

DEPARTMENT OF ENERGY (DOE) 1.2: Grant and Cooperative Agreement Records

DOE Records Disposition Schedules (RDS)							
New DOE 1.2				Old Authorities			
Schedule No.	Item No.	Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
Grants							
DOE 1.2	010	10 years	DAA-0434-2020-0007-0009	NEW	--	--	--
Cooperative Agreements							
DOE 1.2	020	10 years	DAA-0434-2020-0007-0002	ENV 1	h(1)	10 years	N1-434-98-28, Item 1h1
				ENV 1	h(2)(a)	10 years	N1-434-98-28, Item 1h(2)(a)
				ENV 1	h(2)(b)	10 years	N1-434-98-28, Item 1h(2)(b)
				ENV 1	h(2)(c)	10 years	N1-434-98-28, Item 1h(2)(c)
				ENV 1	h(2)(d)	10 years	N1-434-98-28, Item 1h(2)(d)
				ENV 1	h(2)(e)	10 years	N1-434-98-28, Item 1h(2)(e)
Work for Others							
DOE 1.2	030	10 years	DAA-0434-2020-0007-0003	--	--	10 years	N1-434-95-5, Item 1a(1)
				--	--	Transfer to review case file	N1-434-95-5, Item 1b(1)
				--	--	Transfer project to review case file	N1-434-95-5, Item 1c(1)
				--	--	Transfer to project case file	N1-434-95-5, Item 1d(1)
				--	--	Transfer project deliverable	N1-434-95-5, Item 1e(1)
				--	--	10 years	N1-434-95-5, Item 1f(1)
				--	--	10 years	N1-434-95-5, Item 1f(2)
				--	--	Transfer title	N1-434-95-5, Item 1g
				--	--	3 years	N1-434-95-5, Item 1b(2)
				--	--	3 years	N1-434-95-5, Item 1c(2)
				--	--	3 years	N1-434-95-5, Item 1d(2)
				--	--	5 years	N1-434-95-5, Item 1e(2)
				DOE 1.2	050	10 years	DAA-0434-2020-0007-0005
--	--	10 years	N1-434-95-5, Item 2f(2)				
DOE 1.2	060	5 years	DAA-0434-2020-0007-0006	--	--	3 years	N1-434-95-5, Item 2c
				--	--	5 years	N1-434-95-5, Item 2d
				--	--	Superseded/ obsolete	N1-434-95-5, Item 2e
DOE 1.2	070	Permanent	DAA-0434-2020-0007-0007	--	--	Permanent	N1-434-95-5, Item 2a(1)
				--	--	Permanent	N1-434-95-5, Item 2f(1)(a)
DOE 1.2	080	10 years	DAA-0434-2020-0007-0008	--	--	10 years	N1-434-01-4, Item 1
GRS 1.2	020	10 years	DAA-GRS-2013-0008-0007	ADM 3	14.1	6 years, 3 months	N1-434-95-1, Item 1 (in part*)
				--	--	6 years, 3 months	N1-434-91-6, Item 1a(2) (in part*)

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				--	--	6 years, 3 months	N1-434-91-6, Item 1b (in part*)
Rescinded				--	--	2 years or no longer needed	N1-434-95-5, Item 1a(2)
				--	--	No longer needed	N1-434-95-5, Item 2f(1)(b)

* ADM 3.14.1, N1-434-91-6, (Boston Support Office - Grant Files), item 1a(2) and N1-434-91-6, (Boston Support Office - Grant Files), item 1b are all in part. . These only crosswalk in part to GRS 1.1, item 010 if financial records are segregated from the Case File.

Epidemiological
Moratorium

General Record Schedules (GRS)							
Note: GRS items listed below may have been listed in the DOE Administrative Schedules, as a DOE schedule with a GRS authority.							
New GRS 1.2				Old Authorities			
Schedule No.	Item No.	Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
GRS 1.2	010	3 years	DAA-GRS-2013-0008-0007	GRS 3	14	2 years	NC1-64-77-5 item 17b
<i>Utilize DOE 1.2, Item 010 for Program records related to grants, cooperative agreements, CRADAs and work for others</i>							
GRS 1.2	020	10 years	DAA-GRS-2013-0008-0001	New item			
<i>Utilize DOE 1.2, Item 020 for CERCLA-funded Cooperative Agreement Records</i>							
GRS 1.2	021	3 years	DAA-GRS-2013-0008-0006	GRS 3	13	3 years	NC1-GRS-81-2 item 14a
GRS 1.2	022	Destroy when business use ceases DOE Business Use: 1 year	DAA-GRS-2013-0008-0002	New item			
GRS 1.2	030	Destroy when business use ceases DOE Business Use: 1 year	DAA-GRS-2013-0008-0003	New item			
<i>Utilize DOE 1.2, Item 070 for Cooperative Research and Development Agreement (CRADA) Licensing and Collaborative Agreement and Cost-Sharing Agreements that meet requirements for permanent retention</i>							