

National Historic Preservation Act

Weatherization Assistance Program
Compliance with Section 106



- Section 106 of the National Historic Preservation Act (NHPA) requires Federal agencies to take into account the effects of their undertakings on historic properties.
- Financial assistance is a Federal undertaking subject to Section 106.
- For each undertaking, DOE is required to determine whether historic properties are present and, if so, determine whether the specifically proposed activities have the potential to cause effects.
- If activities have the potential to cause effects to a historic property, consultation with the State Historic Preservation Officer is required.

Regulations governing the Section 106 process: [36 CFR PART 800 -- PROTECTION OF HISTORIC PROPERTIES](#)

- Section 106 provides Federal agencies alternate ways to comply with historic preservation requirements
- Program alternatives, like Programmatic Agreements provide a streamlined approach to Section 106 compliance
- DOE has executed Programmatic Agreements with 50 recipients, including 2 territories: American Samoa and, Puerto Rico

- DOE has developed a process that will facilitate DOE's compliance with Section 106 for States and Territories without a Programmatic Agreement.
- This process applies to all weatherization activities that are proposed for properties that are 45 years and older
- A HP worksheet has been developed to gather the information required for DOE's review process.
- Recipients, Project Officers, NEPA, and the Contracting Officer all have defined roles and responsibilities in this process.

U.S. Department of Energy Historic Preservation Review Request

Homes to be weatherized with funds from the U.S. Department of Energy (DOE) Weatherization Assistance Program (WAP) which are 45 years old or older are subject to review by the DOE and may require consultation with the respective State Historic Preservation Office (SHPO) in accordance with Section 106 of the National Historic Preservation Act. DOE reviews that do not require SHPO consultation could take two weeks once complete information is received. Consultation with the SHPO, if determined appropriate by DOE, can take thirty (30) days for review, once complete information is received by the SHPO. Additional information may be requested based on the level of detail provided in this form, by DOE and/or the SHPO.



INSTRUCTIONS: Complete steps #1-#16 below. Steps #15 and #16 require you to insert high quality photos into this document.

When completed save form to the client file and submit the form in its original format to your Project Officer of Record. Use "Historic Preservation Review Request" as the subject line.

#1 Energy Auditor:	#2 Street Address:	#3 City:	#5 County:	#6 State:	#7 Zip Code:
Enter name	Enter Address	Enter City	Enter County	Enter State	Enter Zip Code

#8	If home is in a rural area, provide Global Positioning System (GPS) coordinates or description of location: Click here to enter text.	
#9	Year built (indicate if you are estimating the year built):	Click here to enter text.
#10	Item(s) planned for repair, replacement, or installation with DOE funding:	Description of the activity. For each activity, describe the method of the repair, replacement or installation, the location of the activity (e.g. attic, walls, foundation), and any required modifications to the dwelling and or its components associated with the activity.
	<input type="checkbox"/> Insulation	Click here to enter text.
	<input type="checkbox"/> Air Sealing	Click here to enter text.
	<input type="checkbox"/> Window Treatments (e.g., awning, solar screens, film)	Click here to enter text.
	<input type="checkbox"/> Heating/Cooling System Replacement/Repair	Click here to enter text.
	<input type="checkbox"/> Heating/Cooling duct insulation	Click here to enter text.
	<input type="checkbox"/> Repair leaks in heating/cooling ducts	Click here to enter text.
	<input type="checkbox"/> Installation or Replacement of Programmable Thermostat	Click here to enter text.
	<input type="checkbox"/> Domestic Water Heater Replacement/Repair	Click here to enter text.
	<input type="checkbox"/> Lighting Fixtures Replacement/Repair	Click here to enter text.
	<input type="checkbox"/> Installation or Replacement of Carbon Monoxide and Smoke Alarms	Click here to enter text.
	<input type="checkbox"/> Exhaust Ventilation Replacement/Repair	Click here to enter text.
	<input type="checkbox"/> Window(s) Replacement/Repair	Click here to enter text.

<input type="checkbox"/> Door(s) Replacement/Repair	Click here to enter text.
<input type="checkbox"/> Minor Electrical and Plumbing Repairs	Click here to enter text.
<input type="checkbox"/> Other Type description here	Click here to enter text.
<input type="checkbox"/> Other Type description here	Click here to enter text.
<input type="checkbox"/> Other Type description here	Click here to enter text.
#12 - If removing, disposing, or mitigating energy related Health and Safety issues such as mold, lead, asbestos, radon or other materials specify location, activity and any modifications to the dwelling and/or its components. Governing work practices (e.g., Environmental Protection Agency - Renovate Right Procedures) do not need to be detailed.	Click here to enter text.
#13 - List all improvements completed (by current and past owners) to the dwelling and/or its components prior to WAP services (e.g., additions, change to original siding, replacement of original windows and doors):	Click here to enter text.
#14 - Any additional comments:	Click here to enter text.

#15 - Photo(s) of the exterior of the home from all viewpoints (front, back, and sides). Place photos in cells below (to insert a photo, click on the icon within the photo box).	
	
	

***Additional pages included in the form for photos.**

Recipient will:

- Identify properties 45 years and older
- Complete all sections the HP Worksheet, as appropriate
- Submit the completed HP Worksheet to Project Officer of Record

Project Officer (PO) will:

- Review HP Worksheet to confirm:
 - Address is complete
 - Age of structure is 45 years or older
 - Pictures are attached of the exterior and interior of the home where weatherization activities would occur.
 - HP Worksheet is complete and has adequate detail in description of activities
- Forward HP Worksheet to next WAP review

NEPA Team will:

- Review HP Worksheet and determine it is incomplete.
 - Work with WAP and recipient to complete the worksheet

OR

- Review HP Worksheet and determine no potential to effect historic properties
 - Notify Contracting Officer (CO) activities at address may proceed

OR

- Review HP Worksheet and determine one or more activity has potential to cause an effect on identified historic property
 - Notify PO that consultation with State Historic Preservation Office is required
 - Consult with SHPO
 - Notify CO when consultation is completed and provide guidelines or mitigation measures as required by SHPO

Contracting Officer will:

- **Notify recipient they may proceed on list of addresses and provide guidelines or mitigation measures as provided by NEPA**

Ask questions - early and often!

Contact Diana Heyder, NEPA Specialist

GoNEPA@ee.doe.gov



QUESTIONS?