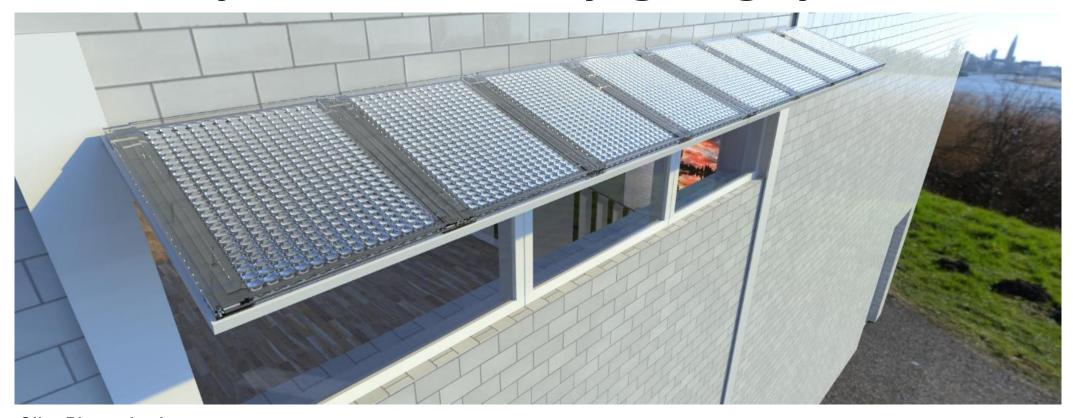
Stationary Concentrator Daylighting System



Glint Photonics Inc. Dr. Chris Gladden, Director of Engineering chris@glintphotonics.com

Project Summary

Timeline:

Start date: 10/1/2016

Planned end date: 9/30/2021

Key Milestones

1. Panels & Mechanics Survive Testing; 12/31/17

2. Panels Achieve >75% of Theoretical Performance 9/30/18

3. Field Installation Complete; 12/31/2020

Budget:

Total Project \$ to Date:

• DOE: \$1,074,441

Cost Share: \$304,656

Total Project \$:

• DOE: \$1,080,000

• Cost Share: \$270,000

Key Partners: N/A

Project Outcome:

The goal of this program is to scale the Glint Daylight Concentrator into a full-size integrated prototype and evaluate its performance in a field installation.

In this program Glint has developed a full-scale production prototype including internal actuation mechanism, light delivery system, and building interface.

Glint will install systems in a field installation in order to evaluate real-world performance, validate the expected >50% energy savings over a pre-installation baseline, meeting MYPP daylighting goals, attracting potential customers, and further investment in the technology.

Team

<u>Leading development of innovative optical devices</u>

- Advanced materials and device designs
- Unique IP in self-tracking solar concentrators, tunable IR optical devices, and advanced luminaires
- Unique IP in LED lighting
- Successful deployment of award winning LED lighting products

Technical leadership:

Over 60 years combined experience in materials and device technologies, product development, startup companies

Expertise:

Engineering staff from a variety of technical fields.

Expertise in optical device design, optoelectronics, process development, simulation, optical test

Facilities:

Large mixed lab, office, light manufacturing and warehouse space. Located in Burlingame, CA.

• History:

Founded in October 2010. Over \$7 million in government funding.



Dr. Peter Kozodoy Founder & CEO



Dr. Chris Gladden
Director of Engineering

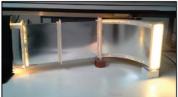








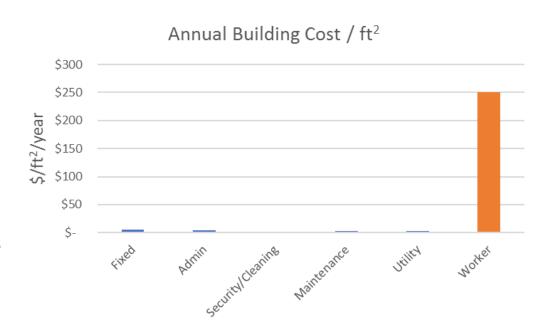




Challenge

Human Costs Dominate Building Expenses

- Human factors offer the biggest cost saving opportunity in buildings
- Total O&M + fixed costs: < \$15/ft²
- Typical office worker: >\$250/ft²
- Average office utility costs are ~\$2.35/ft²



<u>Increasing worker productivity by 10% could save 10</u> times more that the total cumulative utility costs.

Challenge

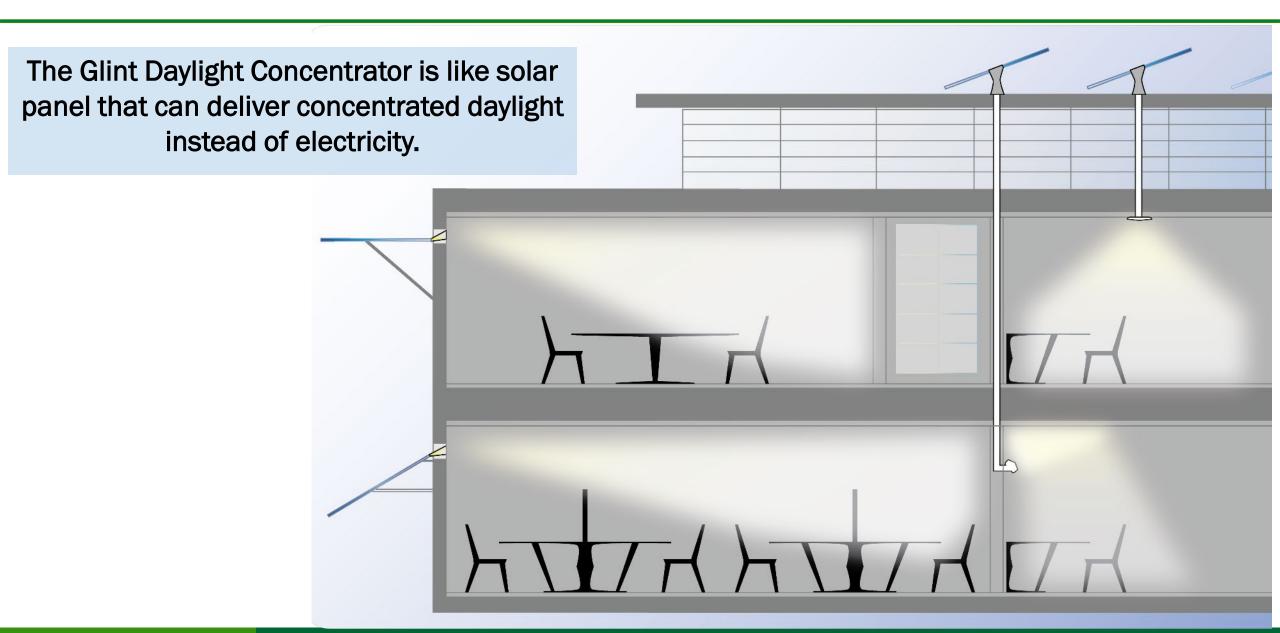
Many building interiors have insufficient daylight

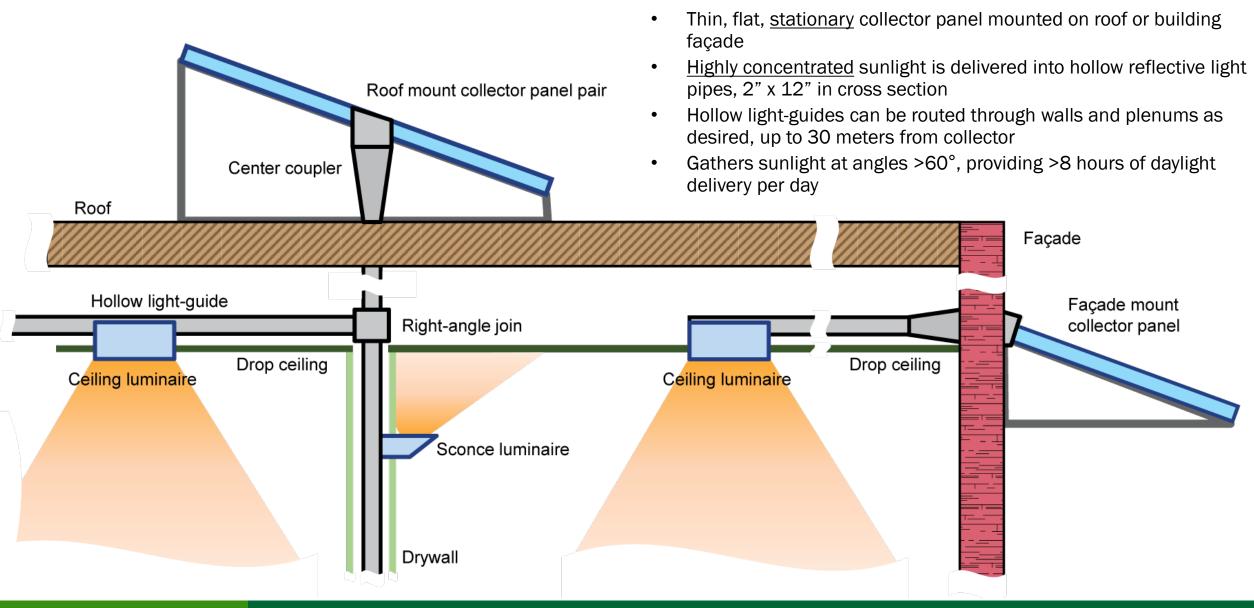
Studies suggest that by adding high quality daylight to buildings:

- Retail sales increase 31%-49% ¹
- Students progress 20%-26% faster in reading and math ²
- Office worker productivity increases by 13% ³
- Occupants can maintain healthy circadian rhythm, have increased cognitive performance, and decreased stress levels ^{4,5}

The Glint Daylight Concentrator is a revolutionary new product that can bring natural daylight deep into the interior of buildings

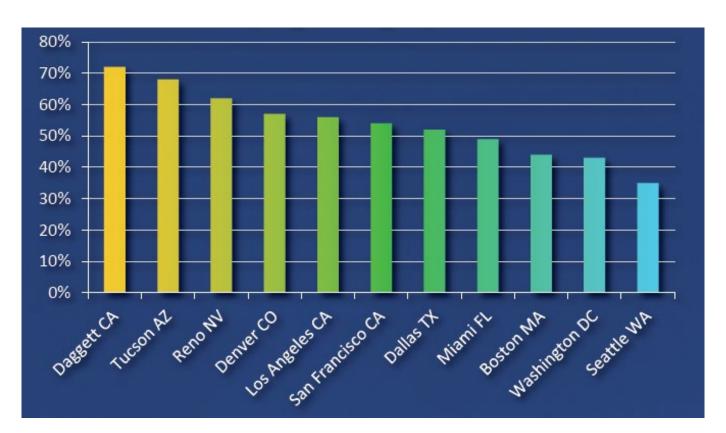
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- 2. Heschong Mahone Group (1999). Daylighting in Schools An Investigation into the Relationship Between Daylighting and Human Performance
- 3. Heschong Mahone Group, I. (2003). Windows and Offices: A Study of Office Worker Performance and the Indoor Environment.
- 4. Lucas, R.J., et al. (1999) Regulation of the mammalian pineal by non-rod, non-cone, ocular photoreceptors. Science Vol. 284, Issue 5413, pp. 505–507
- 5. Gabal V, et al. (2013) Effects of artificial dawn and morning blue light on daytime cognitive performance, well-being, cortisol and melatonin levels. Chronobiology International 30(8) 988-97





Market Opportunity

- 93% of the \$6B daylighting market is currently skylights for commercial and institutional buildings
- California commercial buildings
 - 600,000 buildings with 6 billion square feet
 - 120,000 buildings per year perform alterations to improve energy efficiency
- Initial market: Commercial buildings in CA performing window glazing replacement or new construction (~12,000 buildings)



predicted annual lighting energy savings for US locations

Key Risks & Mitigation

Risk 1 - Mechanical Actuation System Accuracy

- Develop actuation system with mechanical advantage
- Characterize performance with motion capture

Risk 2 - Solar Position Algorithm

- Deploy photodiode based sun tracker
- Long term algorithm testing

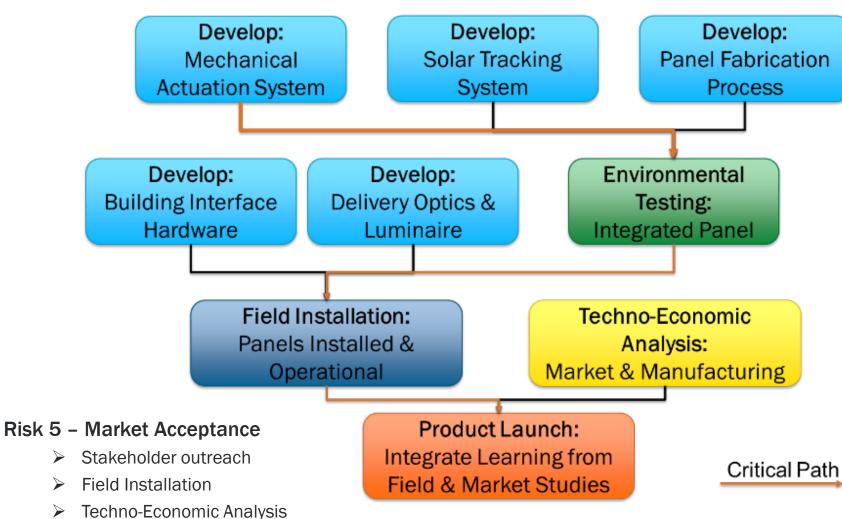
Risk 3 - Panel Sealing and Environmental Durability

- Redesign panel sealing interface
- Extended environmental testing

Risk 4 - Building Interface System

- > Down-select to roof or façade
- Develop mounting hardware w/ input from field installation

Project Plan Overview



Impact

Multi-Year Program Plan Alignment

Project aligns with MYPP window light redirection and daylighting goals to reduce cost, improve aesthetics, reduce glare, and improve energy offset with higher efficiency and deeper redirection.

Daylighting Technologies	Lighting energy use (% reduction) 50 ft. floor plate;	16%	35%	50%
	Installed cost prem. incl. sensors & controls (\$/sq. ft.)	\$9	\$13	\$5

"Window light redirection technology reduces the amount of energy consumed for interior lighting, but the reach of the technological benefits is currently limited due to high cost of installations and aesthetic issues. The Sub-Program's focus is on reducing the high cost of daylighting and improving deep light redirection technologies at a low cost and without glare. Demonstrations related to appearance, the energy savings impact based on season and time of day, and appropriate integration with building controls and operation in coordination with R&D will help drive the technologies to the market." (MYPP pg. 82)

MYPP (pg. 83)

Field Installation

- Originally planned field installation had to be relocated due to COVID-19 and construction timing related issues
- Field installation was performed at Glint's facilities in Burlingame CA
- Close access to installation allows more detailed measurement of performance and diagnosis of issues



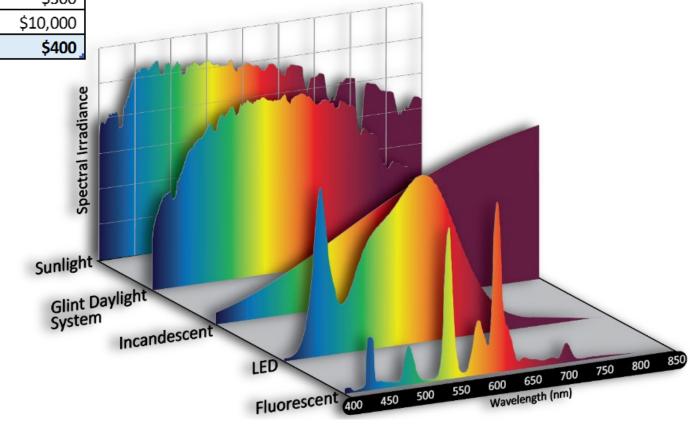
Impact

Cost and Performance Advantage

	Routing	Roof penetration	Peak	
Daylighting system	capability	area (sq ft)	lumens	Cost
Skylight in drywall shaft	None	8.00	30,000	\$2,500
Tubular daylighting device	Limited	1.10	8,000	\$300
Concentrator with fiber optics	Flexible	0.05	4,000	\$10,000
Glint daylighting device	Flexible	0.17	13,000	\$400

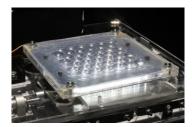
The Glint Daylight Concentrator provides significant cost and performance advantages over incumbent technology.

- More light delivered through a smaller roof penetration
- Reduced installation cost
- Increased routing flexibility
- Greater annual energy savings
- Excellent spectral quality



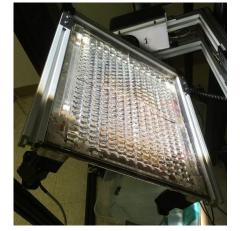
Progress

- Project is currently nearing end-point
- Extended vendor delays and quality issues delayed project by 2 quarters, additional vendor delays and COVID-19 related work interruptions delayed project further
- BTO BENEFIT funding will support development from 2016 to September 2021





 $2016 - 20 \text{ in}^2$ $2017 - \text{Manually Actuated } 170 \text{ in}^2$



2018 – Fully Automated 170 in²



2019 - 2020 - Field Installation w/ Dual Automated Panels

Technology featured in Scientific American, MIT Tech Review, CleanTechnica, TechSpot, Gizmodo

Progress

Major Accomplishments

- Actuation system developed and tested for durability
- Solar tracking hardware developed and tested
- Worked with molded optics vendor to develop improved molding process
- Significant market interaction with lighting designers and daylighting experts has validated the value proposition
- Completed field installation and uncovered various design issues with panels
- Prepared new design concept to address issues with current panels

Optical Molding



Mechanical Actuation

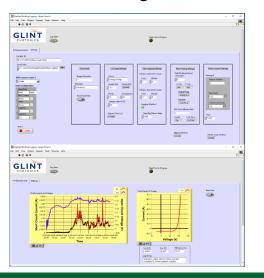


Environmental Testing

Test Sample 1
Test Sample 1
Test Sample 2
Test Sample 2
Test Sample 2

Accumulated UV Exposure
(months equivalent)

Solar Tracking Software



Field Installation



Delivery Optics



Stakeholder Engagement

- Project nearing end-point
- Glint has established itself as an optical innovator in the LED luminaire industry
- Glint continues extensive interaction with lighting designers and industry experts:
 - George Loisos, Principal at Loisos + Ubbelohde, an Oakland-based architectural design firm. Offered
 offices for field installation site.
 - Eight Inc., a San Francisco-based "experience design" firm that designs high-profile retail, hospitality, and commercial spaces. Met with a group of 10 designers.
 - Jeremy Steinmeir, a leading lighting designer at the San Francisco office of the architectural design firm Gensler.
 - Dane Sanders, Principal at Clanton & Associates, a Boulder-based lighting design and engineering firm.
 - Earl Armstrong, a builder and developer in the Santa Barbara area active in the construction of schools, museums, and office space.
 - Konstantinos Papamichael, Co-director at California Lighting Technology Center, UC Davis.
- Glint has also established a network of external sales reps that have begun to sell Glint's LED lighting products, this network has also proved a valuable source of customer feedback.

Stakeholder Engagement

Industry engagement has directed several key decisions:

- Informing what aspects of the current design need improvement to meet market demands
- Plan to target new construction or buildings with planned window replacement/retrofit
- Emphasize aesthetic appeal of product to improve adoption by lighting designers and architects
- Develop attractive/unique internal luminaires to distinguish product
- Design optical system to eliminate glare as much as possible (downfall of many previous daylighting system)
- Solar tracking / mechanical actuation system must be automated, robust, and self correcting (many previous daylighting trackers eventually stop tracking the sun)

Remaining Project Work

Key Risks Remaining

Project Plan Progress

Risk 1 - Mechanical Actuation System Accuracy (COMPLETE)

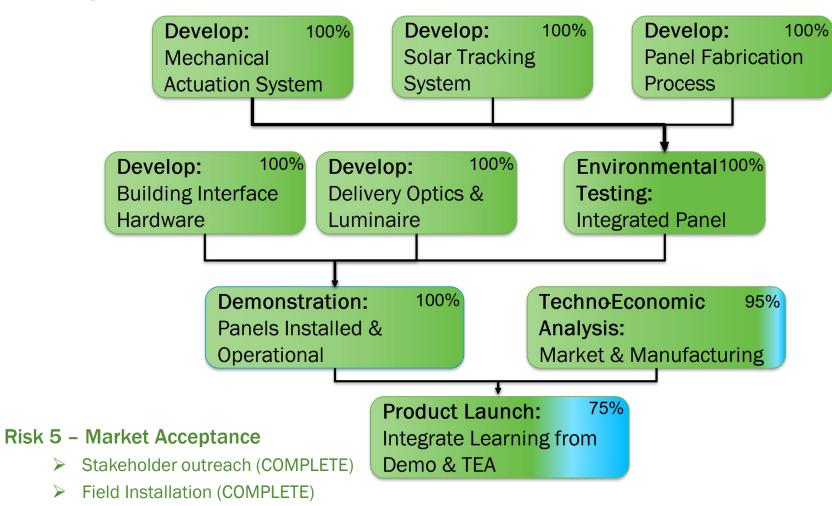
Risk 2 - Solar Position Algorithm (COMPLETE)

Risk 3 - Panel Sealing and Environmental Durability (COMPLETE)

- Redesign panel sealing interface (COMPLETE)
- Verification of component durability (COMPLETE)
- Extended environmental testing (COMPLETE)

Risk 4 – Building Interface System (COMPLETE)

- Down-select to roof or façade (COMPLETE)
- Develop mounting hardware w/ input from field installation (COMPLETE)



Techno-Economic Analysis (IN PROGRESS)

Thank You

Performing Organization(s)
PI Name and Title
PI Tel and/or Email

REFERENCE SLIDES

Project Budget

Project Budget: \$1.08M DOE, \$270K cost-share (CEC)

Variances: No major variances aside from NCE

Cost to Date: 99% of 1,080,000 DOE Spent, >100% of Cost Share

Additional Funding: ARPA-E MOSAIC DE-AR0000644, CEC EPC-14-040

Budget History								
10/1/2016 - FY 2020 (past)		FY 2021 (current)		FY 2021 - 9/30/2021 (planned)				
DOE	Cost-share	DOE	Cost-share	DOE	Cost-share			
\$964,845	\$304,635	\$109,596	\$0	\$5,558	\$0			

Project Plan and Schedule

- Vendor delays for molded optical panels resulted in program wide delays
 - Mechanical system changes required mold revision
 - Vendor had 3 month delay in completing mold revisions
 - Molded part planarity issues required additional 3 months of work
- Six-month no cost extension was used to re-align project schedule
- During Budget Period 2 we experienced additional delays due to vendors unintentionally shipping old out of spec stock
- This supply issue was quickly followed by the COVID-19 shutdown, which halted in-person work and prevented the installation of the panels
- An additional 6-mo NCE brought the program back into alignment
- All slipped milestones are a result of these delays

