

Process Development and Scale-Up of Advanced Active Battery Materials



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Argonne National Laboratory Project ID: BAT167

June 23, 2021

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Overview

Timeline

■ Project start date: Oct. 2020

Project end date: Sept. 2021

Percent complete: 80%

Budget

- Total project funding:
 - \$912.5K in FY20
 - \$800K in FY21

Barriers

- Cost: Reduce manufacturing costs with scalable continuous processes
- Availability: Advanced cathode materials needed for research are not commercially available with the desired composition or morphology
- Performance & Life: High energy density advanced cathode materials have major performance and life issues

Partners

- Collaborators in Deep-Dive into Next-Generation Cathode Materials (BAT251, BAT252, BAT253), ANL, ORNL, NREL, BNL, PNNL
- CAMP Facility (BAT030), ANL
- Jianlin Li (BAT164), ORNL
- Venkat Srinivasan (BAT402), ANL
- Feng Wang (BAT183), BNL
- Prof. Mark Hersam's Group, Northwestern University
- Ted Seo, Volexion
- Zheng Chen, UC San Diego, ReCell (BAT377)
- Christopher Johnson, ANL



OBJECTIVES AND RELEVANCE

- The objective of this program is to provide a systematic research approach to:
 - Produce and provide **sufficient quantities of high quality** battery materials for large scale evaluation and to support further research
 - Evaluate emerging synthesis technologies for the production of experimental cathode materials
 - Develop cost-effective, scalable processes for manufacturing of advance materials that are not commercially available
- The relevance of this program to the DOE Vehicle Technologies Program is:
 - The program is a key missing link between invention of new advanced cathode materials, market evaluation of these materials and high-volume manufacturing
 - Enabling full-scale evaluation by multiple R&D groups
 - Reducing the risk associated with the commercialization of new battery materials
- This program provides large quantities of materials with consistent quality
 - For prototyping in large format cells
 - To allow battery community access to new materials and advance further research



MILESTONES

Month/Year	Description of Milestones	Status		
July 2020 – May 2021	Synthesis of Ni-based hydroxide precursors- Deep-Dive into Next-Generation Cathode Materials (BAT030,BAT251, BAT252, BAT253, BAT476) Reproduction & scaling up baseline materials at 10L TVR: Ni _{0.6} Co _{0.2} Mn _{0.2} (OH) ₂ and Ni(OH) ₂ Optimization & reproduction of Ni _{0.90} Co _{0.05} Mn _{0.05} (OH) ₂ at 10L TVR: diagnostic studies; pouch cell evaluation Introduction of higher nickel content chemistries: Optimization of Ni _{0.95} Co _{0.25} Mn _{0.25} (OH) ₂ at 1L TVR & Scaling up at 10L TVR Optimization of Ni _{0.95} Mn _{0.05} (OH) ₂ at 1L TVR Comparative studies: TVR (continuous) vs CSTR(batch) methods for Ni _{0.95} Mn _{0.05} (OH) ₂ Calcination optimization for LNO-based oxides: Calcination method (box furnace vs tube furnace), heating/cooling profile, calcination atmosphere and temperature Issues were identified; calcination scale-up still remains as a challenge Preliminary synthesis of Li/Mn-rich cathode material at 1L TVR for next year activities Milestone1: Materials delivery to CAMP Facility (BAT030) Milestone2: Several journal publications are on-going in collaboration with the whole team	On track Complete Complete Complete Complete On-going On-going On-going On track On track Complete Complete Complete On-going		
September 2020 February 2021	Synthesis of NCM622 and NCM811 – Chris Johnson (ANL) New lot of Ni _{0.6} Co _{0.2} Mn _{0.2} (OH) ₂ precursor synthesis at 10L Taylor Vortex Reactor – 500 g delivery New lot of Ni _{0.8} Co _{0.1} Mn _{0.1} (OH) ₂ precursor synthesis at 10L Taylor Vortex Reactor – 650 g delivery	Complete Complete Complete		
December 2020	Determine the structure and morphology evolution during the sintering process in synthesis of LiNiO ₂ and NMC811 (BAT183, BAT402) Ni(OH) ₂ and new lot of Ni _{0.8} Co _{0.1} Mn _{0.1} (OH) ₂ precursor material was synthesized at 10L TVR and delivered Ni _{0.8} Co _{0.1} Mn _{0.1} (OH) ₂ precursor material with different porosities	On-going Complete On-going		
January 2021	ReCell (BAT377) & University of California, San Diego Ni(OH) ₂ precursor material delivery @ 200 g for "Hydrothermal Recycling/Upcycling of Spent Lithium-ion Battery Cathodes"	Complete Complete		
August 2020 April 2021	Northwestern University & Volexion New batch of LNO cathode material (~10 µm): applicability of graphene coating method on larger particles Milestone1: Journal publication is in submission	On track Complete On track		



APPROACH AND STRATEGY

- To address US battery community material needs as quickly as possible;
 - Providing high quality cathode precursors & cathodes that are not commercially available
 - Scaling up promising chemistries up to kg quantities for large scale evaluation
- Collaborating with basic R&D teams, companies, start-ups and academia across the nation to enable their research
 - Tailoring particle properties & compositions upon request for the project initiation
- By implementing emerging synthesis technologies;
 - Reducing the process optimization time to get high quality materials with reproducibility
 - Reducing the waste, time and cost using continuous process
- Our strategy relies on the feedback from the collaborators and recipients of our materials;
 - Taking the formula and the synthesis method from the bench scale studies; develop scalable processes based on target specs



Precursor Scale-up Enables systematic LNO-based oxide studies

- Extensive collaboration between the subgroups within the low-cobalt deep-dive program (see BAT030, BAT251, BAT252, BAT253, BAT476); 8 different compositions were synthesized using Taylor Vortex Reactors (TVRs).
- Majority of compositions are optimized and scaled up: 1L TVR (FY19-20) and 10L TVR (FY20-21) within a 1.5 year time-frame

MERF Precursor via TVR



RNGC Calcination



CAMP Facility Electrode Fabrication

Composition	RNGC Reference (Ni-Mn-Co %)	
$Ni_{0.6}Mn_{0.2}Co_{0.2}$	60-20-20	
LNO	100-0-0	
Ni _{0.95} Co _{0.05}	95-0-5	
Ni _{0.9} Co _{0.1}	90-0-10	
Ni _{0.95} Mn _{0.05}	95-5-0	
Ni _{0.9} Mn _{0.1}	90-10-0	
Ni _{0.95} Mn _{0.025} Co _{0.025}	95-2.5-2.5	
Ni _{0.90} Mn _{0.05} Co _{0.05}	90-5-5	

Precursor scale-up resulted in numerous calcined samples (30~200 grams), then provided to the CAMP Facility for electrode fabrication

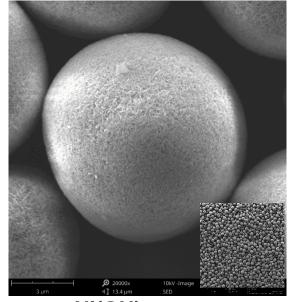
Electrodes designed for proper capacity matching against a baseline anode A-A015(A)

DOE-EERE-Vehicle Technologies Office Program



Effect of Calcination Temperature & Atmosphere

- Physicochemical baseline material, LNO, was synthesized at different conditions to exploit the best performances: Ni(OH)₂ precursor, is mixed with stoichiometric amount of LiOH.H₂O using acoustic mixer and calcined in a tube furnace;
 - T_{calc.}= 665°C & Po₂ = 1.0 atm → optimal conditions with highest capacity retention, lowest Li/Ni mixing

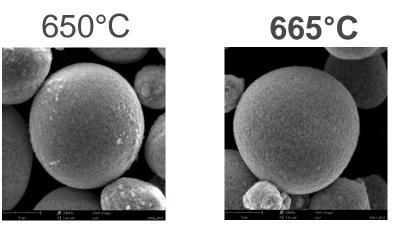


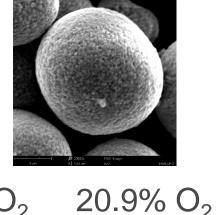
Ni(OH)₂ Reproduced -10L TVR Production rate ~170 g/h Tap density = 2.01 g/cc PSA = $4.9 / 9.4 / 16.5 \mu m$ BET = ~10 m²/q

See also BAT251

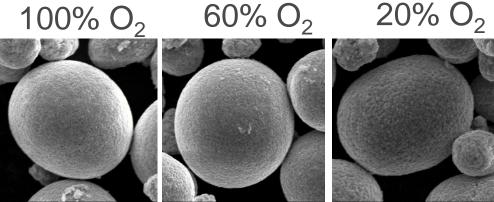
Effect of Temperature @1.0 O₂ atm: Primary particle sizes increases with increasing calcination temperature

Effect of Atmosphere @ 665°C: Primary particle sizes increases with increasing O₂ partial pressure, (c/a ↑)





680°C

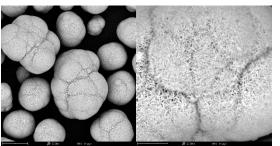


✓ Capacity Retention @50th vs 4th cycle; 90% (100%O₂) → 88% (60%O₂) → 84% (21%O₂)

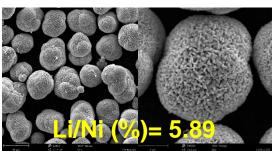
Comparison of Co-precipitation and Calcination Methods

- Nodular secondary particles in CSTR synthesis vs more spherical particles in TVR synthesis
- ➤ Narrow particle size distribution of CSTR product compared to TVR product

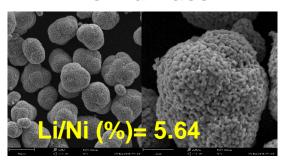
CSTR batch, ~13µm



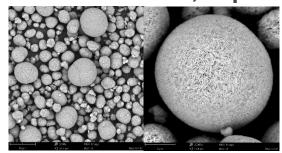
Tube furnace

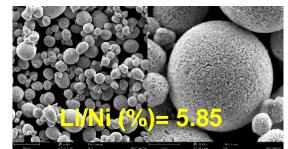


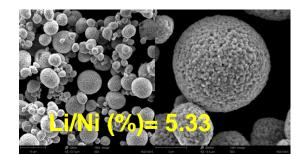
Box furnace



TVR continuous, ~8µm







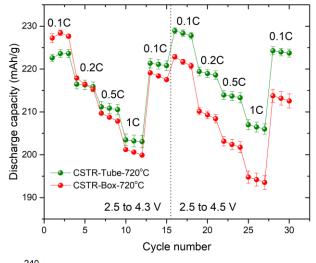
720°C; 12 h; heating/cooling under pure O₂ flow

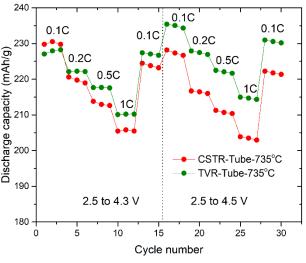
- Tube furnace: inhomogeneous primary particle morphologies
- ➤ Box furnace: homogeneous primary particle morphologies

See also BAT030, BAT251

Process/T (tube furnace)	Cap. Retention @100 th cycle	Li/Ni Mixing, %
CSTR-720° C	76%	5.89
TVR-720 ° C	78%	5.85
CSTR-735°C	68%	5.59
TVR-735 ° C	81%	4.65
CSTR-750°C	70%	5.64
TVR-750 ° C	79%	4.45

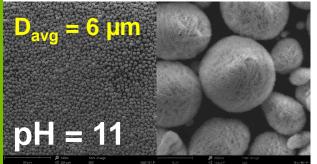
Deep-Dive RNGC Protocols (see BAT476)





LiNi_{0.95}Mn_{0.05}O₂ \rightarrow under optimization Argonne \triangle

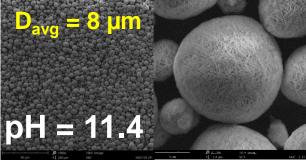
Exemplary Optimization Studies @ TVR for Ni_{0.95}Co_{0.025}Mn_{0.025}(OH)₂: Effect of pH

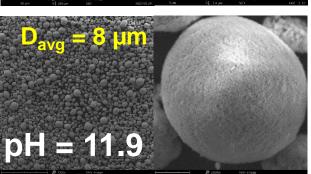


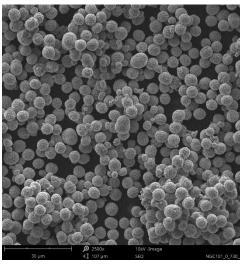
- Nickel based hydroxide precursors require high pH conditions to obtain high density particles
 - Tap density increases with increasing reaction pH: 1.7 g/cc → ~ 2 g/cc
- Increasing the pH of the reaction results in bi-modal particle size distribution: large particles are dense and spherical, and small particles are quasi-spherical
- Surface area of the secondary particles reduces with increasing reaction pH:

 $\sim 15 \text{m}^2/\text{g} \rightarrow \sim 9 \text{m}^2/\text{g}$

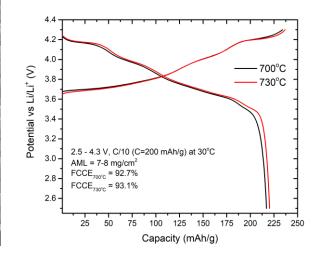
Low/No-Co Deep Dive Protocols (Half-Cell vs Li)

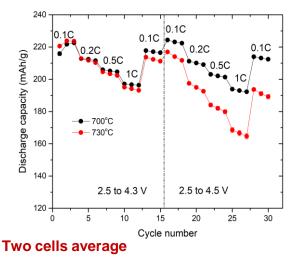






LiNi_{0.95}Co_{0.025}Mn_{0.025}O₂
Box furnace calcination
Li/Ni (%) = 2.6-3.6% (with \downarrow T)

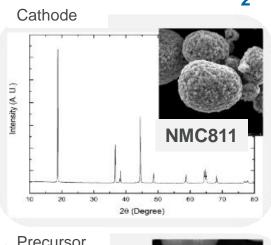


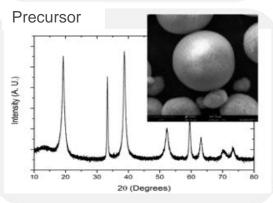


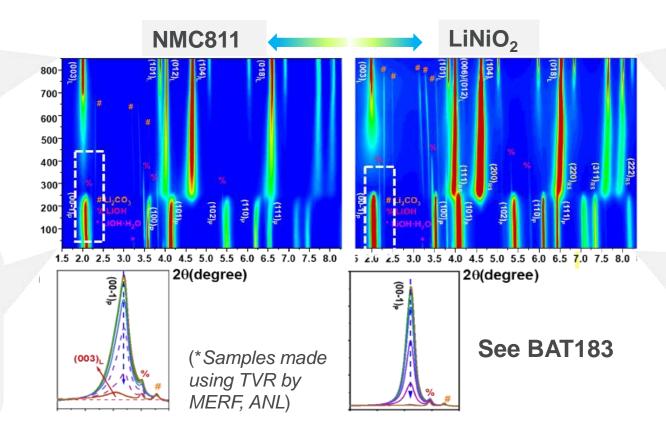
Ni-based compositions with ≥95% requires lower calcination temperatures <730°C for better e-chem performance

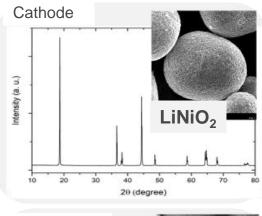
Structure and Morphology Evolution During the Sintering Process in Synthesis of NMC811 and LiNiO₂

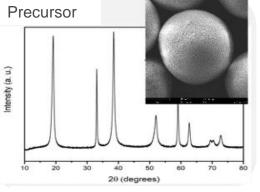






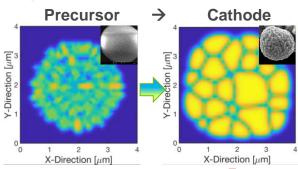






- Reaction pathways: overall similar in the two systems, exhibiting significant structure and morphology change
 - NMC811: early formation of the layered phase from hydroxide (as low as ~ 240 °C) and Li-containing rocksalt;
 - LiNiO₂: formation of Li-containing rocksalt and delayed transformation into the layered phase (at ~360 °C).
- > Role of Mn/Co: facilitating Li/O incorporation during structural ordering and crystal growth.

Future work: Identify the impact of size/morphology of hydroxide precursors on the sintering process in synthesis of NMC811 → Well-controlled particle morphology and porosity using TVR

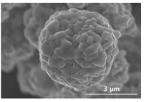


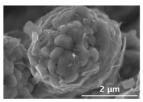
Multi-scale simulation (BAT402)

Cycle number

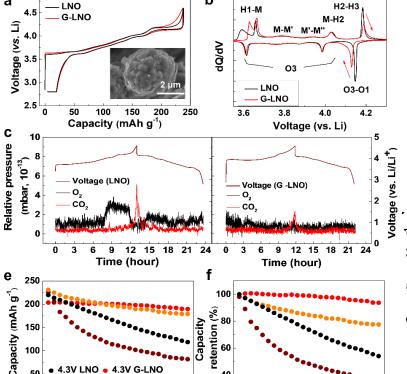
Material Support: Northwestern University

MERF-LNO ~3 μm

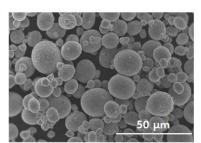


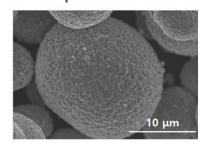


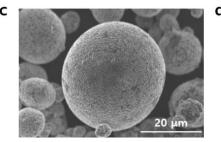
SEM images of as-synthesized ~3 µm LiNiO₂ (left) and graphene coated LiNiO₂ (right).

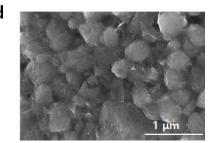


MERF-LNO ~10 μm

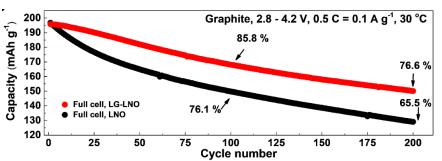






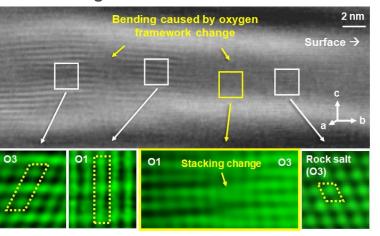


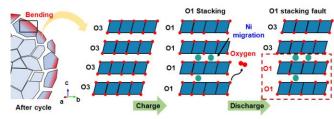
SEM images of as-synthesized ~10 μ m LiNiO₂ (a,b) and graphene coated LiNiO₂ (c, d).



Long-term cycle characteristic of surface stabilized LNO

Key solution for realizing high voltage operation is to suppress the oxygen evolution from the surface, thus mitigating the destructive oxygen stacking transition





Institutions:

Dept. of Materials Sci. & Eng., NU

NUANCE Center, NU MERF, ANL

KIST, Korea

Dept. of Materials Sci. & Eng., Korea University

Volexion, Inc.,

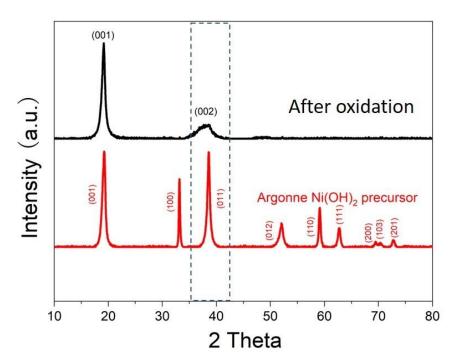
Dept. of Chemistry, NU

Dept. of Electrical and Computer Eng., NU

Providing Materials for Other DOE-VTO Funded Projects

ReCell Project (BAT377)

- Hydrothermal recycling/upcycling of spent lithium-ion battery cathodes
- Objective: Ni precursor is used to convert low-Ni cathode into high Ni-cathodes



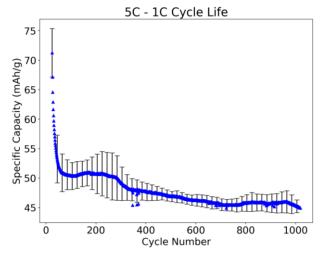
TVR-made pure β -Ni(OH)₂ \rightarrow pure β -NiOOH

Prof. Zheng Chen, Dr. Xiaolu Yu University of California, San Diego

• ORNL, BAT164

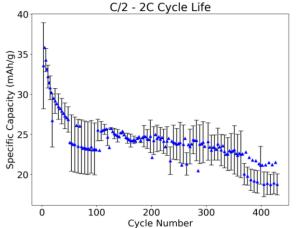
 Graded bilayer cathodes (NCM811) pouch cells for XFC Program (see BAT164)

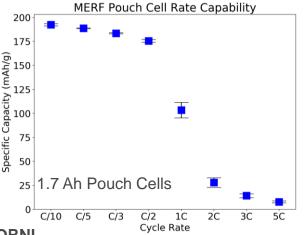
XFC Program Protocols





- 90/5/5 NMC 811/Carbon Black/PVDF composition
- 3 for rate capability and XFC protocol
 & 3 for cycle life protocol
- ~6 mAh/cm² (~35 mg/cm²) double pass NMC 811
- Bottom layer was 12 μ m Targray, top layer was 6 μ m MERF material





Dr. Jianlin Li and Dr. Alexander Kukay ORNL

RESPONSES TO PREVIOUS YEAR REVIEWERS' COMMENTS

■ This project was not reviewed last year



COLLABORATIONS

- Cathode Materials for Next Generation LiBs: Design, Synthesis, and Characterization of Low-Cobalt Cathodes (BAT030, BAT251, BAT252, BAT253, BAT476)
 - ANL, ORNL, NREL, BNL, PNNL
- Improving Battery Performance through Structure-Morphology Optimization (BAT402)
 - Venkat Srinivasan, Tim Fister, Xiaoping Wang, Pallab Barai (ANL) → In-situ characterization and modelling
- In Situ Spectroscopy of Solvothermal Synthesis of Next-Generation Cathode Materials (BAT183)
 - Feng Wang, Jiangming Bai, Sizhan Liu (BNL) → In-situ calcination of LNO, NCM811
- Volexion and Northwestern University, Prof. Mark Hersam group
 - Ted Seo, Kyu-Young Park → various particle sizes of LNO and NCM811 for enabling the development of graphene coating to suppress H2-H3 transition research
- Thick, Low-Cost, High-Power Lithium-Ion Electrodes via Aqueous Processing (BAT164)
 - Jianlin Li and Alexander Kukay (ORNL) → Electrode cracking studies using scaled up ~7µm NCM811 cathode particles (synthesized at 10L TVR) and XFC rate capability research
- ReCell–Overview and Update; UC San Diego (BAT377);
 - Prof. Zheng Chen, Dr. Xiaolu Yu
- Chris Johnson, ANL
 - NCM622 and NCM811 hydroxide precursors











UC San Diego









REMAINING CHALLENGES AND BARRIERS

Material Storage & Sensitivity & Scalable Methods

- Precursors of (Mn-containing) NMCs requires protective storage conditions;
 - Producing large amounts of hydroxide precursors and sampling several times introduces air flow to the bulk and causes material degradation (Mn oxy-hydroxide formation) over time;
 - Continuously synthesizing/reproducing immediately fresh materials upon requests
- Doping of some elements at the co-precipitation step to provide dopants at the intra-particle level, requires reactor feedline modification and fundamentally different chemical reaction conditions to be tested;
 - Different chelating agents to complex elements that don't chelate with ammonia (e.g.; Al)
- LNO-based NMCs with low/no cobalt cathode oxide materials are highly sensitive to:
 - The calcination conditions; Li-ratio, calcination temperature and atmosphere, furnace type, calcination recipe (heating/cooling rates)
 - Scalable calcination furnaces needs modified oxygen flow inside to maximize the oxygen penetration: furnace internal fixture designs
 - The storage conditions; Humid and CO₂ → creating surface impurities affecting the electrode manufacturing conditions and ultimately the performance of the material
 - Scalable surface protection methodologies are still under investigation: time/cost



PROPOSED FUTURE RESEARCH

- Optimization of the calcination processes by internal fixture designs in scalable box furnaces to create efficient oxygen flow to the bath of loading: to create higher quality cathode materials with less surface impurities
 - Super Alloys (with or without surface treatments-resistant against high temperature oxidative conditions) for efficient oxygen delivery to the cathode mixture
 - Applying oxygen flow in two-folds: purging gas and sheath gas
- Continue supporting battery research community (National Laboratories, Universities, Companies) by providing and making available advanced cathode materials
 - Commercially unavailable hydroxide precursor materials (e.g.; NMC hydroxides)
 - Commercially unavailable cathode compositions (e.g.; LNO-based cathodes, Li/Mn-rich cathodes)
 - Commercially unavailable cathode particle sizes (e.g.; good performing small particles (D_{50} = 3-8 µm))
 - Continue on synthesis of high-Ni NMC by design (e.g.; in-situ calcination → modelling)
 - Identify the impact of size/morphology (porosity) of hydroxide precursors on the sintering process in synthesis of NMC811
 - 3 batches of precursors of different porosity and general characterization (BAT167, ANL)
 - In situ, ex situ characterization (BAT183, BNL)
 - Modelling of morphology evolution (BAT402, ANL)
- Scale up and process optimization of promising new cathode materials
 - Li/Mn-rich cathode chemistries with dopants (BAT251, BAT252, BAT253, BAT030)
 - Dopants using modified co-precipitation techniques
 - Suggestions are welcome for scaling up newly invented, promising battery materials

Any proposed future work is subject to change based on funding levels.



SUMMARY

Several Different Cathode Materials: Synthesis, Scale up and Delivery

- Synthesized and scaled up commercially unavailable cathode precursor materials
 - Materials synthesized using 1L Taylor Vortex Reactor (TVR) in <u>FY20</u>, were scaled up using 10L TVR in <u>FY21</u>:
 - Ni_{0.95}Co_{0.05}(OH)₂ (scaled up), Ni_{0.95}Mn_{0.05}(OH)₂ (preliminary scale up; under optimization)
 - Reproduced materials; Ni(OH)₂, Ni_{0.90}Co_{0.05}Mn_{0.05}(OH)₂, Ni_{0.80}Co_{0.10}Mn_{0.10}(OH)₂, Ni_{0.60}Co_{0.20}Mn_{0.20}(OH)₂
 - · Calcination studies focusing on optimal Li ratio and optimal temperature
 - Materials were delivered to collaborators and are being reported in FY21 (BAT030, BAT402, BAT251, BAT252, BAT253, BAT183, BAT164, BAT377)
 - New materials were introduced to the Low-Co Deep Dive Program (BAT251, BAT252, BAT253) in FY21:
 - Ni_{0.95}Co_{0.025}Mn_{0.025}(OH)₂ (optimized & scaled up)
 - Ni_{0.95}Mn_{0.05}(OH)₂ (under optimization)
 - Ni_{0.60}Co_{0.04}Mn_{0.35}Al_{0.01}(OH)₂ (preliminary synthesis at 1L TVR)
 - Comparative studies on both co-precipitation and calcination methods: CSTR vs TVR and box furnace vs tube furnace (LiNi_{0.95}Mn_{0.05}O₂)
 - Pretreatment of hydroxide precursors: Ni_{0.9}Mn_{0.1}(OH)₂; Ni_{0.9}Co_{0.1}(OH)₂; Ni_{0.95}Co_{0.05}(OH)₂
 - Calcination temperature; recipe; atmosphere (LiNiO₂ material)
 - Temperature; O₂ gas flow; varying ratios of O₂+N₂ gas flow
 - NCM622, NCM811 and LiNiO₂ cathode materials at different particle sizes (3-5-10 μm) (NU, Volexion)
 - NCM622 (~10μm) & NCM811 (~8μm) hydroxide precursors (Chris Johnson, ANL)
 - NCM523 (~5μm) & NCM622 (~7μm) & NCM811 (~6μm) hydroxide precursors and co-precipitation liquid waste (NUMiX Materials)

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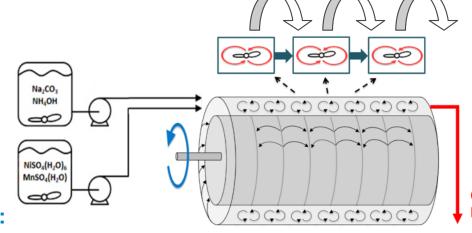




EMERGING MANUFACTURING PROCESS: TAYLOR VORTEX REACTOR

TVR

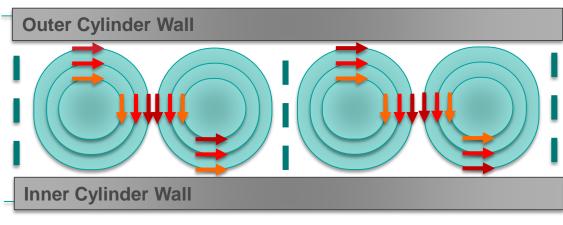
- Simplified operation
- Product uniformity
- Shorter residence time
- Plug-in flow + Tank





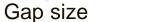
Argonne 4

Each unitary vortex cell: enabling micro-mixing



Homogeneous intense micro-mixing zone: faster reaction kinetics

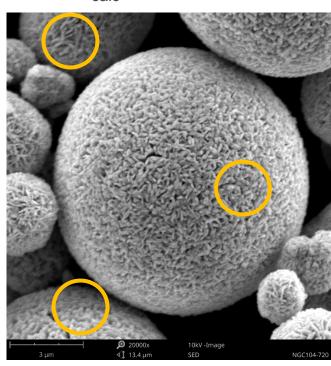
- ➤ High mass and heat transfer: high degree of uniform supersaturation
- ➤ Self particle size control: high fluid shear → breakage and redispersion
- No dead-zone: improvement of purity, morphology, particle size
 & distribution, degree of crystallinity
- Key variables affecting fluid motion are hydrodynamic intensity and dimensions of Taylor vortex

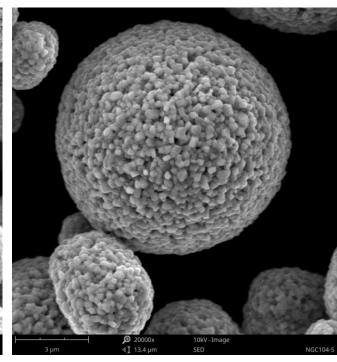


BACKUP

Effect of Calcination Method: Tube Furnace vs. Box Furnace

- An approach to understand the heat profile and lithiation homogeneity in both calcination furnaces;
 - TVR-made $Ni_{0.95}Mn_{0.05}(OH)_2$ material was mixed with LiOH.H₂O using an acoustic mixer and calcined at $T_{calc} = 720$ °C under pure O_2 flow for 12 hours





Tube Furnace

Box Furnace

(In)Homogeneous Li-salt + TM-hydroxide physicalmixing prior to calcination: leading to inhomogeneous lithium diffusion

- Calcination in tube furnace shows inhomogeneous primary particle morphologies throughout the batch; grain-like primaries along with preserved-plate like morphologies
- Temperature profile in box furnace was tested using ceramic doughnuts (Range: 500-900°C)
 → heat distribution was homogeneous
- The lithiation throughout the batch for box furnace calcination is homogeneous and primary particle morphologies shows grain-like structures
- This material is still under optimization

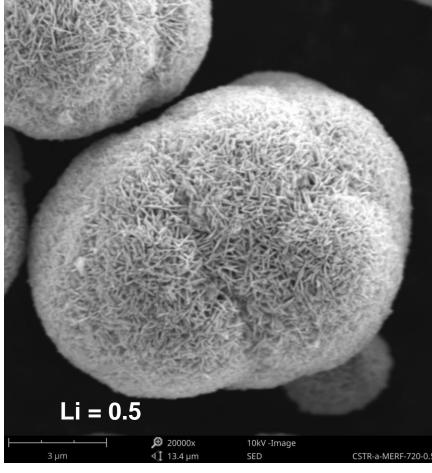


BACKUP

Effect of Li ratio on Primary Particle Morphology

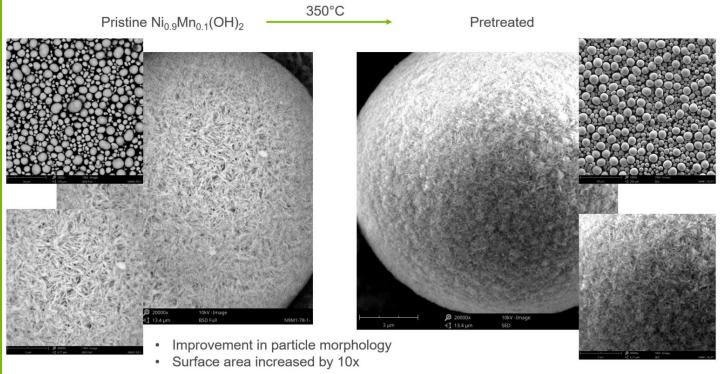
■ In order to understand the inhomogeneous morphologies of primaries and lithiation in cathodes of Ni_{0.95}Mn_{0.05} chemistry; two samples were prepared by mixing LiOH.H₂O and **CSTR-made** Ni_{0.95}Mn_{0.05}(OH)₂ precursor at 1.01 & 0.5 ratios. The mixtures were calcined in a <u>box furnace</u> at 720° C under oxygen flow for 12 h.





- Degree of physical homogenous mixing; Li ratio and calcination temperature and atmosphere are effective parameters on primary particle morphology; porosity; and ultimately the e-chem performance
- ➤ Under lithiated material (Li = 0.5) preserved its plate-like primary morphology as similar to the its hydroxide precursor Argonne ♣

Pretreatment (PT) of hydroxide precursors

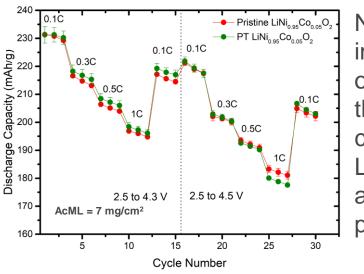


All PT oxides matched with NaCl rock salt structure (NiO)

Pretreatment of precursors @350°C for 5 h under O_2 flow (heating/cooling under O_2)

Precursors studied include: $Ni_{0.9}Mn_{0.1}(OH)_2$; $Ni_{0.9}Co_{0.1}(OH)_2$; $Ni(OH)_2$; $Ni_{0.95}Co_{0.05}(OH)_2$

- While the morphology improvement (sphericity) observed for pretreated Ni_{0.9}Mn_{0.1}O precursor; no apparent changes were observed for others in terms of morphology
- All showed increased surface area by at least ~10x → by the conversion from hydroxide to oxide; losing the water content



2θ (Degree), λ = 1.5418 (Å)

Ni_{0.95}Co_{0.05}O

Ni_{0.9}Co_{0.1}O

Ni_{0.9}Mn_{0.1}O

NiO-ICSD code 9866

No apparent improvement is observed for the rate capability of LiNi_{0.95}Co_{0.05}O₂ after pretreatment

