds
289	09/18/12	Obligation of Funds
290	09/18/12	Obligation of Funds
Ltr. CMD-12-229	09/26/12	Clause H.42, Revised submittal date for annual Small Business Subcontracting Plan
291	9/28/12	Section I.61, Work Authorization Additions
292	9/28/12	Clause H.28, FY2013 PEMP, Rev. 0

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Modification No.	Date	Comment
293	9/28/12	Clause H.28, FY2013 PEMP, Rev. 0 (replacement to Mod 292)
294	9/29/12	Incorporate Site Sustainability Plan (CANSRNS-DOE O 436.1-IP-2)
295	9/29/12	Section I.61, Work Authorizations (1 Q13 PBSs)
296	9/29/12	Obligation of Funds
297	9/29/12	Obligation of Funds
298	9/29/12	Obligation of Funds
299	9/29/12	Obligation of Funds
300	10/03/12	Clause H.28, FY2013 PEMP, Rev. 1
301	10/12/12	Section I.61, Work Authorization (Covelent and Metal-Organic Framework High-Capacity, Rev.1)
302	10/22/12	Obligation of Funds
303	10/22/12	Obligation of Funds
304	10/22/12	Obligation of Funds
305	11/07/12	Section I.61, Work Authorizations (multiple)
306	11/15/12	Section I.61, Work Authorizations (multiple)
307	11/16/12	Obligation of Funds
308	11/16/12	Obligation of Funds
309	11/16/12	Obligation of Funds
310	11/29/12	Section I.61, Work Authorizations (multiple)
311	12/04/12	Deobligation of Funds (ARRA)
312	12/07/12	Clause H.28, Revised ARRA PEMP, Rev. 6
313	12/12/12	Deobligation of Funds (ARRA)
314	12/17/12	Section I.61, Work Authorizations (multiple)
315	12/18/12	Obligation of Funds and Section I.61, Work Authorizations (multiple)
316	12/18/12	Obligation of Funds
317	12/18/12	Obligation of Funds
318	12/19/12	Administrative Correction to Mods 315, 316, and 317
319	12/20/12	Section I.61, Work Authorization (Alternative Waste Pretreatment)
320	01/03/13	Clause B-2.3, Total Available Fee
321	01/17/13	Section I.61, Work Authorization (HQTD1000 Rv.1)
322	01/18/13	Section I.61, Work Authorization (Appropriations/Funds statement-Appropriation Act for FY13)
323	01/24/13	Obligation of Funds
324	01/24/13	Obligation of Funds
325	01/24/13	Deobligation of Funds
326	01/30/13	Clause B-2.3, Total Available Fee (Correction to Mod 320)
327	02/14/13	Clause H.28, FY2013 PEMP, Rev. 2
328	02/14/13	Section I.61, Work Authorizations (110003-TechSup and AOP#WWPP-FY12)
329	02/15/13	Obligation of Funds
330	02/15/13	Obligation of Funds
331	02/19/13	Deobligation of Funds
332	02/19/13	Section I.61, Work Authorization (Mod 322 text Revised to except NNSA work authorizations)
333	02/28/13	DEAR 970.5243-1 Changes (added Clause H-68 on conference management)
334	02/25/13	Clause I.61, Work Authorization (#89X0319, Rv. 2-Fuel Cycle Technologies)

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Modification No.	Date	Comment
335	02/26/13	Section I.61, Work Authorizations (multiple)
336	03/07/13	Section I.61, Work Authorization (Attenuation-Based Remedies- add Task 5)
Ltr. 2013-0051	03/11/13	Approved by CMD-13-081; replace J. Hanna with H. E. Bilson as Senior Vice President-Corporate Services; replace H. E. Bilson with M. A. Davis as Senior Vice President of Support Services
337	03/15/13	Obligation of Funds
338	03/15/13	Obligation of Funds
339	03/19/13	Obligation of Funds, Work Authorizations (multiple)
340	04/03/13	Clause H.28, FY2013 PEMP, Rev. 3
341	03/22/13	Section I.61, multiple Work Authorizations (WA) and extension of WA periods of performance to 9/30/13
342	03/22/13	Section I.61, Work Authorizations (multiple base work period of performance extended to 9/30/13)
343	03/26/13	Section I.61, Work Authorizations (multiple base updates to funding)
344	04/10/13	Obligation of Funds and Administrative Correction to Mods 337, 338 and 339
345	04/11/13	Deobligation of Funds and Section I.61, Work Authorization (Solar Energy, Rev. 1)
346	04/11/13	Deobligation of Funds (DOD and Office of Pres, Obligation for Homeland Security)
347	04/16/13	Section I.61, Work Authorization (Energy Frontier Research Centers extended period of performance)
348	04/19/13	Deobligation of Funds (ARRA)
349	04/17/13	Section I.61, Work Authorization (EEOICPA)
350	04/19/13	Obligation of Funds
351	04/19/13	Obligation of Funds
352	04/19/13	Obligation of Funds and Administrative Correction to Mod 349
353	04/25/13	Section I.61, Work Authorizations (multiple)
354	04/29/13	Section I.61, Work Authorizations (multiple)
355	07/29/13	Clause H.28, FY2013 PEMP, Rev. 4
356	05/15/13	Section I.61, Work Authorizations (multiple)
357	05/15/13	Obligation of Funds
358		Reserved
359	05/20/13	Section I.61, Work Authorization (ARRA TRU revision of period of performance date)
360	06/11/13	Obligation of Funds
361	06/11/13	Obligation of Funds
362	06/11/13	Obligation of Funds
363	06/19/13	Obligation of Funds (Recast)
364	06/21/13	Section I.61, Work Authorization (Fuel Cycle Technology, Rev. 3)
365	06/25/13	Obligation of Funds
366	06/25/13	Obligation of Funds
367	06/28/13	Section I.61, Work Authorizations (multiple)
368	07/02/13	Add Clause I.62, Professional and Consultant Service Costs, and update Clause I.48, Insurance – Litigation And Claims (JUL 2013)
369	07/03/13	Deobligation of Funds and Administrative Corrections to Mods 365 and 366

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Modification No.	Date	Comment
370	07/03/13	Obligation of Funds and Administrative Correction Mod 369
371	07/17/13	Obligation of Funds
372	07/17/13	Obligation of Funds
373	07/18/13	Obligation of Funds
374	07/24/13	DEAR 970.5243-1 Change (Dec. 2000) (Updated Contracting Officer Representatives list)
375	07/25/13	Section I.61, Work Authorizations (multiple)
376	07/30/13	Section I.61, Work Authorization (deobligate/re-obligate funds)
377	08/12/13	Section I.61, Work Authorizations (multiple)
378	08/08/13	Section I.61, Work Authorizations (multiple)
379	08/21/13	Obligation of Funds (recast from 3F to 3T)
380	08/28/13	Obligation of Funds
381	08/28/13	Obligation of Funds
382	08/28/13	Deobligation of Funds
383	09/03/13	Section I.61, Work Authorizations (multiple) and Extend Period of Performance for NNP Multi-year PBI to 9/30/15
384	09/05/13	Section I.61, Work Authorizations (multiple Tech. Task Plan Period of Performance extended to 9/30/14)
385	09/05/13	Section I.61, Work Authorizations (multiple Clean Energy work scopes Period of Performance extended to 9/30/14)
386	09/05/13	Section I.61, Work Authorizations (multiple Environmental Stewardship work scopes Period of Performance extended to 9/30/14)
387	09/05/13	Obligation of Funds
388	09/05/13	Obligation of Funds
389	09/05/13	Obligation of Funds
390	09/10/13	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/14)
391	09/11/13	Section I.61, Work Authorizations (multiple)
392	09/18/13	Administrative Correction to Mod 391 (requisition number)
393	09/18/13	Section I.61, Work Authorizations (multiple)
394	09/17/13	Obligation of Funds
395	09/17/13	Obligation of Funds
396	09/17/13	Obligation of Funds
397	09/20/13	Section I.61, Work Authorizations (multiple-minor SRNL B&Rs extension of performance period to 9/30/14)
398	09/24/13	Section I.61, Work Authorizations (multiple) and administrative correction to Mod 397 (Attachment 2 performance period changed to 8/30/14)
399	09/26/13	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
400	09/25/13	Deobligation of Funds
401	09/26/13	Deobligation of Funds
402	09/30/13	Clause H-28, Performance Based Incentives (DOE/NNSA FY14 Strategic PEP)

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Modification No.	Date	Comment
403	09/30/13	Section I.61, Work Authorization (Fuel Cell)
404	09/30/13	Clause H-28, FY2014 PEMP, Rev. 0
405	09/30/13	Obligation of Funds
406	09/30/13	Obligation of Funds
407	09/30/13	Obligation of Funds
408	10/01/13	DEAR 970.5243-1 Changes (Revised H-14, H-56, and H-58 Clauses)
409		Reserved
410	10/08/13	Section I.61, Work Authorization (EEOICPA), Updated CORs list (Appendix P), and Administrative Correction to Mod 408
Ltr. CMD-14-002	10/08/13	Section F, Stop Work Order (Partial)
411	10/11/13	Deobligation of Funds (PBS 20)
Ltr. CMD-14-008	10/17/13	Section F, Stop Work Order (Partial) Lifted
412	10/18/13	Obligation of Funds
Ltr. MPD-14-002	10/21/13	Interface Management Plan, Rev. 4
413	10/25/13	Clause H-28, FY2014 PEMP, Rev. 1
414	10/25/13	Obligation of Funds
415	10/25/13	Obligation of Funds
416	10/25/13	Deobligation of Funds
417	10/30/13	Section I.61, Work Authorizations (6 various)
418	11/21/13	Obligation of Funds
419	11/21/13	Obligation of Funds
420	11/21/13	Obligation of Funds
421	11/26/13	Section I.61, Work Authorizations (8 various)
422	12/09/13	Section I.61, Work Authorizations (3 various) and Administrative Correction to Mod 421
423	12/30/13	Clause H-28, FY2014 PEMP, Rev. 2
424	12/19/13	Obligation of Funds
425	12/19/13	Obligation of Funds
426	12/19/13	Obligation of Funds
427	12/30/13	DEAR 970.5243-1 Changes [Updated Key Personnel list (Appendix D) and COR list (Appendix P)]
428	12/31/13	Section I.61, Work Authorization (Workforce Development of Teachers/Scientist)
429	01/08/14	Section I.61, Work Authorizations (6 various) and Administrative correction to Mod 427
430	01/16/14	Section I.61, Work Authorization (Waste Retrieval And Closure Technologies)
431	01/30/14	Section I.61, Work Authorization (Replacement pages for authorizations received in Mods 421 and 429)
432	01/31/14	Obligation of Funds
433	01/31/14	Obligation of Funds
434	01/31/14	Obligation of Funds
435	02/11/14	Section I.61, Work Authorizations (3 various)
436	02/19/14	Obligation of Funds
437	02/20/14	Section I.61, Work Authorizations (10 various)
438	02/27/14	Obligation of Funds
439	02/27/14	Obligation of Funds
440	02/27/14	Deobligation of Funds
441	03/06/14	Section I.61, Work Authorizations (8 various)
442	03/19/14	Work Authorization (recast of funds)

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443	03/20/14	Section I.61, Work Authorizations (2 various)
444	03/21/14	Section I.61, Work Authorizations (6 various)
445	03/26/14	Obligation of Funds
446	03/26/14	Obligation of Funds
447	03/26/14	Deobligation of Funds
448	03/27/14	Section I.61, Work Authorizations (7 various)
449	04/02/14	Obligation of Funds (correct STARS errors – no funding impact)
450	04/08/14	Section I.61, Work Authorizations (9 various)
451	04/16/14	Clause H-28, FY2014 PEMP, Rev. 3
452	04/23/14	Section I.61, Work Authorization (Workforce Dev. of Teachers/Scientist de-ob)
453	04/23/14	DEAR 970.5243-1 Changes (Dec. 2000), Clause H.14 Employee Compensation: Pay and Benefits (add WFR Data Reporting requirement)
454	04/29/14	Obligation of Funds
455	04/30/14	Obligation of Funds
456	04/30/14	Obligation of Funds
457	05/05/14	Section I.61, Work Authorizations (12 various)
458	05/20/14	Section I.61, Work Authorizations (3 various)
Ltr. CMD-14-079	05/19/14	Approved Carol A. Johnson to replace Dwayne Wilson as President and CEO
459	05/27/14	Obligation of Funds
460	05/28/14	Obligation of Funds
461	05/28/14	Obligation of Funds
462	05/29/14	Section I.61, Work Authorization (correction to Mod 452)
Ltr. CMD-14-086	06/02/14	Approved Robert (Wallis) Spangler to replace Dennis Donati as Senior VP, NNSA Operations and Programs
463	06/19/14	Section I.61, Work Authorizations (9 various)
464	06/18/14	Obligation of Funds
465	06/19/14	Obligation of Funds
466	06/19/14	Deobligation of Funds
467	06/24/14	Section I.61, Work Authorizations (13 various)
468	06/26/14	Obligation of Funds and Work Authorization (1)
469	06/30/14	Clause H-28, FY2014 PEMP, Rev. 3, R1
470	07/09/14	Section I.61, Work Authorizations (7 various)
471	07/02/14	Section I.61, Work Authorizations (FY14 multiple Base PBS scope, Rev. 0 with updated funding)
472	07/23/14	Updated CORs list (Section J, Appendix P)
473	07/23/14	Obligation of Funds
474	07/23/14	Obligation of Funds
475	07/23/14	Obligation of Funds
476	07/24/14	Section I.61, Work Authorizations (9 various)
477	08/06/14	Section I.61, Work Authorizations (3 various)
478	08/11/14	Administrative correction to Mod 477
479	08/12/14	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
480	08/18/14	Obligation of Funds
481	08/19/14	Obligation of Funds (corrected via Mod 482)
482	08/19/14	Obligation of Funds (and correction to Mod 481)
483	08/20/14	Obligation of Funds

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484	08/20/14	Obligation of Funds
485	08/20/14	Obligation of Funds
Ltr. CMD-14-107	08/21/14	Approved Wyatt C. Clark, Jr. to replace Paul Hunt as Senior Vice President, Environmental Management Operations
486	08/22/14	Section I.61, Work Authorizations (2 various)
487	08/22/14	Deobligation of Funds
488	08/25/14	Section I.61, Work Authorizations (5 various)
489	09/02/14	Clause H-28, FY2014 PEMP, Rev. 4
490	09/03/14	Section I.61, Work Authorization (MSIPP)
491	09/09/14	Obligation of Funds
492	09/10/14	Obligation of Funds
493	09/10/14	Obligation of Funds
494	09/10/14	Section I.61, Work Authorization (1) (and correction to Mod 489)
495	09/18/14	Obligation of Funds
496	09/18/14	Deobligation of Funds
497	09/18/14	Obligation of Funds
498	09/23/14	Section I.61, Work Authorization (multiple-minor SRNL B&Rs no year funds period of performance extended to 09/30/15)
499	09/25/14	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/15)
500	09/25/14	Add Clause I.63, FAR 52.203-17, Employee Whistleblower Rights
501	09/30/14	Section I.61, Work Authorizations (3 various)
502	09/29/14	Obligation of Funds
503	09/29/14	Obligation of Funds
504	09/30/14	Obligation of Funds
505	09/30/14	Clause H-28, FY2015 PEMP, Rev. 0
506	09/30/14	Deobligation of Funds
507	10/14/14	Obligation of Funds
508	10/16/14	Section I.61, Work Authorizations (7 various)
509	10/21/14	Section I.61, Work Authorizations (2 various)
510	10/28/14	Obligation of Funds
511	11/18/14	Obligation of Funds
512	11/18/14	Obligation of Funds
513	11/18/14	Obligation of Funds
514	11/24/14	Section I.61, Work Authorizations (4 various)
Ltr. MPD-15-004	12/04/14	Interface Management Plan, Rev. 5
515	12/10/14	Section I.61, Work Authorizations (2 various)
516	12/22/14	Obligation of Funds (and correction to Mod 513)
517	12/23/14	Obligation of Funds
518	12/23/14	Obligation of Funds
519	01/14/15	Section I.61, Work Authorizations (2 various)
520	01/26/15	Obligation of Funds
521	01/26/15	Obligation of Funds
522	01/26/15	Deobligation of Funds
523	01/30/15	Clause H-56, Risk Management and Insurance Programs
524	02/02/15	Clause H-28, FY2015 PEMP, Rev. 1
525	02/03/15	Section I.61, Work Authorizations (10 various)

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526	02/04/15	DEAR 970.5243-1 Changes [Updated Key Personnel list (Section J, Appendix D)]
527	02/25/15	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
528	02/24/15	Obligation of Funds
529	02/25/15	Obligation of Funds
530	02/25/15	Obligation of Funds
531	02/25/15	Section I.61, Work Authorizations (10 various)
532	03/05/15	Section I.61, Work Authorizations (9 various)
533	03/25/15	Obligation of Funds
534	03/25/15	Obligation of Funds
535	03/25/15	Obligation of Funds
536	04/16/15	Clause H-28, FY2015 PEMP, Rev. 2
537	04/02/15	Section I.61, Work Authorizations (4 various)
538	04/08/15	Deobligation of Funds
539	04/27/15	Obligation of Funds
540	04/27/15	Obligation of Funds
541	04/27/15	Obligation of Funds
542	05/04/15	Section I.61, Work Authorizations (10 various)
543	05/07/15	Changes (Administrative corrections to Mods 526 and 541)
544	05/19/15	Deobligation of Funds (ARRA)
545	05/22/15	Deobligation of Funds
546	05/22/15	Obligation of Funds
547	05/22/15	Obligation of Funds
548	05/29/15	Deobligation of Funds
Ltr. CMD-15-103	06/02/15	Approved David E. Eyler to replace Fred Dohse as Executive Vice President, and Chief Operating Officer; deleted Senior Vice President and Deputy Lab Director SRNL key personnel position.
549	06/02/15	Section I.61, Work Authorizations (12 various)
550	<i>TBD</i>	<i>Reserved</i>
551	06/17/15	Section I.61, Work Authorizations (2 various)
552	06/24/15	Obligation of Funds
553	06/16/15	Section I.61, Work Authorization (1)
554	06/30/15	Deobligation of Funds
555	06/30/15	Obligation of Funds
556	06/30/15	Obligation of Funds
557	07/28/15	Obligation of Funds
558	07/28/15	Obligation of Funds
559	07/28/15	Obligation of Funds
560	07/29/15	Section I.61, Work Authorizations (7 various)
561	08/20/15	Obligation of Funds
562	08/20/15	Obligation of Funds
563	08/20/15	Obligation of Funds
564	08/31/15	Section I.61, Work Authorizations (6 various)
565	08/31/15	Deobligation of Funds (ARRA)
566	09/02/15	Section I.61, Work Authorization (multiple-minor SRNL B&Rs no year funds period of performance extended to 09/30/16)
567	09/10/15	Section I.61, Work Authorization (1)
568	09/17/15	Obligation of Funds
569	09/17/15	Obligation of Funds
570	09/17/15	Obligation of Funds

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571	09/21/15	Section I.61, Work Authorization (1)
572	09/29/15	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/16)
573	09/29/15	Deobligation of Funds (ARRA)
574	09/30/15	Clause H-28, FY2016 PEMP, Rev. 0
575	09/30/15	Obligation of Funds
576	09/30/15	Deobligation of Funds
577	09/30/15	Obligation of Funds
578	09/30/15	Deobligation of Funds (ARRA)
579	10/06/15	Updated CORs list (Section J, Appendix P)
580	04/04/16	DEAR 970.5243-1 Changes, (add Clause H-69, Management and Operating Contractor (M&O) Subcontract Reporting)
581	10/21/15	DEAR 970.5243-1 Changes (replace Clause H-68 with revised H-68 Clause - Conference Mgmt)
582	10/13/15	Section I.61, Work Authorization (1)
583	10/20/15	Obligation of Funds
584	10/20/15	Obligation of Funds
585	10/26/15	Section I.61, Work Authorizations (3)
586	10/29/15	DEAR 970.5243-1 Changes (correction to Mod 583 and add Mod 585 supporting documents)
587	11/13/15	Section I.61, Work Authorizations (2)
588	11/16/15	Obligation of Funds
589	11/17/15	Obligation of Funds
590	11/17/15	Deobligation of Funds
591	11/19/15	Section I.61, Work Authorization (1)
592	11/30/15	Section I.61, Work Authorization (1) and Mod 587 correction
593	12/17/15	Obligation of Funds
594	12/17/15	Obligation of Funds
595	12/17/15	Obligation of Funds
596	01/11/16	Clause H-28, FY2016 PEMP, Rev. 1
597	01/19/16	Obligation of Funds
598	01/19/16	Obligation of Funds
599	01/19/16	Obligation of Funds
600	01/25/16	Section I.61, Work Authorizations (6)
601	01/28/16	Obligation of Funds
602	01/28/16	Obligation of Funds
603	01/28/16	Obligation of Funds
604	01/28/16	Section I.61, Work Authorization (1)
605	02/17/16	Section I.61, Work Authorizations (3)
606	02/23/16	Obligation of Funds
607	02/23/16	Obligation of Funds
608	02/23/16	Obligation of Funds
609	03/16/16	Section I.61, Work Authorizations (16)
610	02/25/16	Obligation of Funds
611	03/22/16	Section I.61, Work Authorizations (3) (and corrected index page for Mod 609)
612	03/24/16	Obligation of Funds
613	03/24/16	Obligation of Funds
614	03/24/16	Obligation of Funds
615	04/12/16	Section I.61, Work Authorizations (11)

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Modification No.	Date	Comment
Ltr. CMD-16-084	04/14/16	Approved Norman G. Powell to replace Margaret A. (Peggy) Davis as Senior Vice President, Business Services
Ltr. CMD-16-085	04/14/16	Approved Kliss McNeel to replace Alice C. Doswell as Senior Vice President, Environment, Safety, Health and Area Completion
616	04/21/16	Obligation of Funds
617	04/21/16	Obligation of Funds
618	04/21/16	Obligation of Funds
619	05/09/16	Section I.61, Work Authorizations (6)
620	06/30/16	Section I.61, Work Authorizations (2)
621	05/24/16	Obligation of Funds
622	05/24/16	Obligation of Funds
623	05/25/16	Obligation of Funds
624	02/08/17	DEAR 970.5243-1 Changes - Revised H-14 Clause- Employee Compensation: Pay and Benefits
625	06/09/16	Section I.61, Work Authorizations (14)
626	Reserved	<i>(Clause H-28, FY2016 PEMP, Rev. 2 not executed)</i>
627	08/04/16	Section F, Exercise of Option (to Extend Contract)
628	06/29/16	Obligation of Funds
629	06/29/16	Obligation of Funds
630	06/29/16	Obligation of Funds
631	07/13/16	Deobligation of Funds
632	07/14/16	Section I.61, Work Authorizations (10)
633	07/27/16	Obligation of Funds
634	07/27/16	Obligation of Funds
635	07/28/16	Obligation of Funds
636	08/08/16	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
Ltr. CMD-16-123	08/08/16	Approved Stuart MacVean to replace Carol Johnson as President and Chief Executive Officer
637	08/10/16	Section I.61, Work Authorizations (2)
638	08/10/16	Section I.61, Work Authorization (1)
639	08/17/16	Section I.61, Work Authorizations (9)
640	08/24/16	Obligation of Funds
641	08/25/16	Obligation of Funds
642	08/25/16	Obligation of Funds
643	08/29/16	Section I.61, Work Authorization (multiple-minor SRNL B&Rs no year funds period of performance extended to 09/30/17)
644	09/15/16	Section I.61, Work Authorizations (8)
645	09/26/16	Obligation of Funds
646	09/26/16	Obligation of Funds
647	09/26/16	Obligation of Funds
648	09/29/16	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/17)
649	09/30/16	Clause H-28, FY2017 PEMP, Rev. 0
650	09/28/16	Obligation of Funds
651	09/28/16	Obligation of Funds
652	09/29/16	Obligation of Funds
653	10/24/16	Section I.61, Work Authorizations (6)
654	10/25/16	Section I.61, Work Authorization (1)

Modification No.	Date	Comment
655	10/26/16	Obligation of Funds
656	11/01/16	Obligation of Funds
657	11/16/16	Section I.61, Work Authorizations (7)
658	11/18/16	Obligation of Funds
659	11/18/16	Obligation of Funds
660	11/18/16	Obligation of Funds
661	11/22/16	Section I.61, Work Authorization (1)
662	11/29/16	DEAR 970.5243-1 Changes [Updated Key Personnel list (Section J, Appendix D)]
663	12/06/16	Section I.61, Work Authorizations (6)
664	12/20/16	Obligation of Funds
665	12/20/16	Obligation of Funds
666	12/20/16	Obligation of Funds
667	01/11/17	Section I.61, Work Authorization (1)
668	01/12/17	Obligation of Funds
669	01/12/17	Obligation of Funds
670	01/13/17	Deobligation of Funds
671	01/26/17	Section I.61, Work Authorizations (14)
672	01/23/17	Obligation of Funds
673	01/23/17	Obligation of Funds
674	01/23/17	Obligation of Funds
675	01/31/17	Section I.61, Work Authorization (1)
676	02/17/17	Section I.61, Work Authorizations (2)
677	02/22/17	Obligation of Funds
678	02/22/17	Obligation of Funds
679	02/23/17	Deobligation of Funds
680	03/15/17	Section I.61, Work Authorizations (5)
681	03/27/17	Obligation of Funds
682	03/28/17	Obligation of Funds
683	03/28/17	Obligation of Funds
684	03/31/17	Clause H-28, FY2017 PEMP, Rev. 1
685	04/13/17	Section I.61, Work Authorizations (4)
686	04/18/17	Section I.61, Work Authorization (1)
687	04/19/17	Obligation of Funds
688	04/19/17	Obligation of Funds
689	04/19/17	Obligation of Funds
690	05/15/17	Section I.61, Work Authorizations (3)
691	04/27/17	Obligation of Funds
692	05/03/17	Updated CORs list (Section J, Appendix P)
693	05/18/17	Section I.61, Work Authorizations (2)
694	05/23/17	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
695	05/30/17	Obligation of Funds
696	05/30/17	Obligation of Funds
697	05/30/17	Obligation of Funds
698	06/05/17	Section I.61, Work Authorizations (corrections to Mod 600 documentation)
699	06/14/17	Obligation of Funds
700	06/15/17	Section I.61, Work Authorizations (6)
701	06/20/17	Clause H-28, FY2017 PEMP, Rev. 2
702	06/23/17	Section I.61, Work Authorizations (3)
703	06/26/17	Obligation of Funds
704	06/26/17	Obligation of Funds
705	06/26/17	Obligation of Funds

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706	07/14/17	Section I.61, Work Authorizations (5)
707	07/11/17	Deobligation of Funds
708	07/24/17	Obligation of Funds
709	07/24/17	Obligation of Funds
710	07/25/17	Deobligation of Funds
711	07/28/17	Section I.61, Work Authorization (1)
712	07/28/17	Section I.61, Work Authorization (1)
Ltr. 2017-00165	08/02/17	Replace Bruce Stanski as Responsible Corporate Official with Thomas D'Agostino (Clause H-38)
713	08/14/17	Section I.61, Work Authorizations (8)
714	08/17/17	Deobligation of Funds
715	08/17/17	Obligation of Funds
716	08/17/17	Obligation of Funds
717	08/31/17	Section I.61, Work Authorizations (13)
718	08/30/17	Obligation of Funds
719	08/30/17	Obligation of Funds
720	09/13/17	Section I.61, Work Authorizations (12)
721	09/12/17	Obligation of Funds
722	09/18/17	Section I.61, Work Authorizations (2)
723	09/23/17	Section I.61, Work Authorization (multiple-minor SRNL B&Rs no year funds period of performance extended to 09/30/18)
724	09/22/17	Obligation of Funds
725	09/22/17	Obligation of Funds
726	09/22/17	Obligation of Funds
727	09/29/17	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/18)
728	09/29/17	Clause H-28, FY2018 PEMP, Rev. 0
729	09/29/17	Obligation of Funds
730	09/29/17	Obligation of Funds
731	09/29/17	Obligation of Funds
732	10/16/17	Section I.61, Work Authorizations (7)
733	10/19/17	Obligation of Funds
734	10/19/17	Obligation of Funds
735	11/08/17	Section I.61, Work Authorizations (5)
736	11/02/17	Clause H-38, Responsible Corporate Official (replace B. Stanski with T. D'Agostino)
737	11/16/17	Obligation of Funds
738	11/16/17	Obligation of Funds
739	11/16/17	Obligation of Funds
740	12/07/17	Section I.61, Work Authorizations (5)
741	12/14/17	Revised Clause H-14, Employee Compensation: Pay and Benefits based on DOE AL-2018-02
742	12/18/17	Obligation of Funds
743	12/19/17	Deobligation of Funds
744	12/19/17	Obligation of Funds
745	2/1/18	Section I.61, Work Authorizations (7)
746	1/17/18	Deobligation of Funds
747	1/22/18	Obligation of Funds
748	1/22/18	Deobligation of Funds
749	1/25/18	Correction of FinPlan in Mod 748 (Should be 29 not 28)

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Modification No.	Date	Comment
750	1/26/18	Obligation of Funds
751	1/30/18	Deobligation of Funds
752	1/30/18	Obligation of Funds
753	2/22/18	Section I.61 Work Authorizations (17)
754	2/5/18	Obligation of Funds
755	2/6/18	Deobligation of Funds
756	2/6/18	Obligation of Funds
757	2/8/18	Obligation of Funds
758	2/23/18	Obligation of Funds
759	2/23/18	Obligation of Funds
760	2/26/18	Deobligation of Funds
761	2/28/18	Section I.61 Work Authorizations (5)
762	3/5/18	Section I.61 Work Authorizations (2)
763	3/15/18	Section I.61 Work Authorizations (9)
764	3/21/18	Obligation of Funds
765	3/21/18	Obligation of Funds
766	3/21/18	Obligation of Funds
767	4/23/18	Section I.61 Work Authorizations (8)
768	4/26/18	Obligation of Funds
769	4/26/18	Obligation of Funds
770	4/26/18	Deobligation of Funds
771	TBD	TBD
772	5/29/18	Section I.61 Work Authorizations (8)
773	5/23/18	Obligation of Funds
774	5/23/18	Obligation of Funds
775	5/24/18	Obligation of Funds
776	5/30/18	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
777	6/14/18	Section I.61 Work Authorizations (10)
778	6/14/18	Obligation of Funds
779	7/10/18	Section I.61 Work Authorizations (6)
780	6/27/18	Obligation of Funds
781	6/27/18	Obligation of Funds
782	6/28/18	Deobligation of Funds
783	7/3/18	Clause H-28, FY2018 PEMP, Rev. 1
784	7/10/18	Obligation of Funds
785	8/7/18	Section I.61 Work Authorizations (6)
786	8/8/18	Section I.61 Work Authorizations (3)
787	7/26/18	Obligation of Funds
788	7/27/18	Obligation of Funds
789	7/27/18	Correction of FinPlan in Mod 788 (Should be 42 not 40)
790	7/27/18	Deobligation of Funds
791	7/30/18	Extension of Contract to July 31, 2019
792	8/10/18	Correction of Mods 787, 788, 790 (Corrected CLIN 001 total and total funds total)
793	8/13/18	Incorporated NNSA PEMP Rev. 1 (attachment to PEMP Rev. 1)
794	8/14/18	Obligation of Funds
795	8/14/18	Obligation of Funds
796	8/15/19	Deobligation of Funds
797	8/28/18	Section I.61 Work Authorizations (8)
798	8/21/18	Obligation of Funds

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Modification No.	Date	Comment
799	8/21/18	Obligation of Funds
800	8/22/18	Obligation of Funds
801	8/29/18	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/19)
802	9/17/18	Section I.61, Work Authorizations (9)
803	9/26/18	Section I.61, Work Authorizations (5)
804	9/13/18	Obligation of Funds
805	9/13/18	Obligation of Funds
806	9/17/18	Deobligation of Funds
807	9/18/18	Obligation of Funds
808	9/20/18	Submittal of FY18 SRNL PEMP Rev 1
809	10/30/18	Section I.61, Work Authorizations (12)
810	9/20/18	Deobligation of Funds
811	12/31/18	Clause H-28, PEMP for Extension #1 (8/1/18-7/31/19)
812	9/27/18	Obligation of Funds
813	9/27/18	Obligation of Funds
814	9/27/18	Obligation of Funds
815	9/28/18	Section I.61, Work Authorizations (multiple Base PBS scope Period of Performance extended to 7/31/19)
816	10/24/18	Obligation of Funds
817	10/24/18	Obligation of Funds
818	10/25/18	Deobligation of Funds
819	11/15/18	Section I.61 Work Authorizations (Modified base PBS Funding to reflect a actual appropriations)
820	12/11/18	Section I.61 Work Authorizations (2)
821	11/26/18	Obligation of Funds
822	11/26/18	Obligation of Funds
823	11/26/18	Obligation of Funds
824	1/17/19	Section I.61 Work Authorizations (4)
825	12/17/18	Obligation of Funds
826	12/17/18	Obligation of Funds
827	12/19/18	Deobligation of Funds
828	1/17/19	Section I.61 Work Authorizations (4)
829	1/23/19	Obligation of Funds
830	1/24/19	Obligation of Funds
831	1/24/19	Obligation of Funds
832	2/5/19	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
833	3/18/19	Section I.61 Work Authorizations (6)
834	5/23/19	Updated COR List
835	2/6/19	Section J/Appendix D Key Personnel List
836	2/26/19	Obligation of Funds
837	2/26/19	Obligation of Funds
838	2/27/19	Obligation of Funds
839	3/29/19	Section I.61 Work Authorizations (6)
840	3/25/19	Obligation of Funds
841	3/25/19	Obligation of Funds
842	3/26/19	Obligation of Funds
843	4/18/19	Section I.61 Work Authorizations (3)
844	4/30/19	Obligation of Funds

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Modification No.	Date	Comment
845	4/30/19	Obligation of Funds
846	4/30/19	Deobligation of Funds
847	5/16/19	Section I.61 Work Authorizations (5)
848	5/21/19	Obligation of Funds
849	5/22/19	Obligation of Funds
	5/22/19	Obligation of Funds
851	6/26/19	Obligation of Funds
852	6/25/19	Obligation of Funds
853	6/25/19	Deobligation of Funds
854	6/27/19	Section I.61 Work Authorizations (6)
855	7/11/19	H-70 Clause – Workforce Restructuring
856	7/18/19	Section I.61 Work Authorizations (2)
857	7/15/19	Extension of Contract to 9/30/20 with 2 12 month Options
858	7/25/19	Obligation of Funds
859	7/25/19	Obligation of Funds
860	7/25/19	Obligation of Funds
861	7/30/19	Section I.61 Work Authorizations (multiple Base PBS scope Period of Performance extended to 9/30/19)
862	7/31/19	Section I.61 Work Authorizations (7)
863	8/21/19	Section I.61 Work Authorizations (11)
864	8/28/19	Obligation of Funds
865	8/29/19	Obligation of Funds
866	8/29/19	Obligation of Funds
867	9/24/19	Section I.61 Work Authorizations (3)
868	9/19/19	Obligation of Funds
869	9/19/19	Obligation of Funds
870	9/20/19	Obligation of Funds
871	11/15/19	Clause H-28, PEMP for Extension #2 (8/1/19-9/30/20)
872	9/30/19	Correction of FinPlan in Mod 857 [deleted and replaced a paragraph in section B-2.3(b)]
873	9/27/19	Obligation of Funds
874	9/27/19	Obligation of Funds
875	9/27/19	Obligation of Funds
876	10/8/19	Section I.61 Work Authorizations (8)
877	10/23/19	Obligation of Funds
878	10/23/19	Obligation of Funds
879	10/31/19	Section I.61 Work Authorizations (2)
880	11/20/19	Obligation of Funds
881	11/20/19	Obligation of Funds
882	11/20/19	Obligation of Funds
883	01/23/20	Section I.61 Work Authorizations (8)
884	12/18/19	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
885	12/18/19	Obligation of Funds
886	12/18/19	Obligation of Funds
887	12/18/19	Obligation of Funds
888	1/29/20	Update to List I.27 DEAR 952.204-71 (Mar 2011)
889	1/29/20	Obligation of Funds
890	1/29/20	Obligation of Funds
891	1/29/20	Obligation of Funds

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892	2/11/20	Section I.61 Work Authorizations (4)
893	2/11/20	Obligation of Funds
894	2/25/20	Obligation of Funds
895	2/25/20	Obligation of Funds
896	2/25/20	Obligation of Funds
897	3/10/20	Section I.61 Work Authorizations (4)
898	7/2/20	Addition of CLIN0007 for Capital Construction Projects
899	3/26/20	Obligation of Funds
900	3/26/20	Obligation of Funds
901	3/26/20	Obligation of Funds
902	4/7/20	Section I.61 Work Authorizations (5)
903	4/23/20	H-72 Clause – Indemnification for COVID
904	4/23/20	H-73 Clause – CARES ACT for COVID
905	4/27/20	Obligation of Funds
906	4/27/20	Obligation of Funds
907	4/27/20	Obligation of Funds
908	5/5/20	Section B-2.3(b) MOX/SRPPF Fee
909	5/4/20	Section I.61 Work Authorizations (11)
910	5/7/20	Section J, Appendix Q Advance Agreement
911	5/20/20	Obligation of Funds
912	5/20/20	Obligation of Funds
913	5/20/20	Obligation of Funds
914	6/4/20	Section I.61 Work Authorizations (3)
915	6/16/20	Extension of Contract to 9/30/21
916	6/24/20	Partial Stop Work for Non-Portable Work due to COVID-19
917	6/23/20	Admin Mod additional details for Extension Mod 915
918	6/24/20	Obligation of Funds
919	6/24/20	Obligation of Funds
920	6/24/20	Obligation of Funds
921	7/7/20	Section I.61 Work Authorizations (4)
922	7/28/20	Revised FY20 PEMP
923	7/22/20	Obligation of Funds
924	7/22/20	Obligation of Funds
925	7/23/20	Obligation of Funds
926	12/15/20	Clause H-28, FY21 PEMP (12/1/20-9/30/21)
927	8/11/20	Section I.61 Work Authorizations (7)
928	9/9/21	Revised I.39 – DEAR 970.5204-3 Access to and Ownership of Records (Oct 2014)
929	8/21/20	Administrative Mod to correct a checkbox under the General Tab in the Contracting Writing System
930	8/24/20	Obligation of Funds
931	8/25/20	Obligation of Funds
932	8/25/20	Obligation of Funds
933	9/10/20	Section I.61 Work Authorizations (8)
934	9/17/20	Obligation of Funds
935	9/18/20	Obligation of Funds
936	9/22/20	Deobligation of Funds
937	9/23/20	I.61 Work Authorization (Extend POP)
938	9/28/20	I.61 Work Authorization (POP Extension)
939	9/29/20	Obligation of Funds
940	9/29/20	Obligation of Funds
941	9/29/20	Obligation of Funds
942	10/13/20	H-73 Updated CARES Act Clause through 12/11/20

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943	10/15/20	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
944	10/29/20	Incorporation of DOE O 486.1A
945	10/26/20	Section I.61 Work Authorizations (13)
946	10/26/20	Deobligation of Funds
947	10/26/20	Obligation of Funds
948	10/27/20	Obligation of Funds
949	11/17/20	Section I.61 Work Authorizations (3)
950	11/18/20	Obligation of Funds
951	11/19/20	Obligation of Funds
952	11/19/20	Obligation of Funds
953	12/9/20	Section I.61 Work Authorizations (4)
954	12/14/20	H-73 Updated CARES Act Clause through 12/18/20
955	12/16/20	Obligation of Funds
956	12/16/20	Obligation of Funds
957	12/17/20	Obligation of Funds
958	12/23/20	H-73 Updated CARES Act Clause through 12/28/20
959	12/30/20	H-73 Updated CARES Act Clause through 3/31/21
960	1/13/21	Section I.61 Work Authorizations (2)
961	1/20/21	Section J/Appendix D Key Personnel List
962	1/21/21	Obligation of Funds
963	1/22/21	Obligation of Funds
964	1/22/21	Obligation of Funds
965	2/18/21	Addition to COVID Advance Agreement
966	2/18/21	Section I.61 Work Authorizations (9)
967	2/22/21	Addition to COVID Advance Agreement
968	2/23/21	Obligation of Funds
969	2/24/21	Obligation of Funds
970	2/25/21	Obligation of Funds
971	3/8/21	Deobligation of Funds
972	3/17/21	H-73 Updated CARES Act Clause through 9/30/21
973	3/23/21	Obligation of Funds
974	3/24/21	Obligation of Funds
975	3/25/21	Obligation of Funds
976	3/30/21	Section I.61 Work Authorizations (8)
977	4/21/21	Obligation of Funds
978	4/21/21	Obligation of Funds
979	4/22/21	Obligation of Funds
980	4/27/21	Deobligation of Funds
981	5/17/21	Obligation of Funds
982	5/17/21	Obligation of Funds
983	5/17/21	Obligation of Funds
984	5/26/21	Deobligation of Funds
985	5/27/21	Deobligation of Funds
986	6/17/21	Removal of SRNL Scope Sections B, C, J (App D)
987	6/14/21	Deobligation of Funds
988	6/15/21	Deobligation of Funds
989	6/17/21	Deobligation of Funds
990	6/17/21	Deobligation of Funds
991	6/24/21	Deobligation of Funds
992	6/24/21	Obligation of Funds
993	6/24/21	Obligation of Funds
994	7/20/21	Extension of Contract to 9/30/22

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995	7/16/21	Clause H-28, FY21 PEMP Rev.1 (12/1/20-9/30/21)
996	7/20/21	Administrative Mod to correct a checkbox under the General Tab in the Contracting Writing System
997	7/27/21	Deobligation of Funds
998	7/27/21	Obligation of Funds
999	7/27/21	Deobligation of Funds
1000	8/4/21	Administrative Mod to correct type on mod 997
1001	8/17/21	Obligation of Funds
1002	8/26/21	Clause I.38 Laws, Regulations, and DOE Directives (update of Section J, Appendix E – List B)
1003	9/28/21	Update to COVID Advance Agreement
1004	9/27/21	Obligation of Funds
1005	9/27/21	Obligation of Funds
1006	9/29/21	Obligation of Funds
1007	9/30/21	Clause H-28, FY22 PEMP Rev 0 (10/1/21 – 9/30/22)
1008	TBD	Mod Not Issued
1009	10/13/21	I.64 – FAR 52.223-99
1010	10/25/21	Obligation of Funds
1011	10/25/21	Obligation of Funds
1012	11/17/21	Obligation of Funds
1013	11/18/21	Deobligation of Funds
1014	12/14/21	Obligation of Funds
1015	1/25/22	Obligation of Funds
1016	1/25/22	Obligation of Funds
1017	2/24/22	Deobligation of Funds
1018	2/25/22	Obligation of Funds
1019	3/2022	Update COR List, Delete I.55 Clause, Update I.22 Clause, Update Key Personnel, Conformed Contract

SEP 19 2012

U.S. Department of Energy

CONTRACT SECURITY CLASSIFICATION SPECIFICATION (CSCS)

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1. CSCS No.:		2. Previous CSCS No.:		3a. Reason for Action: (Check One) <input type="checkbox"/> Add <input checked="" type="checkbox"/> Change <input type="checkbox"/> Terminate b. Item Numbers Modified: 4.c	
4. This Specification Is For: (Complete as Applicable)				5. Specification Is: (Complete as Applicable)	
(Check One) a. <input type="checkbox"/> Contract or Other Number <input type="checkbox"/> Solicitation Contract Type: _____ b. Contract Number: _____ End Date: _____ (Estimated) c. Contract Number of Prime: <u>DE-AC09-08SR22470</u> End Date: <u>09/30/2016</u> (Complete if registering or soliciting a subcontract) (Estimated)				a. Original (Complete data in all cases) Date <u>01/22/2008</u>	
				b. Revised (Supercedes all previous specifications) Date <u>09/13/2012</u>	
				c. Certificate of Possession Retention of Classified Matter is Authorized Until Date _____	
				d. Final Certificate of Non-Possession or Equivalent Date _____	
6. General Identification of this Procurement Management and Operating Contract for Savannah River Site					
7. Contractor					
a. Facility Code		b. Name, Address, and Zip Code		c. Cognizant Security Office (Name, Address, and Zip Code)	
8. Prime Contractor (Complete if registering or soliciting a subcontract)					
a. Facility Code 6683		b. Name, Address, and Zip Code Savannah River Nuclear Solutions, LLC 203 Laurens St SW Aiken, SC 298012421		c. Cognizant Security Office (Name, Address, and Zip Code) DOE-Savannah River	
9. Actual Place of Performance (DOE Facilities) (Attach additional entries as necessary on page 3)					
a. Facility Code		b. Name, Address, and Zip Code		c. Cognizant Security Office (Name, Address, and Zip Code)	
Actual Place of Performance (NON DOE Facilities) (Attach additional entries as necessary)					
a. ID Code		b. Name, Address, and Zip Code		c. Cognizant Security Office (Name, Address, and Zip Code)	
10. Clearance and Storage (enter level and category)				11. This Contract Will Require Access To:	
a. Classification of Matter to be Accessed: <input checked="" type="checkbox"/> TSRD <input type="checkbox"/> TSFRD <input type="checkbox"/> TSNSI <input checked="" type="checkbox"/> SRD <input type="checkbox"/> SFRD <input type="checkbox"/> SNSI <input type="checkbox"/> CRD <input type="checkbox"/> CFRD <input type="checkbox"/> CNSI <input type="checkbox"/> U b. Level of Storage Required at Contractor Facility: <input type="checkbox"/> TSRD <input type="checkbox"/> TSFRD <input type="checkbox"/> TSNSI <input checked="" type="checkbox"/> SRD <input type="checkbox"/> SFRD <input type="checkbox"/> SNSI <input type="checkbox"/> CRD <input type="checkbox"/> CFRD <input type="checkbox"/> CNSI <input type="checkbox"/> U c. Level of Storage for this Contract: <input type="checkbox"/> TSRD <input type="checkbox"/> TSFRD <input type="checkbox"/> TSNSI <input checked="" type="checkbox"/> SRD <input type="checkbox"/> SFRD <input type="checkbox"/> SNSI <input type="checkbox"/> CRD <input type="checkbox"/> CFRD <input type="checkbox"/> CNSI <input type="checkbox"/> U d. Access Authorization: <input checked="" type="checkbox"/> Q <input type="checkbox"/> L				<input checked="" type="checkbox"/> SCI <input checked="" type="checkbox"/> OTHER DCI CAVEATS <input checked="" type="checkbox"/> COMSEC <input checked="" type="checkbox"/> FGI <input checked="" type="checkbox"/> NATO <input checked="" type="checkbox"/> WD/SIGMAS: _____ <input type="checkbox"/> OTHER: _____	
12. In Performing this Contract, the Contractor Will:					
<input checked="" type="checkbox"/> Have Access to Classified Information Only at Another Contractor's Facility or a Government Activity <input checked="" type="checkbox"/> Generate Classified Matter <input checked="" type="checkbox"/> Perform Services That Require Unescorted Access to Security Areas <input checked="" type="checkbox"/> Have Access to U.S. Classified Information Outside the U.S., Puerto Rico, U.S. Possessions and Trust Territories <input type="checkbox"/> Other (Specify)				<input checked="" type="checkbox"/> Receive Classified Matter <input checked="" type="checkbox"/> Fabricate, Modify, or Store Classified Items (e.g., Hardware or Substances) <input checked="" type="checkbox"/> Be Authorized to Use the Services of the Office of Scientific & Technical Information to Receive Classified Matter <input checked="" type="checkbox"/> Require a COMSEC Account <input type="checkbox"/> Be Authorized to Use the Defense Courier Service	

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13. Classification Guidance

The classification guidance needed for this classified effort is identified below. Note: Guidance which is in itself classified should be referenced here and provided under separate cover.

SR-IC-50, CG-SRS-IC51-1, CG-NMP-2, TCG-BTS-2, CG-SS-4, CG-SS-4A, and CG-TSS-3

14. Security Requirements

Security requirements are established for this contract and are identified in the following contracts/solicitation clauses.

- ☒ DEAR 952.204-2 Security Requirements ☒ DEAR 952.204-73 Facility Clearance (Solicitation)
☒ DEAR 952.204-70 Classification/Declassification ☒ DEAR 970.5204.1 Counterintelligence (for management contracts ONLY)

15. Surveys

DOE Surveying Office is Savannah River

Elements of this contract are outside the survey responsibility of the Cognizant Security Office and/or the Surveying Office.

- ☒ No ☐ Yes (Identify specific areas and provide explanation/justification for each)

16. Certification and Signature. Security requirements stated herein are complete and adequate for safeguarding the classified information to be released or generated under this classified contract. All questions shall be referred to the official named below:

a. Typed Name of Procurement Request Originator

James Lovett, Jr.

b. Title and Organization

Contracting Officer
Office of Contracts Management

c. Telephone (Include Area Code)

(803) 952-4829

d. Address (Include Zip Code)

Savannah River Operations Office
U. S. Department of Energy
P. O. Box A
Aiken, SC 29802

e.

Signature

Date

17. Typed Name of Contracting Official

Marie Garvin

Signature

Date

18. Typed Name of Classification Officer (Approval of Block 13)

Jayne B. Slack

Signature

Date

19a. Typed Name of Local DOE Security Officer

Signature

Date

a. Responsible Office

20. Required Distribution

- ☒ Contractor ☐ Administering Contracting Officer
☐ Subcontractor ☐ Surveying Office If Different than Cognizant Security Office
☐ Cognizant Security Office ☐ Others, as Necessary

21. General Comments:

[illegible]