



## Department of Energy

Washington, DC 20585

September 23, 2021

Dr. Thomas Mason  
Laboratory Director  
Triad National Security, LLC  
P.O. Box 1663, MS-A100  
Los Alamos, New Mexico 87545

Dear Dr. Mason:

This letter serves as notification of the Office of Enterprise Assessments' Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with a series of events between February and July of this year occurring in the PF-4 Facility at Los Alamos National Laboratory, including flooding of rooms within the facility on July 19, 2021, and errors in conduct of operations and implementation of processes, procedures, and actions to protect workers and the public from recognized hazards associated with criticality and radiation. Triad National Security, LLC (Triad) reported potential noncompliances associated with these events into the Department of Energy's (DOE) Noncompliance Tracking System under NTS-NA-LAFO-TRIAD-TA55-2021-0010179, dated March 18, 2021; NTS-NA-LAFO-TRIAD-TA55-2021-0010186, dated March 29, 2021; NTS-NA-LAFO-TRIAD-TA55-2021-0010187, dated March 31, 2021; and NTS-NA-LAFO-TRIAD-TA55-2021-0010282, dated September 10, 2021.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 820.21, *Investigations*. The investigation will include an onsite visit and interviews with contractor personnel.

A request for documents to support this investigation will be provided separately via e-mail. Your cooperation to ensure timely submission of all requested documents to our office by the requested date is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding is subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, Triad should track any costs incurred that are directly attributable to supporting DOE's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.



If you have any questions concerning this letter, please contact me at (301) 903-2493.

Sincerely,

Barbara R. Pruitt  
Acting Director  
Office of Enforcement  
Office of Enterprise Assessments

cc: Theodore Wyka, NA-LA  
James Stein, Triad