



Department of Energy

Washington, DC 20585

December 2, 2020

Mr. Rex D. Johnston Jr.
President
Advanced Industrial Services, Inc.
11712 Cherry Street
Los Alamitos, California 90720

Dear Mr. Johnston:

This letter serves as notification of the Office of Enterprise Assessments' Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with an event that occurred during work performed by Advanced Industrial Services, Inc. (AIS) at the Lawrence Berkeley National Laboratory. On February 12, 2020, an AIS worker was severely injured while performing abrasive blasting inside a water tank when the blast hose malfunctioned.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 851.40(a), *Investigations and inspections*. The investigation will include an onsite visit and interviews with contractor personnel.

A request for documents to support this investigation will be provided separately via e-mail. Your cooperation to ensure timely submission of all documents to our office is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, AIS should track any costs incurred that are directly attributable to supporting Department of Energy's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707, or your staff may contact Mr. Robert Hailstone, Director, Office of Worker Safety and Health Enforcement, at (301) 903-0100.

Sincerely,

A handwritten signature in black ink that reads "Kevin L. Dressman". The signature is fluid and cursive.

Kevin L. Dressman
Director
Office of Enforcement
Office of Enterprise Assessments



cc: Paul Golan, SC-BASO
Michelle Flynn, The Regents of the University of California
Matt Tasch, Superior Tank Solutions, Inc.