

BETO 2021 Peer Review Thermochemical Platform Analysis – NREL

WBS: 2.1.0.302

March 9, 2021
Catalytic Upgrading Session
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National Renewable Energy Laboratory

This presentation does not contain any proprietary, confidential, or otherwise restricted information

Acronyms Used

BETO: Bioenergy Technologies Office

CCPC: Consortium for Computational Physics and Chemistry

CFP: Catalytic Fast Pyrolysis

DME: Di-Methyl Ether

FCC: Fluid Catalytic Cracking

FCIC: Feedstock-Conversion Interface Consortium

FP: Fast Pyrolysis

FY: Fiscal Year (e.g., FY21 is fiscal year 2021)

HOG: High-Octane Gasoline

GGE: Gallon Gasoline Equivalent

LCA: Life-Cycle Analysis

MFSP: Minimum Fuel Selling Price

MYP: Multi-Year Plan (BETO)

SOT: State of Technology

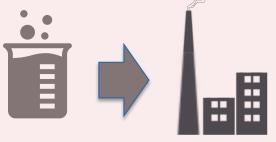
TEA: Techno-Economic Analysis

Project Overview

- Primarily focused on techno-economic analysis (TEA) and process sustainability
- Helps **guide research** in productive directions
- Provides industrial context and risk information for research activities

High-Level Goals

Provide Context for Research



What is a scaled-up implementation?

Add Value with Predictive Models



Aspen Plus By AspenTech for process



Focus experimental efforts on most impactful areas to fill data and research gaps

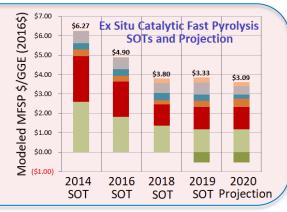
Help Identify and Fill Gaps & Risks



Mitigate scale-up risks within lab/pilot research

Develop Technical Targets Associated with Modeled Costs

Track achievement of technical targets and research advancements



Provide Alternatives for Research **Roadblocks**



Research Interaction to Solve Problems



Product

Feedstock

Capital

Relevant Market Trends

Anticipated decrease in gasoline/ethanol demand; diesel demand steady



Increasing demand for aviation and marine fuel



Demand for higher-performance products



Increasing demand for renewable/recyclable materials



Sustained low oil prices



Decreasing cost of renewable electricity



Sustainable waste management



Expanding availability of green H₂



Closing the carbon cycle



Risk of greenfield investments



Challenges and costs of biorefinery start-up



Availability of depreciated and underutilized capital equipment



Carbon intensity reduction



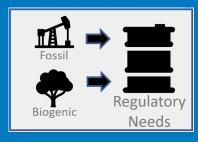
Access to clean air and water



Environmental equity

Major Trends since 2019 Peer Review







Value Proposition

Enable efficient research for biogenic liquid transportation fuels

Differentiators

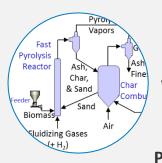
- **Predictive process modeling**
 - Magnifies impact of experimental research
- Core domain knowledge
 - Provides expert guidance on biomass conversion technologies
- Industrially relevant models/reports
 - Serves industry, academia, other research institutions, and BETO needs

Management

Overview - Core Research & Supporting Work

Core Research Areas

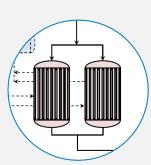
Thermo-Catalytic Conversion



Catalytic Pyrolysis

WBS 2.3.1.314

Catalytic
Upgrading of
Pyrolysis Vapors



Syngas Conversion

WBS 2.3.1.305

Upgrading of C1 Building Blocks

Current Focus

Refinery Coprocessing & Compatibility

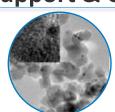
- Co-hydrotreating
- Co-FCC processing
- Industrial input on assumptions
- Closeout standalone catalytic (Pt/TiO₂) fast pyrolysis pathway

Synthetic Liquid Fuels

- High-Octane Gasoline
- Jet and diesel
- Process intensification
- Waste & CO₂ use

Risk Mitigation

Support & Collaboration



Catalyst R&D, Experimental Data





Feedstock Collaboration with





Predictive Phase Equilibrium

Collaboration with

Some other collaborations:

Johnson Matthey



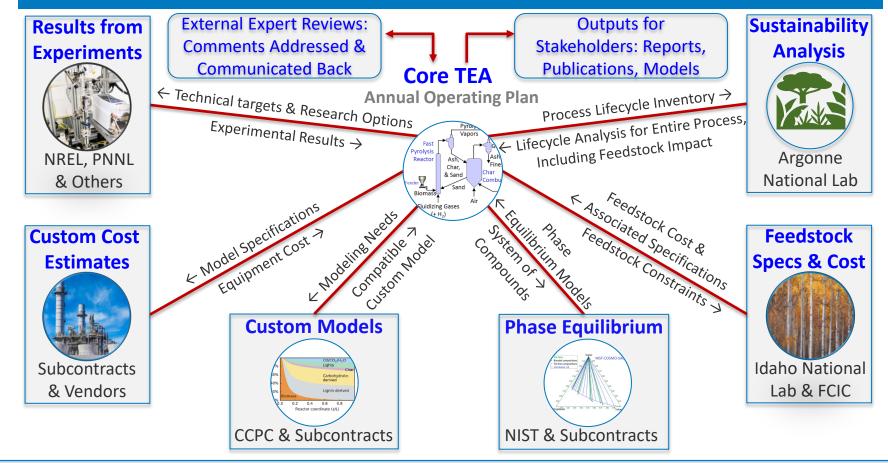
Consortium for Computational Physics and Chemistry





*EMRE is working with NREL on biomass pyrolysis

Management - Collaborators and Communication



Management of Risk, Communication, Advisory Boards

Built into Overall Project Workflow

Risks/challenges and mitigation approach for this project

Specifics on Slide 12

Technology risk identification and mitigation for overall research

Specific example on Slide 18

Communication and collaboration with *related projects and/or advisory* boards

Specific examples on Slide 19 and Slide 26

Approach

Technical Approach for Analysis Work

Rigor Based on Requirement & Stage of Research

Quick Turnaround **Analysis**

More Detailed **Analysis**

Detailed **Design Report**

Tools Used and Other Inputs







Economics



Life-Cycle Analysis

- **Research Data:** Experiments, researchers, and literature
- Capital & Operating Costs: Literature, vendor quotes, Aspen Capital Cost Estimator
- Financial and Feedstock Assumptions: Consistent with BETO guidelines & related feedstock research

Outputs

- MFSP (Minimum Fuel **Selling Price**) based on nth plant economics & financial assumptions
 - SOT (State of Technology)
 - **Projections**
- **Technical metrics** to achieve MFSP
- **Sustainability metrics** of the conversion process
- Full **LCA by ANL**
- Review comments and feedback from stakeholders are incorporated

Approach for Addressing Project Challenges

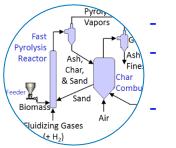
Key Risks and Challenges for this project [mitigation]

- Limited data
 - [sensitivity analysis / request more experiments]
- Provide alternate R&D approaches
 - [versatile predictive models with adaptability]
- Rigor vs speed
 - [efforts planned based on impact of analysis]
- Predictive modeling
 - [strategic partnerships and subcontracts]

Technical Approach for Current Focus Areas

Analysis to enable broader pyrolysis oils use in refineries -**Hydrotreating** and FCC

Catalytic Fast **Pyrolysis**



*Details in slide 22

Closeout* of standalone hydrotreating pathway in FY21

Final report to document learnings, gaps, and risks

Shift focus to pyrolysis oils coprocessing

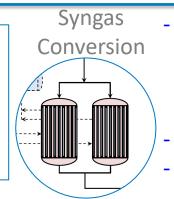
Co-hydrotreating TEA developed

Based on preliminary experimental yields

Lower quality feed and solid waste (MSW)

Experimental project: WBS 2.3.1.314 Catalytic Upgrading of Pyrolysis Vapors

Enable Efficient Conversion to Gasoline, Diesel, Jet



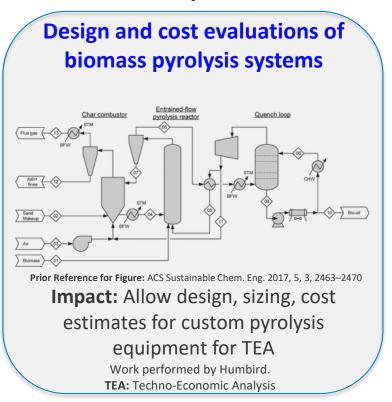
- Understand and optimize research results in the context of a process with recycles
 - Recommendations of more optimal conditions
 - Separation strategies in integrated process
- Process intensification for single-step syngas to fuels
- Diversified feedstocks: Solid waste and CO₂

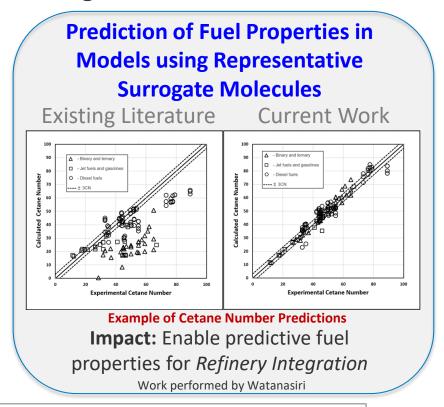
Experimental Project: WBS 2.3.1.305 Upgrading of C1 Building Blocks

Technical and economic metrics developed & tracked via research interaction

Subcontract Work to Advance Modeling Capabilities

Examples of Subcontract Work Integrated into Core TEA



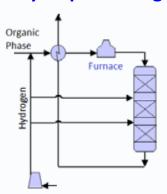


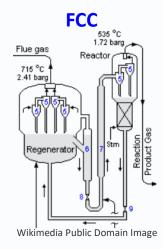
Publication of above and other subcontract work anticipated in FY2021

Focus Starting FY21 – Refinery Coprocessing of Py-Oil

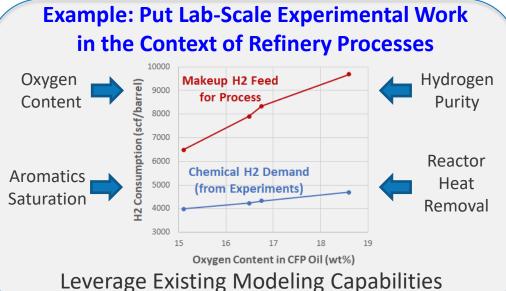
Use Domain Knowledge and Predictive Modeling to Understand the Impact of Heterogeneous Feedstocks in Petroleum Refineries

Hydroprocessing





SCR: Strategies for Co-processing in Refineries (separate BETO project); CFP: Catalytic Fast Pyrolysis; FP: Fast Pyrolysis.



(Example plot using FY16-FY19 Catalytic Fast Pyrolysis State of Technology Analyses)

Workflow – Process & SCR Analysis

CFP Oil Focus



Aspen Plus

Process Analysis – under this project



Value to Refiner



Assessment under SCR Analysis_{NREL}

Impact

Broad Impact

Direct Collaboration with Industry Partners

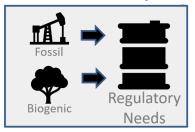
Leverage Knowledge & Modeling Capabilities from BETO Research



*EMRE is working with NREL on biomass pyrolysis

Other industrial entities (not listed) engaged via experimental projects

Facilitate Biogenic Carbon in Fuels and Products via Detailed Analysis



Publications to Disseminate Knowledge & Learnings



- Detailed design reports
- State of Technology updates
- Journal articles

Annual State of Technology to Track & Guide Research



Other Products

- Software records for detailed models available for licensing
- Patents/applications (led by experimental team)

Sample Models Publicly Available

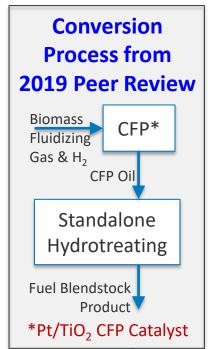


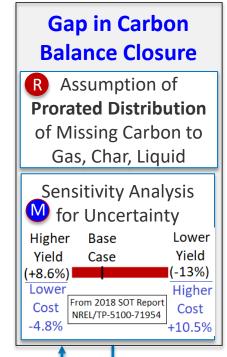
Download and use by stakeholders, including academia and industry

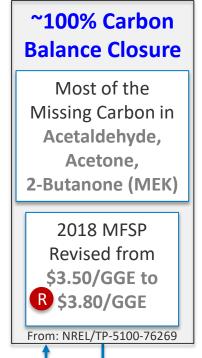
Risk Identification and Management – CFP Pathway

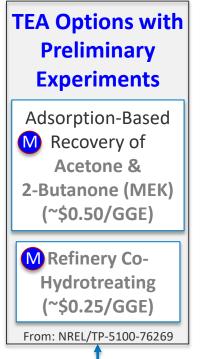
Underlying Goal to Achieve <\$3/GGE Modeled MFSP during 2022 Verification

(CFP: Catalytic Fast Pyrolysis; MFSP: Minimum Fuel Selling Price; GGE: Gallon Gasoline Equivalent; SOT: State of Technology)











Risk from ~88%
C-Balance Closure

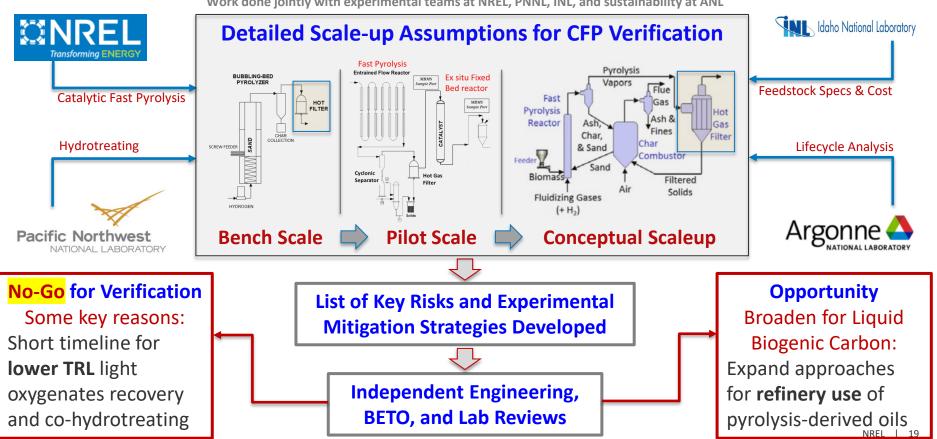
Add Experimental

Analytics M

Identify Cost Reduction

Go/No-Go Decision for 2022 Verification (Ex-Situ CFP)

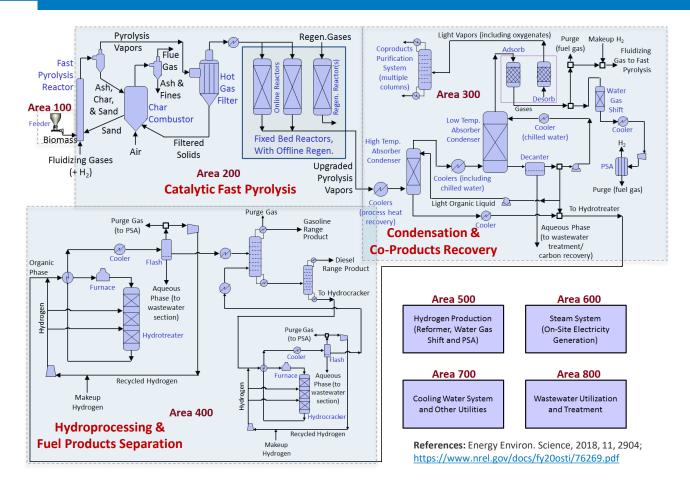
Go/No-Go for using this pathway for 2022 Verification to Achieve <\$3/GGE Modeled MFSP Work done jointly with experimental teams at NREL, PNNL, INL, and sustainability at ANL



Experimental Catalytic Fast Pyrolysis (CFP) project: WBS 2.3.1.314 Catalytic Upgrading of Pyrolysis Vapors

Progress and Outcomes

CFP with Standalone Hydrotreating – Process Flow



Area 100: Feedstock

Area 200: Fast Pyrolysis and

Ex-Situ Catalytic Upgrading

Area 300: Condensation & Light Oxygenates Recovery

Area 400: Hydroprocessing

& Fuel Product Separation

Area 500: Hydrogen

Production from Off-Gases

Area 600: Steam System &

Power Generation

Area 700: Cooling Water &

Utilities

Area 800: Wastewater

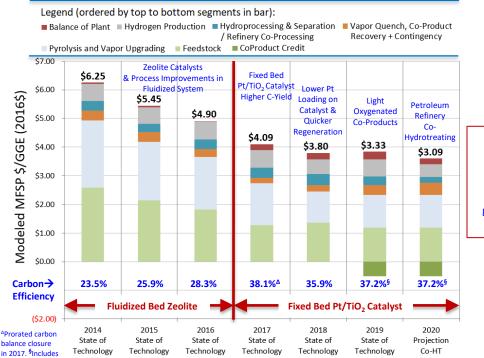
Treatment

coproducts.

CFP with Standalone Hydrotreating –Closeout in FY21

Considerable progress towards reducing the MFSP

- Significant risks remain for scale-up
- TEA data gaps to be addressed during closeout



>60% GHG reduction over petroleumderived gasoline for all cases

Closeout Process*

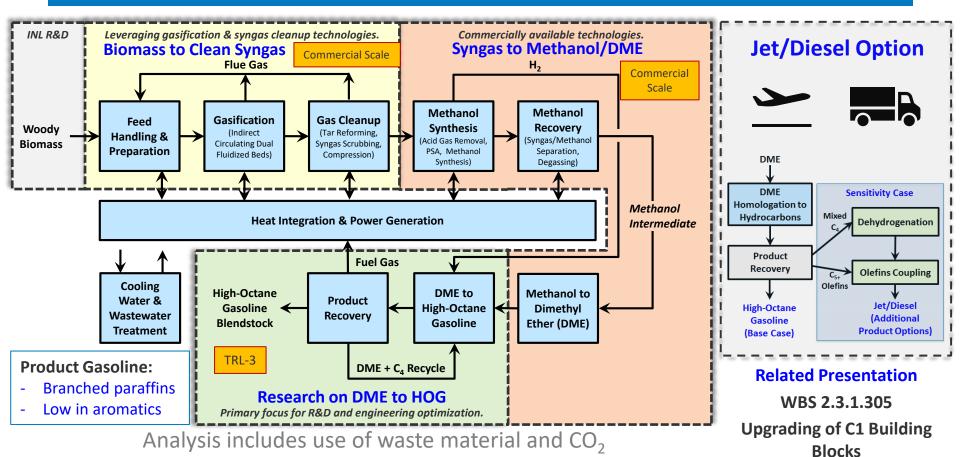
- TEA using new experimental data (FY21 Q2)
 - Light oxygenates recovery
 - Co-hydrotreating CFP-oil with diesel (SRD)
- Document & help reduce risks for future adoption
 - Leverage research since 2014 & FY21 expt. info.
 - Document risks, e.g. for catalyst regeneration

*Further details presented under WBS 2.3.1.314

Catalytic Upgrading of Pyrolysis Vapors

NREL

Syngas to High-Octane Gasoline Conceptual Process



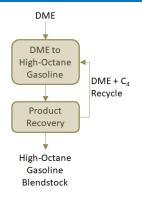
References: Nature Catalysis, Vol 2, pages 632–640 (2019);

NREL I

State of Technology – Challenges and Gaps

Research progress

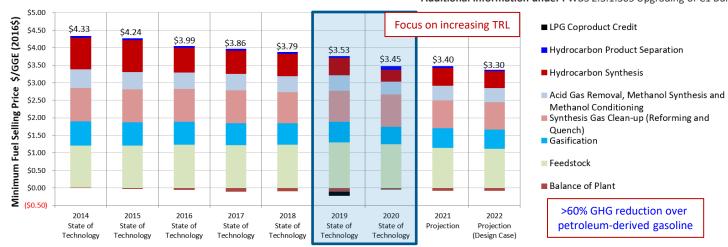
- Increased conversion & selectivity
- Increased C5+ products via reaction of recycled C4
 - Reduced aromatics formation



Risks & challenges for increasing TRL

- Catalyst related (ongoing research):
 - Scale-up, regeneration, longevity
- Current experiments not integrated
 - DME used in first step
 - Simulated recycle via co-fed C4
 - Full range of C4 recycle tests
 - Tests being run

Additional information under: WBS 2.3.1.305 Upgrading of C1 Building Blocks

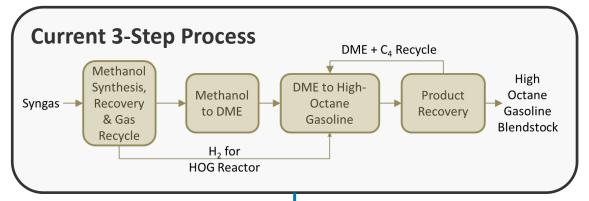


References: Nature Catalysis, Vol 2, pages 632–640 (2019);

1-Step Conversion & Related FY21 Go/No-Go Decision

Go/No-Go decision for adopting singlestep process will be based on experimental data and TEA **Due Date:**

6/30/2021



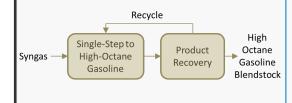
Take Advantage of Sequential Reactions & Overcome Reaction Equilibrium Limitations

Lower Capital Cost Option

Key Challenges & Research:

Syngas conditioning

1-Step Syngas to HOG



Initial exploratory TEA completed FY20 Q4

Reduce C4 and CO₂ selectivity

Optimal catalyst formulation

Improvements with more H₂

System pressure and space velocity optimization

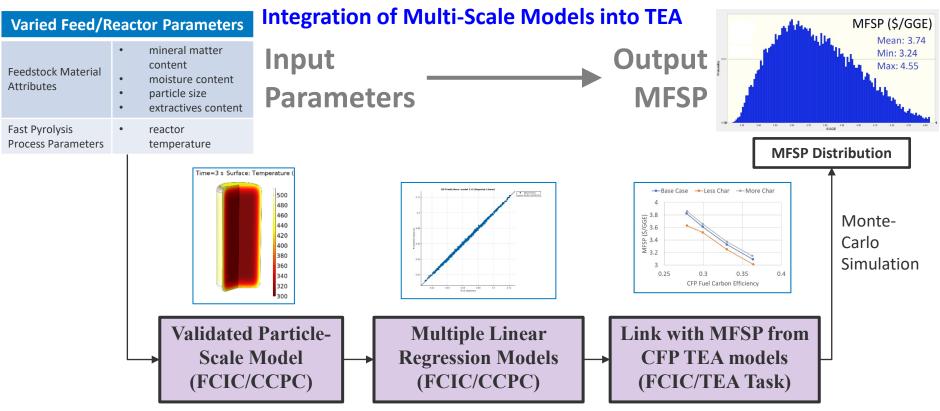
Related Presentation

WBS 2.3.1.305 Upgrading of C1 **Building Blocks**

References: Nature Catalysis, Vol 2, pages 632–640 (2019); **HOG:** High-Octane Gasoline; **TEA:** Techno-Economic Analysis

Example of Collaboration with Other Projects – FCIC / CCPC

Modeling Cost Impacts of Feedstock Material Attributes on CFP Process:



Summary

Summary

Value Proposition

- Help address immediate industry needs for biogenic carbon in liquid fuels
- Continue to guide and establish research metrics in the context of scale-up
 - Help identify and address associated risks

Accomplishments

- Detailed analysis for key decision points & related changes
 - Catalytic Fast Pyrolysis pathway
 - Syngas conversion to high-octane gasoline (with options for jet and diesel) pathway

Quad Chart Overview

Timeline

Project start date: October 1, 2019

• Project end date: September 30, 2022

	FY20	Active Project
DOE Funding	\$700k	\$2,100k (for 3 years)

Barriers addressed

Ot-B: Cost of production

Ct-F: Increasing the yield from catalytic

processes

Project Goal

To inform and guide R&D priorities for thermal and catalytic conversion processes through process-design-based TEA† and LCA‡. Specific conversion pathways of focus are Catalytic Fast Pyrolysis (CFP) and syngas to high-octane gasoline (HOG) or indirect liquefaction (IDL)

End of Project Milestone

Analyze and quantify refinery integration approaches and feasible coproducts from fast pyrolysis based pathways, associated risks, and cost reduction impacts. Additional approaches may include indirect liquefaction of waste streams for low-cost fuels production. This milestone will help set up a combination of potential thermo-catalytic options (at least 2 combinations) for specific approaches towards achieving the BETO goal of \$2.5/GGE by 2030. Provide analysis support (as requested) to the BETO office for the verification of a biomass to finished fuels pathway towards achieving a modeled MFSP of <\$3.00/GGE in 2016\$.

Funding Mechanism

National laboratory project funded by BETO.

Acknowledgements

DOE BETO for funding and support

NREL (includes subcontracts & recent-past contributors)

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- Kim Magrini
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- o Avantika Singh
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- o Eric Tan
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- Biorefinery analysis team

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- Lesley Snowden-Swan
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INL

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- David Thompson

ANL

- Hao Cai
- Longwen Ou

NIST-TRC

- Vladimir Diky
- Chris Muzny
- o Eugene Paulechka

Feedstock Interface (FCIC)

ExxonMobil

Johnson Matthey

ChemCatBio

Consortium for Computational Physics and Chemistry (CCPC)

Co-Optima

Petrobras

Separations Consortium

Thank you

www.nrel.gov

NREL/PR-5100-79205

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Additional Slides

Responses to Previous Reviewers' Comments

<u>Comment 1:</u> A very critical component to the activities of CCB as a whole. Milestones were met throughout the prior funding periods and the milestone planning both near and long term seem appropriate. <u>Response:</u> Thank you for the feedback.

Comment 2: Overall, this is a very important enabling technology for emerging biomass processing technologies. My only concern based on past exposure to TEA is that they are based on a large number of assumptions and often may invoke the most optimistic case rather than most likely cases. The team may want to consider that attainable yields/selectivities/rates are probably uncertain and should forecast that impact (e.g., Monte Carlo based TEA to consider uncertainty). Response: The projections for future research, presented in design reports, are based on researchers' and reviewers' feedback about attainable performance goals. We include sensitivity analysis to show the impacts of various parameters and the effects of over- and under-performance compared to the baseline analysis. The State of Technology assessments are based on experimental data, but at smaller scales compared to the conceptual designs. We thank the reviewer for the comment, and will continue to emphasize and expand on areas where we need to assess uncertainty (Monte Carlo analysis may be helpful at times, but may not always help develop additional insights as compared to single-point sensitivities). Current example: A case study with Monte Carlo included in presentation.

Comment 3: Overall, this is a strong well managed project with solid deliverables thus far. The TEA work is probably the most impactful work to BETO because of its influence on R&D direction. It is extremely important to get this right. I would encourage the project team not to settle on the current tools and in fact, continue to explore ways of enhancing the modeling capability that allows multiple scales to be incorporated into the analysis. Please continue to harmonize with the work of the Biochemical Platform Analysis project. The less severe condition and shape selective pivot away from MTG is small and the premise is still the same; small alcohol conversion over modified zeolites. This is a winning formula. Response: Thank you for the feedback. We work with the computational consortium (CCPC) that does multi-scale modeling. We will continue to pay attention to their work and include any tools that are useful for TEA into our work. An example of such a collaboration is the development of a 1-d entrained reactor model compatible with the TEA modeling framework. We will continue to harmonize with the Biochemical Platform Analysis project; please note that we use the same set of assumptions and modeling frameworks as the work done under that project and our tools and methods have the same genesis. Current example of integration of multi-scale model with CCPC included in presentation.

Comment 4: The thermochemical conversion team has produced significant advances over the past two years and now appears to be on target to meet BETO cost and sustainability objects. The new process scheme and catalysts have performed as predicted. The next steps would be to address operability issues that have plagued other efforts. A detailed feasibility study by an independent outside group would confirm these results. The project shows great synergy with other groups INL and Argonne, NIST and other groups. The outputs included Technical Metrics, LCA, MFSP, Reports and Journal Articles. The TEA shows a path for biomass to fuel of less than \$2.50 per gallon, however, it should be noted that this is a comparative number valid for comparing DOE projects. The initial costs of the fuel produced by early plants is likely to be significantly higher. The progress made by this project is impressive, the thermal conversion team addressed many of the comments from the last peer review and has found new catalysts and other improvements that greatly improve the likelihood of success. Response: We appreciate the comments and agree with the reviewer about operability issues that we plan to address through pilot scale tests. Although higher costs and problems associated with pioneer plants are not explicitly mentioned, we are working closely with other groups, including the FCIC, to understand and address those uncertainties. Current example of critical evaluation and due diligence included in this presentation.

Publications, Patents, Presentations, Awards, and Commercialization (1)

- Ruddy, D.A.; Hensley, J.E.; Nash, C.P.; Tan, E.C.D.; Christensen, E.; Farberow, C.A.; Baddour, F.G.; Van Allsburg, K.M.; Schaidle, J.A. Methanol to high-octane gasoline within a market-responsive biorefinery concept enabled by catalysis. **Nature Catalysis**, Vol 2, pages 632–640 (2019).
- Wilson, A.N.; Dutta, A.; Black, B.A.; Mukarakate, C.; Magrini, K.; Schaidle, J.A.; Michener, W.E.; Beckham, G.T.; Nimlos, M.R. Valorization of aqueous waste streams from thermochemical biorefineries. **Green Chemistry**, 2019. DOI: 10.1039/c9gc00902g.
- Dutta, Abhijit, Kristiina Iisa, Michael Talmadge, Calvin Mukarakate, Michael Griffin, Eric Tan, Nolan Wilson, et al. 2020. Ex Situ Catalytic Fast Pyrolysis of Lignocellulosic Biomass to Hydrocarbon Fuels: 2019 State of Technology and Future Research. Golden, CO: National Renewable Energy Laboratory. NREL/TP-5100-76269. https://www.nrel.gov/docs/fy20osti/76269.pdf.
- Tan, E.C.D. "Sustainable Biomass Conversion Process Assessment", **book chapter** in *Recent Advances in Process Intensification and Integration for Sustainable Design* Wiley VCH (ISBN-13: 978-3527345472). Accepted for publication in May 2020.
- Tan, Eric C.D., Dan Ruddy, Connor Nash, Dan Dupuis, Kylee Harris, Abhijit Dutta, Damon Hartley, and Hao Cai. 2020. High-Octane Gasoline from Lignocellulosic Biomass via Syngas and Methanol/Dimethyl Ether Intermediates: 2019 State of Technology. Golden, CO: National Renewable Energy Laboratory. NREL/TP-5100-76619. https://www.nrel.gov/docs/fy20osti/76619.pdf.
- Cai, H., L. Ou, M. Wang, E.C.D. Tan, R. Davis, and A. Dutta et al. 2020. Supply Chain Sustainability Analysis of Renewable Hydrocarbon Fuels via Indirect Liquefaction, Ex Situ Catalytic Fast Pyrolysis, Hydrothermal Liquefaction, Combined Algal Processing, and Biochemical Conversion: Update of the 2019 State-of-Technology Cases and Design Cases. ANL/ESD-20/2. Lemont, IL: Argonne National Laboratory. https://greet.es.anl.gov/publication-renewable_hc_2019.

Publications, Patents, Presentations, Awards, and Commercialization (2)

- Integrated Strategies to Enable Lower-Cost Biofuels, July 2020, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy. https://www.energy.gov/sites/prod/files/2020/07/f76/beto-integrated-strategies-to-enable-low-cost-biofuels-july-2020.pdf.
- Yeonjoon Kim, Anna E. Thomas, David J. Robichaud, Kristiina Iisa, Peter C. St. John, Brian D. Etz, Gina M. Fioroni, Abhijit Dutta, Robert L. McCormick, Calvin Mukarakate, Seonah Kim. A perspective on biomass-derived biofuels: From catalyst design principles to fuel properties. **Journal of Hazardous Materials**. Volume 400, 5 December 2020, 123198. https://doi.org/10.1016/j.jhazmat.2020.123198.
- Dell'Orco, Stefano; Christensen, Earl; Iisa, Kristiina; Starace, Anne; Dutta, Abhijit; Talmadge, Michael; Magrini, Kimberly; Mukarakate, Calvin. On-line Biogenic Carbon Analysis Enables Refineries to Reduce Carbon Footprint during Co-processing Biomass- and Petroleum-derived Liquids. **Analytical Chemistry**. Accepted Feb 16, 2021.
- Gina Fioroni, Brad Zigler, Jon Luecke, Abhijit Dutta, Robert L. McCormick, T. Bays, E. Polikarpov, C. Taatjes. Fuel Property Characterization and Prediction. 2019 Vehicle Technologies Office Annual Merit Review and Peer Evaluation Meeting, 10-13 June 2019.
- Suphat Watanasiri; Abhijit Dutta. Octane and Cetane Number Predictions for Use in Biomass Conversion Process Models. Poster presented at **TC Biomass, 2019**, Chicago, Oct 7-9 2019.
- Daniel Ruddy, Joshua A. Schaidle, Calvin Mukarakate, Abhijit Dutta, Frederick G. Baddour, Susan E. Habas. Catalysts and Methods for Converting Biomass to Liquid Fuels. **U.S. Patent** No. 10,392,567 B2. 2019.
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Additional content for conversion pathways

- Catalytic Fast Pyrolysis (CFP)

Catalytic Fast Pyrolysis	Sustainability and Process Efficiency Metrics	Units	2014 SOT	2015 SOT	2016 SOT	2017 SOT ^a	2018 SOT	2019 SOT
(CFP)	Process Concept: Hydrocarbon Fuel Production of Upgrading of Fast Pyrolysis Vapors	via Ex Situ	Clean Pine	Clean Pine	Clean Pine	Clean Pine	Clean Pine	50% Residues/ 50% Pine°
SOT and	Year Dollar Basis		2016	2016	2016	2016	2016	2016
SOT and	Projected MFSP	\$/GGE	\$6.27	\$5.44	\$4.90	\$4.09	\$3.80	\$3.33
Projections	Conversion Contribution	\$/GGE	\$3.66	\$3.30	\$3.08	\$2.82	\$2.44	\$2.14
	Total Project Investment per Annual GGE	\$/GGE-yr	\$18.50	\$16.46	\$14.94	\$12.17	\$12.47	\$13.53
(1)	Plant Capacity (Dry Feedstock Basis)	metric tons/day	2,000	2,000	2,000	2,000	2,000	2,000
	Total Gasoline Equivalent Yield	GGE/dry ton	42	46	51	69	65	59
	Diesel-Range Product Proportion (GGE Basis)	% of fuel product	15%	15%	15%	52%	52%	48%
	Feedstock							

Plant Capacity (Dry Feedstock Basis)	metric tons/day	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Total Gasoline Equivalent Yield	GGE/dry ton	42	46	51	69	65	59	59
Diesel-Range Product Proportion (GGE Basis)	% of fuel product	15%	15%	15%	52%	52%	48%	48%
Feedstock								
Total Cost Contribution ^d	\$/GGE	\$2.60	\$2.14	\$1.82	\$1.27	\$1.36	\$1.18	\$1.19
Capital Cost Contribution ^d	\$/GGE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Operating Cost Contribution ^d	\$/GGE	\$2.60	\$2.14	\$1.81	\$1.27	\$1.35	\$1.18	\$1.18
Feedstock Coste	\$/dry ton	\$109.01	\$98.31	\$92.70	\$87.82	\$87.82	\$70.15	\$70.15

10%

10%

8,000

\$2.60

\$0.00

10%

10%

8,000

\$2.14

\$0.00

10%

10%

8,000

\$1.82

\$0.00

10%

10%

8,000

\$1.27

\$0.00

10%

10%

8,000

\$1.36

\$0.00

10%

10%

7,900

\$1.18

\$0.00

10%

10%

7,900

\$1.19

\$0.00

NREL

37

wt % H₂O

wt % H₂O

Btu/lb

\$/GGE

\$/GGE

2020 Projection

50% Residues/ 50% Pine 2016 \$3.09 \$1.90 \$12.32

Reference: Bioenergy Technologi Office 2019 R&D State of Technologi https://www.energy.gov/sites/prod/files/2020/07/f7 2019-state-of-technology-july-2020-r1.pdf

Total Cost Contribution^d

ology 76/beto-

Feedstock Moisture at Plant Gate Feed Moisture Content to Pyrolyzer Energy Content (LHV, Dry Basis)

Pyrolysis and Vapor Upgrading

Catalytic Fast **Pyrolysis** (CFP) **SOT** and **Projection**

Sustainability and Process Efficiency Metrics	Units	2014 SOT	2015 SOT	2016 SOT	2017 SOTª	2018 SOT	2019 SOT	P
Operating Cost Contribution ^d	\$/GGE	\$2.60	\$2.14	\$1.81	\$1.27	\$1.35	\$1.18	
Ex Situ Reactor Configuration	reactor type	fluidized bed	fluidized bed	fluidized bed	fixed bed	fixed bed	fixed bed	
Ratio of Online: Regenerating Fixed Bed Reactors	ratio	N/A	N/A	N/A	2:5	2:3	2:2	
Gas Phase	wt % of dry biomass	35%	36%	34%	31%	35%	38%	
Aqueous Phase	wt % of dry biomass	25%	25%	24%	27%	22%	24%	
Carbon Loss	% of C in biomass	2.9%	2.9%	3.4%	2.9%	5.0%	4.4%	
Organic Phase	wt % of dry biomass	17.5%	18.6%	21.8%	28.3%	27.9%	23.2%	
H/C Molar Ratio	ratio	1.1	1.1	1.1	1.2	1.2	1.2	
Oxygen	wt % of organic	15.0%	13.3%	16.8%	16.5%	18.6%	15.1%	

27%

23%

12.0%

11.0%

\$0.35

\$0.20

29%

21%

11.0%

9.5%

\$0.33

\$0.19

33%

20%

12.0%

8.3%

\$0.28

\$0.16

42%

14%

10.4%

3.3%

\$0.20

\$0.12

40%

15%

11.7%

3.7%

\$0.22

\$0.13

35%

14%

11.6%

2.3%

\$0.34

\$0.22

15		

uxygen Carbon Efficiency

phase % of C in biomass

wt % of dry

biomass

biomass

biomass

\$/GGE

\$/GGE

Solid Losses (Char + Coke) Char

Coke Vapor Quench, Coproduct Recovery + Contingency

Total Cost Contribution

Capital Cost Contribution

wt % of dry wt % of dry

NREL

2020 Projection

\$1.18

fixed bed

2:2

38%

24%

4.4%

23.2%

1.2

15.1%

35%

14%

11.6%

2.3%

\$0.42

\$0.26

Catalytic Fast Pyrolysis (CFP) SOT and

Sustainability and Process Efficiency Metrics	Units	2014 SOT	2015 SOT	2016 SOT	2017 SOTª	2018 SOT	2019 SOT	2020 Projectio
Operating Cost Contribution	\$/GGE	\$0.15	\$0.14	\$0.12	\$0.08	\$0.09	\$0.12	\$0.16
Hydroprocessing and Separation/Refinery Co-P	rocessing							
Total Cost Contribution	\$/GGE	\$0.33	\$0.31	\$0.34	\$0.35	\$0.38	\$0.30	\$0.21
Capital Cost Contribution	\$/GGE	\$0.17	\$0.16	\$0.18	\$0.19	\$0.20	\$0.16	\$0.00
Operating Cost Contribution	\$/GGE	\$0.15	\$0.14	\$0.16	\$0.16	\$0.18	\$0.14	\$0.21
Carbon Efficiency of Organic Liquid Feed to Fuels	%	88.4%	89.5%	87.2%	91.0%	89.0%	93.5%	93.5%
Hydrotreating Pressure	psia	2,000	2,000	2,000	1,900	1,900	1,900	1,900
Oxygen Content in Cumulative Fuel Product	wt %	0.8%	0.8%	0.8%	0.6%	0.5%	0.5%	0.5%
Hydrogen Production								
Total Cost Contribution	\$/GGE	\$0.61	\$0.56	\$0.60	\$0.62	\$0.51	\$0.61	\$0.44
Capital Cost Contribution	\$/GGE	\$0.39	\$0.36	\$0.38	\$0.41	\$0.33	\$0.39	\$0.28
Operating Cost Contribution	\$/GGE	\$0.22	\$0.20	\$0.22	\$0.21	\$0.18	\$0.22	\$0.16

SOI and	Capital Cost Contribution	\$/GGE	\$0.17	\$0.16	\$0.18	\$0.19	\$0.20	\$0.16	\$0.00			
Projections	Operating Cost Contribution	\$/GGE	\$0.15	\$0.14	\$0.16	\$0.16	\$0.18	\$0.14	\$0.21			
Projections	Carbon Efficiency of Organic Liquid Feed to Fuels	%	88.4%	89.5%	87.2%	91.0%	89.0%	93.5%	93.5%			
(3)	Hydrotreating Pressure	psia	2,000	2,000	2,000	1,900	1,900	1,900	1,900			
	Oxygen Content in Cumulative Fuel Product	wt %	0.8%	0.8%	0.8%	0.6%	0.5%	0.5%	0.5%			
	Hydrogen Production	Hydrogen Production										
	Total Cost Contribution	\$/GGE	\$0.61	\$0.56	\$0.60	\$0.62	\$0.51	\$0.61	\$0.44			
	Capital Cost Contribution	\$/GGE	\$0.39	\$0.36	\$0.38	\$0.41	\$0.33	\$0.39	\$0.28			
	Operating Cost Contribution	\$/GGE	\$0.22	\$0.20	\$0.22	\$0.21	\$0.18	\$0.22	\$0.16			
	Additional Natural Gas at the Biorefinery ^f	% of biomass LHV	0.3%	0.1%	0.2%	0.1%	0.3%	0.1%	0.5%			
	Coproducts											
	Total Cost Contribution	\$/GGE						(\$0.52)	(\$0.52)			
	Capital Cost Contributions	\$/GGE										
	Operating Cost Contributions	\$/GGE										
Reference: Bioenergy Technologies	Coproduct Credit	\$/GGE						(\$0.52)	(\$0.52)			
Office 2019 R&D State of Technology	Balance of Plant											
https://www.energy.gov/sites/prod/files/2020/07/f76/beto-2019-state-of-technology-july-2020-r1.pdf	Total Cost Contribution	\$/GGE	\$0.04	\$0.07	\$0.03	\$0.20	\$0.23	\$0.27	\$0.20			

Catalytic Fast Pyrolysis (CFP) **SOT and Projections (4)**

Sustainability and Process Efficiency Metrics	Units	2014 SOT	2015 SOT	2016 SOT	2017 SOTª	2018 SOT	2019 SOT	2020 Projection
Capital Cost Contribution	\$/GGE	\$0.80	\$0.71	\$0.56	\$0.43	\$0.46	\$0.45	\$0.52
Operating Cost Contributions	\$/GGE	(\$0.76)	(\$0.64)	(\$0.54)	(\$0.23)	(\$0.23)	(\$0.18)	(\$0.32)
Electricity Production from Steam Turbine (Credit Included in Operational Cost Above)	\$/GGE	(\$1.12)	(\$0.96)	(\$0.78)	(\$0.42)	(\$0.45)	(\$0.40)	(\$0.57)

[•] For the 2017 SOT, the unquantified portion of CFP yields were prorated to solids, liquids, and gases using measured yields.

b 2030 projections are based on high-level estimates and will be modeled in detail in future years. It is proposed that co-hydroprocessing of CFP oil will occur at a petroleum refinery. Capital for hydrogen production is included, while natural gas feed for hydrogen production is not included because credit is not taken for an equivalent amount of fuel gas from the CFP biorefinery. Coproduct credit is based on a preliminary estimate of diverting 20% CFP oil to produce coproducts, including from the organic liquid phase.

Modeled ash is 1.75% for 2019 and 2020, and less than 1% for all other years.

⁴ An additional biomass heater is included as a small additional in-plant cost, as shown in the 2015 process design report: https://www.nrel.gov/docs/fy15osti/62455.pdf.

Small adjustments made to previously published feedstock cost estimates for 2014-2016.

Natural gas stream was negligible in most of the biorefinery models. This was included to maintain model flexibility to allow natural gas use as an option.

ECapital and operating costs for coproduct recovery in the 2019-2022 models are included in the "Vapor Quench, Coproduct Recovery + Contingency" section.

GHG Emissions Including Feedstocks & Conversion

>60% GHG reduction over petroleum derived gasoline per ANL analysis



Catalytic Fast Pyrolysis Conversion Pathway

- Fuel Transportation and Net Fuel Combustion
- Biorefinery Conversion
- Depot Preprocessing
- Fieldside Preprocessing and Transportation to Depot
 - Silviculture, Fertilization, Harvest, and Collection
- Supply Chain
- Coproduct Displacement Credits
- Petroleum gasoline

Reference: Bioenergy Technologies Office | 2019 R&D State of Technology https://www.energy.gov/sites/prod/files/2020/07/f76/beto-2019-state-of-technology-july-2020-r1.pdf

Additional content for conversion pathways

- High-Octane Gasoline (HOG)

Syngas to High-Octane Gasoline SOT and Projections (1)

Processing Area Cost Contributions & Key Technical Parameters	Units	2014 SOT †	2015 SOT †	2016 SOT †	2017 SOT †	2018 SOT †	2019 SOT †	2020 SOT †	2021 Projection	2022 Projection (Design Case)
Process Concept: Gasification, Syngas Cleanup, Methanol / DME Synthesis & Conversion to HCs		Woody Feedstock								
C ₅ + Minimum Fuel Selling Price (per Actual Product Volume) ▲	\$ / Gallon	\$4.31	\$4.17	\$3.85	\$3.67	\$3.66	\$3.35	\$3.22	\$3.30	\$3.22
Mixed C₄ Minimum Fuel Selling Price (per Actual Product Volume) ▲	\$ / Gallon	\$3.98	\$3.91	N/A	N/A	N/A	\$1.02	N/A	N/A	N/A
Minimum Fuel Selling Price (per Gallon of Gasoline Equivalent) ▲	\$ / Gal GE	\$4.33	\$4.24	\$3.99	\$3.86	\$3.79	\$3.53	\$3.45	\$3.40	\$3.30
Conversion Contribution (per Gallon of Gasoline Equivalent) ▲	\$ / Gal GE	\$3.13	\$3.03	\$2.76	\$2.64	\$2.56	\$2.23	\$2.21	\$2.25	\$2.18
Year for USD (\$) Basis		2016	2016	2016	2016	2016	2016	2016	2016	2016
Total Capital Investment per Annual Gallon	\$	\$15.80	\$15.94	\$11.01	\$11.54	\$11.07	\$11.07	\$10.94	\$10.03	\$9.79
Plant Capacity (Dry Feedstock Basis)	Tonnes / Day	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
High-Octane Gasoline Blendstock (C ₅ +) Yield	Gallons / Dry Ton	36.2	36.4	51.4	50.0	51.4	51.6	55.1	55.1	56.0
Mixed C ₄ Co-Product Yield	Gallons / Dry Ton	16.3	16.2	0.0	0.0	0.0	5.6	0.0	0.0	0.0
Feedstock										
Total Cost Contribution	\$ / Gallon GE	\$1.20	\$1.21	\$1.24	\$1.22	\$1.23	\$1.31	\$1.24	\$1.14	\$1.12
Capital Cost Contribution	\$ / Gallon GE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Operating Cost Contribution	\$ / Gallon GE	\$1.20	\$1.21	\$1.24	\$1.22	\$1.23	\$1.30	\$1.24	\$1.14	\$1.12
Feedstock Cost	\$ / Dry US Ton	\$60.58	\$60.58	\$60.58	\$57.28	\$60.54	\$63.23	\$63.23	\$60.54	\$60.54
Ash Content	wt % Ash	3.00%	3.00%	3.00%	3.00%	3.00%	1.75%	1.75%	3.00%	3.00%
Feedstock Moisture at Plant Gate	Wt % H ₂ O	30%	30%	30%	30%	30%	30%	30%	30%	30%
In-Plant Handling and Drying / Preheating	\$ / Dry US Ton	\$0.72	\$0.70	\$0.70	\$0.69	\$0.69	\$0.69	\$0.57	\$0.69	\$0.69
Cost Contribution	\$ / Gallon	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01
Feed Moisture Content to Gasifier	wt % H ₂ O	10%	10%	10%	10%	10%	10%	10%	10%	10%
Energy Content (LHV, Dry Basis)	BTU / lb	7,856	7,856	7,856	7,856	7,856	7,933	7,930	7,856	7,856
Gasification			,							
Total Cost Contribution	\$ / Gallon GE	\$0.69	\$0.67	\$0.65	\$0.62	\$0.61	\$0.58	\$0.50	\$0.56	\$0.54
Capital Cost Contribution	\$ / Gallon GE	\$0.43	\$0.41	\$0.38	\$0.35	\$0.34	\$0.33	\$0.28	\$0.31	\$0.30
Operating Cost Contribution	\$ / Gallon GE	\$0.26	\$0.26	\$0.27	\$0.28	\$0.26	\$0.25	\$0.23	\$0.25	\$0.24
Raw Dry Syngas Yield	lb / lb Dry Feed	0.76	0.76	0.76	0.76	0.76	0.77	0.83	0.76	0.76
Raw Syngas Methane (Dry Basis)	Mole %	15.4%	15.4%	15.4%	15.4%	15.4%	15.4%	8.6%	15.4%	15.4%
Gasifier Efficiency (LHV)	% LHV	71.9%	71.9%	71.9%	71.9%	71.9%	72.3%	78.0%	71.9%	71.9%
Synthesis Gas Clean-up (Reforming and Quench)										
Total Cost Contribution	\$ / Gallon GE	\$0.96	\$0.93	\$0.94	\$0.94	\$0.89	\$0.88	\$0.93	\$0.80	\$0.78
Capital Cost Contribution	\$ / Gallon GE	\$0.51	\$0.49	\$0.46	\$0.43	\$0.41	\$0.39	\$0.40	\$0.37	\$0.36
Operating Cost Contribution	\$ / Gallon GE	\$0.45	\$0.45	\$0.48	\$0.51	\$0.48	\$0.49	\$0.53	\$0.44	\$0.42
Tar Reformer (TR) Exit CH ₄ (Dry Basis)	Mole %	1.7%	1.7%	1.7%	1.7%	1.7%	1.7%	1.3%	1.7%	1.7%
TR CH ₄ Conversion	%	80.0%	80.0%	80.0%	80.0%	80.0%	80.0%	80.0%	80.0%	80.0%
TR Benzene Conversion	%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%
TR Tars Conversion	%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Catalyst Replacement	% of Inventory / Day	0.15%	0.15%	0.15%	0.15%	0.15%	0.15%	0.15%	0.15%	0.15%

Syngas to High-Octane Gasoline SOT and Projections (2)

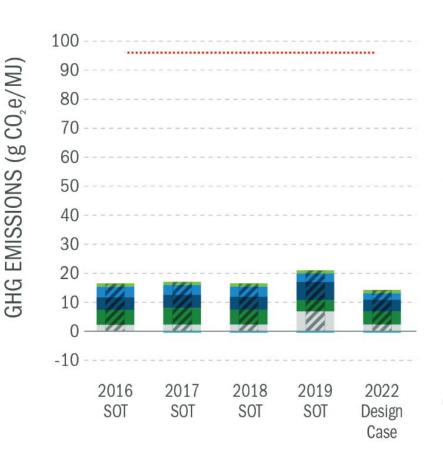
Processing Area Cost Contributions & Key Technical Parameters	Units	2014 SOT †	2015 SOT †	2016 SOT †	2017 SOT †	2018 SOT †	2019 SOT †	2020 SOT †	2021 Projection	2022 Projection (Design Case)
Acid Gas Removal, Methanol Synthesis and Methanol Conditioning										
Total Cost Contribution	\$ / Gallon GE	\$0.52	\$0.50	\$0.47	\$0.47	\$0.45	\$0.45	\$0.36	\$0.41	\$0.40
Capital Cost Contribution	\$ / Gallon GE	\$0.35	\$0.33	\$0.30	\$0.28	\$0.28	\$0.27	\$0.20	\$0.25	\$0.24
Operating Cost Contribution	\$ / Gallon GE	\$0.17	\$0.17	\$0.17	\$0.19	\$0.18	\$0.18	\$0.15	\$0.16	\$0.16
Methanol Synthesis Reactor Pressure	psia	730	730	730	730	730	730	730	730	730
Methanol Productivity	kg / kg-cat / hr	0.7	0.7	0.8	0.8	0.8	0.7	0.8	0.7	0.7
Methanol Intermediate Yield	Gallons / Dry Ton	143	142	138	144	141	137	150	136	134
Hydrocarbon Synthesis										
Total Cost Contribution	\$ / Gallon GE	\$0.91	\$0.91	\$0.70	\$0.67	\$0.64	\$0.49	\$0.34	\$0.51	\$0.48
Capital Cost Contribution	\$ / Gallon GE	\$0.56	\$0.56	\$0.46	\$0.44	\$0.42	\$0.34	\$0.11	\$0.34	\$0.32
Operating Cost Contribution	\$ / Gallon GE	\$0.35	\$0.35	\$0.24	\$0.23	\$0.22	\$0.16	\$0.23	\$0.17	\$0.16
Methanol to DME Reactor Pressure	psia	145	145	145	145	145	145	169	145	145
Hydrocarbon Synthesis Reactor Pressure	psia	129	129	129	129	129	129	205	129	129
Hydrocarbon Synthesis Catalyst		Commercial	Beta-Zeolite		NREL modit	fied Beta-Zeolite with cop	per (Cu) as active metals t	for activity and performance	improvement	
Hydrogen Addition to Hydrocarbon Synthesis		No H ₂ Addition		Supplement	al H ₂ added to hydrocarb	oon synthesis reactor inle	t to improve selectivity to	branched paraffins relativet	e to aromatics	
Utilization of C ₄ in Reactor Outlet via Recycle		0%	0%	100%	100%	100%	90%	97%	Recycle	100%
Single-Pass DME Conversion	%	15.0%	15.0%	19.2%	27.6%	38.9%	44.7%	43.4%	39.7%	40.0%
Overall DME Conversion	%	83%	85%	83%	88%	92%	88%	96%	90%	90%
Hydrocarbon Synthesis Catalyst Productivity	kg / kg-cat / hr	0.02	0.03	0.04	0.09	0.07	0.07	0.07	0.09	0.10
Carbon Selectivity to C ₅ + Product	% C in Reactor Feed	46.2%	48.3%	81.8%	74.8%	72.3%	73.6%	72.1%	83.4%	86.7%
Carbon Selectivity to Total Aromatics (Including Hexamethylbenzene)	% C in Reactor Feed	25.0%	20.0%	4.0%	4.0%	8.0%	5.8%	3.3%	2.4%	0.5%
Carbon Selectivity to Coke and Pre-Cursors (Hexamethylbenzene Proxy)	% C in Reactor Feed	10.0%	9.3%	4.0%	4.0%	4.0%	2.9%	1.6%	1.4%	0.5%
Hydrocarbon Product Separation										
Total Cost Contribution	\$ / Gallon GE	\$0.04	\$0.05	\$0.05	\$0.05	\$0.05	\$0.05	\$0.11	\$0.05	\$0.05
Capital Cost Contribution	\$ / Gallon GE	\$0.03	\$0.03	\$0.04	\$0.04	\$0.04	\$0.03	\$0.06	\$0.03	\$0.03
Operating Cost Contribution	\$ / Gallon GE	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.01	\$0.05	\$0.01	\$0.01
LPG Coproduct Credit										
Total Cost Contribution	\$ / Gallon GE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	(\$0.11)	(\$0.00)	\$0.00	\$0.00
Balance of Plant										
Total Cost Contribution	\$ / Gallon GE	\$0.01	(\$0.02)	(\$0.05)	(\$0.11)	(\$0.09)	(\$0.11)	(\$0.03)	(\$0.08)	(\$0.07)
Capital Cost Contribution	\$ / Gallon GE	\$0.42	\$0.40	\$0.36	\$0.34	\$0.33	\$0.29	\$0.31	\$0.29	\$0.28
Operating Cost Contribution	\$ / Gallon GE	(\$0.41)	(\$0.42)	(\$0.42)	(\$0.45)	(\$0.42)	(\$0.41)	(\$0.33)	(\$0.37)	(\$0.36)

Syngas to High-Octane Gasoline SOT and Projections (3)

Processing Area Cost Contributions & Key Technical Parameters	Units	2014 SOT †	2015 SOT †	2016 SOT †	2017 SOT †	2018 SOT †	2019 SOT †	2020 SOT †	2021 Projection	2022 Projection (Design Case)
Sustainability and Process Efficiency Metrics										
Carbon Efficiency to C ₅ + Product	% C in Feedstock	19.3%	19.4%	25.2%	24.3%	25.5%	24.8%	26.1%	27.4%	27.9%
Carbon Efficiency to Mixed C ₄ Co-Product	% C in Feedstock	7.0%	6.9%	0.0%	0.0%	0.0%	2.3%	0.0%	0.0%	0.0%
Overall Carbon Efficiency to Hydrocarbon Products	% C in Feedstock	26.3%	26.3%	25.2%	24.3%	25.5%	27.1%	26.1%	27.4%	27.9%
Overall Energy Efficiency to Hydrocarbon Products	% LHV of Feedstock	37.7%	37.7%	36.6%	35.1%	36.6%	39.6%	37.6%	39.6%	40.4%
Electricity Production	kWh / Gallon C ₅ +	11.7	11.8	7.9	8.4	8.1	7.6	12.2	7.2	7.0
Electricity Consumption	kWh / Gallon C ₅ +	11.7	11.8	7.9	8.5	8.1	7.6	12.2	7.2	7.0
Water Consumption	Gal H ₂ O / Gal C ₅ +	12.9	10.1	3.1	3.3	3.2	2.9	3.3	2.8	2.8
Fossil GHG Emissions	g CO2-e / MJ Fuel	0.05	0.05	2.64	2.48	2.40	2.13	2.24	0.67	2.06
Fossil Enegy Consumption	MJ Fossil Energy / MJ Fuel	0.003	0.003	0.042	0.039	0.038	0.034	0.035	0.008	0.032
TEA Reference File		2014 SOT Rev4a 2016\$ ² (high ash)_1.xlsm	2015 SOT Rev6 Comm- HBEA 2016\$ FR Rev2_1.xlsm	I ZUTO SUT Base Revo I	2017 SOT Base Rev1 2016\$ FR_1 KH (Feedstock Cost).xlsm (2019 SOT Oct Update Rev02 - (C4-DME- 1_LPG) Rev0_b.xlsm		2021 Target Rev0 KH (Feedstock Cost).xlsm	2022 Design FR Rev5a_2 KH (Feedstock Cost).xlsm

GHG Emissions Including Feedstocks & Conversion

>60% GHG reduction over petroleum derived gasoline per ANL analysis



Syngas to **High-Octane Gasoline Conversion Pathway**

- Fuel Transportation and Net Fuel Combustion
- Coproduct Displacement Credits
- **Biorefinery Conversion**
- **Depot Preprocessing**
- Fieldside Preprocessing and Transportation to Depot
- Silviculture, Fertilization, Harvest and Collection
- Supply Chain
- Petroleum gasoline

Reference: Bioenergy Technologies Office | 2019 R&D State of Technology

https://www.energy.gov/sites/prod/files/2020/07/f76/beto-2019-state-of-technology-july-2020-r1.pdf