



Department of Energy

Washington, DC 20585

November 10, 2020

Mr. Lennie Upshaw
General Manager
Centerra-Los Alamos
Los Alamos National Laboratory
P.O. Box 1663, MS G724
Los Alamos, New Mexico 87545

Dear Mr. Upshaw:

This letter serves as notification of the Office of Enterprise Assessments' Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with the firearms safety program and the facts and circumstances associated with the live fire near miss event that occurred on June 1, 2020, at the Los Alamos National Laboratory. The event involved a worker being downrange of the firing line during the firing of live ammunition.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 851.40(a), *Investigations and inspections*. The investigation will include an onsite visit and interviews with contractor personnel.

A request for documents to support this investigation will be provided separately via e-mail. Your cooperation to ensure timely submission of all documents to our office is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, CLA should track any costs incurred that are directly attributable to supporting the DOE's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707, or your staff may contact Robert Hailstone, Director, Office of Worker Safety and Health Enforcement, at (301) 903-0100.

Sincerely,

A handwritten signature in black ink that reads "Kevin L. Dressman".

Kevin L. Dressman
Director
Office of Enforcement
Office of Enterprise Assessments



cc: Michael Weis, NA-LA
Thomas Mason, Triad National Security, LLC
Lauren Griffith, Triad National Security, LLC
Dara McKinney, Triad National Security, LLC