

# **DOE Bioenergy Technologies Office (BETO) 2021 Project Peer Review**

**WBS: 2.3.1.209**

**Catalytic Upgrading of Carbohydrates  
in Waste Streams to Hydrocarbons**

**March 12, 2021**

**Principal Investigators:**

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**David Johnson, National Renewable Energy Laboratory**



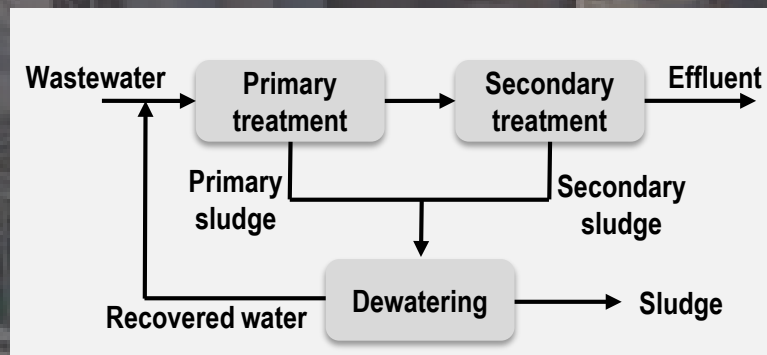
**NC STATE  
UNIVERSITY**

# Solid Wastes in Pulp and Paper Industry

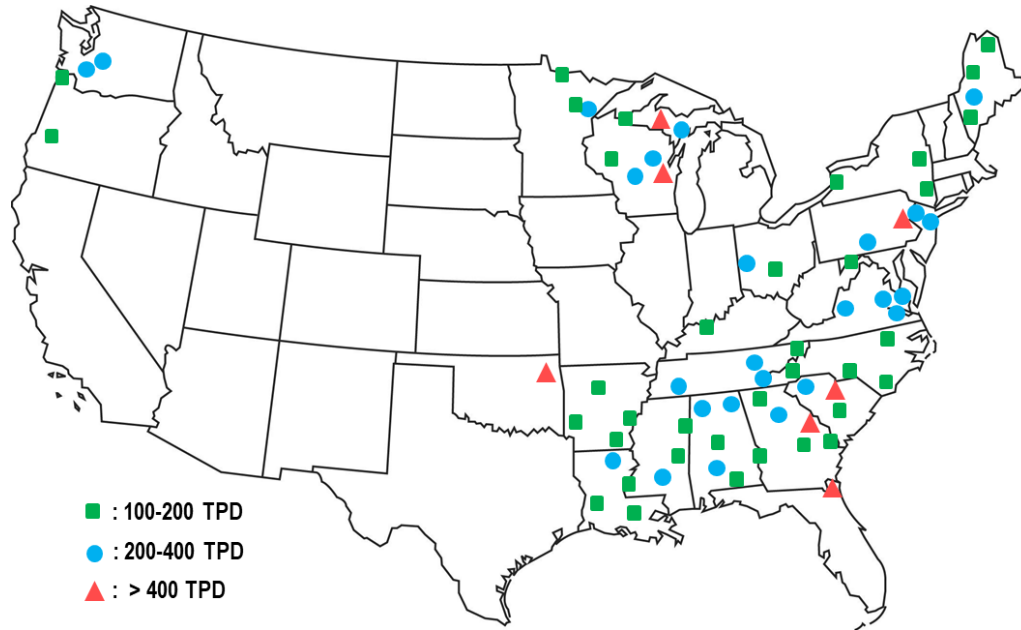
## Solid wastes in pulp and paper industry

- Rejects from debarking and chipping operation
- Ash from boiler and furnace
- Causticizing residuals
- Wastewater treatment system
  - Primary sludge
  - Secondary sludge

 Paper sludge



# Sludge Utilization



Paper products – Packaging, Tissue/towel, Printing/writing

## Current practice in US

Landfill or lagoon	50%
Land application	10%
Incineration	20%
Other beneficial use	10%

Recycled Materials and Byproducts in Highway Applications, National Academies Press (2013)

**~8MM wet tonne/year (50% MC)**  
**Trucking & landfilling ~ \$60/dry tonne**  
**Cost ~ \$240MM/year**



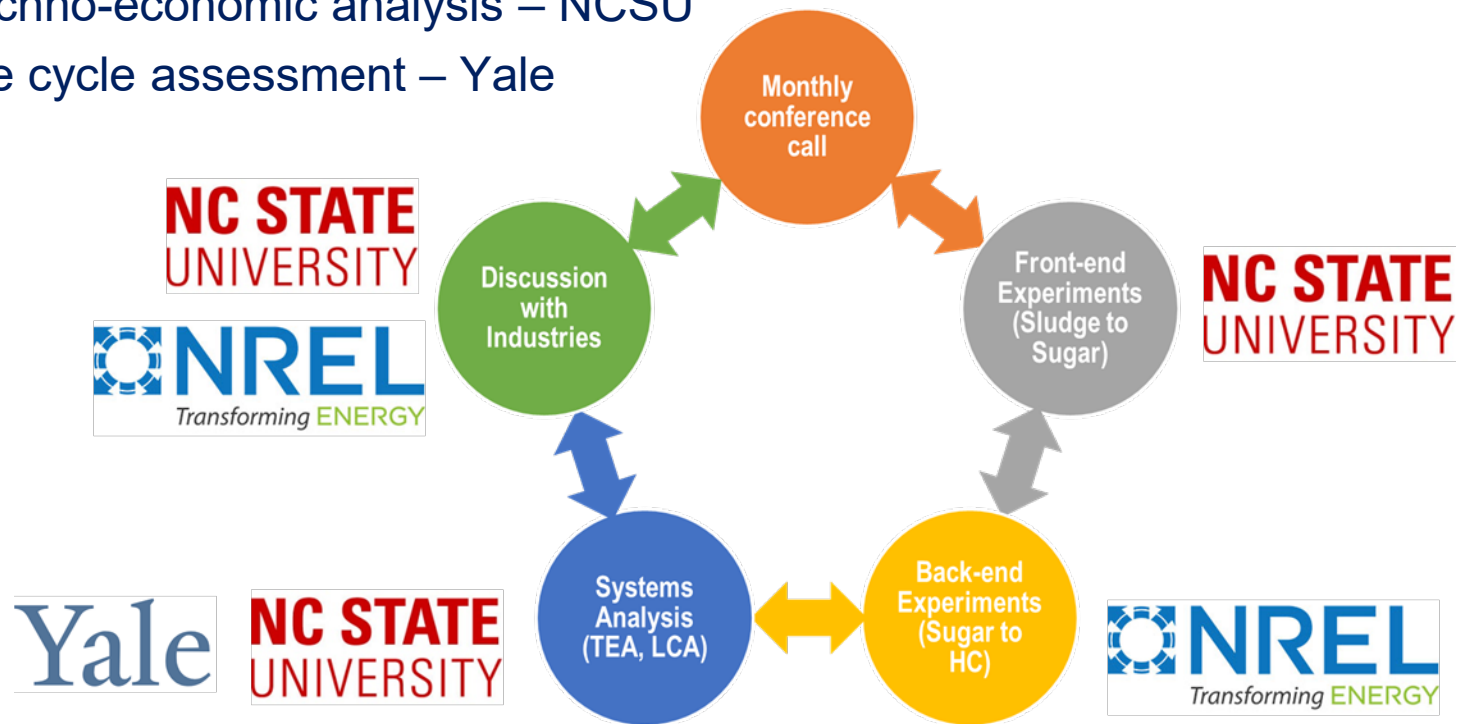
# Project Overview

- This project will develop a technology for converting the carbohydrates in paper sludge into a hydrocarbon (HC) biofuel, both economically and sustainably.

	Key Milestones & Deliverables
Year 1	Initial verification Develop a mass and energy balance model in Aspen Produce furfural and HMF from paper sludge at 90% and 65% yields
Year 2	Aldol condensation of furans with ketones at 85% yield to intermediates Produce HC via HDO at 80% yield Intermediate verification to produce 50 mL HC Demonstrate a minimum 25% reduction in nLCOD
Year 3	Produce 1.0 L HC for fuel property testing Determine if 75% (GGE basis) of HC can be blended into jet or diesel fuel Demonstrate a minimum 25% reduction in nLCOD.

# Management: Project Tasks

- Project work breakdown
  - Front-end experimental work (paper sludge to furans) – NCSU
    - Sludge selection, ash removal, enzymatic hydrolysis, dehydration
  - Back-end experimental work (sugars to hydrocarbon) – NREL
    - Dehydration, aldol condensation, hydro-deoxygenation, fuel testing
  - Systems analysis
    - Techno-economic analysis – NCSU
    - Life cycle assessment – Yale



# Management: Communication and Risks

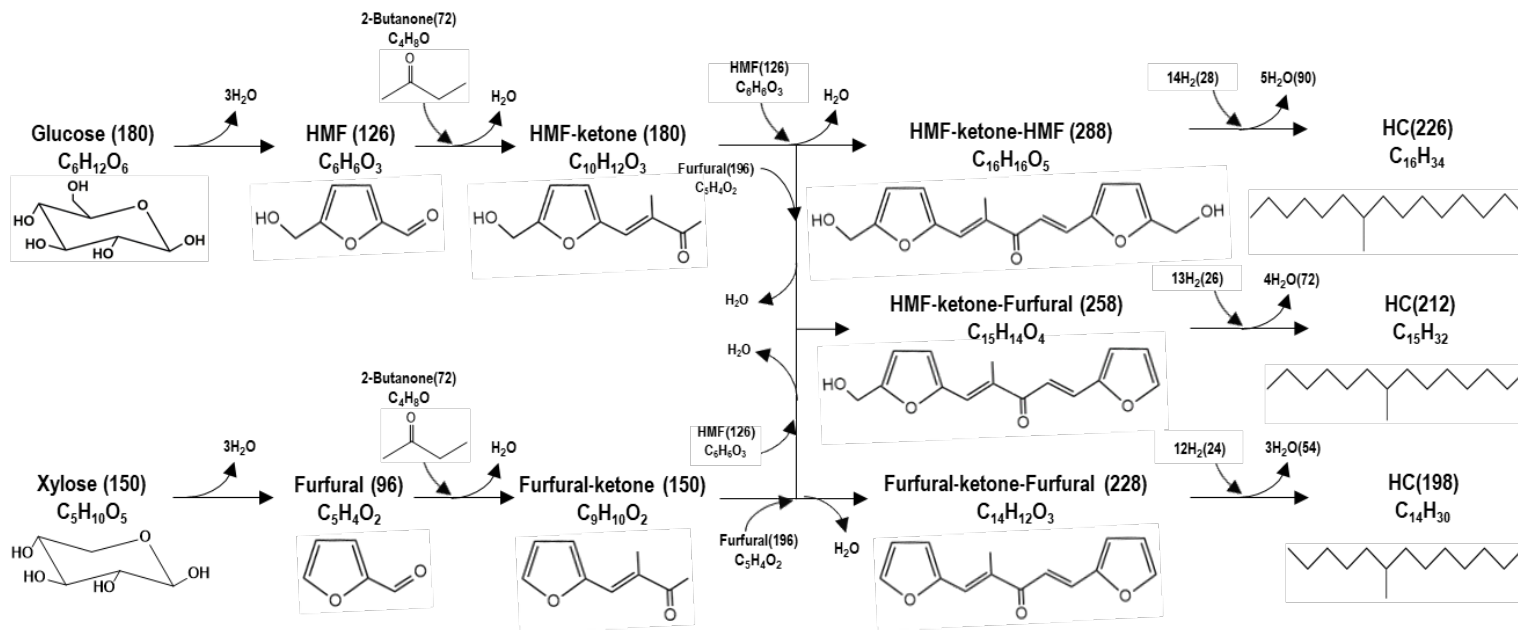
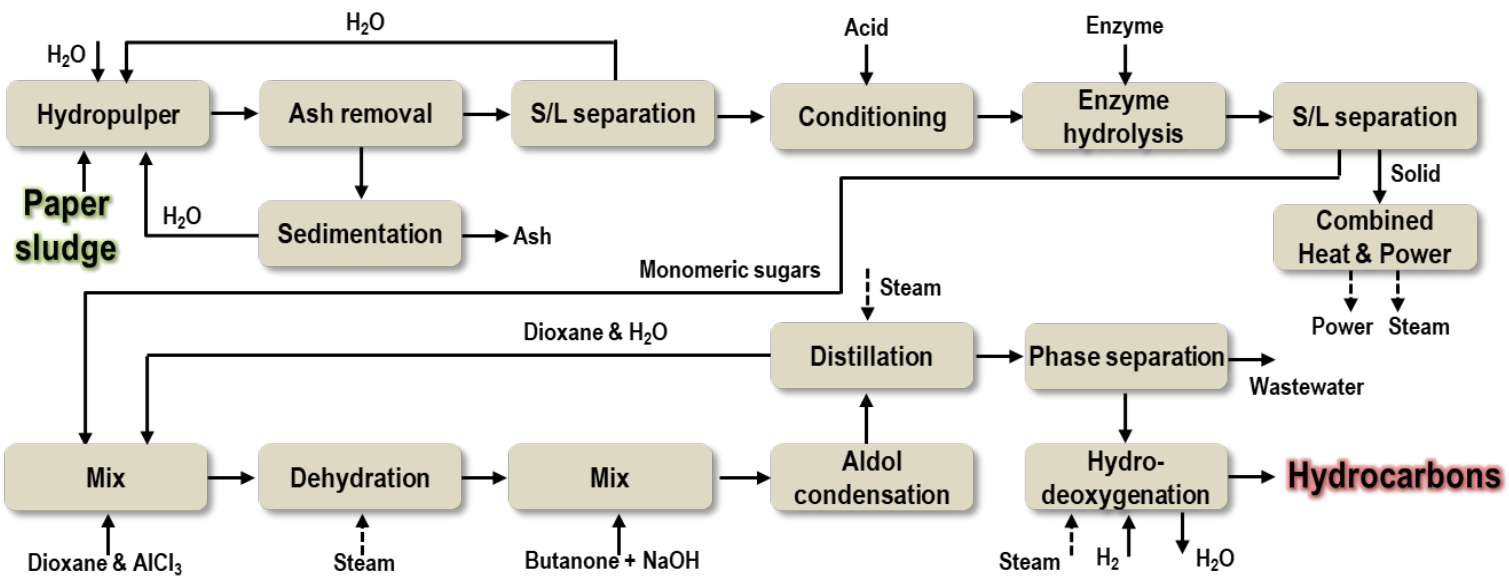
- Communication
  - Monthly conference call between NCSU and NREL
    - Present new results and discuss next work plan
    - Research activities are highly integrated and guided by TEA
  - Quarterly milestone report
  - Regular interaction with the BETO project manager
  - BETO validation: Initial (Aug. 2019), intermediate (June 2021), final (Sept., 2022)
- Risks and mitigation plan

Risk/Barrier	Mitigation plan
High amount of ash in paper sludge	If a single unit (e.g. screening) is not sufficient, multistage unit operation will be developed for ash removal.
Catalyst deactivation due to ash in paper sludge	Characterize used catalysts to identify cause of deactivation and either remove components causing deactivation or design catalyst to be more resistant to deactivation.
Sludge variations between mills	The sludge composition is largely dependent on raw material and papermaking process. The project will exam at least three different sources and develop an optimized solution for each sludge.

# Milestones and Go/No-go

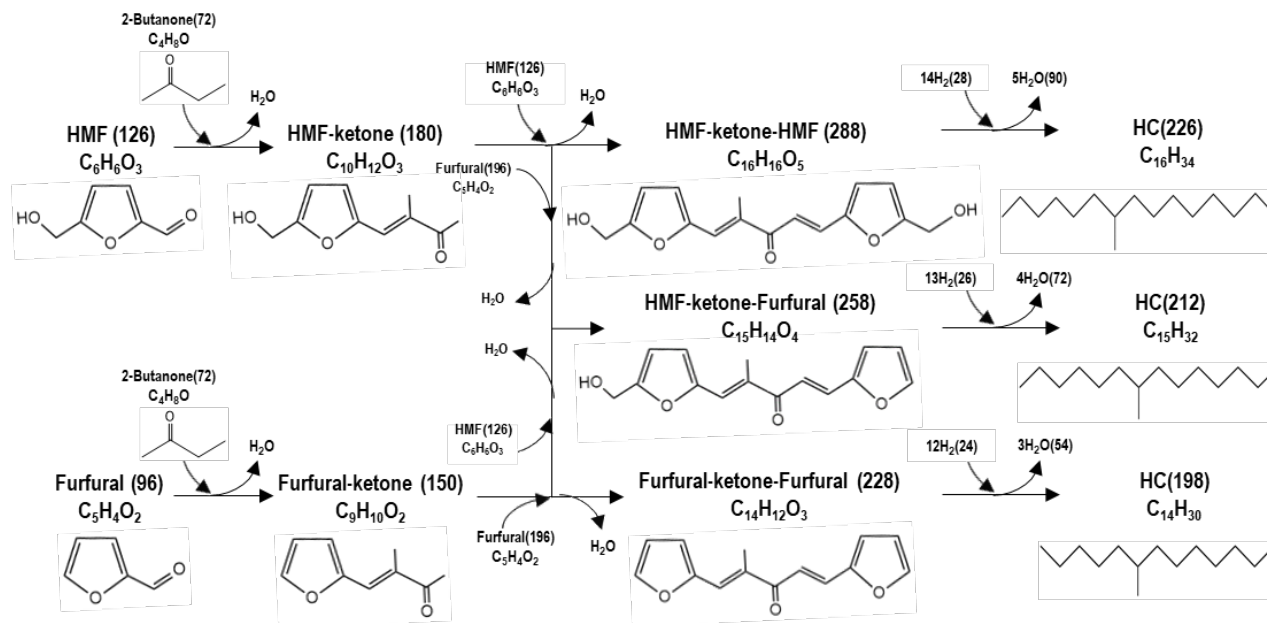
Milestone	Topic	Date	Target	Progress
ML1.1	Go/No-go: Initial verification	09/30/19	Confirm the benchmark and assumptions SOPO/budget review	Completed
ML2.1	Preliminary TEA	12/31/19	nLCOD calculation based on the TEA.	Completed
ML3.1	Ash removal	03/31/20	Sludge selection and characterization Ash removal >93%, Carbohydrate retention >65%	Completed
ML4.1	Dehydration	9/30/20	Enzymatic hydrolysis >85% sugar yield Dehydration >69% furan yield	Completed
ML5.1	Aldol condensation	12/30/20	Condensation >85% yield	Completed
ML7.1	Solvent recycle	3/31/21	Solvent recycle strategies by analyzing distillate and still bottoms	In progress
GN 8.1	Go/No-go: Intermediate verification	06/30/21	Produce 50 mL of hydrocarbon product from paper sludge 50% (GGE basis) of HC can be blended into jet or diesel fuel 25% reduction in the nLCOD	.
ML6.1	Hydro-deoxygenation	09/30/21	Flow reactor with time on stream >50 h Hydro-deoxygenation >80% yield	.
ML9.1	Enzymatic hydrolysis	12/30/21	Relevant scale enzymatic hydrolysis >2.5 kg sugar production, >64.8% conversion	.
ML9.2	Dehydration and condensation	06/30/22	Dehydration and condensation >1.25 kg intermediate Overall yield >66.3%	.
ML9.3	Hydrocarbon production	09/30/22	Hydro-deoxygenation >85% yield Produce 1.0 L of hydrocarbon product	.
ML10.1	Final verification	09/30/22	Produce 1.0 L of hydrocarbon product from paper sludge 75% (GGE basis) of HC can be blended into jet or diesel fuel 25% reduction in the nLCOD	.

# Process Overview

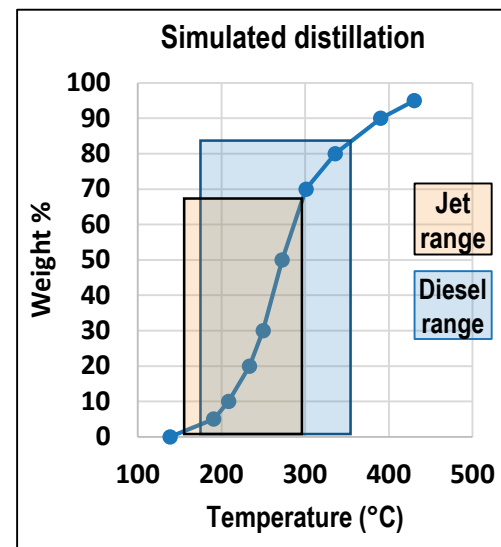




# Previous Work: Hydrocarbons from Pure Chemicals



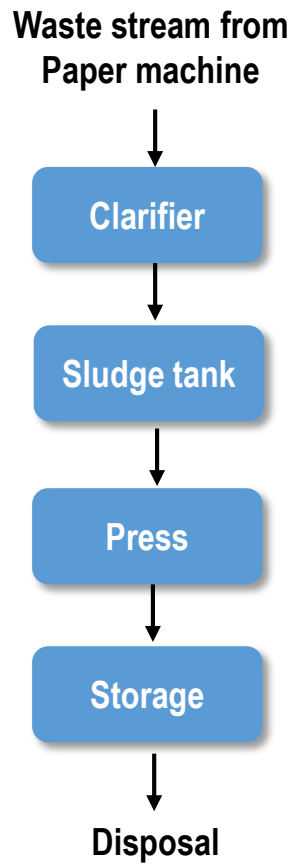
Property	HC Product from HMF/Furfural	Typical US Diesel
Cloud Point ( $^{\circ}C$ )	-64	-40
Density ( $g/cm^3$ )	0.828	0.83-0.86
Higher Heating Value (MJ/kg)	43.6	45.6
Energy Density (MJ/L)	36.1	38.5
Cetane Number (AFIDA)	61.5	Minimum 40 Typical 42-45



# Project Impact

- In the US, more than 8 million wet tons of paper sludge (50% moisture) are generated annually.
- Most of them are landfilled at an approximate cost of ~\$240 million per year, including trucking and landfilling costs.
- There are approximately 40 locations, where more than 200 TDP of paper sludge is produced.
- There is a potential to utilize paper sludge from multiple mills, as many mills are located a close distance from each other.
- Carbohydrate in the sludge could be converted to 150 million gallons of diesel fuel, showing that this project is aligned with DOE's strategy for production of high performance biofuels from waste feedstocks.
- DOE-BETO and other government agencies are interested in working with cost-advantaged feedstock and lessening the disposal cost burdens.

# Process to Produce Paper Sludge



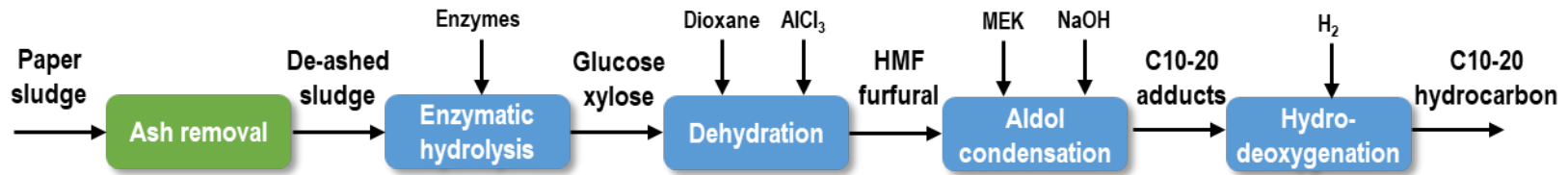
# Paper Sludge Survey and Selection

Types	Company	Ash, %	Fiber length, mm	Fines, %
Kraft pulp	--	47.2	2.002	17.6
Uncoated freesheet	"D" company	43.7	0.766	30.1
	--	36.7	0.819	19.0
Tissue/ towel	"C" company	43.2	0.861	29.7
	--	57.1	0.809	27.7
	--	42.6	0.754	29.7
Packaging	--	33.3	1.021	33.4
	--	48.8	0.900	27.0
Specialty	--	0.40	0.445	61.6

- 17 companies were contacted
- 11 paper sludges have been received
- Most of work in the project uses the sludge from the "D" company.



# Ash Removal from Paper Sludge



## Objectives:

- Remove ash in paper sludge for monomeric sugar production
- Ash removal >93%, Carbohydrate retention >65%
- Characterization of ash remaining in paper sludge



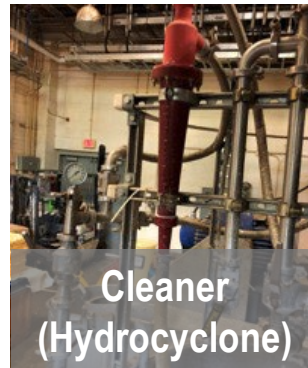
Screen  
(Pulmac)

Hole, Slit



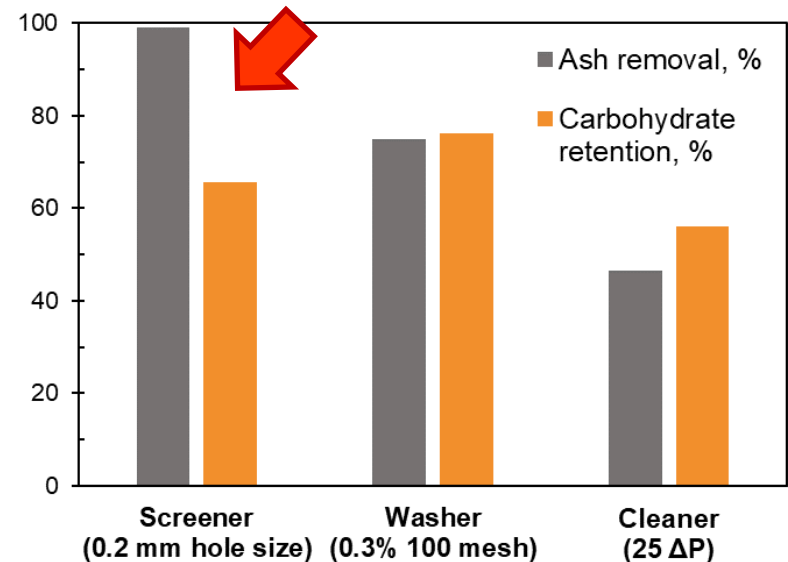
Washer  
(Britz jar)

100, 500 mesh



Cleaner  
(Hydrocyclone)

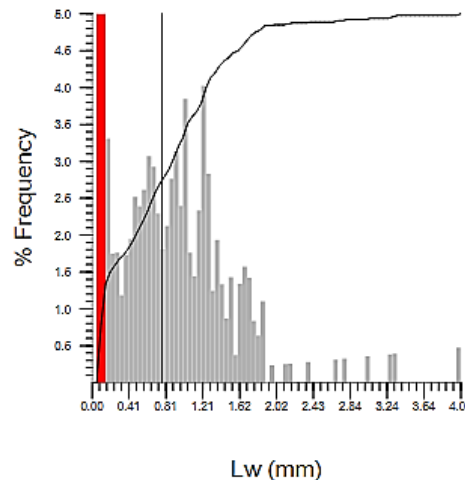
Forward type,  $\Delta P$



# Paper Sludge Characterization



Paper sludge from  
"D" company



## Length distribution

Fiber Quality Analyzer

Lw, mm	Fines, %
0.766	30.1

## Inorganic component

Total 29 elements detected by ICP-MS

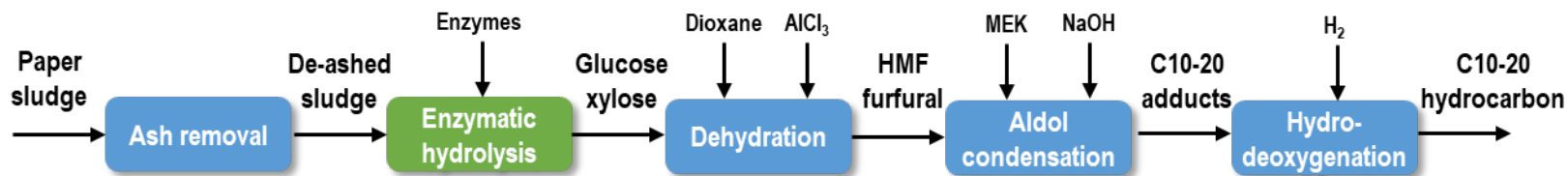
Component	Ca	Al	Mg	Na	S	P	Fe	K
Amount, %	94.7	0.5	0.7	1.6	0.7	0.7	0.2	0.2

Inorganic components in paper sludge come from (a) filler (**calcium carbonate, Ca**), kaolin/clay (Si, Al), (b) additives, (c) inks, and (d) wood itself.

## Composition Analysis

Substrate	Total carbohydrate, %	Glucan, %	Xylan, %	Arabinan +Mannan, %	Ash, %	Lignin, %
Sludge	42.3	30.5	8.5	3.3	43.7	3.1
De-ashed	92.6	75.8	13.2	3.4	1.5	1.5

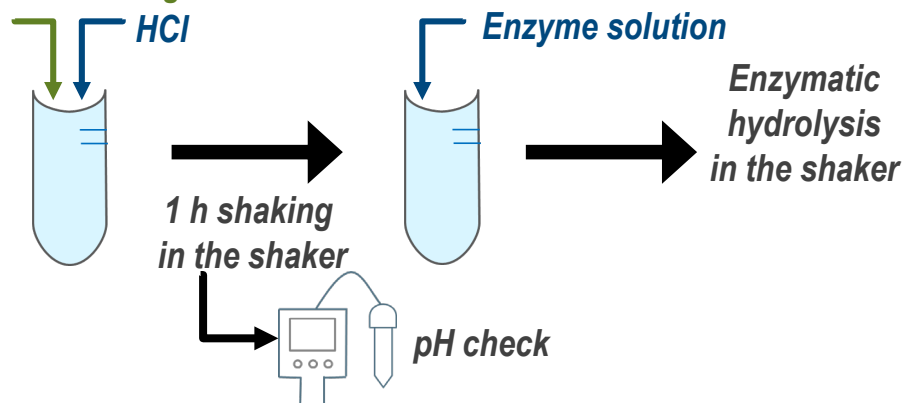
# Enzymatic Hydrolysis to Sugars



## Objectives:

- Convert carbohydrate in paper sludge into monomeric sugars
- Use HCl for pH adjustment
- Achieve at least 85% enzymatic hydrolysis with a minimum enzyme dosage

## de-ashed sludge



Cellic® CTec2 (Novozymes, USA)

5% consistency, 1 M HCl for pH adjustment

9.8 mg cellulase / g cellulose

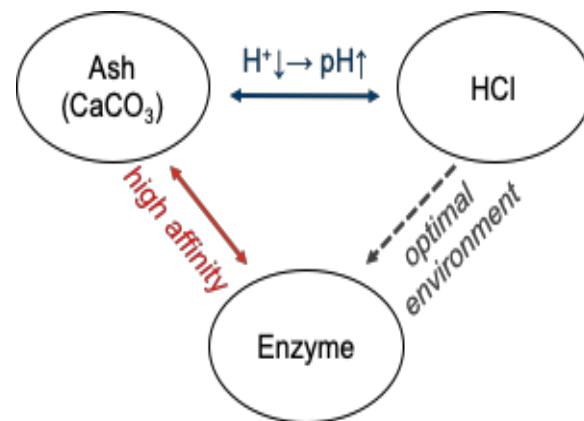
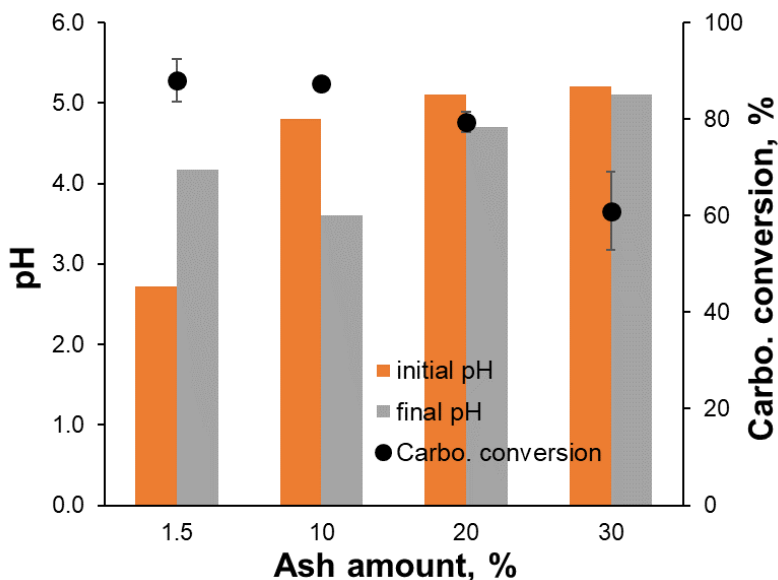
Incubator shaker: 50°C, 150 rpm, 96 h

Initial pH	Conversion
3.5	88.2%
3.0	91.5%
2.7	92.6%
2.5	93.4%
2.8	94.9%

Best conversion was found when the initial pH was 2.5 – 3.5

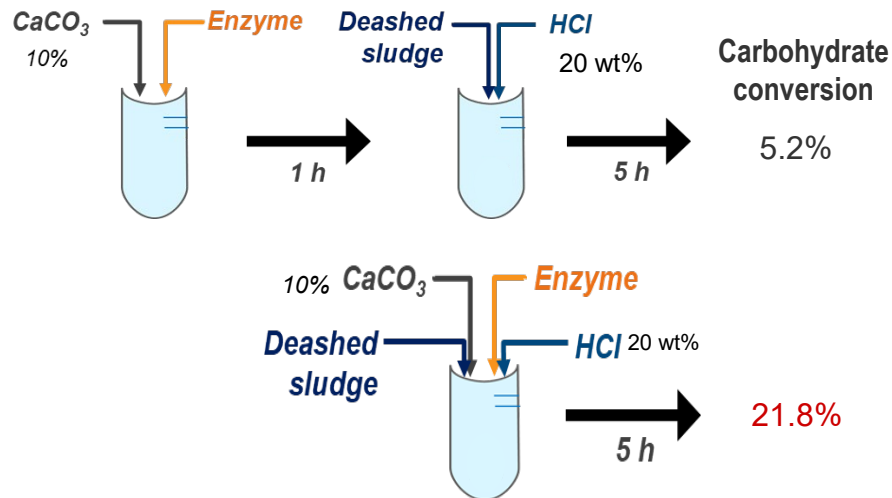
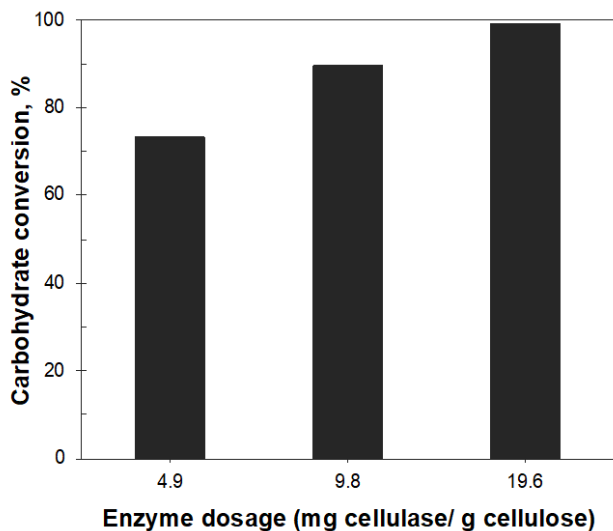
# Enzymatic Hydrolysis to Sugars

Effect of ash amount  
@ 9.8 mg cellulase / g cellulose



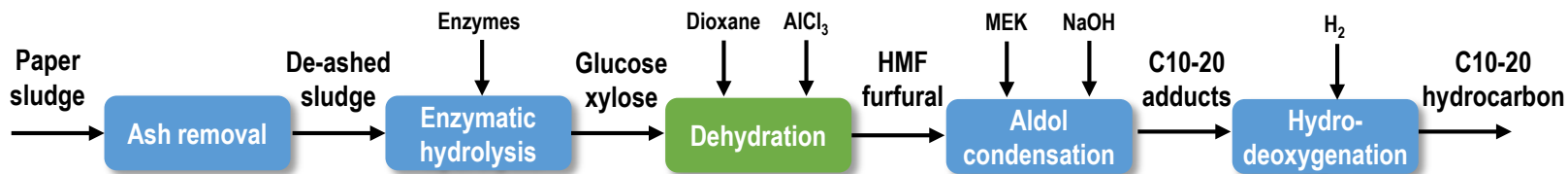
## Affinity between CaCO<sub>3</sub> and enzyme

Effect of enzyme dosage  
@ Initial pH: 3.0





# Dehydration to Furans



## Objectives:

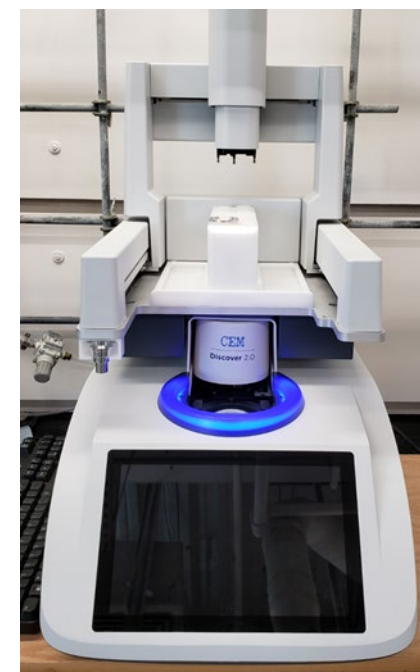
- Verify that sugars in hydrolysates from paper sludge can be converted into furfural and HMF.
- Convert both pentoses and hexoses in paper sludge hydrolysate into furfural and HMF with yields of at least 90% and 65% (Combined yield 70%) of theoretical, respectively.

**Sludge-derived hydrolysate:** glucose: 29 g/L, xylose: 6 g/L,  
oligosaccharides: 8%

**Solvent:** Water:1,4-dioxane (1:2 ratio)

**Catalyst:** AlCl<sub>3</sub>, 2.5 - 15 mM

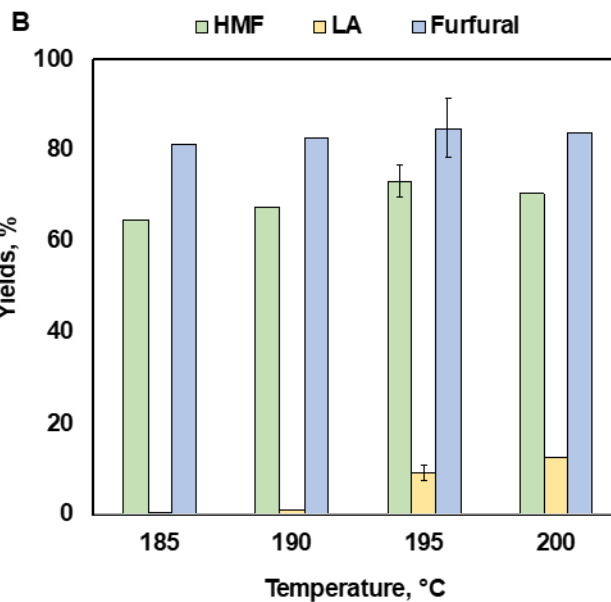
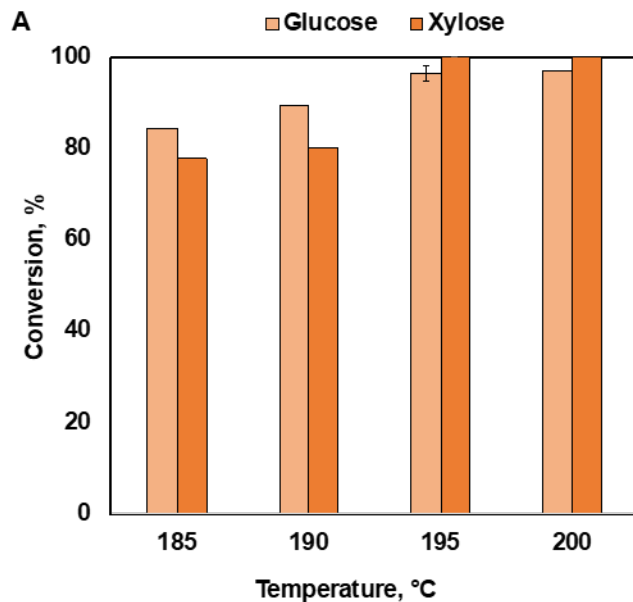
**Reaction:** 185 - 200°C, 5 min  
using Microwave reactor



# Dehydration to Furans

## Effect of Temperature

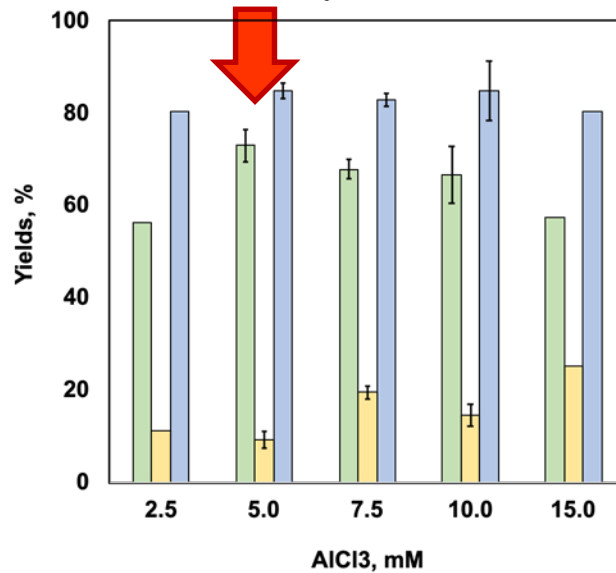
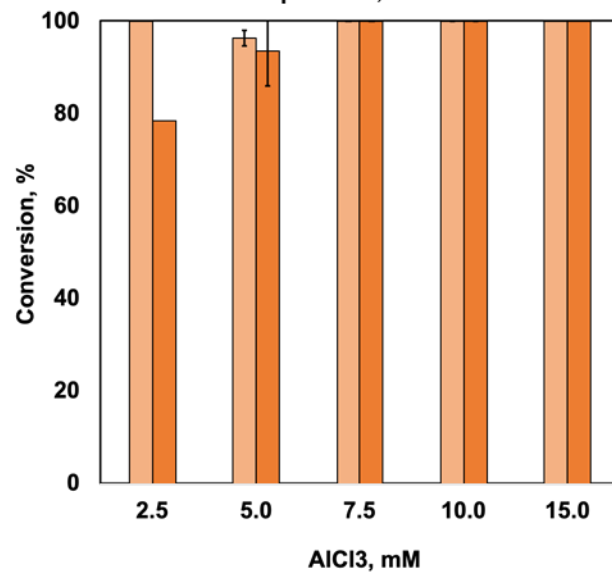
@  $\text{AlCl}_3$  5 mM, 5 min



The increase in temperature results in the increase in HMF and furfural yield, although by-product (e.g. levulinic acid) is produced as well.

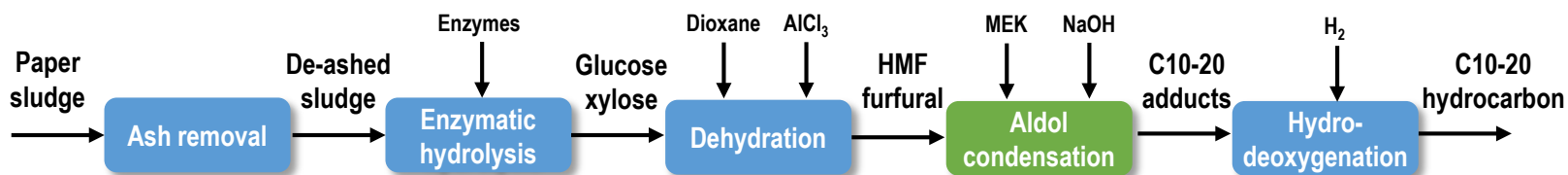
## Effect of Catalyst Loading

@ 185°C, 5 min



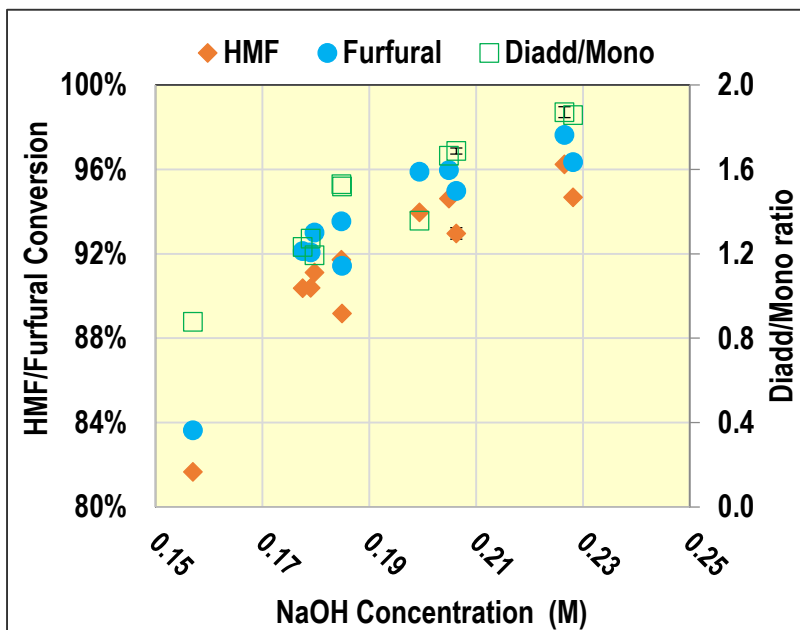
Maximum HMF yield (73%) and furfural yield (85%) were achieved at 5 mM of  $\text{AlCl}_3$  loading.

# Aldol Condensation of Furans to Intermediates



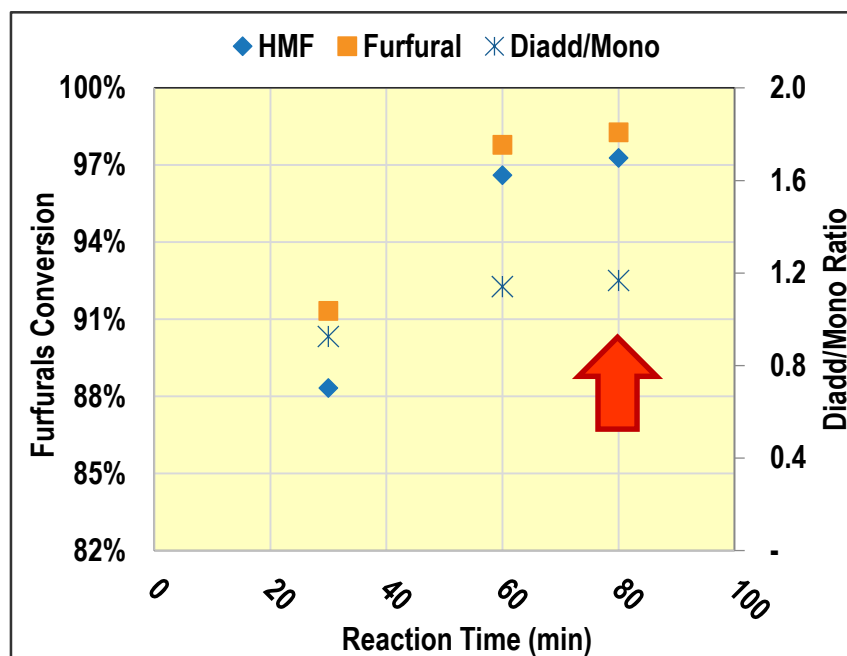
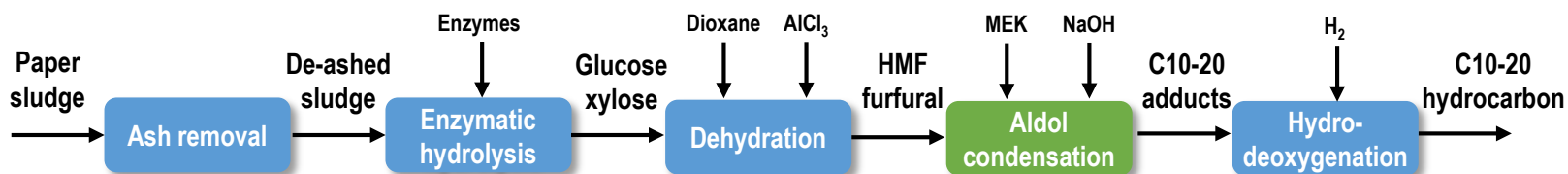
## Objectives:

- Verify that furan mixtures can be converted into intermediates with a predominance of C14-C16 molecules from aldol condensations
- Convert both furfural and HMF with a combined intermediate yield of at least 85% of theoretical.



- Small scale screening studies found that paper sludge derived furans took part in the aldol condensation with MEK
- Biggest variable affecting reaction was NaOH concentration
- 60 °C, 60-80 min, Furfurals:MEK mole ratio 2-3.5:1
- HMF/Furfural conversions 95+% achieved
- Diadduct : Monoadduct ratios almost 2:1
- Condensation reactions scaled-up to 100 mL to isolate product and quantify yield

# Aldol Condensation of Furans to Intermediates



- Aldol condensation product obtained in high yield (88% isolated yield) from paper sludge hydrolysate furans
- Diadduct:Monoadduct ratio 1.17 :1
- 60°C, 80 min, Furfurals:MEK mole ratio 2:1, NaOH 0.20 M

# Techno-Economic Analysis

## Objectives:

- Develop a process in Aspen Plus with a detailed mass and energy balance on all unit operations
- Conduct an economic analysis in DCFROR to predict net levelized cost of disposal (nLCOD) and minimum fuel selling prices (MFSP)
- Perform a sensitivity analysis to guide experimental parameters
- TEA goal: 25% reduction in the nLCOD resulting from the technology

### 1. Data collection for key parameters from the literature

- Unit operation parameters
- Capital cost
- Operating cost

### 2. Experimental results from the project

- Ash removal, EH
- Dehydration, Condensation
- Others

### 4. TEA model

- DCFROR analysis
- Paper sludge nLCOD
- Hydrocarbon MFSP

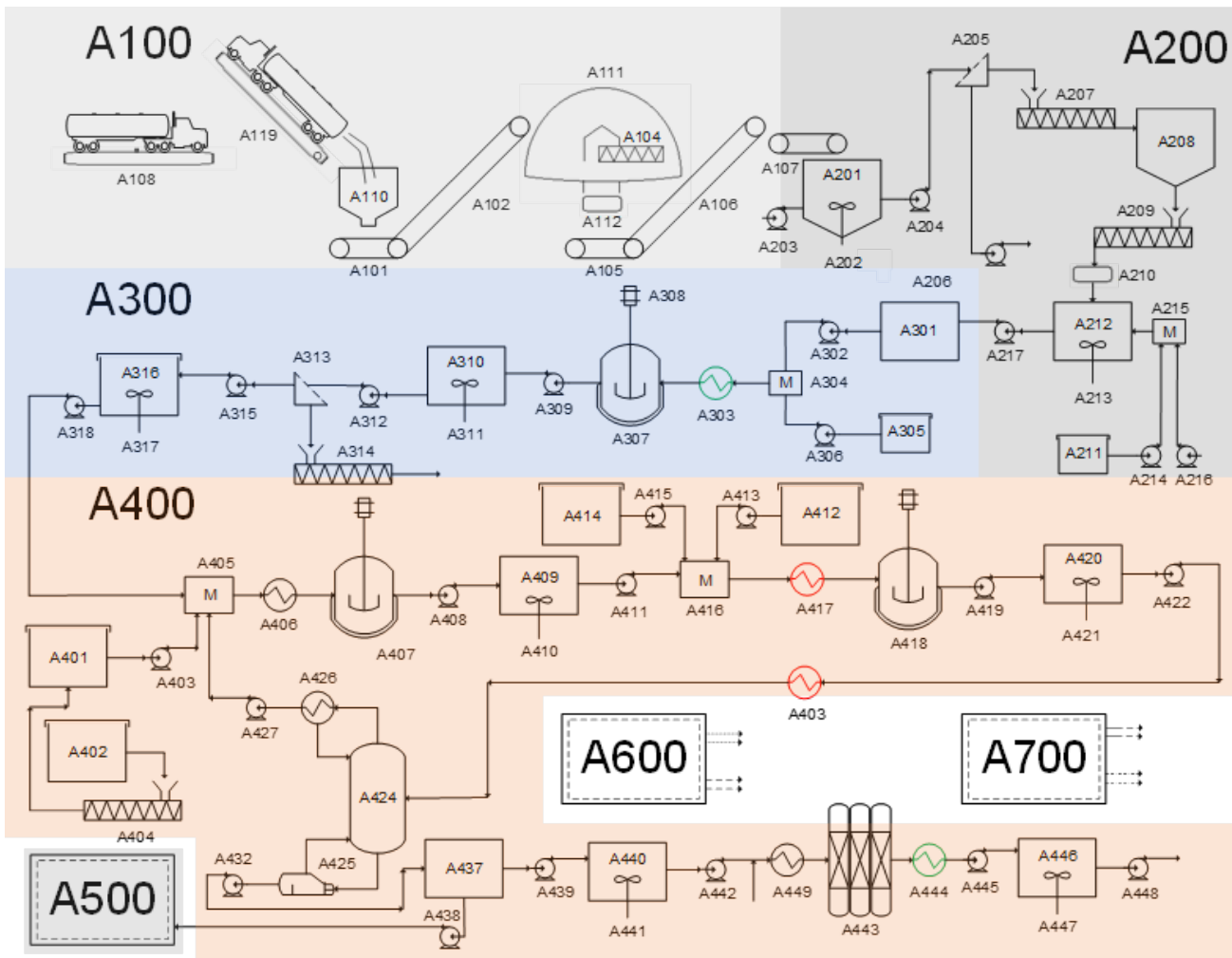
### 3. Simulation model of biomass conversion

- ASPEN Plus
- Energy and mass balance

### 5. Sensitivity analysis and case study

- Identify ~30 key parameters
- What-if case study

# Mass and Energy Balance



## A100 – Feedstock & Handling

Paper Sludge 750 dry tonne/day

## A200 – Pretreatment

Carbohydrates Ret. 68.7% ± 1.8

Ash Removal 99.0% ± 0.0

## A300 – Enzymatic Hydrolysis

Hydrolysis Temp 50°C

Glucose Conv. 97.5% ± 1.8

## A400 – Catalytic Upgrading

1,4-Dioxane : Water 2 : 1 (v/v)

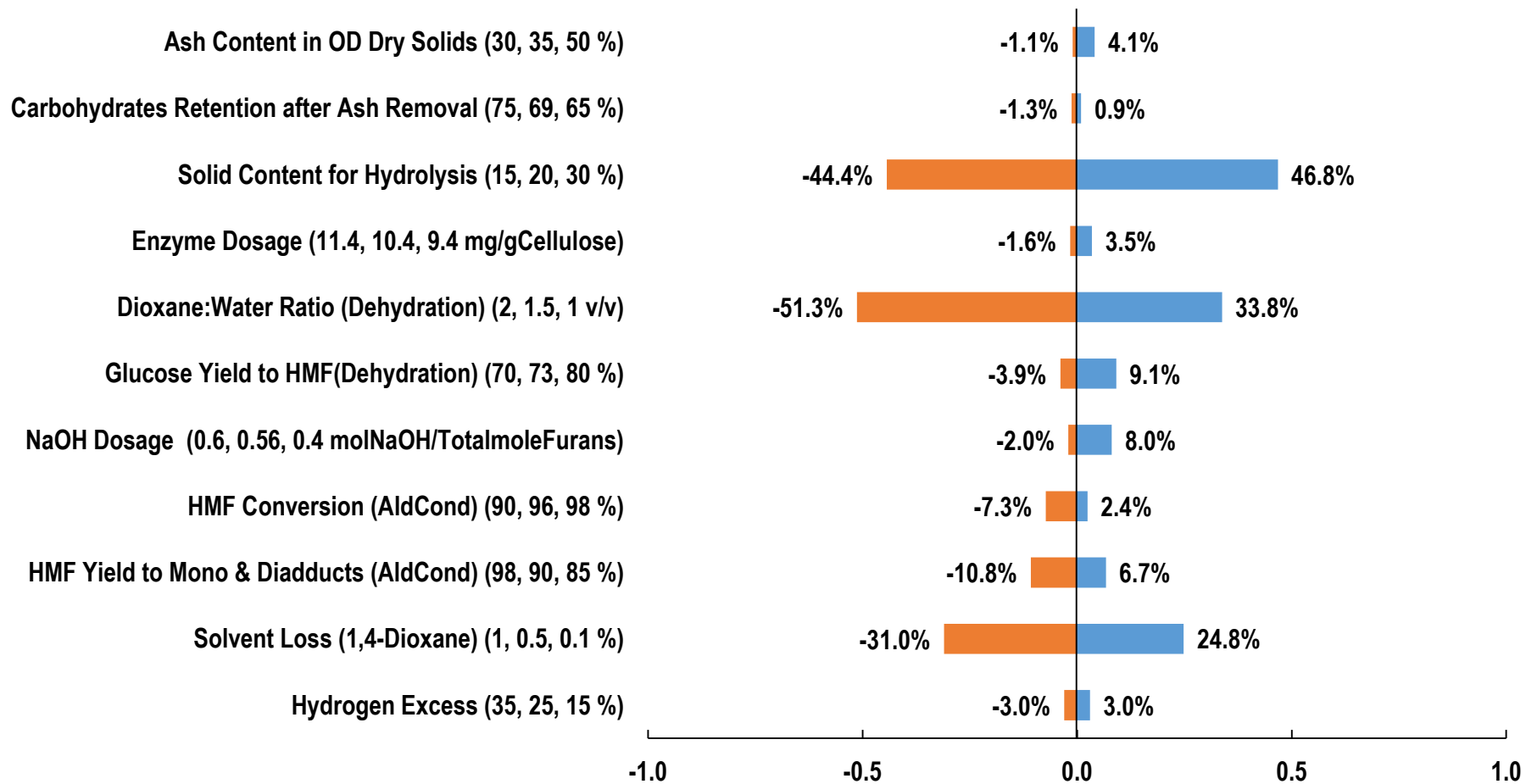
Dioxane/Water Az. 88°C & 81%Diox.

## A500 – Waste Water Treatment

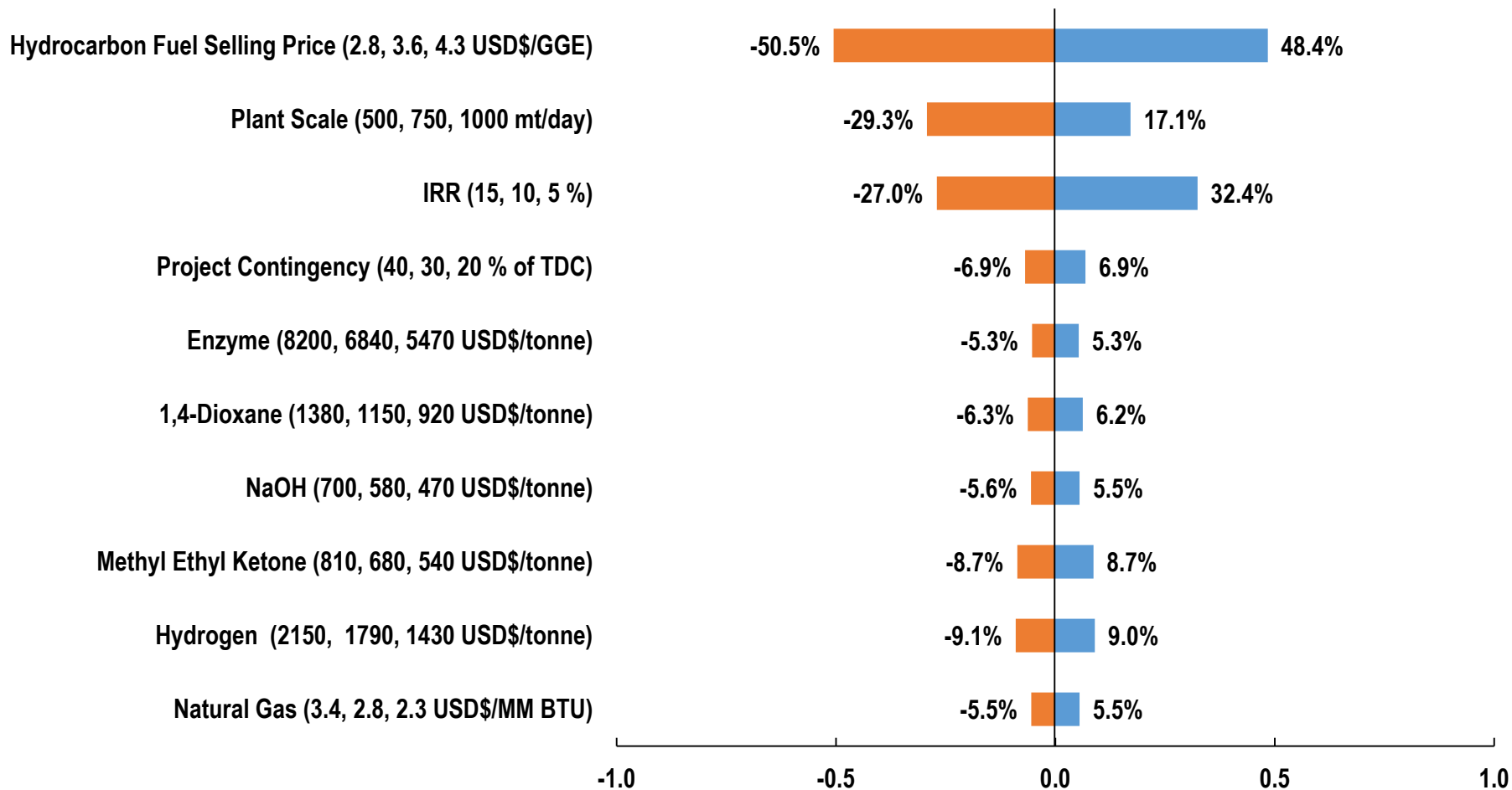
## A600 – Heat & Power

## A700 – Utilities

# nLCOD Sensitivity Analysis – Process Factors



# nLCOD Sensitivity Analysis – External Factors





# Summary

- Accomplishments
  - Met the target for ash removal (>93%), carbohydrate retention (>65%), and enzymatic hydrolysis (>85%)
  - Identified key properties of paper sludge for the process
  - Met the target for dehydration (>69%) and aldol condensation (>85%)
- Project future work
  - Go/No-go verification: 50 mL fuel production from paper sludge
  - Solvent recovery, hydro-deoxygenation
  - Relevant scale demonstration to produce 1L product for fuel testing
- Considerations to further enhance process economics (nLCOD, MFSP)
  - Less water in dehydration (e.g. high solid EH, water evaporation after EH)
  - Increase conversion yield (e.g. dehydration, aldol condensation)
  - Process ash utilization (e.g. cement)
  - Calcium salt recovery (e.g. deicing agent)

# Quad Chart Overview

## Timeline

- Project start date: 10/1/2019
- Project end date: 9/30/2022

	<b>FY20 Costed</b> (10/01/2019 – 9/30/2020)	<b>Total Award</b>
<b>DOE Funding</b>	<b>\$605,513</b>	<b>\$2,475,807</b>
<b>Project Cost Share</b>	<b>\$337,089</b> (CS 35.8%)	<b>\$623,004</b> (CS 20.1%)

## Project Partners

- DOE National Renewable Energy Laboratory
- Yale University

## Project Goal

The project goal is to develop a technology for converting the carbohydrates in paper sludge, a wet organic industrial-waste stream, into a hydrocarbon (HC) biofuel, both economically and sustainably.

## End of Project Milestone

- Produce 1.0 L HC for fuel property testing
- Determine if 75% (GGE basis) of HC could be blended into jet or diesel fuel
- Demonstrate a minimum 25% reduction in the nLCOD.

## Funding Mechanism

DE-FOA-0001916 BioEnergy Engineering for Products Synthesis (BEEPS), Topic Area 4 2018

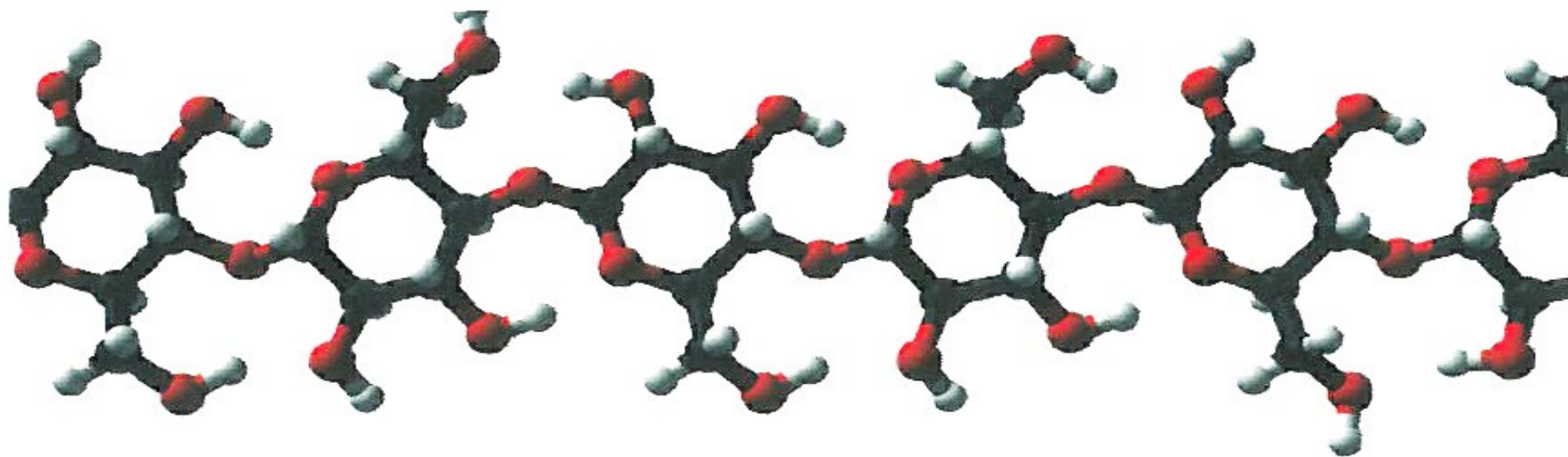
# Acknowledgements



**The work is supported by the BioEnergy Technologies Office (BETO), US Department of Energy (DOE) (Award number: DE-EE0008498).**

**BETO Technology Manager: Beau Hoffman**

## Additional Slides



# Presentations and Publications

- Conference presentations
  - Techno-economic Analysis of Biofuel Production via Catalytic Upgrading of Carbohydrates in Paper Sludge
    - AIChE 2020 Virtual
  - Valorization of Paper Sludge to Furan Chemicals
    - AIChE 2020 Virtual
- Journal publications
  - Two publications are in preparation